

Surface modification of thin-film composite membranes by direct energy techniques

A thesis submitted for the degree of Doctor of Philosophy

by

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Declaration

I, Rackel Reis, declare that this thesis entitled “Surface modification of thin-film composite membranes by direct energy techniques” is no more 100,000 words in length, exclusive of tables, figures, appendices, bibliography, references and footnotes. This thesis contains no material that has been submitted previously, in whole or in part, for the award of any other degree or diploma. This research has been conducted in collaboration with a number of partners, whose contributions have been appropriately acknowledged throughout. Thus, except where otherwise indicated, this thesis is my own work.

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Journal publications, conference proceedings and conference presentations relevant to the scope of this thesis

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“Nothing in life is to be feared, it is only to be understood. Now is the time to understand more, so that we may fear less.”

— Marie Curie

Abstract

Reverse osmosis (RO) for water desalination is a pressure driven technology that utilizes thin-film composite (TFC) membranes as its core technological component. The selectivity of commercial RO TFC membranes is provided by a ~100 nm thin semi-dense and negatively charged poly(amide) (PA) layer, where performance is highly influenced by electrostatic interactions between the liquid (water and solutes) and the net surface charge of the membrane surface. Furthermore, the interfacial polymerization manufacturing process leads to the formation of a rough surface, due to surface tensions effects between the two non-miscible phases - carboxylate and aromatic amide. Over the past four decades, the remarkable development of such membrane materials has contributed to reducing RO energy consumption and increasing salt rejection to the 99.9% level. However, the chemistry and morphology of the PA layer continues to promote unfavorable affinity with contaminants in the water – a phenomenon referred to as fouling. ‘Foulants’, such as biological, inorganic and organic compounds, may aggregate and accumulate on surface due to chemical affinity, electrostatic interactions and physical adsorption in between the protusions of the rough material. Such interactions across the surface of the membranes lead to reduced water transport and in severe cases may cause membrane failure. Therefore, new routes to further refine the properties of PA membranes are still required to develop more permeable membranes with controlled surface charge.

Plasma and irradiation-induced grafting are controllable and rapidly developing techniques that can uniformly induce the grafting/polymerization of selected monomers and introduce surface specificity for dedicated applications. These routes require little quantity of chemicals and therefore are environmentally friendly techniques. In membrane technology, surface grafting via plasma and irradiation have been mostly applied to micro and ultrafiltration membranes and to the best of our knowledge no work has applied gamma-rays to modify TFC membranes. The nanoscale capillary network across a composite membrane exhibits vulnerability to radiation and energy absorption which may compromise the membrane’s essential flux and salt rejection. Therefore, an understanding of the physico-chemical processes involved in such techniques, as well the detailed characterization of the modified thin-film surface, are

crucial factors for the successful application and implementation of such novel advanced environmentally friendly technologies.

In this project, the feasibility of both plasma and gamma-rays techniques to the functionalization or modification of TFC membrane surfaces was studied in order to tune surface properties such as charge, morphology and chemistry without compromising permeation and selectivity properties. Also, this work aims at improving the understanding of physico-chemical interactions of nascent bond dissociations and grafting mechanisms involved during modification processes through characterization techniques such as X-ray spectroscopy, streaming potential and Fourier transform infrared spectroscopy (FTIR). Also advanced techniques such as atomic force microscopy (AFM) and high spatial resolution synchrotron FTIR mapping were employed to investigate resultant chemical distribution, topographic uniformity and the thickness of the formed film.

In the plasma technique, two different routes were explored - the first used reactant gases, such as argon, helium and water to chemically etch and modify the texture of the membrane. The second involved polymerization of monomers such as 1-vinyl(imidazole) (VIM) and maleic anhydride (MA) to increase the density of either amines or carboxylic functional groups and therefore alter the surface charge and morphology characteristics. Surface etching promoted by plasma treatment was shown to be beneficial to enhance wettability, negative charges, and in the case of argon plasma glows. The water permeation was found to be increased by up to 22% at low power densities without compromising salt rejection (98%). The plasma polymerization processes lead to the formation of uniform coatings which thicknesses were found to increase with longer process duration. The increasing density of functional groups also led to significant surface charge alterations. After plasma polymerization with VIM monomer, negatively charged control membrane enhanced positive charges with increasing amine groups on the surface. On the other hand, plasma polymerization with MA significantly enhanced the density of negative charges with increasing density of carboxylic groups. High density of amines on surface was demonstrated with the attachment of silver nanoparticles where coordinated active sites were further tested with a bacterial media. This experiment also provided a possibility to producing anti-biofouling membrane material.

An irradiation-induced grafting technique, utilizing gamma-rays irradiation from a high energy radiation source (Cobalt-60) was used to induce grafting of amine functional groups using the VIM monomer. A systematic investigation of the degree of grafting was accomplished by increasing the dosage and monomer concentration. This resulted in a long-lasting positively charged surface, and shifted the isoelectric point of the membranes, measured by streaming potential from close to zero for the control membranes at pH 3, up to pH 7 for grafting with 1 v/v% of monomer concentration at 1 kGy irradiation dose. Furthermore, the irradiated membranes offered enhanced permeation as high as 50% larger than the control membranes without significantly compromising membrane salt rejection during desalination. Referred computational chemistry analysis predicted bond dissociations energy from the bonds across the VIM monomer and PA polymer in order to predict the generation of free radicals as well as potential by-products produced upon irradiation. The impact on the surface charge on Donnan exclusion mechanisms was also evaluated by comparing NaCl rejection with a divalent salt such as CaCl_2 rejection.

Both plasma and gamma-rays routes open the way for versatile and environmentally friendly surface modification techniques which provide uniform, versatile and tuneable functionalization pathways for post-treatment of commercial membrane products and potentially favouring anti-fouling and pH selective permeation behaviours.

Erratum

This published thesis version contains corrections made to the originally examined version. The original issue requiring the change and affected chapters are summarized in this brief Erratum. The changes were not critical to the overall outcome of the thesis, but were made to ensure the correct representation of the work as a published document.

Specifically, the maleic anhydride reagent used in the plasma polymerization technique presented in Chapter 5 was incorrectly referred to as maleic acid. However, maleic anhydride converts to maleic acid after samples are exposed to air and, potentially, during the plasma polymerization process where the ring system of maleic anhydride could also open up with an input of energy.

The corrections regarding this incorrectly cited chemical were made for this published thesis version and more detail including the original text is available in Appendix 4.

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List of Abbreviations

Alternate current	AC
Atomic force microscopy	AFM
Attenuated Total Reflection- Fourier Transform Infrared Spectroscopy	ATR-FTIR
Chemical vapour deposition	CVD
Cellulose acetate	CA
Deionized water	DI
Direct current	DC
Dissolved organic carbon	DOC
Electric double layer	EDL
Energy dispersive spectroscopy	EDS
Focus Ion Beam	FIB
Gamma-ray irradiation	GRI
Infrared	IR
Interfacial polymerization	IP
Isoelectric point	IEP
Maleic anhydride	MA
Methanol	MeOH
m-phenylenediamine	MPD
Plasma polymerization	PP
Poly(amide)	PA
Poly(ester)	PE
Poly (ethylene) glycol	PEG

Poly(sulfone)	Psf
Radio frequency	RF
Reverse osmosis	RO
Scanning electron micrographs	SEM
Silver nanoparticles	Ag NPs
Sodium metabisulphite	SMBS
Thin-film composite	TFC
Total dissolved solids	TDS
Trimesoyl chloride	TMC
Ultraviolet	UV
1-vinyl(imidazole)	VIM
X-ray photoelectron spectroscopy	XPS

1. Introduction

According to the United Nations demographers, current world population has reached 7.2 billion in mid-2013 and perspectives for 2050 to be achieved 9.6 billion people [1]. This global statistic illustrates a trend towards increasing water demand and foresees irreversible impacts on traditional freshwater resources such as rivers, lakes and ground-water. The United Nations General Assembly declared the period of 2005 to 2015 as the International Decade for Action, “Water for Life”. Currently almost 4 billion people of the global population enjoy potable piped drinking water. However, 748 million people have no access to reliable water and from these, 173 million still rely on untreated surface water [2]. The lack of access to safe drinking water and sanitation may cost to countries about 7% of their annual gross domestic product. The development of new technologies for safe, cost-effective and sustainable water production is a global concern. Reverse osmosis (RO) technology is an alternative to produce drinking water from more abundant water supplies that are too saline to drink such as seawater and brackish water.

About 1,182 km³ of water from industrial and municipal users around the world was withdrawn from the environment in 2011. Of this, only 17 km³ was produced by desalination of seawater and brackish water [3]. These records are an indication that traditional water resources remains dominant attributed to low-costs about \$0.01/m³ compared to RO and thermal desalination with 0.47\$/m³ and 0.57\$/m³ respectively. Due to the relatively lower cost, RO has been the leading desalinated water technology in the last 5 years with approximately 80% of the market in comparison to conventional thermal technology such as multi-stage flash [4]. The largest water production rate by RO seawater is up to 110 million m³ per year in Ashkelon, Israel [5]. The commercial demand for RO technology is due to technological improvements and less energy consumption compared to thermal methods, which requires large quantities of fuel to vaporize seawater. Since the 1970's, advances in membrane materials, modules design, feed pre-treatments and energy recovery strategies contributed to reduce the cost per volume of water produced by 10-fold. In particular, the development of TFC membrane materials significantly contributed to maximise desalination efficiency by improving

process applicability via increased membrane mechanical and chemical stability [6]. Furthermore, RO TFC membranes are also applied in advanced wastewater reclamation to produce water for indirect potable use [7]. In advanced wastewater reclamation microfiltration (MF) or ultrafiltration (UF) treats the secondary wastewater effluent prior to the RO process (i.e. pre-treatment) in order to remove suspended and colloidal matter. However, a considerable amount of dissolved organic macromolecules remains in water once such macromolecules are smaller than the pore size of MF and UF membranes. These macromolecules also known as foulants, are composed by low to high molecular weight compounds including proteins, polysaccharides, amino-sugars, cell components, nucleic acids, humic and fulvic acids which have strong chemical and physical affinity to the polymeric membrane surface [8-12].

In membrane technology, the accumulation of foulants from the feedwater on the polymeric surface lead to progressive permeability loss (increased flow resistance) and consequently increased energy consumption. If this fouling is not controlled with cleaning, the process will be unsustainable [13]. Furthermore, interactions with contaminants on membrane surface may affect the ability to reject dissolved solids (salts), a feature which is dependent on the solution pH. The pH dictates the charge on the functional groups of the membrane and of the molecules in solution where species of ions are repelled and retained by electrostatic forces [14, 15]. The charge density, polarity and isoelectric points (IEP) of the membrane plays significant role in the component rejection due to electrostatic interactions. Thus, the control of surface charge of the membranes is also a parameter to be considered on the choice for suitable wastewater or management of wastewater conditions [16]. Therefore, the key goals in RO technology are to reduce energy consumption which is affected by fouling and increase solute rejection capabilities. Besides membrane surface charge, we will also see that this depends on morphology.

The control of fouling and therefore energy consumption in RO technology is widely studied field of research. Some researches address the issue by the adjustment of operational process such as water pre-treatment [17-19], membrane cleaning [20, 21] and operating conditions (e.g. pressure, temperature) [22-27]. Other approaches focus on membrane material improvements in order to produce more permeable membranes. The development of novel membrane materials mainly focus on the fabrication of anti-fouling and flux enhanced membranes [28-31]; another route includes the fabrication of

membranes followed by an *in situ* surface modification during the synthesis [32-34] and also improvements of their microporous support layer separately [35-39]. Other approaches investigate the development of post-treatments or surface modification of commercial membranes in order to alter the surface properties such as charge and roughness that can potentially reduce fouling across the surfaces [40-43]. The latter approach is studied in this thesis. A primary motivation to use commercial membranes is due to their high performance due to fabrication methods that manufacturers have developed over decades. Therefore, the desired functionalisation through surface modifications are achieved on high performance well established membranes. The main challenge is to perform surface modification techniques which are able to alter surface properties without compromising the performance integrity.

1.1 Challenges in the research

About 80% of the surface modifications applied in TFC membranes are performed through conventional chemical routes. Figure 1.1a shows a search on 63 consulted articles published from 1998 to 2016. Conventional routes have been investigated for about 10 years with 35% of research focused on surface grafting, 24% in surface coating and 21% in electrostatic depositions. On the other hand, alternative techniques are on the cutting-edge of trends within the past 5 years of research. About 9% of published articles explored plasma polymerization, followed by 6% with chemical vapour deposition (CVD), 5% plasma treatment with reactant gases and no reports related to gamma-rays induced grafting. Specifically for plasma polymerization, most of the works have investigated plasma under atmospheric plasma rather than low pressure plasma as presented in this study [44, 45]. From these publications, 36% cover biofouling, 22% chlorine resistance, 21% organic fouling, 9% flux enhancement followed by 11% distributed in other foulants such as humic acid, emulsions and alginates (Figure 1.1b).

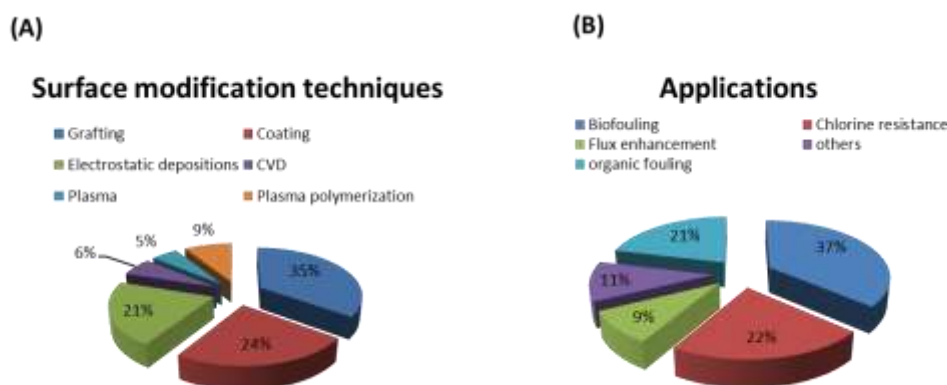


Figure 1.1: Literature survey obtained from articles published via Science Direct on TFC membrane surface modification research over the period of 1998 to 2016. A total of 63 publications found.

Conventional techniques such as surface grafting, coating and electrostatic depositions typically require a great amount of chemicals, multiple steps which can be environmentally unfriendly [6, 46]. In grafting techniques, it is required continuous monitoring of chemical initiators to induce covalent bonds in order to achieve high surface coverage of functional materials on the membranes [47]. In surface coating the adhesive interactions between polymer and monomer mostly depend on the choice of a suitable monomer to react with chemical groups present on the material [48-51]. Furthermore, modified surfaces may not be homogeneous and the control of surface charge with increasing density of the functionalities is a challenge. Also, the extra layers onto PA may increase hydraulic resistance and either decrease flux or require higher pressure to achieve a desired operational flux [52, 53]. Energy directed techniques such as plasma and irradiation-induced grafting, on the other hand, are one-step, rapid and efficient techniques. Such routes utilize plasma and gamma-rays radiation, as sources to induce polymerization grafting and homogeneously alter the surface chemical properties of polymeric materials [54-56].

Plasma-assisted techniques have been used for almost four decades across a range of different industries including microelectronics, biomedicine, packaging and more recently, membrane science [57-60]. Plasma technologies are versatile and environmentally friendly techniques which offer a rapid and controlled functionalization of thin-film materials, allowing for the simultaneous modification of surface energy and

morphology [61]. A great advantage of low pressure plasma is the high control of polymerization or functionalization thus providing a more uniform modification [59, 62]. Low pressure plasma is also applied in surface finishing vacuum depositions. Physical chemical deposition (PVD) and chemical vapor deposition (CVD) are emergent technologies in coatings industry. Some notable finishing companies such as Kohler have adopted vacuum coating in order to improve their products [63].

In the plasma technique, two different routes can be explored - surface treatment with reactant gas [64-66] and plasma polymerization with monomers [67]. In membrane technology, plasma treatment has been intensively studied over the last two decades in attempts to increase the hydrophilicity and reduce fouling in UF and MF membranes [68]. Although plasma technologies are well established, the understanding of the impact at the molecular level of plasma glows on materials chemistry and microstructure is not widely understood [69]. The majority of the research on plasma treatment of polymeric materials has been primarily focused on understanding surface texturation mechanisms [66, 70, 71]. A challenge in applying gas plasma treatments and plasma polymerization to TFC membranes is the difficulty to characterizing the precisely incorporated functionalities on surface in both routes since the functionalities of the modified surface can be unpredictably rearranged [67]. Also the impact of etching on thin-films and stability of the modified surfaces via gas plasma treatments is a challenge. Furthermore, the substrate has to be subjected to vacuum conditions during the plasma process and substrates must be dry since such condition is a prerequisite in vacuum depositions technologies. Usually membranes are washed as a pre-conditioning step prior to surface modifications in order to remove preservative materials introduced by the manufacturer. The process of drying and re-wetting RO membranes can cause capillary stresses across the pores of the membrane material and ultimately impact on liquid permeability [72-74].

Furthermore, surface modifications using gamma-rays do not however require the substrate to be dried. This up-scalable technique can be operated at atmospheric pressure and room temperature in bulk or confined environments, making of it a very suitable tool for fine modifications of polymeric materials [56, 75]. For irradiation-induced grafting the simultaneous method induces polymerization in one step with the substrate immersed in monomer solution. The degree of grafting is controlled by the monomer concentration, and irradiation dose. Furthermore, to the best of our

knowledge, the surface modification of TFC membrane materials via gamma-rays has not been investigated. Such technique however, has been applied for surface modification of UF and MF membranes [76] thus demonstrating its potential as a TFC membrane modification technique.

1.2 Summary and research aims

It may be seen that TFC membranes are well established materials for water desalination and wastewater treatment. However, further modifications of these materials, especially at the surface, are expected to lead to improved desalination technologies resulting in less fouling or better fouling recovery, the equivalent (or improved) salt rejecting capability and reduced flow resistance. Modifications involving conventional chemical routes tend to be time consuming and generate chemical waste. Furthermore, in terms of surface properties the control of surface charge and uniformity of the modified surface is a great challenge. In this regard, the versatility and advantages of both low pressure plasma and irradiation-induced grafting on TFC membranes has been demonstrated in this thesis. Resultant modifications were critically characterized at the micro, nano and molecular levels.

Using low pressure plasma and gamma-ray irradiation techniques, this work has aimed:

- To tune surface properties including morphology, chemistry and charge and improve or maintain membranes water flux and salt rejection in order to demonstrate the feasibility of both of these energy directed techniques on the ultrathin PA network layer;
- To attempt to explain the mechanisms and film formation processes involved in plasma and irradiation routes considering the inherent and undisclosed chemistry of commercial TFC membrane;
- To analyse the resultant physical aspects of the modified surfaces such as uniformity, thickness and degree of grafting in order to correlate these with membrane salt rejection and flux performance (for a given pressure); and
- To provide insights into grafted or modified surfaces as candidates for potential applications in desalination, or more generally in thin soft materials where tailored surfaces dictate their application performance.

1.3 Summary of the chapters

Chapter 2 is an in depth, critical, literature review of research into the specific chemical and physical surface characteristics of TFC and the research that has been carried out to date in relation to the different surface modification techniques that have been applied for improving their performance.

Chapter 3 describes the experimental setups used in this research from the plasma and gamma-ray irradiation processes to advance surface characterization techniques. The characterization techniques are divided by morphology analysis and chemical analysis. The morphology characterization techniques are scanning electron microscopy (SEM), atomic force microscopy (AFM). Thus, the chemical techniques includes contact angle, streaming potential, X-ray photoelectron spectroscopy (XPS), attenuated total reflection-Fourier transform infrared spectroscopy (ATR-FTIR) including Synchrotron mapping for thickness and uniformity analysis.

Chapter 4 shows results from the initial experiments explored plasma technique. A systematic investigation of modification with reactant gases critically analysed the impact of membrane surface properties with permeation performance. Also this Section provides insights for membrane conditioning prior to plasma treatment as well as the use of the same suitable carrier gas for subsequent plasma polymerization. This chapter provides the understanding of the conditions for working with plasma gas on TFC membranes.

The main characteristic of direct energy techniques is the uniform coverage accomplished by the energy sources to initiate polymerization.

In *Chapter 5*, the benefit of low pressure plasma was demonstrated by the precise control of the density of functional groups upon adjustments of the plasma conditions and the pre-conditioning impact on membranes. Synchrotron FTIR analysis investigated chemical distribution and estimated thickness of plasma polymerized coating. Refined experiments targeted improved desalination performance using membranes without pre-conditioning step. Plasma polymerization process utilized monomers such as 1-vinyl(imidazole) (VIM) and maleic anhydride (MA), in separated experiments, in order to demonstrate the feasibility of film formation onto PA surface. The range of probe monomers used in this work demonstrated the versatility of film formation onto PA surface with the effect of adding the desired surface charges promoted by the nature,

density and bond dissociation energies (BDE) of the functional groups introduced across the surface. These experiments were critically discussed in relation to desalination tests and characterization of surface properties.

In *Chapter 6* a higher energy source explored amine enrichment using VIM monomer with gamma-rays at the Australian Nuclear Science and Technology Organization (ANSTO). The impact of irradiation on the TFC membranes was investigated. Increasing the monomer concentration and the irradiation total doses were performed and correlated to the membrane performance and stability.

In *Chapter 7* the significant challenges for implementation of direct energy techniques and potential desalination applications of modified TFC membranes

A general conclusion is then proposed, highlighting the outcomes and drawbacks of this work as well as recommendations for future endeavours.

2. Literature review

In this study the aim is to explore the alternative routes to uniformly modify membrane surfaces with controllable density of functionalities and surface charge without declining the essential performance of the membranes. Plasma and gamma-rays are versatile surface modification techniques which are relatively easy to control and do not require large quantities of modification chemicals. This literature review studies the thin-film composite (TFC) membranes for reverse osmosis (RO) application, specifically expanding upon the limited surface property options by these direct energy plasma and gamma-ray techniques. It aims to investigate targeted surface properties in the field of surface modification, including the surface charge and morphology, while maintaining water permeable and salt rejecting membranes. An introduction of plasma and gamma-rays irradiation techniques including the specific chemistry and mechanisms involved is discussed. There is also an emphasis on working with pre-conditioning of the membranes prior to plasma treatment, the impact of reactant gases (helium, water and argon) and the effect of different plasma systems.

The application of monomers for both plasma and gamma-ray polymerization processes has also been explored to assess the beneficial features of polymerized films in regards to membrane performance. The review will set the direction of the work to be undertaken in this thesis.

2.1 The Application of TFC membranes in RO technology

2.1.1 A background of TFC membranes in RO technology

The development of membrane materials was an essential part of the practical implementation of RO technologies known today developed over two key time periods. Beginning in the 1960's through to the late 1980's involved the search for a suitable material in terms of chemical composition and membrane formation mechanism. Since the 1980's there has been a significant developments of fabrication conditions to enhance functionality and durability [6].

The first RO membrane material was developed by Samuel Yuster and two of his students: Sidney Loeb and Srinivasa Sourirajan in the 1960's. These were based on cellulose acetate (CA) materials. CA paved the way for RO application commercially, as it exhibits high water flux, good salt rejection and has great chlorine tolerance. Despite this, CA membranes do not fully meet the industrial requirements due to compaction problems and gradual flux decline. Other limitations include restricted operational conditions in terms of low range of pH, low resistance to organic solvents and vulnerability to biological attack [77, 78]. In the 1980's, Cadotte et.al [78] presented a major breakthrough in the advancement of membrane desalination materials developing the TFC membrane. This membrane was found to have a key advantage whereby a thin poly(amide) (PA) layer is formed on a porous support and the dense PA thin-film active layer can be separately optimized in order to achieve the best performance and membrane stability [79]. Further, TFC membranes are stable and may operate under diverse range of pH (pH 3 to 11) [78]. Also the TFC membranes exhibit superior hydrophilicity compared to CA essential for high water permeation. Furthermore, TFC membranes have better chemical stability when exposed to organic solvents, which can be interesting for surface modification proposes [78]. In terms of the global market, the PA membranes dominate RO/Nanofiltration (NF) market sales with a 91% share [80]. Asymmetric CA hollow fibre membranes hold a distant second spot. However, state of the art the chemistry and morphology of TFC membranes of the

PA layer still can facilitate the affinity with contaminants known as fouling. Therefore, new routes to further refine their properties are still needed.

2.1.2 Synthesis and structure of TFC membranes

As opposed to CA, the TFC RO membrane as shown in Figure 2.1 is a multilayer material composed of an extremely thin active (~ 100 - 200 nm) semi-dense layer of PA. This layer is deposited on top of a thick porous support substrate (e.g. poly(sulfone) (Psf)) coated on to a nonwoven (e.g. poly(ester) (PES)) fabric structure (Figure 2.1) [78]. The PA layer acts as a selective layer, while the Psf ultrafiltration (UF) layer simultaneously provides an anchoring point for the PA layer and a diffusion pathway for water molecules. The PES on the other hand, only provides flexibility and mechanical robustness. The chemistry of the active PA layer governs water transport and ion rejection via the membrane where permeated water is typically up to 99.5% rejected of dissolved salts and organic molecules. The high salt retention capability of PA TFC membranes is a combination of the material narrow free-volume distribution from 0.259 to 0.289 nm, corresponding to the spaces between macromolecular chains, and of surface electrostatic repulsions at the liquid/membrane interface [81, 82].

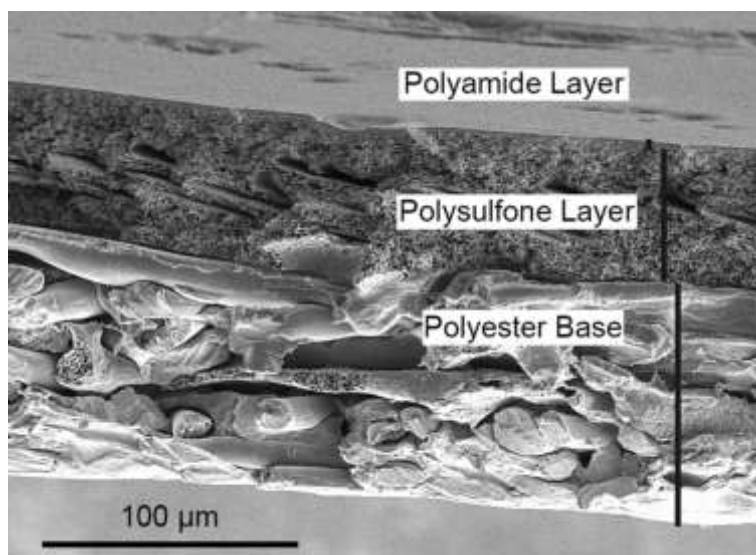


Figure 2.1: Cross-section of a TFC membrane [83].

The active PA layer is formed prepared by interfacial polymerization (IP) of two monomers at the interface between two non-miscible solvents [84, 85]. The resultant PA chemical composition is obtained from an IP manufacturing process whereby two mutually reactive monomers, typically diamine and acyl chloride based, are prepared in separate immiscible phases and are co-polymerized at the solvent interface. As shown in Figure 2.2 one monomer contributes to the high degree of crosslinking due to the presence of an acyl group within the molecule, which may undergo hydrolysis with unreacted amine groups within the second monomer. Diffusion of the diamine monomer across the film during IP towards the organic phase produces cross-linked protrusions which gives rise to a rough surface morphology [84]. In this regard, one side may present unreacted amine groups from acid chloride leaving hydrolysed carboxylic groups and the other side more amines as shown in Figure 2.2.

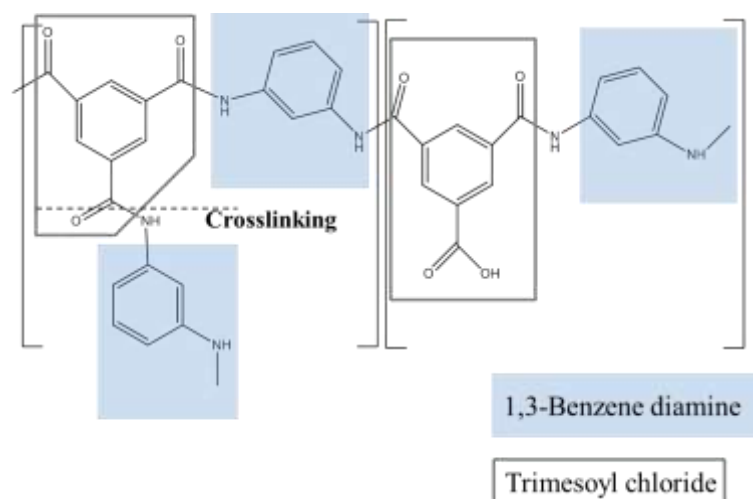


Figure 2.2: Chemical composition of TFC membranes. Modified from [86].

The final effect causes asymmetry with cation and anion-exchange regions in the material, resulting in a semi-hydrophilic and negatively charged material facing the feed solution. Thus the asymmetry of the cation and anion-exchange regions can also cause salt rejection and flux variations [87]. The IP process leads to the formation of a rough surface, with protuberances in the order of 100 to 200 nm, due to surface tension effects between the two non-miscible phases. The resultant morphology facilitates water

transport across the membrane by offering high actual surface area to the planar area [88]. Although such rough and negatively charged chemistries may facilitate water flux and repel negatively charged species, their presence may tend to physically adsorb contaminants and electrostatically attract positively charged species in solution such as, proteins and cationic surfactants [15, 89]. This can cause undesirable fouling effects, so more surface chemistry options are desired for PA membranes.

2.1.3 The surface properties of TFC membranes

The surface properties of the RO TFC membranes are of fundamental importance in order to produce high water quality. The physico-chemical properties of the PA layer essentially determine the performance (flux and rejection) of TFC membranes. Several studies have investigated the influence of the PA active layer and the Psf support on the performance of the RO membranes. It is believed that the membrane selectivity and flux are mainly controlled by the PA active layer while the porous Psf support assuming a minor influence [90, 91]. For instance, zeta potential (surface charge) has been correlated to the transport of some trace organic solutes through RO and NF membranes and also flux and fouling behavior [92, 93]. Other properties, such as the chemical composition and morphology of the PA layer, are also important to RO membrane performance [94]. Consequently, the understanding of physico-chemical properties of the PA layer is critical to control membrane fouling and specifically to control surface modification processes.

When modifying the surface chemistry of TFC membranes, the combination of a more permeable and defect-free modified surface is important to be considered. Some techniques such as grafting, coating and electrostatic depositions have shown to be promising to control fouling. However, within the surface modifications field, the mechanisms behind additional functional groups and/or additional coating layers on the PA surface and resultant performance are not adequately understood with some conflicting results reported in the literature. These conflicts are mainly related to the difficulties in the characterization of the modified PA layer since only the outer layers of the PA surface chemistry and morphology is considered. For these reason

investigations of surface modifications involving microfiltration (MF) and UF membranes are by far in more developed stages [95].

Furthermore, bulk PA properties and the extent of the modification within the PA layers has never been clarified from the results achieved with different techniques. Thus, only few studies such as in surface coating techniques may superficially touch the subject [34, 96]. Outside the surface modification field there are also ongoing debates to clarify salt and water transport such as solution diffusion mechanisms with the same challenge based on the inherent structure of the nanoscale PA material. For instance synthesis of a thicker PA layer above 500 nm (Figure 2.3) enabled to assess bulk polymer properties including surface charge of the PA side facing support layer (e.g. Psf) in order to develop good models of salt and water transport [84]. For instance, most of the models for salt transport assume that the membrane charge is uniform throughout the bulk of the active layer [97]. However, this assumption may not be validated since only the charge on the top surface can be measured [84].

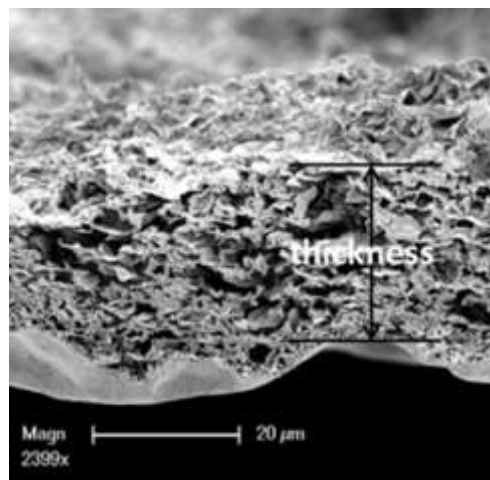


Figure 2.3: SEM cross-section of a fabricated thick PA layer (>500 nm). Modified from [84].

2.1.3.1 Surface morphology of the TFC membranes

As mentioned above, the surface morphology of TFC membranes plays a significant role in terms of water flux and rejection of uncharged contaminants [91, 94, 98]. The rough morphology which yields high surface area has inspired researchers into optimising surface morphology. A study on the skin layers of PA TFC membranes reported an approximate linear relationship between surface roughness and water flux with tests conducted at 15 bar and 1,500 ppm NaCl solution [91]. In this study, various membranes were synthesized with different degrees of average roughness (from ~ 20 nm to 100 nm) measured by AFM (atomic force microscopy) analysis. The linear relationship was attributed to the high surface area of the irregular skin layer. On the other hand, a similar investigation using different commercial RO membranes did not find correlations between roughness and flux once membranes with similar roughness values exhibited different flux and vice versa [88]. However, this investigation concluded that RO membrane surfaces are not homogeneous and AFM measurements resulted in up to 33% variation in surface roughness. Also, AFM conducted in water showed roughness increased by 35% after 2 h of soaking in water. Therefore, the surface morphology of TFC RO membranes is subject to variations depending on the manufacturer and also exposure of the membranes to different environments.

Furthermore, the influence of PA film thickness in liquid transport has also been studied. An investigation of membrane synthesis showed an outstanding permeance with organic solvent for membranes with thickness is below 100 nm [99]. Also an investigation of the nano-voids (Figure 2.4) of commercial TFC membranes concluded that the presence of the voids and free volume increases the effective water transport of the active layers compared with an active layer without any voids [100].

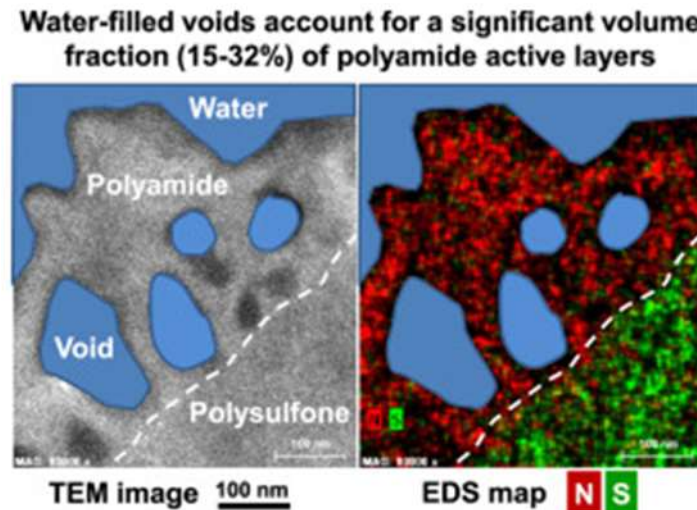


Figure 2.4: TEM image of the nano-voids (~20-60 nm) which size and distribution can influence on the water transport across the PA structure [100].

While the measurement of roughness under exposure to water is a more challenging setup, clearly roughness measurements are a critical aspect of understanding functional properties of modified TFC membranes providing potential application and should be included as part of investigations in this thesis. However, not only that but the chemical interactions with the membrane surface must also be assessed in this work.

2.1.3.2 Surface charge of TFC membranes

The high salt retention capability of PA TFC membranes is a combination of the material narrow free-volume distribution, corresponding to the spaces between macromolecular chains, and of surface electrostatic repulsions at the liquid/membrane interface [81, 82]. Electrostatic interactions are directly related to charge effects from the influence of polar surface groups present on the membrane material leading to a Donnan exclusion layer [101]. This pH dependant layer acts as a selective ionic barrier allowing for rejection based on the charge, valence and size of ions, repelled from the surface of the membrane by electrostatic interactions. Most studies on electrostatic interactions have reported an increase in repulsion between the negatively charged

membrane and the negatively charged organic solute [93]. Such negatively charged chemistries may however favour adsorption of positively charged proteins, cationic surfactants, solvent molecules or ions due to preferential electrostatic interactions, which may physisorb onto the surface. These adsorption mechanisms, typically referred to as fouling or scaling depending on the nature of the contaminants, would lead to permeation decline and to pH dependent salt rejection capabilities [14, 15].

In surface modification most of the works have focused to functionalize the surfaces and induce negative charges. However, in this area little research has focused on the adjustment of the RO membrane surface charge to improve its anti-fouling. Also no commercial positively charged aromatic PA RO membrane is available which could enlarge RO membranes application. Positively charged PA membranes are currently most relevant to NF membranes such as mining [16], pharmaceutical [102], agriculture [103], textile effluents [104] where water must be recovered from highly concentrated and charged contaminants streams [16, 105]. Therefore, NF membranes is known as a preferable wastewater treatment in specific industries (e.g. mining) over TFC RO membranes once NF membranes provides higher flux at lower pressure with operational costs 30% lower than RO membranes [16, 106].

In this thesis we propose possibilities for rapid surface modification processes with plasma and gamma-rays in order to alter the surface charge in two opposite route directions accordingly to the nature of the functional groups used: amines (1-vinyl (imidazole) -VIM) and carboxylic groups (maleic anhydride -MA). More details are given in the Section 2.3.

2.2 Conventional wet chemistry techniques for surface modification and main targets

The majority of surface modifications techniques applied in TFC membranes are surface grafting, surface coating and electrostatic depositions. These chemical techniques are mainly targeted at improving water flux especially when exposed to water borne fouling material. This review will focus on the surface grafting and coating techniques (summarized in Table 2.1) since these routes also react grafting and

polymerization of monomers to functionalise the surface. The gas plasma treatment is a unique surface modification which only uses small quantities of gases or water as compared to chemical conditioning techniques, and therefore the most important effects to TFC surface properties will be assessed.

2.2.1 Surface grafting

Surface grafting and polymerization is achieved through an activation of the treated surface in order to generate and immobilize initiators (free-radicals) to initiate the reaction [47]. The chemical initiators therefore propagate the polymerization reaction leading to a formation of a highly cross-linked chain network. The density of crosslinking changes the physical and chemical properties of the materials and is harnessed to increase thermal stability, resistance to solvents and enhance mechanical strength [47]. Also, crosslinking may change the local molecular packing and alter the free-volume [107]. As free-volume is one of important features to the permeation of water in TFC membranes, clearly any modification technique that promotes crosslinking should be assessed against water permeation studies to ensure that the essential water flux element of the membranes is not lost.

Across the surface of TFC membranes, redox reactions and UV are utilised to generate free-radicals and chain propagation [108]. A redox system for surface modification of TFC membranes was achieved by grafting vinyl hydrophilic groups [40, 52, 108-112]. Chemical initiators were composed of potassium persulfate and potassium metabisulphite and used to cleave the hydrogen atom from the polymeric substrate, which in turn produced free-radicals across the surface [6]. Grafting with UV - initiation step is produced by a photochemical reaction in the presence of photo initiators where competitive mechanisms of crosslinking and chain scissions are controlled by the UV wavelength [113].

Various hydrophilic monomers including acrylic acid (AA), methacrylic acid (MA), poly(ethylene)glycol methacrylate (PEGMA), 3-sulfopropyl methacrylate (SPM), vinyl sulfonic acid (VSA) and 2-acrylamido-2-methylpropane-sulfonic acid (AMPS) were used for surface modification of PA layers [40, 114]. For instance, grafting of AA

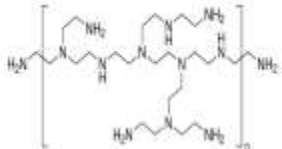
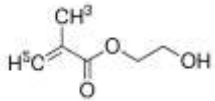
covalently bonded to Poly(N-isopropylacrylamide) backbone, showed reduced contact angle with increasing grafting process duration from $\sim 52^\circ$ (control) to 39° after 120 min of grafting duration [115]. The negative zeta potentials at pH 7 were increased from -38 (control) to -50 mV after 120 min of grafting duration which was attributed to the increased grafting yield of 1%. The surface roughness tended to be increased with increasing duration of the grafting process. The water flux was declined by 25% and rejection slightly increased to from 97.5 to 99%. These results in performance suggest that increasing the grafting yield onto PA would lead to more flux decline. Other work has utilized similar redox routes for grafting amino methacrylate based monomers across the surface of the membranes [116]. The whole grafting process was completed after 48 h and required a further step consisting of a surface quaternization reaction with 3-bromopropionic acid (3-BPA) to obtain zwitterionic carboxybetaine methacrylate (CBMA) polymer chains on the membrane surface. At pH 7 the surface charge was altered from a negative value of -40 mV to a maximum positive value of + 22.5 mV. Interestingly, the water contact angle and roughness values were not significantly altered. The water flux was increased by 22% with salt rejection slightly reduced from 98 to 97%. The permeation tests with lysozyme and negatively charged bovine serum albumin (BSA) as model foulants showed flux recovery around 92% and 95% respectively, compared to 74% and 70% observed for the control membrane after 5 h of fouling and cleaning with deionized water for 30 min. Another study investigated the impact of grafting yield to modified TFC membranes with grafting of a variety of methacrylate based hydrophilic monomers [111]. The polymerization was carried out with initiators and cross-linker agent. The kinetic of homopolymerization was controlled by measuring the solutions turbidity. The increase of grafting yield and subsequent homopolymerization led to decreased water flux by 40%. However, the salt rejection was slightly declined around 97-96%. In terms of membrane morphology, roughness rapidly increased from 80 (control) to 100-110 nm at low and medium grafting yield with little variations at high grafting yield.

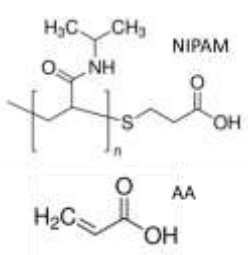
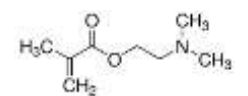
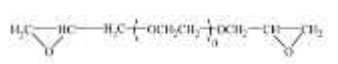
As shown most of the surface modification works have focused on functionalization of the surfaces to induce negative charges. However, recent study investigated surface grafting of amine based monomers on commercial RO membranes. In this study the

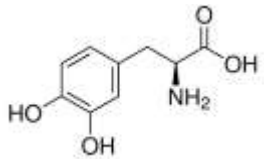
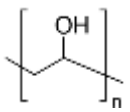
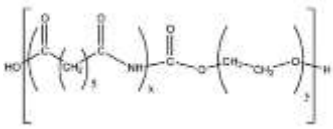
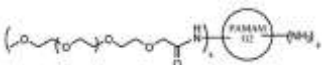
grafting was performed using different poly(ethylenimine) (PEI) monomer at different molecular weight (MW) from 600 to 70,000 [102]. After the grafting the zeta-potential curve shifted toward positive charge, giving rise to an increasing isoelectric point at pH 8 achieved with grafting of high molecular weight PEI. The benefits of positively charged surfaces were demonstrated and shown to lead to reduced protein deposition at pH 7, compared to reference materials therefore opening new avenues for the design of fouling resistant materials. However, significant enhancement of the positive charges was only achieved when using 10,000 and 70,000 MW PEI which resulted in flux declined by ~20% while salt rejection was maintained at 98%.

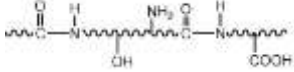
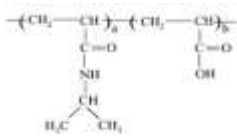
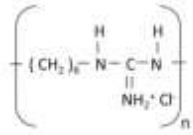
Therefore modified TFC membrane surfaces therefore play an important role in improving fouling tolerance, which is an important feature for widening their market applicability. Grafting technique was shown to lead to an improved flux during organic fouling tests carried out under operating conditions, due to repulsive interfacial interactions by grafted functionalities [117]. However, the efficiency of the grafting route mostly depends on the choice of a suitable monomer to react with chemical groups present on the substrate [48]. That is why it will always be important to assess the critical flux and salt rejection properties, and only consider most optimal modifications that have minimally impacted, or not impacted, these important parameters. It may be possible that the modifications may improve flux and salt rejection, which would be both a valuable practical outcome and interesting from a scientific perspective, should be further investigated.

Table 2.1: Surface modification of TFC membranes via chemical routes with summarized flux, salt rejection and surface properties.

Surface Modification technique	Chemical structure	Application	Flux ($\text{L.m}^{-2}.\text{h}^{-1}$) ^a	Salt Rejection (%) ^a	Water Contact Angle (°)	Surface Charge - IEP (pH)	Roughness RMS (nm)
Unmodified BW30 TFC RO membrane used in this work [171]			30-40	99 to 98	~45	Negative charge from pH 3 to 8	~60
Grafting of carbodiimide-induced grafting with poly(ethylenimine) PEI [102]		Protein (lysozyme) and cationic surfactant fouling	20% drop compared to control	Untaltered ~98	70 (control) to ~20 (modified)	IEP at pH 3.5 (control) and IEP at pH 8 (modified)	Practically unaltered ~30
Grafting of methacrylate based monomers [111]		Improved performance, investigation of grafting kinetics	40% drop compared to control	96 (control) to 97.5 (modified)	50 (control) to ~65 (modified)	–	80 (control) to 110 (modified)

Grafting of N-isopropyl acrylamide (NIPAM) followed by acrylic acid (AA) [115]		Protein and chlorine resistance	NIPAM: ~0.5% increase compared to control and NIPAM-AA: up to 25% drop compared to control ^c	NIPAM: 98 (control) to 97 (modified) and NIPAM-AA: 97 (control) to 99 (modified)	NIPAM: 60 (control) to ~50 (modified) and NIPAM-AA: 52 (control) to 39 (modified)	NIPAM and NIPAM-AA: Negative charge from pH 3 to 8 for both control and modified	NIPAM-AA: From 102 (control) to 109 (modified)
Grafting of N,N'-dimethylaminoethyl methacrylate zwitterionic polymer [116]		Biofouling	22% increase compared to control	~98 (control) to 98-97 (modified)	38 (control) to ~42 (modified)	Negative charge from pH 3 to 8 (control) and IEP at pH 7 (modified)	Practically unaltered ~61
Grafting of poly(ethylene glycol) (PEG) diglycidyl ether [180]		Surfactants and emulsions fouling	15-30% drop compared to control	Untaltered ~99	—	Negative charge from pH 3 to 8	55 (control) to 77 (modified)

Coating of positively charged amino zwitterionic polymers [123]		Protein fouling	Practically unaltered compared to control	~98 (control) to 98- 97 (modified)	55 (control) to 15 (modified)	Practically unaltered with IPE at 3.5 for both control and modified	-
Coating of PVA [96]		Protein fouling	5-75% drop compared to control	Unaltered ~99	48 (control) to 30 (modified)	Negative charge from pH 3 to 8 (control) and IEP at 4 and 5(modified)	86 (control) to 46 (modified)
Coating of polyether–polyamide PEBAX [34]		Oil/surfactant /water emulsion fouling	29-80% drop compared to control ^b	~97 (control) to 97 -99 (modified) _a	~ 60 for control and modified	—	129 (control) to 99 (modified)
Coating of PEG terminated poly(amido amine) dendrimers [49]		Protein and biofouling	Unaltered	99 (control) to 98 (modified)	60 (control) to 18 (modified)	—	—

Coating of sericin polymer [50]		Protein fouling	22% drop compared to control ^c	97 (control) to 98 (modified)	68 (control) to ~41 (modified)	Negative from pH 3 to 8 (control) and IPE at pH 4 (modified)	87 (control) to 52 (modified)
Coating of N-isopropylacrylamide-co-acrylic acid copolymers [51]		Protein fouling	10 to 17% drop compared to control ^c	Lowered by 22-35%	~73 (control) to ~54-45 (modified)	Unaltered	97 (control) to 89-111 (modified)
PVA treated with poly(hexamethylene guanidine) hydrochloride (PHMG) polymer [122]		Biofouling	45 to 78 % drop compared to control	97 (control) to 92 (modified)	~53 (control) to ~15 (modified)	-	68 (control) to 42 (modified)

a Flux was determined using 1500-2000 mg/L, NaCl solution 15 bar; temperature ~25 °C, pH ~6.

b Flux was determined using distilled water; 11.3 bar ; temperature ~21 °C pH ~6.

c Flux was determined using 500 mg/L NaCl solution; 5 bar; temperature ~25 °C, pH ~6

2.2.2 Surface coating

Surface coatings are a route to explore covalent immobilization of large macromolecules containing a number of specific functionalities [47, 118]. Surface coatings may act as protective layers to reduce or eliminate the adsorption and deposition of foulants onto the surface of membranes. Typically, surface coatings involve two steps, including: i) immersion of the PA active surface layer in a coating/polymer solution, and ii) evaporation of residual solvent to form the coating layer. One important example was the launching of Hydranautics LFC series in 1996, and the introduction of the LFC3-LD in 2005 both targeting applications in wastewater treatment/reclamation [119]. These membranes are designed to minimise the adsorption of organic foulants.

Furthermore, within the conventional chemical methods surface coatings appears to have more control of the modification processes and functionalities on the surface since the synthesised coating polymers are characterized separately. Most of the works in surface coating have investigated hydrophilic coatings such as poly(vinyl)alcohol (PVA) [96, 120-122]. Nevertheless, these investigations are in more advanced stages in UF and pervaporation (PV) since these membranes are less affected by the high densities of coating than RO membranes, caused by the casting of macromolecular polymeric chains. For instance, a systematic investigation of the coating processes was performed recently on RO membranes [96]. In this work PVA was casted under controlled curing conditions including relative humidity and temperature. Also the swelling ratio tests, gel content tests were performed on the PVA membranes in order to assess degrees of crosslinking. The practical crosslinking degree was calculated based on the ratio of peak heights such as O-H and C-H (2941 cm^{-1}) in the FTIR analysis which was correlated to the molecular weight of the PVA units and crosslinking agent. The coated membrane showed reduced contact angle and roughness with increasing coating solution concentration from 48° (control) to $\sim 30^\circ$ and from 67.6 (control) to 42 nm respectively. The water flux was shown to be varied and declined by 5 to 75% with increasing coating solution while the salt rejection was slightly declined from 99.5 to $\sim 98.5\%$. The surface charge tended to be neutral with increasing coating solution and the maximum negative charge reached only $\sim -24\text{ mV}$ at pH 7 and IEP found around pH

5. After two filtration and rinsing cycles, coated membranes exhibited water flux recoveries of ~83% which was significantly higher than that of 72% for the control RO membranes. The efficiency of the coating membranes during fouling tests was attributed to higher degree of coating coverage but no conclusions around the membrane chemistry. Similarly, in another study, PVA but modified with antimicrobial cationic poly(hexamethylene guanidine) hydrochloride (PHMG) polymer was coated onto TFC membranes [122]. The water flux was also shown to be severely declined (by 45 to 78%) and salt rejection strongly compromised from 97% (control) to 92% modified membranes. However, the coated surfaces led to physiochemical anti-adhesion surface properties with significant reduced (~50%) number of *E.coli* cells attached on modified membranes. Also, high densities of coating layers severely impacted water permeation [34]. The coating of polyether–polyamide (PEBAX® 1657) solution onto commercial TFC RO membranes led to dramatic flux decline by 29 to 80% however, salt rejection was slightly increased from 97 to 99%. The flux decline was attributed to the density of the polyether-polyamide block copolymer in which thickness of the PEBAX layer estimated from SEM micrographs was approximately 0.3 μm . On the other hand, water flux and salt rejection was minimally impacted after surface coating of amino acid 3-(3,4-dihydroxyphenyl)-l-alanine (l-DOPA) onto commercial TFC RO membranes [123]. The surface morphology properties were not fully discussed but roughness was potentially slightly altered, since most of the surface coatings tend to smoothen and reduce surface area to volume (Table 2.1). On the other hand, the hydrophilicity was dramatically enhanced with water contact angle reduced by 70% which potentially contributed to higher recovery ratios during protein fouling tests compared to the control membrane. Similarly, another investigation using amino based polymer for surface coating of commercial TFC membranes showed to minimally affect flux and salt rejection [49]. The coating of amine-functional polyamidoamine (PAMAM) dendrimers and PAMAM–polyethylene glycol (PAMAM–PEG) potentially delivered thin coating layers due to the molecular structural arrangement of the three-dimensional dendrimers. In terms of hydrophilicity, water contact angle was significantly reduced by 70% and roughness was slightly smoothed according to SEM micrographs analysis.

The decades of effort already made by commercial membrane suppliers to improve resilience in water treatment applications is therefore a critical starting point to further improvements. That is why this work will focus on modification of commercial membranes where any improvements/changes to their features will more likely be forward step in building on the state of the art.

2.3 Direct energy techniques to modify TFC membranes

Direct energy techniques, i.e. plasma and gamma-rays irradiation, can be used as sources of energy to directly initiate reactions across the treated substrate rapidly modifying the surface. Plasma and gamma-ray irradiation generate free-radicals and excited species that propagate bond scissions and polymerization processes without monitoring of chemical initiators. Plasma and radiation polymerization are comparable at the level of the empirical polymer formation process. In polymerization via plasma, the collisions of excited species initiates propagation of polymer chains in different phases (vapour and solid), while in radiation polymerization the monomer propagation occurs in the same phase i.e. liquid [124]. In this review we covered the surface modifications via plasma technology including gas plasma, plasma polymerization and polymerization /grafting processes via gamma-rays irradiation.

2.3.1 Plasma technology

The term plasma can be described as the partially-ionized gas produced when a neutral gas is heated until interatomic collisions gain high energy states enough to detach electrons from atoms [125]. The resultant plasma environment is composed of ions, electrons, UV emissions, neutral atoms and is also referred as *glow discharge*. Plasma was first described as the fourth state of matter by Crookes in 1879 in his studies of electrified gases in vacuum tubes. However, it was not universally accepted until 1928 when the term *plasma* was finally introduced by Irving Langmuir [126]. In the laboratory, plasma can be generated by flames, lasers, controlled nuclear reactions and shocks. Most experimental work, especially in the field of plasma treatment with non-polymerizable gases or in polymerization processes, is carried out using an electrical

discharge [127]. There are many industrial applications for plasma including: durable coatings in precise optic materials and consumer eyewear, reproducible adhesion of metals coatings in semiconductors, surface modification and texturing to amplify surface work functions of sensors and displays. In the medical field, plasma is used to produce corrosion resistant organic coatings which exhibit designable biological activity [128].

2.3.1.1 Plasma generators

Plasma generated by an electric field is known as low temperature or cold plasma and occurs where there is a flow of discharges across the electrodes such as direct current (DC), alternate current (AC) and radio frequency (RF). In DC discharge, electrons are generated by positive ion bombardment from the cathode. The intense acceleration of electrons away from the cathode leads to an energy gain and promotes collisions ionizing gas molecules or atoms [61]. In AC discharge, the frequency plays a key role on the collision mechanisms. The mechanisms of low frequency plasmas, such as 50-Hz, are similar to the DC method but with alternating electrons generated by changes in the polarity of the electrodes. AC systems at high frequencies (>500-Hz) lead to short alternating half-cycles causing low mobility of positive ions. This effect reduces the loss of charged particles across the system and forces the electrons and ions to collide within the body of the plasma. In RF discharge (11.56 MHz), the plasma is initiated and maintained with external electrodes and the glow therefore has no direct contact with the electrodes. Electron - electron collisions are generated by waves which are continuous or pulsed. The pulsed waves are less likely to damage the treated substrate which suits the synthesis or modification of thin-films as investigated in this work [129]. The RF system is particularly well suited for etching and surface cleaning of thin material structures using only gas or polymerization of monomers due to excellent uniformity of the resulting treatment without the presence of hot arcs, which can burn matter into holes [130].

2.3.1.2 Gas plasma treatments in membrane technology

Plasma technologies offer advanced platforms for a rapid functionalization of thin-film materials, allowing for the simultaneous tuning of surface energy and morphology [61]. Plasma treatment routes are versatile and environmentally friendly techniques which only utilize gas as a reactant to rapidly and uniformly modify surfaces [131]. Such techniques have been successfully used for almost four decades to treat bulk polymers and have been applied across a range of industries, including microelectronics, biomedicine, packaging and, more recently, membrane science [57-60].

To date, the potential impact of plasma glows for the durable modification of TFC membranes has not been extensively studied [79, 132]. The nanoscale capillary networks, known as free-volume, is composed by dense porosity across the active layer of TFC membranes with ultra-thin thickness of the coverage around 100-200 nm. Such configuration makes TFC membranes more vulnerable to surface reactions than MF or UF membranes which have much thicker selective layers and are porous. For this reason plasma treatments have been extensively applied across a range of macro-porous membrane materials used for MF or UF. On the other hand, plasma treatment was performed on different commercial TFC NF membranes with ammonia as reactant gas in order to increase hydrophilicity and reduce protein and humic acid fouling [133]. In this study the treated surface showed a tendency to become rougher (only analyzed by SEM) and water contact angle was decreased by 35.7 to 78% depending on the brand of the commercial membranes. Also in this study, the effect of ammonia plasma was shown to be more pronounced on rough and hydrophobic membranes than on smooth hydrophilic. Therefore, the altered surface properties may also depend on the nature of the treated surface.

In other studies where plasma treatment of UF and MF membranes has been applied they have shown improved performance and anti-fouling properties. A range of reactant gases have been used including inert (He, Ar), oxidative (CO_2 , H_2O) and reductive gases (CF_3Cl , CF_2 , NH_3) [66, 95, 134-136]. One study highlighted applied water plasma to modify the surface of polycarbonate and polyethylene terephthalate aiming to permanently increase hydrophilicity of the membranes [137]. The result was a reduction

in contact angle with increasing duration (after 2 min to 30 min) from 97° to 38° . The samples exhibited a slight hydrophobic recovery as they aged, with the contact angle of the upstream side increasing by 17% after 1 month. X-ray photoelectron spectroscopy (XPS) and scanning electron microscopy (SEM) analysis revealed increased oxygen incorporation onto the surface of the membranes without any damage to the surface. Another water plasma study investigated whether significant chemical and morphology alterations on PES, Psf and poly(ethelene) (PE) membranes could be achieved [138]. The results were that the pores of the membranes were significantly affected and tended to be more opened and water flux was increased by $\sim 23\%$ compared to the control membranes. However, in this study the fouling tests were not performed and selectivity with contaminants was not reported. Meanwhile, hydrophilicity of all modified membranes tended to be decreased with increasing duration from 2 to 9 min by 60° . Also, it was demonstrated that the extent and stability of hydrophilic modification of polymeric membranes was dependent on the plasma mechanisms, incorporation of oxygen species and the degree of penetration of these species through the porous structure. Therefore, water plasma appears to promote hydrophilicity which is an important feature for water transport however, the depth of the modification may penetrate within the treated material and may physically change molecular conformation.

Surface treatments with inert gases, such as helium, have also been applied but impact surfaces significantly less. A study on the impact of helium plasma on PES showed that helium alone promoted surface roughening with increasing duration of the treatment [139]. The pure water flux however, was not significantly altered and the real impact of the plasma treatment on the pure water flux was not conclusive since a large variation of the commercial control membrane was reported to be varied from 45 to 70 $\text{L}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$. However, the surface roughness showed a significant increase by ~ 3 times compared to the control membrane and variations in flux were attributed to the altered morphology. The water contact angle was also decreased by $\sim 55\%$ with increasing treatment time and the hydrophilic surfaces showed good stability for at least 3 weeks especially when kept in water. The treated membranes provided good anti-fouling properties. The pure water flux values were 25% higher and the flux ratios after protein fouling were 73%

higher after plasma treatment. Also, cleaning with water and caustic solution was more effective for the plasma treated membranes. However, the bovine serum albumin (BSA) concentrate concentration was 20% lower which was attributed to potential defects caused by plasma. Although defects in the morphology occurred, the significant increase of hydrophilicity promoted by plasma was shown to be essential to create an anti-adherent surface. Furthermore, argon plasma treatment of PES membranes, showed decreased contact angles but with low stability. Also, SEMs showed no signs of degradation and the surface was quite uniform.

Therefore, gas plasma treatments are a cost effective reactant for tuning the membrane surface morphology and grafting favorable bonding functionality for subsequent processing. Comparing between pure gases and mixtures of inert gases demonstrated an ability to increase hydrophilicity and consequential water flux of UF and MF membranes [135, 140]. These treatments led to a formation of polar groups on the surface and increased openness of the pores due to oxidative processes. Such oxidative reactions directly improved the surface hydrophilicity which was detectable by reduced contact and increased oxygen element species by XPS analysis. However, such dramatic alterations are facilitated by the simplicity of the nature of the substrates that was mainly composed of C-C and C-H bonding. Unlike the Psf, poly(vinylidene fluoride) (PVDF) or poly(ethersulfone) (PES) membrane polymers used to fabricate UF membranes, the PA used to fabricate RO membranes, is highly complex and already has polar groups [61, 127]. Many plasma parameters must be considered when comparing treatments such as excitation power, pressure and duration. However, the nature of the plasma has shown to play a significant role on the surface properties of the modified surfaces.

The combination of chemical and physical alterations across these membranes may therefore simultaneously affect the surface morphology and chemical microstructure of the material, which translates to performance changes in water transport and salt rejection [141]. Therefore, plasma conditions must be determined in order to avoid damaging the treated thin-film surfaces to achieve higher flux whilst maintaining selectivity.

2.3.1.3 Plasma mechanisms

Plasma glows are complex environments, where ionized species, elementary particles and ultra-violet (UV) radiation are simultaneously generated and co-exist. They are able to initiate and promote reconfiguration of chemical bonds as well as inducing bond scission [142]. These activated species may react with the molecular structure of the surface layer through a number of reaction mechanisms. Surface etching is a well reported consequence of plasma treatments [143] that results in morphological changes, such as roughening or smoothing, promoted by chain scission and re-deposition. Chain scission may lead to the removal of near surface materials, which may be further rearranged, vaporized and/or re-deposited onto the substrate [58]. Such chemical changes may lead to high surface densities of functional groups across the outermost surface regions [127] and/or to the formation of highly cross-linked, nanoscale thick layers [144, 145]. Furthermore, the outcome of the treatment may vary according to the nature of the substrate and reactant gas in association with the plasma parameters chosen - which include excitation power, pressure and treatment duration. Therefore these are the main parameters by which plasma treatment can be optimized for TFC membrane modification.

In comparison, competitive plasma surface reactions arise from the etching processes, which can lead to differing degrees of surface functionalization and roughening [43]. If plasma conditions are intensified, a net re-deposition of vaporized materials can result in the surface becoming smoother by crosslinking and free-volume densification [31]. Chemical effects from etching, in conjunction with re-deposited, vaporized materials, may also occur as a result of high excitation power and long exposure time, which leads to reduced surface roughness. Oxygen containing gases can especially promote aromatic rings scissions [45, 46] and lead to severe PA degradation due to potential polymer backbone bond scissions [47].

2.3.1.4 Plasma polymerization

Plasma polymerization is a rapidly developing surface modification technique that has been used for almost four decades across a broad range of industries [61, 146, 147]. Polymerization of monomers is induced by plasma-generated free-radicals formed in a plasma chamber and on the material's surface [124]. This technique allows the surface deposition of a controlled, stable and uniform thin-film which is independent of monomer and substrate reactivity. The advantage of a low frequency AC system is a higher control of deposited chemical structures since the monomer molecules are not completely degraded during the polymerization processes. This pseudo-stability is due to low to the use of a carrier gas (i.e. inert gas) used to generate plasma in polymerization processes [62]. A challenge of applying plasma polymerization to TFC membranes is the difficulty of characterizing the plasma polymerized coatings, since the functionalities of the polymerized surface can be unpredictably rearranged [67, 124]. The ultrathin films may also possibly be compromised by the etching even at lower intensities [61]. Another challenge may be related to the fact that AC plasma systems require very low pressures as mentioned in Chapter 1.

2.3.1.5 Plasma polymerization in membrane technology

Plasma polymerization for surface modification of TFC RO, NF and other membranes (i.e. MF, UF) involves the use of RF plasma generator the most commonly available to induce grafting [95]. However this plasma generator have shown to induce harsh conditions which can result in degradation of both substrate and monomer molecules besides a high degree of branching and crosslinking [62]. For this reason plasma has not been extensively explored in TFC membranes. When working with RF plasma system on TFC membranes the etching is a common issue that can easily damage the PA layer. For this reason in some application with RF plasmas, additional steps are inserted prior to the plasma polymerization process. Another issue may be related to the integrity of the monomer used since RF can easily destroy the molecules before it can be grafted on surface [62]. A study on plasma polymerization of NF membranes performed the

modification in these steps: initially NF membranes were functionalized with particular desired hydrophilic monomer prior to the plasma treatment. The second step performed treatment with argon and hydrogen gas plasmas in order to induce crosslinking and then finally amine functionalities were grafted with ammonia gas plasma in order to create an amine rich surface. The modified NF membranes showed good retentions with charged contaminants such as methylene blue (positively charged) which was 100% retained. Other investigations performed plasma polymerization using atmospheric conditions on TFC surfaces. Another study performed polymerization of PEG monomer onto commercial TFC membrane in order to reduce organic fouling [71]. In this study, RF plasma generator was also used at different polymerization duration (10, 15, 30, 60 and 120 s). The modified membranes exhibited reduced contact angle by 78% which contributed to improve organic fouling resistance. During organic fouling tests the flux was recovered up to 99% after cleaning with only water. However, water flux with saline solution was reduced by 10-15% with increasing duration of the polymerization process. Some chemical changes were observed with increased OH groups on surface however, the control of the grafting/polymerization chemistry was not conclusive. The AC plasma system may therefore offer a possibility to reduce surface etching on thin-films and in one single step is possible to work with longer durations which enable polymerization processes to induce high degree of functionalization and controlled chemical structures [59, 62]. Table 2.2 shows summarized surface properties after plasma modifications of TFC membranes. The published works in this thesis are discussed in Chapter 4 [148, 149] and 5 [59].

Table 2.2: Surface modification of TFC membranes via plasma with summarized flux, salt rejection and surface properties.

Plasma Treatment	Plasma Conditions (excitation power; treatment duration)	Application	Flux (L.m ⁻² .h ⁻¹) ^d	Salt Rejection (%)	Water Contact Angle (°)	Surface Charge - IEP (pH)	Roughness RMS (nm)
Unmodified BW30 TFC RO membrane used in this work [171]			30-44	98-99	~45	Negative charge from pH 3 to 8	~60
Gas plasma: Ammonia [133] *NF TFC membrane	10–90 W; 1 to 10 min ^a	Protein and humic acid fouling	Tended to decline with increasing power	Tended to slightly increase with increasing power	60 (control) to < 27 (modified)	Negative charge from pH 3 to 8 for both control and modified	129, 73 (controls) to 100 and 51 (modified)
Gas plasma: Water [148] ^c	10 and 80 W; 1, 2 and 5 min ^a	Improved performance, investigation of plasma mechanisms	Declined by >50% compared to control	98 (control) to 98-84% (80W)	47 (control) to ~11(modified-10 W) ~20 (modified - 80W)	Negative charge from pH 3 to 8 for both control and modified	63 (control) to 43 (10 W), ~36 (80 W)
Gas plasma: Helium [148] ^c	10 and 80 W; 1, 2 and 5 min ^a	Improved performance, investigation of plasma mechanisms	Increased by ~60% (modified 10W) and declined >50% (modified 80 W) compared to control	Maintained ~98	47 (control) to ~10 (modified-10W and 80W)	Negative charge from pH 3 to 8 for both control and modified	63 (control) to 70 (10 W), ~40 (80 W)

Gas plasma: Argon [149] ^c	10, 50 and 80 W; 1, 5, 15 and 30 min ^a	Improved performance, investigation of plasma mechanisms	Increased by 22% (10-50 W) and dropped with increasing power density by 78% (80 W;30 min) compared to control	98 (control) to 97 (10-50 W; 1-15min), ~60% (50 W;30min), ~6% (80 W;30min)	60 (control) down to ~15 with increasing power density	Negative charge from pH 3 to 8 for both control and modified	60 (control) down to ~40 (80 W;30min)
Plasma polymerization of 1-vinyl(imidazole) [59] ^c	5, 9 and 15 min ^b	Improved performance, antimicrobial properties, investigation of plasma mechanisms	Declined by >50% compared to control	98 (control) to 95% with increasing duration	–	Negative from pH 3 to 8 (control) and IEP at pH 3.5 to ~5	31 (control) to 24 (15 min)
Plasma polymerization: PEG [71]	1 W, 10, 15, 30, 60 and 120 s ^a	Protein fouling	10-15% decline compared to control ^e	Maintained ~98	32 (control) to 7 (modified 120s)	–	62 (control) to 89 (modified 120 s)

^a Plasma generator: RF; low pressure ~20 Pa

^b Plasma generator: AC; low pressure 7 Pa, 2 W

^c Article published from this thesis

^d Flux was determined using 2000 mg/L, NaCl solution; 15 bar; temperature ~25 °C, pH ~6

^e Flux was determined using 3000 mg/L, NaCl solution; >15 bar; temperature~25 °C, pH ~6

2.3.2 Gamma-ray irradiation technologies

Gamma-rays were discovered by Ernest Rutherford in 1900. Gamma rays are a form of electromagnetic radiation similar to ultraviolet (UV) light, X-rays and microwaves which does not make the irradiated objects radioactive. Gamma rays together with alpha and beta rays belong to the class of ionizing radiation which detaches electrons away from atoms and molecules. The most common way to produce gamma-rays in the laboratory is by atomic nuclei decay of a radioactive source (e.g. cobalt-60) where energy transitions from high to lower states. Although gamma-rays deliver high frequency and energy, it does not cause changes in the nucleus of the materials. However, in living organisms this can damage cells and breakdown the DNA which makes organisms such as bacteria or mould unable to further divide.

During irradiation processes a certain amount of energy is absorbed by an irradiated material known as radiation dose. The unit of absorbed dose is the Gray (Gy), which is equivalent to the absorption of 1 joule of energy per kilogram. Doses can vary from 1 Gy to 1 MGy and the duration of the whole process depends on the actual dose rate of the source. In order to obtain safe and precise doses, the radiation source rate decay is calculated and calibrated with specific dosimeters coupled with the materials to be irradiated.

The radiation facilities are practical for scaled up commercial production of modified materials. The sources are stored in an underground water pool when not in use and it is surrounded by walls made of ~1.7 m normal concrete. The size of the irradiation chamber can vary to approximate 65 m³ and irradiation is performed under atmospheric conditions. For this reason, gamma-rays facilities have been widely used across contemporary technologies since 1960's when the first industrial-scaled facility was installed in Sydney, Australia - Australian Nuclear Science and Technology Organization (ANSTO). Significant advances in spectroscopy studies have allowed gamma rays to be utilized in the detection of materials for geochemical investigations, nuclear industry and astrophysics due to its unique feature of photo effect transform that enables detection of electrons in the analysed material. In the field of medicine, it has

been used for cancer treatment, health care products, material modification and medical imaging while in food industry, sterilization of fruits and processed food [76].

2.3.2.1 Irradiation-induced grafting in membrane science

Irradiation-induced grafting routes are a one-step and efficient technique requiring direct energy radiation, such as X-ray or gamma-ray radiation sources, to induce polymerization grafting and durably alter the surface chemical properties of polymeric materials [54, 55]. As opposed to X-ray irradiation used for fundamental research only, gamma-rays irradiations have been already scaled-up for pharmaceutical synthesis, food sterilization and cleaning procedures [76]. In addition, gamma-rays irradiations can be operated at atmospheric pressure and room temperature in bulk or confined environments, becoming very suitable surface modification tools for ultra-thin alterations of polymeric materials [56, 75]. A large variety of monomer precursors, containing reactive and cleavable sites, may be activated in mild environments and specifically polymerized to alter the surface properties of materials [75].

In membrane science irradiation induced grafting has not been extensively explored compared to other chemical techniques, and has been only performed on bulk polymeric membrane materials such as UF and MF membranes. One of the reasons is that this technique cannot be reproduced in laboratory scale and also the impact of gamma-rays irradiation on TFC membranes has been only recently studied [150, 151].

A study on surface modification of PVDF membranes performed gamma-rays radiation to induce grafting of glycidyl methacrylate in order obtain a charged membrane with improved oily emulsion fouling resistance. A noticeable diminution of the pore size was evidenced from 43.8 nm for the control membrane to 4.18 nm after grafting [152]. In this regard, this technique may induce significantly high grafting yields which in TFC may affect free-volumes.

The choice of the monomer is an important task and it should be associated with an ideal solvent that can induce good grafting yields. The degree of grafting is generally increased with increasing gamma irradiation dose up to reach a plateau [153]. Also the

rate of chain termination and homopolymer formation may increase faster than surface grafting. The homopolymerization can be associated to the initial monomer concentration associated with longer durations of the processes [76]. Therefore the use of solvent systems has shown to play an important role in enhancing the grafting process since diluting the monomer, may influence on the rate of propagation and kinetic chain length. Also the solvent may also swell the polymer substrate to facilitate accessibility and diffusion of the monomer across the surface which can potentially increase the homogeneity [53]. A study on preparation of PVA membranes, used gamma-rays to graft acrylic acid and N-vinyl (imidazole) monomers on the surface [154]. In this study the influence of monomer concentrations in water/methanol solvent system on the degree of grafting was investigated. Results showed that as the water content increase in the solvent system the grafting yield is increased, reaching a maximum value at a water/methanol composition of 40/60 for a grafting at 7 KGy.

However the use of gamma-ray irradiation of TFC membranes is in need of more work to uncover beneficial surface modifications. Unique features of gamma-rays modification are that the material does not need to be placed in a vacuum as is the case for plasma systems, where vacuum can influence hydrophilic PA structures by removing water molecules. Therefore this means of post modification of commercial TFC membranes will be explored in this thesis.

2.4 Conclusions and research rationale

Although plasma technologies are well established techniques, the understanding of the impact at the molecular level of plasma glows on materials chemistry and microstructure is yet to be improved [69]. The vast majority of research on plasma treatment of polymeric materials has been primarily focused on understanding surface texturation mechanisms [66, 70, 71]. For membranes, focus has been made on improving hydrophilicity, particularly for MF and UF membranes which have open porous structures and thicker selective layers. Some work on TFC membranes has mostly focused on increasing hydrophilicity where improvements to flux and fouling have been observed. However plasma techniques (gas and polymerization) have many

more impacts to the surface than simply increased hydrophilicity and thus forms one of the key motivations for this study. Further, benefits from other means to impose the energy for modifying the surface, such as with gamma-rays, add further conveniences such as allowing the modification to occur in the conditions suitable to the modifying compound (i.e. operate in atmospheric conditions instead of vacuum). This review has therefore identified the current gaps in knowledge that justify the work undertaken in this thesis, which is primarily about the detailed investigation of the capacity of direct energy techniques to offer tailored surface features on top of commercial state of the art TFC membranes for water desalination. The ultimate aim is to provide chemists and engineers the convenient conditions by which they can choose desired surface features of TFC membranes using plasma or gamma-ray irradiation techniques, which can be applied directly to TFC desalination membranes, or more widely to modification of sensitive polymer surfaces.

3. Experimental details

3.1 Materials and methods

In RO membrane technology, the TFC membranes are packed into spiral wound configurations, which are versatile and low cost module technologies, and major suppliers include Dow, Toray, Hydranautics and Toyobo [86]. The membranes used for surface modification and desalination tests in this work were spiral wound BW30 TFC purchased from Dow Filmtec Corp. (IMCD limited, Australia). Flat sheet poly(sulfone) (Psf) membranes (MWCO 30,000-50,000) used in Chapter 5 was purchased from Beijing Puqirui technology respectively. Analytical grade sodium chloride (NaCl) used in Chapters 4, 5 and 6 and CaCl_2 in Chapter 6 were purchased from Sigma Aldrich and used for the preparation of saline feed solution to give a concentration of 2,000 ppm. Milli-Q water was used for the preparation of all aqueous solutions.

The reagents used for surface grafting were purchased from Sigma Aldrich. Namely 1-vinyl(imidazole) (VIM), was used in plasma polymerization and radiation-induced grafting, and maleic anhydride that was used only in plasma polymerization. Silver nanoparticles (AgNPs), with a mean particle size of 20 nm and coated with 0.3 wt% of poly(vinyl)pyrrolidone (PVP) were purchased from Nanostructured & Amorphous Materials. These were used for attachment onto amine rich modified surfaces via plasma polymerization with VIM (Chapter 5). The subsequent bacterial assessment was carried out using Luria-Bertani agar, purchased from Sigma Aldrich.

3.2 Surface modification methods

3.2.1 Membrane conditioning prior to plasma treatment and polymerization

Membrane pre-conditioning methods were applied prior to plasma treatment and plasma polymerization shown in Chapters 4 and 5. The TFC membranes used in this work were collected from the spiral wound membrane and were cut into flat sheets, with pieces of membrane of around 21.0 x 29.7 cm in size and these samples were then placed in poly(ethylene) (PE) bags at 4°C and separated into two groups: i) wetted membranes: that were kept humid after sprayed sodium metabisulphite (SMBS) solution at 1 w/v% and ii) as non-wetted membranes, i.e. membranes stored without any storage solution. For desalination tests the above membranes were cut in 7 x 6 cm samples to fit into the apparatus.

Prior to the plasma treatment, two types of control samples were prepared: i) pre-conditioned membranes - the wetted membranes stored in SMBS, were soaked in deionized water for 5 h in order to remove preservative materials and then dried in ambient air for 12 h; ii) The non-wetted membranes were placed directly in the plasma chamber without any pre-conditioning. After plasma treatment all membranes were immediately rinsed with DI water and stored in SMBS.

3.2.2 Plasma treatment

Plasma gas treatment experiments were carried out at the CSIRO laboratories (Commonwealth Scientific and Industrial Research Organization, Geelong, Victoria) using a vacuum plasma system from Diener Plasma Surface Technology (Figure 3.1), with a Pico-RF-PC - coupled with a radio frequency (RF) generator of 13.56 MHz with an aluminium electrode. The plasma chamber of this apparatus is stainless steel with a volume of 7.75 L. The gases injected were: helium, argon and water at a pressure of 200 Pa within the range of 10, 50 and 80 W, corresponding to power densities of 1.29 to 10.3 W/L, respectively, and with exposure times at each power setting of 1, 2 and 5 min for helium and water and 1, 5, 15 and 30 min for argon plasma.

Initially the vacuum conditioning stage was performed where the system was pumped down from an initial reactant gas environment to 20 Pa. After this stage plasma was generated and maintained according to the duration set.

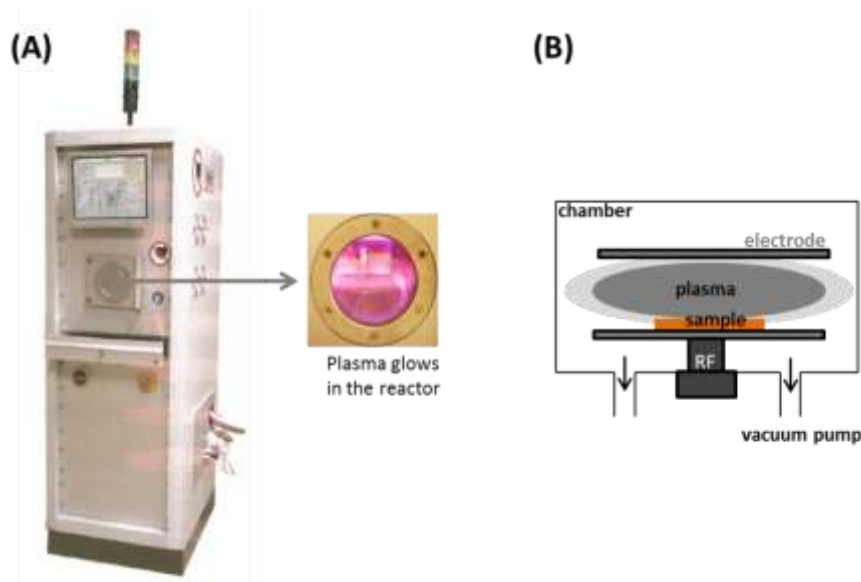


Figure 3.1: a) CSIRO Plasma reactor; b) schematic diagram of the RF chamber.

3.2.3 Plasma Polymerization

Plasma polymerization experiments were carried out using the equipment at chemical engineering department at Monash University, shown in Figure 3.2. Membranes were placed separately into the vacuum chamber (reactor) (2 L) and firmly attached using a Scotch Magic® tape manufactured by 3M onto a plastic support - exposing the membrane active side only. The plasma polymerization process was divided into three stages inside the chamber; i) the vacuum conditioning stage: the system was pumped down from an initial argon gas environment to 5 Pa; ii) polymerization stage: the VIM monomer was injected into the system together with argon gas to a pressure of 7 Pa. The flow rate was 1.60 mL/min and the energy delivered was 1 W/L. At this stage the time exposure could be controlled, being a crucial parameter for the generation of free-radicals on the membrane surface and for control of the etching effect; iii) reaction

stage: the argon plasma is switched off allowing completion of the reaction involving the monomer.

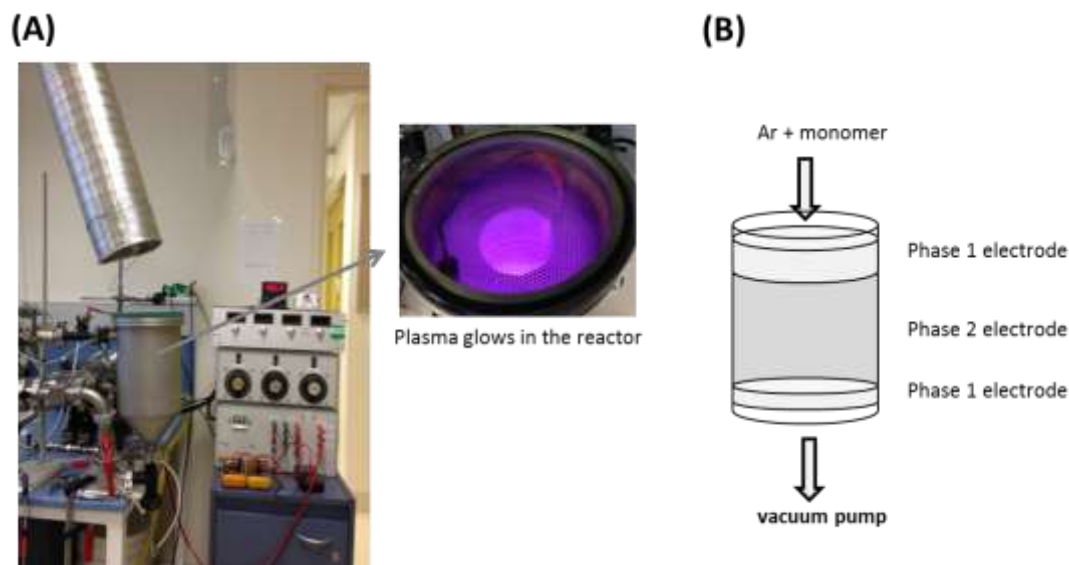


Figure 3.2: a) Monash University plasma reactor; b) schematic diagram of the AC chamber.

3.2.4 Gamma-ray induced grafting

3.2.4.1 Irradiation facilities

Radiation grafting was performed at the Gamma Technology Research Irradiator (GATRI) Facility at the Australian Nuclear Science and Technology Organization (ANSTO) (Figure 3.3a). The radiation source was cobalt-60 (^{60}Co) offering a dose rate of 2.35 kGy/h, determined by reference dosimetry analysis in a previously reported procedure [56]. The dosimeters used during the grafting process were Red Perspex/4034NC and Amber Perspex/3042Y. The membranes were placed in PE bags, with a tolerance level of 1,000 kGy [155] and filled with the grafting solutions, as described below. The plastic bags were sandwiched between two glass plates to ensure homogeneous wetting of the membrane materials during irradiation, and packed in a

box with the dosimeters, as shown in Figure 3.3b. The experiments were performed at an ambient temperature of ~ 24 °C. The overall uncertainty associated with an individual dosimeter reading was calculated by the ANSTO technicians to be 4% providing a level of confidence of approximately 95%. The uncertainty evaluation was carried out in accordance with the ISO guide for the expression of uncertainty in measurement.

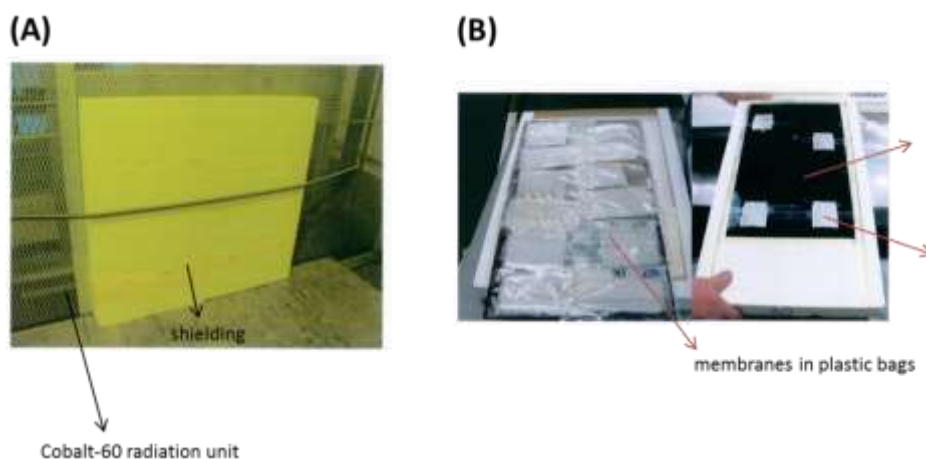
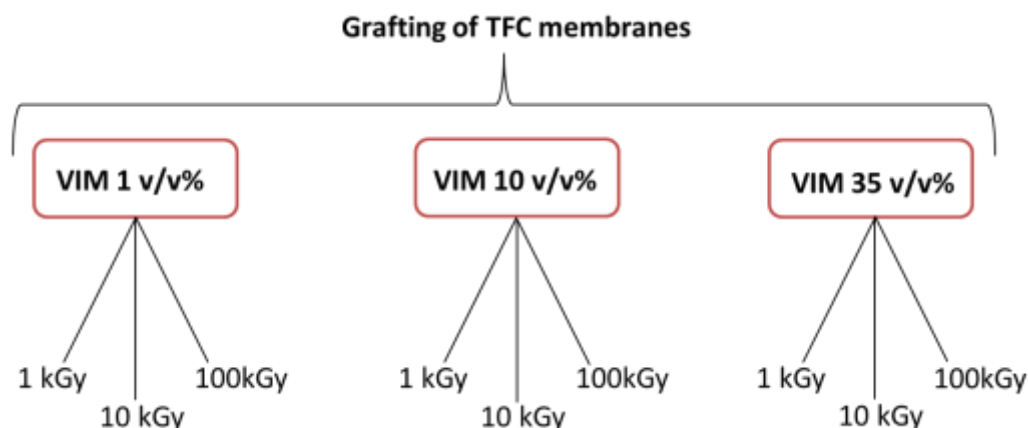


Figure 3.3: a) radiation chamber and b) samples apparatus where membranes inside PE plastic bags were sandwiched between glass frames.

3.2.4.2 Grafting and control series

Grafting series

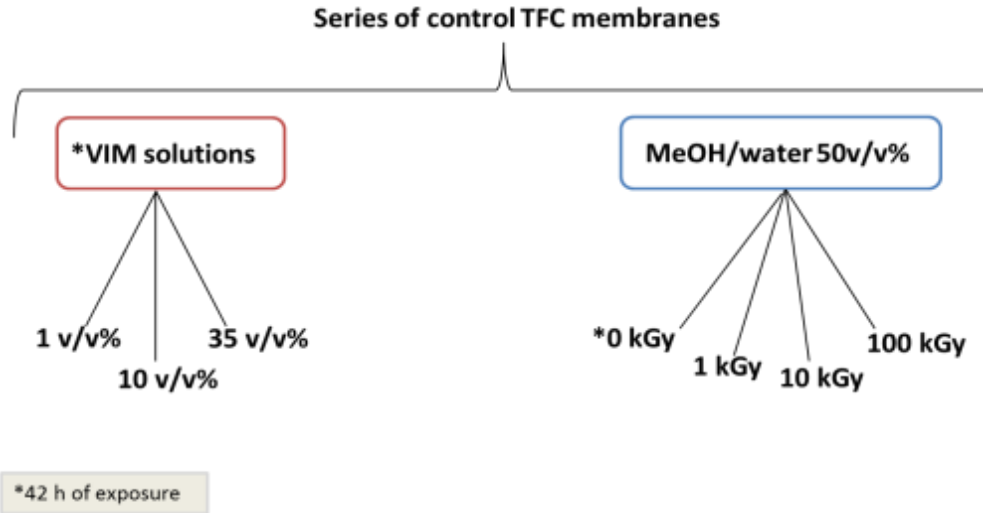
The grafting process was performed for total irradiation doses of 1, 10 and 100 kGy. Giving that the dose rate was equivalent to 2.35 kGy/h, the irradiation total doses of 1, 10 and 100 KGy corresponded to 0.4, 4 and 42 h of irradiation, respectively. The irradiation-induced grafting was performed with pre-conditioned membranes without drying step, immersed in three different VIM solutions dissolved in MeOH/water at 50 v/v %. Scheme 1 summarizes the experiment design for the surface grafting.



Scheme 1: Surface grafting with VIM solutions and irradiation total doses.

Control series

A series of control membranes were prepared using wetted control membranes (stored in SMBS as described in Section 3.2.1). Control membranes exposed to VIM solutions without irradiation in order to simulate the direct impact of the monomer for a duration equivalent to that of the highest irradiation total dose of 100 kGy of 42 h. The series of MeOH/water solution assessed the direct impact of the radiation on the membrane surface. Scheme 2 shows the experiment design for the control series.



Scheme 2: Series of control membranes exposed to VIM and MeOH/water solutions.

3.2.4.3 Degree of grafting

The mass gain in this study was used as a quantitative tool to accessing polymerization which occurred across the surface of the treated material [156]. After irradiation, the membranes were thoroughly washed with deionized water, in order to remove any remaining non-polymerized materials, and dried for 1 h at 65°C followed by weighing on a Metler MS40025/01 balance (+/- 0.001 mg). The irradiated samples were cut into 7 X 6 cm pieces to provide a manageable surface area of 42 cm² (+/- 0.3 cm²).

The degree of grafting was calculated using Equation 1:

$$\% \text{ Grafting} = \frac{(W_1 - W_0)}{W_0} \times 100 \quad (1)$$

where W_0 and W_1 are the dry weights of the control membrane and the grafted membrane respectively.

3.3 Surface characterization

The characterization techniques are divided into morphology analysis and chemical analysis as described in Table 3.1. The morphology characterization techniques are scanning electron microscopy (SEM) and atomic force microscopy (AFM). The contact angle is considered an intermediary technique between morphology and chemical analysis. Thus, the chemical techniques include the streaming potential, X-ray photoelectron spectroscopy (XPS), attenuated total reflection-Fourier transform infrared spectroscopy (ATR-FTIR) that includes synchrotron map analysis for thickness and uniformity assessment.

Table 3.1: Analytical instrumentation techniques

Instrumentation Techniques	Morphological surface properties	Chapter
SEM	Qualitative analysis of surface roughness	4,5,6
FIB	Qualitative analysis of cross-sections of the material	5,6
EDS	Elemental quantification	5
AFM	Quantification of surface roughness	4.5.6
Instrumentation Techniques	Chemical surface properties	Chapter
ATR-FTIR	Qualitative analysis of functional groups across the surface	4,5,6
ATR-FTIR-map	Homogeneity and thickness analysis	5,6
XPS	Qualitative analysis of chemical bonds and quantitative elemental analysis	4,5,6
Streaming potential	Surface charge analysis of ionizable chemical moieties	4,5,6
Contact angle	Hydrophilicity measurement	4,6

3.3.1 Scanning electron microscopy (SEM) and energy dispersive spectroscopy (EDS)

SEM and EDS were performed at the Institute of Frontier Materials, Deakin University were used to analyse the surface topography after modification. Both techniques are coupled with an electron beam column. The bombarded electrons interact with atoms in the sample which produce signals to form an image. EDS is also able to detect elements present on the surface, however more sensitive for metals such as silver, and presents at atomic % (at%). Also, Focus Ion Beam (FIB) can simultaneously perform cross-sectioning and images of samples with a column of ion beam.

SEM micrographs and EDS spectra were acquired on a dual beam Gallium (Ga) Focus Ion Beam (FIB) Quanta Scanning Electron Micrographs fitted with an EDAX sensor - after deposition of a 1 nm thick carbon coating performed on a Balzers Sputter Coater SCD 050. The images for the SEM and EDS elemental maps were acquired at 5 and 20 keV, respectively, for a working distance of 10 mm and a current of between 0.1 and 0.4 nA.

3.3.2 Atomic force microscopy (AFM)

AFM is a quantitative technique used to confirm changes in surface roughness visually detected by the SEM micrographs. AFM analysis is form of scanning probe microscope that scans across the surface of a material with an extremely fine probe on the end of a cantilever. The surface is profiled by measuring the deflection of the cantilever which therefore allows a 3D profile of the surface to be produced at high magnifications which can provide more sensitive topographical information than optical or scanning electron microscopes.

AFM analysis was carried out on relevant samples, where results are shown in Chapter 4 Section 4.1 and Chapter 5 Section 5.1 was performed at the Institute of Frontier Materials, Deakin University using a Cypher AFM coupled with Herzian TS-150 active vibration table and an ARC2 SPM controller. The samples were cut and mounted, using double sided tape, on a magnetic support. The resonance frequency and spring constant

for the cantilever were specified at 300 kHz (± 100 kHz) and the spring constant was specified as 40 N/m. The actual resonance frequency was determined using the automated routine on Igor Pro 6.35A5 software. Data were collected using a scan speed of 0.4 Hz and scan sizes of 20 and 5 μm . AFM analysis was performed in tapping mode using a Bruker AFM equipped with a Nanoscope V multimode scan and coupled with microscope camera 10xA Nikon series 110422. The resonance frequency and force constant for the probe were 300 kHz (± 100 kHz) and 40 N/m, respectively. Data were collected using Nanoscope 8.4 software and images were evaluated using Gwyddion 2.36 software. The tapping mode was used in order to avoid damages to the PA layer from the AFM probe [88].

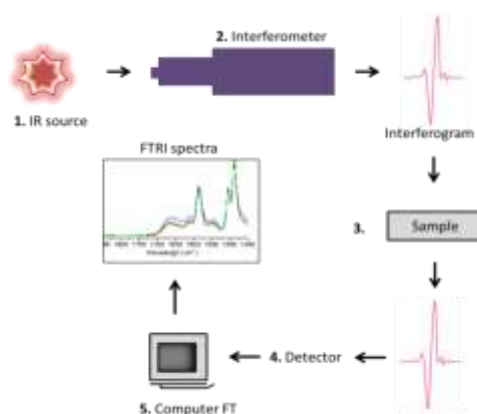
The AFM analysis in Chapter 4 Section 5.2 and Chapter 6 was performed at the Materials Characterization and Fabrication Platform (MCFP) at the Melbourne University. Analysis was performed on a Cypher atomic force microscope (AFM) using a Herzian TS-150 active vibration table and an ARC2 SPM controller using the same parameters as above.

3.3.3 Attenuated total reflection-Fourier transform infrared spectroscopy (ATR-FTIR)

FTIR is a powerful vibrational analysis tool for structural characterization of molecules and their chemical bonds. The resulting spectrum is therefore, composed by a unique molecular fingerprint from particular vibration created by each molecule. In this work FTIR was used to assess chemical changes across the PA surface after surface modification.

Infrared (IR) spectroscopy consists of decoding interferogram signals at different frequencies of transmitted and absorbed IR radiation. Scheme 3 illustrates the process of IR analysis in 5 steps i.e. 1. Initially the IR radiation beam enters the interferometer which generates an interferogram signal; 2. The beam continues and reach the sample where some of the radiation is absorbed by the sample and some is transmitted or reflected off the sample; 3. A new interferogram is created from specific molecular

frequencies of energy characteristic from the sample; 4. The beam finally passes to the detector for final measurement and 5. The measured signal is digitalized and sent to the computer where Fourier transformation (FT) is calculated and final spectra is then generated.



Scheme 3: FTIR process analysis

Single spectra analysis was performed at the Institute for Sustainability and Innovation in Victoria University, Victoria, Australia using a Perkin Elmer Frontier FTIR spectrophotometer with a KBr beam splitter. All spectra areas were collected across a wavenumber range of $4000\text{--}600\text{ cm}^{-1}$. 8 spectra were averaged at a resolution of 4 cm^{-1} and analysed with OPUS 7.2 software from the Bruker Corporation. For homogeneity and thickness estimation, FTIR maps were obtained using the Australian Synchrotron, whereby the surface homogeneity was investigated before and after plasma polymerization. The analysis was performed using a Bruker Hyperion 2000 coupled to laser V80v, MCT narrow band $50\text{ }\mu\text{m}$ detector and spectrometer equipped with an ATR element (45° multi-reflection germanium and BaF_2 as background). The FTIR map analyses investigated the distribution of crosslinking functional groups across the surface and estimated the amine and carboxylate rich coating thicknesses. The thickness was evaluated using Equation 2 where absorbance intensity from new functionalities observed after plasma treatment was correlated according to the IR depth from the given frequency.

$$\ln \left[\frac{A_t}{A_0} \right] = \frac{2t}{dp} \quad (2)$$

Where t = thickness of coated film; A_t = IR absorbance after polymerization; A_0 = IR absorbance before polymerization; dp = depth of IR beam

All spectra for map analysis were collected with a 20 μm knife-edge aperture, corresponding to a spot size through the crystal of 5 x 5 μm . For each measurement point, 64 spectra were averaged at resolution of 4 cm^{-1} , background was collected every 5 spectra and analysed with OPUS 7.2 software from the Bruker Corporation.

3.3.4 Streaming potential

The surface charge measurement was performed to identify chemical components present on a surface and to bench mark the optimum pH conditions for modified membranes. The membrane surface exhibit charge when in contact with a fluid. The charge on the surface attracts counter-ions in the solution and forms an electric double layer (EDL) (Figure 3.4). The EDL consists of two layers one very close to the surface (Stern layer) and another distributed away from the surface (diffuse layer). The surface charge is calculated as the electrokinetic (zeta) potential, which is the difference in potential from the surface of shear in the EDL to the surface/liquid interface. The zeta potential value is utilized to quantify surface charge via streaming potential technique where zeta potential measurements are associated with the motion of ions across a steady surface under different pressures and range of pH [157]. Therefore, this technique is extremely sensitive to surface composition changes and may be correlated to the density of resultant outer layer functional groups [158]. Electrostatic interactions between the solution and the surface ionizable functional groups result in protonation and deprotonation of amine and carboxylic groups respectively as a function of the pKa of the moieties, and therefore as a function of the test solution pH. Modified TFC membranes were evaluated at the Institute for Sustainability and Innovation in Victoria University, Victoria, Australia using Surpass Anton Paar Electro Kinetic Analyser (EKA) utilizing Visiolab software (version 2.2). In the EKA analyser, membranes were placed onto a 20 $\times$ 10 mm adjustable gap cell of thickness < 2 mm. The streaming

channel dimension was approximately 0.1 mm at the pressure adjusted to reach maximum of 400 mbar. A pH electrode (Schott Instruments) was used for measuring the zeta potential. A KCl 1mM solution was used, and 0.1M HCl and NaOH were used for pH adjustment. An average value of the zeta potential was calculated based on four repeat measurements obtained from both directions of flow in the cell.

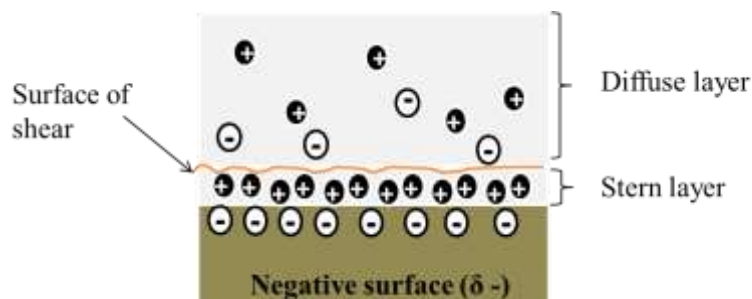


Figure 3.4: Schematic representation of the distributions of ions around a charged surface.

3.3.5 Contact angle

Hydrophobicity or wettability can be accessed by water contact angle measurements. The contact angle is a sensitive tool that is strongly affected by chemical and morphological characteristics. This analysis was performed at the Institute of Frontier Materials (Deakin University) using Biolin Scientific goniometer. Prior to contact angle measurements, the membranes were dried in air overnight. The test involved adding 4 μL of deionized water drops in three different spots across the membrane surface. Images were acquired 5 s after drop impact and contact angles were calculated by fitting the outline of the drop's image to the Young-Laplace equation using the Biolin software.

3.3.6 X-ray photoelectron spectroscopy (XPS)

XPS is a well established and sensitive analysis that can detect chemical elements on surface up to 5 nm in depth. As most of the polymers are non-conductive, X-ray can activate and excite species on surface upon injection of photo electrons which can generate charge on the sample. The XPS spectra is obtained from simultaneous measurement of the kinetic energy and number of electrons that escape from the top of 0 to 10 nm depth of the material analysed [159]. Depending on the softness of the material, the X-ray wavelength may damage the analysed substrate. Therefore, the total dose of the X-rays, temperature of the surface and the level of the vacuum conditions should be controlled.

The XPS results presented in Chapter 4 Section 4.2.2.2, Chapter 5 Section 5.2.2.2 and Chapter 6 Section 6.2.3 were measured at the Physics Department, School of Applied Sciences, RMIT University using a Thermo K-alpha Spectrometer. A quantitative elemental composition of the modified PA was provided for a surface depth of 1–5 nm. The technique was able to detect elements with a detection limit of 0.1% of the bulk material. An Al K α (1486.6 eV) X-ray source was used as the excitation source, and the anode was maintained at 250 W, 10 kV, and 27 mA at a chamber pressure of 2.67×10^{-8} Pa with a beam spot size of 400 μm x 400 μm . The peak position was calibrated using the C1s peak at 284.6 eV. XPS analysis results shown in Chapter 4 Section 4.2 and Chapter 5 Section 5.2 were measured at the Centre for Materials and Surface Science, Department of Physics, La Trobe University. XPS analysis was performed using an SSIS probe and Spectrometer Kratos AXIS Nova (Kratos Analytical Ltd, Manchester, UK). The same parameters were used as described above. Elemental spectra were decomposed using Origin software with Gaussian peak fitting and Tougaard baseline.

3.4 Desalination tests

This analysis was performed at the Institute for Sustainability and Innovation in Victoria University, Victoria, Australia. Salt rejection and water permeation performance were tested with a laboratory-scale cross-flow filtration system (CF042, Sterlitech Corp., WA, USA). The concentrated feed stream containing a saline solution (2000 ppm at 25°C) was pumped to the system with an effective membrane area of 42 cm² and flowing tangentially across the membrane surface under 15 bar and trans-membrane pressures were monitored and maintained at the target working pressure within a +/- 2% accuracy. The outlet permeate flow was collected after 120 min and salt rejection conductivity measured immediately after the test. Salt concentration was determined using an electrical conductivity meter (Hach HQ40d) and mass of permeate was measured with a balance Metler MS40025/01 balance (+/- 0.001 mg).

Salt rejection R (%) was calculated according to Equation 3:

$$R = 1 - \left(\frac{C_{permeate}}{C_{feed}} \right) \times 100\% \quad (3)$$

where $C_{permeate}$ and C_{feed} are the conductivity of salt concentration in the permeate and feed respectively, in $\mu\text{S}/\text{cm}$. Permeate flux F ($\text{L}/\text{m}^2 \cdot \text{h}^{-1}$) was calculated by Equation 4:

$$F = \frac{V}{A \times t} \quad (4)$$

where V is permeate volume (L), A is effective membrane area (m^2) and t is time over which the volume V was collected (h).

3.5 Functional tests

The following tests were performed in Chapter 5 (Section 5.2) in order to confirm increased active sites of amine functionalities on surface. The same plasma polymerization process was further repeated using the same parameters in Section 5.3. This Section focused on the optimization of membranes permeation and these tests were no longer repeated.

3.5.1 Silver attachment onto amine rich TFC membranes

Amine rich and control TFC membranes were immersed separately into an AgNP solution (0.1 mM, 50 mL) for 12 h, rinsed with deionized water and dried at 35°C. Membranes were then subjected to microbial adhesion assessment and silver contents were evaluated with XPS and EDS analysis. Three plasma polymerization durations of 5, 9 and 15 min were used on TFC membranes. In order to ensure correct assessment of the plasma effect, a control sample was inserted into the plasma vacuum chamber with only the vacuum conditioning stage carried out.

3.5.2 Bacterial adhesion assessment

The antimicrobial property on the membrane surface was assessed by exposing the active side of the membrane to *Escherichia coli* (*E. coli* strain, Nissle 1917). *E. coli* is a model candidate media for general antimicrobial experiments because it is a facultative microorganism that exhibits similar responses to silver ions under aerobic and anaerobic conditions. This media is also found in water treatment plants and therefore, in biofouling assessments in literature. *E. coli* was prepared following the same protocol as previously reported [160]. Functionalized membranes were exposed to *E. coli*, and a 100 μ L aliquot of the *E. coli* suspension was pipetted onto an agar plate and spread over the surface. The membranes (7 mm diameter disks) were placed onto a bacteria-agar surface with the silver-embedded side facing the agar and incubated for 24 h at 37°C. An optical microscope, coupled with an Olympus DP70 Digital Microscope Camera, was used to image the petri dishes and quantify antimicrobial inhibition zones around the functionalized membranes (at 20x and 50x magnifications). The inhibition zone distances were averaged from five perpendicular points and measured according to an image size of 300 pixels/cm.

4. Plasma gas treatment of poly(amide) thin-film composite membranes

In this chapter, the potential benefits of plasma treatment of PA TFC membranes using different reactant gases were systematically investigated. Altered surface properties after plasma treatment were correlated to subsequent membrane desalination performance and plasma operating parameters including excitation power and duration. Preliminary control tests before plasma investigations were performed to analyze the permeation and salt rejection performance against control membranes. The impact of the membrane pre-conditioning, such as washing to remove protective coating layers, followed by drying and subsequent re-wetting was also considered. The first series of experiments was carried out with water and helium plasma. This experimental work investigated the effect of these different types of plasma, specifically comparing differences between the effects of oxygen containing gas plasma (water) versus inert gas plasma (helium) on the TFC membrane. Secondly, this study involved argon plasma atmosphere to compare against the water and helium plasma modifications. The argon investigation also provided background knowledge to support the subsequent plasma polymerization process described in Chapter 5 since argon was used in this specific Section as a carrier gas upon injection of the monomer. Morphology and chemical characterizations of the modified surfaces revealed the effects of plasma etching and supported conclusions of re-deposition mechanisms. Such characterization and understanding of nano-thin effects in association with the assessment of membrane performance provided insights for potential desalination applications.

4.1 Membrane desalination performance controls

According to the manufacturer [162], the water flux of the flat sheet laboratory scale membranes at 15 bar could be estimated at around $30 \text{ L.m}^{-2}.\text{h}^{-1}$ and for 99.4% of salt rejection using a 2,000 ppm NaCl solution. However, variation in flux and rejection for such materials have been reported and the source of these variations, primarily due to surface inhomogeneity, is an ongoing discussion [163]. Studies have attributed such variations to the IP process during membrane synthesis due to a resultant asymmetry of cation and anion-exchange regions in the material [87] as previously detailed in Chapter 1 Section 1.2. In this analysis, the repeatability of the desalination tests were investigated using a cross flow system as described in Chapter 3, Section 3.4.

In order to assess potential variation of saline water flux and rejection capabilities, 7 samples were collected from random locations across the spiral wound membrane module. This was considered to give a realistic variability study as spiral wound modules are sold by the supplier meeting the specified flux and rejection performance. Meanwhile, membranes supplied as sheets for testing purposes may not necessarily meet performance criteria. In Figure 4.1 the water fluxes and salt rejections are displayed with error bars calculated based on the estimated standard error of the mean. The calculated mean value of $44.9 \pm 1.2 \text{ L.m}^{-2}.\text{h}^{-1}$ from the fresh control samples was about 50% higher than of the estimated value from the manufacturer. On the other hand, the selectivity trade-off was compensated with lowered salt rejection i.e. $98\% \pm 0.3$.

The water flux from fresh membrane samples subjected to pre-conditioning was also evaluated. Pre-conditioning procedures have been reported as simple methods to clean any surface in order to remove impurities or preservative materials prior to surface modification including plasma [79, 164-167]. The method consists in washing the samples with DI water or other solvents such as ethanol following by drying in oven or in air. Figure 4.1 shows that water flux of re-wetted membranes severely declined by 70% compared to fresh control samples however, salt rejection was not compromised. Such drying and re-wetting steps may cause irreversible flux decline upon the collapse of the Psf pores and/or clogging of PA free-volume and nano-voids [74, 168, 169]. For instance, a study related to plasma surface modification of commercial TFC membrane

reported flux declined by 7.5% after 15 s of plasma polymerization with poly(ethylene) (PEG) based monomer. Such loss was attributed to membrane pre-conditioning step [43]. Therefore, significant flux decline suggests that pre-treatment conditions prior to desalination tests can severely affect the membranes water flux.

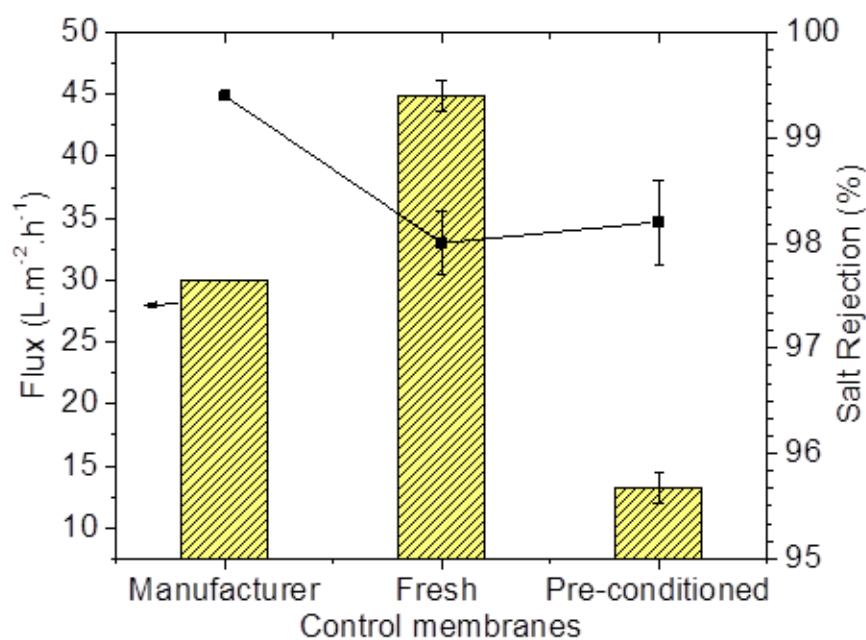


Figure 4.1: Flux and salt rejection for control membranes. Cross-flow desalination test conditions: 15 bar inlet pressure and 2,000 ppm NaCl solution at 25°C. The error bars for the manufacturer's control membrane was not provided by the manufacture.

4.2 Preliminary investigations using water and helium plasma

In this Section, the TFC membranes were treated with pure helium and water gas plasma for different excitation powers (10 and 80 W) and exposure durations (1, 2 and 5 min). The series of membranes modified with water and helium plasma were part of initial investigations in this work and were subjected to the pre-conditioning step as mentioned above and described in Chapter 3.

4.2.1 The impact of water and helium plasma treatments on the performance of the membranes

Initially water and helium plasma treatments were performed at 10 W of excitation power with increasing duration from 1 to 5 min. The water flux through the membranes after water plasma treatment was found to be statistically greater than the pre-conditioned membranes and about 50% below to that of the fresh control membranes at any plasma treatment duration. On the other hand, no significant loss in salt rejection could be observed between these samples, as shown in Figure 4.2. At 80 W of excitation power (Figure 4.2), the flux was significantly reduced to the level of pre-conditioned control membranes after plasma treatment, while rejection remained unaffected for plasma durations between 1 and 2 min. For longer treatment duration of 5 min, a sharp loss in salt rejection was observed, down to 84%. Conversely, the permeation of helium plasma treated membranes at 10 W (Figure 4.2) showed systematic flux increases as a function of the exposure duration while simultaneously exhibiting a potentially slight rejection loss with statistically significant drop to 96% for 2 min duration, but potentially no change after 5 min duration. The flux across the 1 and 2 min treated samples was about 3 times higher than that of the re-wetted membranes, while however 21% below that of the fresh control membranes. However, for increased duration of 5 min of treatment, the water flux was improved by about 4 times compared to the pre-conditioned control membranes. These values of flux were found to be slightly enhanced compared to the fresh control membranes which were not re-wetted. Fluxes corresponding to helium exposed samples at 80 W are shown in Figure 4.2. Although, the water flux severely declined, salt rejection remained approximately constant, around $98.8\% \pm 0.5$.

In conclusion, plasma modifications altered the flux and intrinsic characteristics of the membrane, such as morphology and hydrophilicity. The nature of the gas and the treatment duration did affect the integrity of PA layer. In order to understand the effect of plasma treatments on the modified surface, further physico-chemical characterization of modified membrane surfaces were performed.

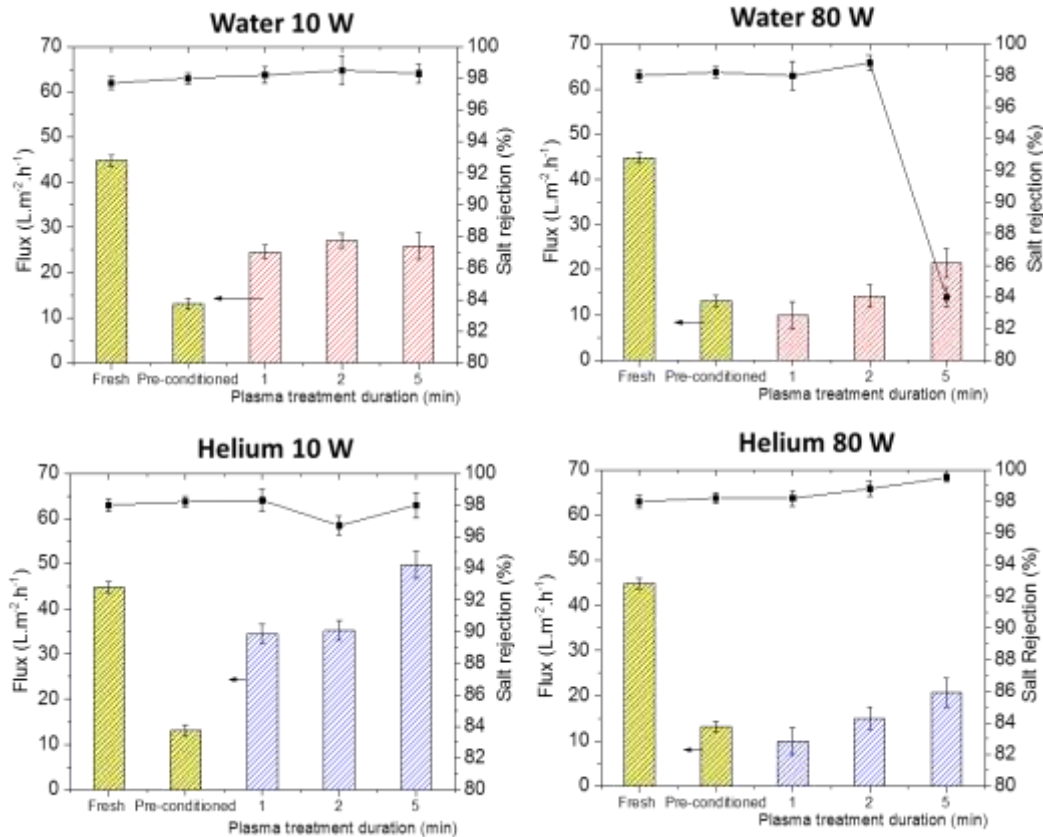


Figure 4.2: Membrane flux and salt rejection measured after 2 h of testing with 2,000 ppm of NaCl in water at 15 bar feed pressure after plasma modification at 20 Pa.

4.2.2 Characterization of surface properties after water and helium plasma treatments

4.2.2.1 Morphology characterization of the plasma modified membranes

Competitive plasma surface reactions arise from simultaneous etching and re-deposition processes, which can lead to differing degrees of surface texturization [58]. Initial investigations of morphology changes were performed by acquiring SEM images of the membrane surfaces as a function of the treatment conditions. Figure 4.3a shows the SEM of the control membrane. As shown in Figure 4.4, the surface of the water treated membranes was significantly smoothed with increasing treatment duration, with the

effect intensified for higher excitation power. In contrast, the topographical SEM analysis for helium plasma in Figure 4.5 shows that modified membranes at 10 W were slightly smoothed for short plasma treatments, while roughening occurred for a treatment time of 5 min which was also detected at 80 W.

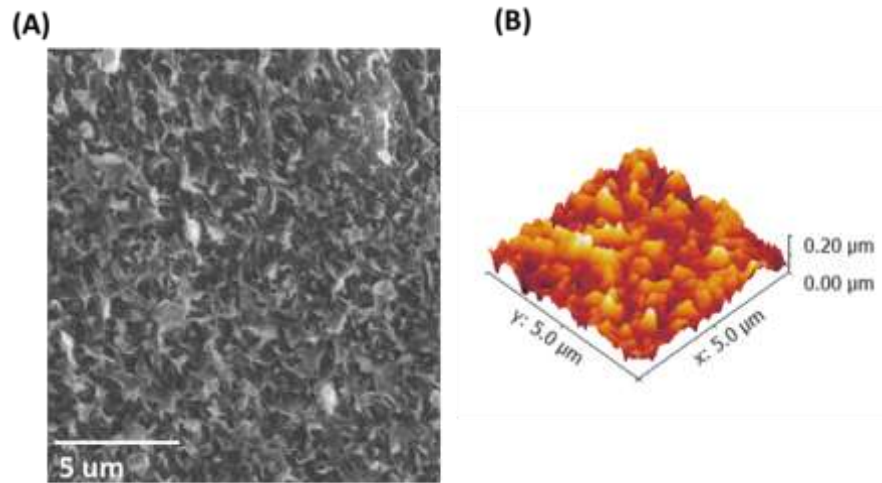


Figure 4.3: a) SEM and b) AFM analysis of control membrane with $R_a 63 \pm 3.3$ nm.

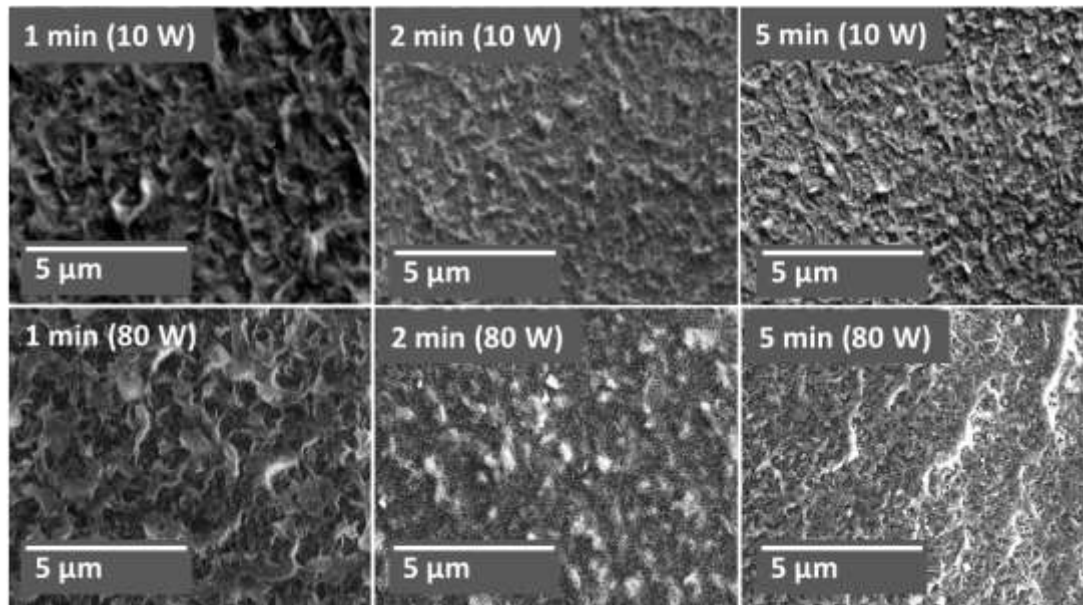


Figure 4.4: SEM from water plasma modified membranes.

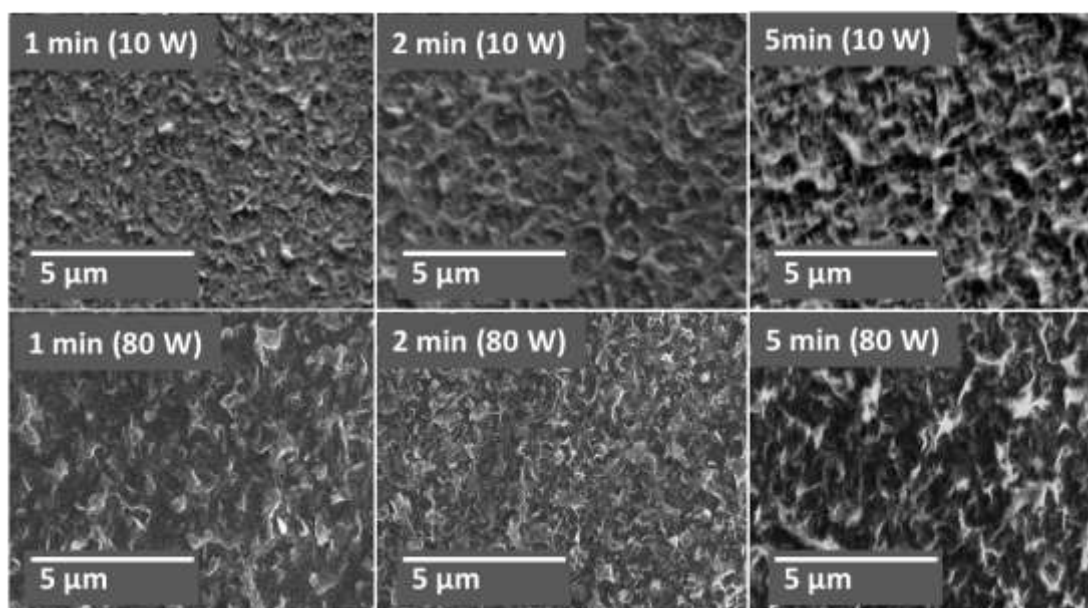


Figure 4.5: SEM from helium plasma modified membranes.

In order to confirm topology variations found by SEM, the average roughness (R_a) of the modified membranes was measured by AFM as presented in Figure 4.6 and compared to control membranes (Figure 4.3b). As shown in Figure 4.6, water treated membranes at 10 W showed reduced R_a from 63 nm (control) down to 43 ± 2.6 nm after only 1 min of plasma treatment. Beyond 1 min, the roughness values increased back up to $R_a 57 \pm 5.0$ nm between 2 and 5 min. At 80 W increased plasma durations led to increased smoothing effect with R_a reduced down to 57 ± 2.5 nm at 1 min, to 36 ± 2.2 nm at 2 min, and then 47 ± 4.3 nm at 5 min. Previous studies on CO_2 plasma treatment of PES membrane also demonstrated that smoothed morphologies were achieved for the treated surfaces [170]. The SEMs showed that between 1 to 5 min of plasma treatment pores were gradually reduced in diameter and progressively disappeared. However, beyond 5 min, the pore size started to increase again and to reappear across the surface. The alterations of pore diameters were attributed to etching and re-depositions on the surface. Interestingly, the etching rate was found to be more largely depending on the power density than the re-deposition rate.

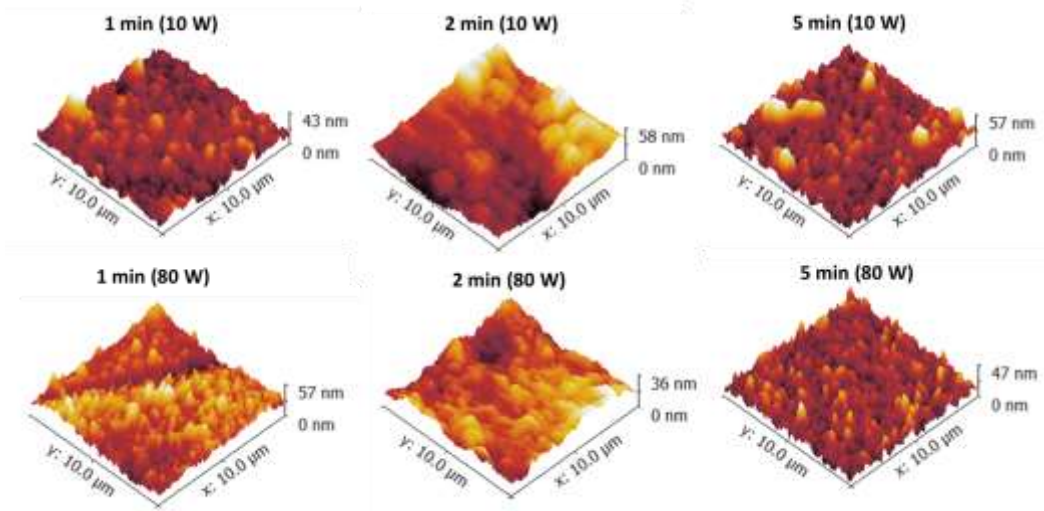


Figure 4.6: AFM topography maps for the water plasma modified membranes.

In contrast, the topographical SEM analysis for helium plasma in Figure 4.7 shows that modified membranes at 10 W were only slightly smoothed for short plasma treatments, while roughening occurred for treatment durations of 5 min. For shorter treatments between 1 and 2 min, the roughness was slightly reduced to 60 ± 0.5 nm and 58 ± 1.2 nm. However, a significant roughening effect was observed with longer plasma treatment, with Ra increasing to 70 ± 1.5 nm at 5 min which is in good agreement with previously reported study [139]. A similar roughening effect was observed with helium plasma (25 W) treatment on poly(ethersulfone) (PES) membranes. Although, significantly increased roughness were measured from 11 nm (control) to 39 nm after 30 s of plasma treatment, roughening mechanisms was attributed to fragments of re-deposited materials but the mechanisms involved were not conclusive [139]. Helium plasma at 80 W, however, showed a smoothing trend, stronger than observed for water plasma, with Ra reduced to around 40 ± 2.7 nm for all durations which therefore can be attributed to re-deposition of molecular fragments of removed materials.

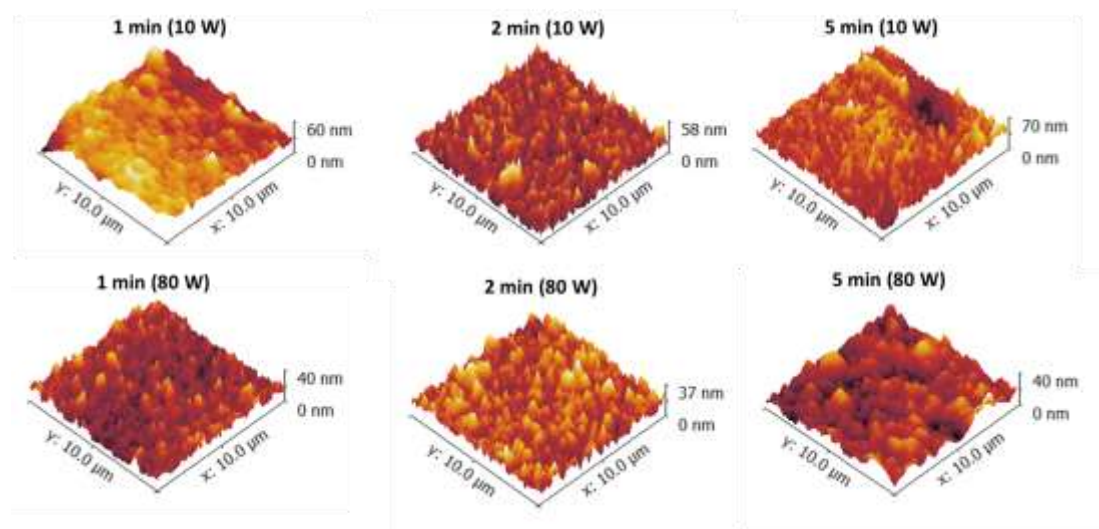


Figure 4.7: AFM topography maps of helium plasma modified membranes.

The AFM results are consistent with the findings from the SEM analysis. The altered surface texture supports the findings from prior studies on polymer surface plasma, which leads to the explanation of the potential etching and re-depositions. Etching and re-deposition mechanisms are indicative that water plasma potentially enlarged the nano-voids across the PA structures primarily as a function of increasing excitation power. The potential increase in nano-voids size relates to reactive species diffusion across the voids structure, thereby exposing the voids walls to etching radicals, which in turn can enlarge the patterns. Simultaneously, fragments of etched materials may have been re-deposited across the enlarged patterns thus resulting in surface smoothing. This trend was well associated with flux decline. The same mechanism appeared to occur with helium plasma treatments where surfaces were smoothed. However, the enlargement of free-volumes or nano-voids were potentially not as intense as hypothesized for water treatment once salt rejection was not significantly affected as seen in water plasma treatments at 80 W. On the other hand, surface roughening detected for helium treated surface can be attributed to re-deposition of more fragmented moieties, a similar effect found in plasma surface grafting of hydrophilic monomers [71, 170]. Therefore, mechanisms involving etching and re-depositions are required to be investigated at the molecular level.

4.2.2.2 Chemical assessment of TFC membrane surfaces after water and helium plasma treatments

Investigation of the chemical functional group changes across the membranes was performed by ATR-FTIR analysis. Variations in absorbance from studied frequencies (described in Table 4.1) showed potential degradation caused by etching and re-deposition mechanisms of materials related to the aromatic polymer backbone.

Table 4.1: FTIR and PA studied frequencies

FTIR band frequency (cm ⁻¹)	Description
3330	N-H groups and O-H groups stretching vibration [171]
1663	Amide I band: stretching vibration from the C=O and C-C-N deformation vibration [172]
1609	Aromatic amide N-H deformation vibration or C=C ring stretching vibration [172]
1541	Amide II band: N-H in-plane bending and N-C stretching vibration of a -CO-NH- [173]

The analysis of the FTIR spectra (Figure 4.8) for the water plasma modified membranes at both 10 and 80 W showed that the absorbance decreased with the broadening of the band at 3330 cm⁻¹, corresponding to N-H and OH groups near aromatic rings stretching vibration. This effect suggests presence of hydrophilic species such as containing O-H groups [170] however, chemical degradation cannot be discarded once referred nascent band was diminished. Although the band at 3330 cm⁻¹ was observed to broaden at both power intensities, possible etching at 80 W suggests an intensified physical sputtering behavior as previously discussed in morphology analysis, which is in agreement with significant salt rejection loss with only 84 % after 5 min of treatment (Figure 4.2).

The bands at 1663, 1609 and 1541 cm⁻¹ showed similar absorbance patterns with that observed for both 10 and 80 W. Such absorbance alterations are potentially an indicative of crosslinking with re-deposited fragments from the amide backbone.

However, for longer exposure durations such as 5 min at 80 W (Figure 4.8), a new broad carbonyl band at around 1734 cm^{-1} appeared, which may not only be attributed to re-deposition mechanisms but also to post-plasma reactions with unreacted gaseous radicals or plasma generated peroxide radicals [58].

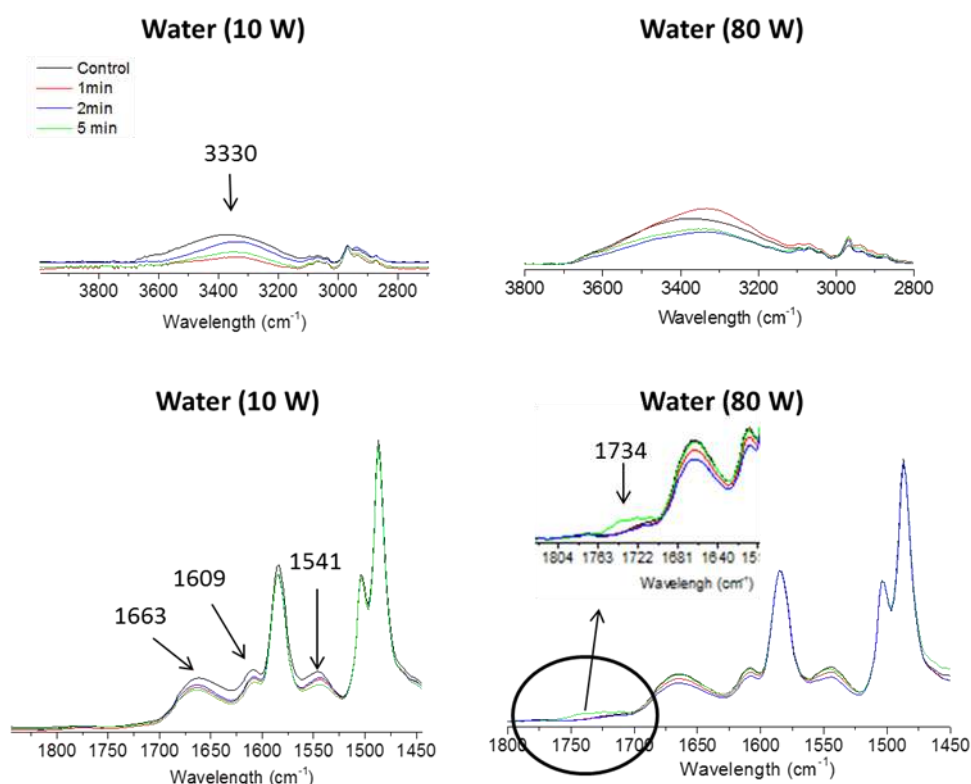


Figure 4.8: FTIR analysis from water plasma modified membranes. Top: analysis of N-H and O-H bands at 3330 cm^{-1} for modified membranes at 10 and 80 W. Bottom: analysis of amide bands from 1663 to 1541 cm^{-1} for modified membranes at 10 and 80 W.

For the helium plasma modified membranes, the band at 3330 cm^{-1} (Figure 4.9) increased in intensity and partially shifted in frequency as a function of duration. At 10 W, the significant increase in intensity for the 5 min duration compared to 1 and 2 min may indicate re-deposition of hydrophilic fragments in the amide groups [65, 170, 173].

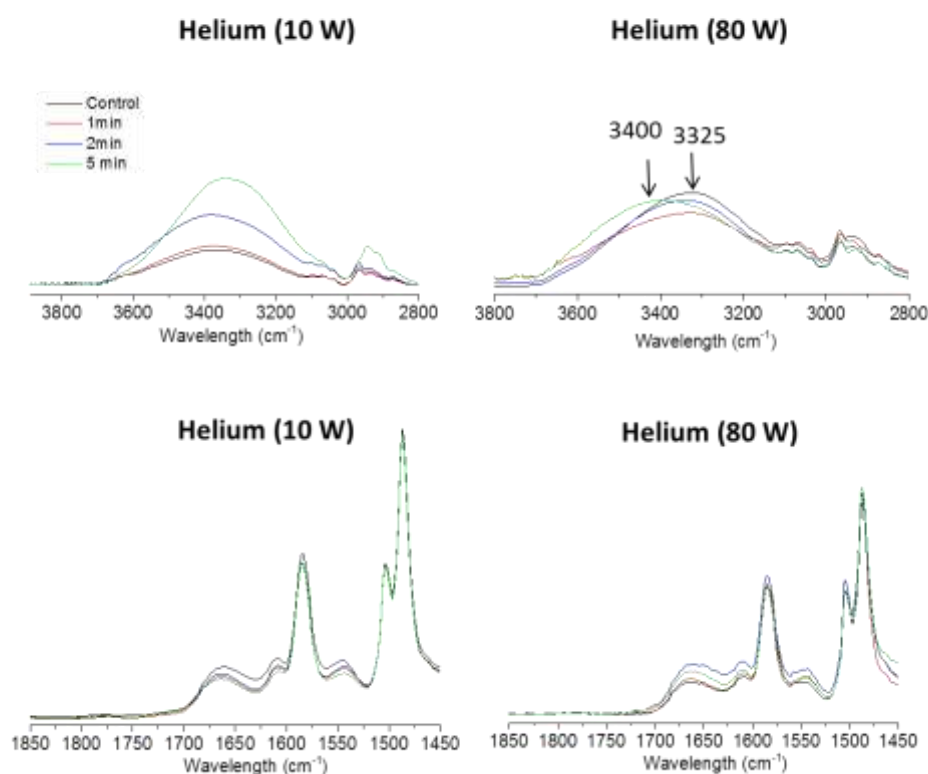


Figure 4.9: FTIR analysis from helium plasma modified membranes: on the top, analysis of N-H and O-H bands at 3330 cm^{-1} for modified membranes at 10 and 80 W; on the bottom, analysis of amide bands from 1663 to 1541 cm^{-1} for modified membranes at 10 and 80 W.

At 80 W, the band at 3330 cm^{-1} shifted to 3400 cm^{-1} , which suggests changes to the neighbouring hydrogen bonding and crosslinking at the amide vicinities [173, 174]. The bands at 1663 , 1609 and 1541 cm^{-1} at 10 W showed similar absorbance patterns. However, stronger absorbance variations were found at 80 W, which may indicate a potential increase in the etching effect and re-deposition mechanisms.

In order to investigate re-deposited materials at the molecular level, a XPS analysis elemental survey analyzed the N/C and O/C ratios. The O/C ratio for water plasma (Appendix 1 - Table A-4.1 and Table A-4.2) was found to slightly altered from 0.3 (control) to a range between 0.2 and 0.4. The O/C ratio for the water plasma treatments was stable, and may be related to the nature of PA. As the material already supports oxygen functional groups across in the polymer backbone, the incorporation of larger densities of oxygen rich functional groups, via plasma treatment, will make them much less visible than with other polymers treated in similar conditions [175]. Another potential explanation is based on the ageing effect of the samples over time, since XPS analysis in this study was performed 1 month after the plasma treatments due to constraints in accessing the XPS system. A study on water plasma treatment detected low O/C ratio in treated membranes which was attributed to hydrophobicity recover. Also the water contact angle was progressively increased by 8% after 1 month of storage [137]. On the other hand, in this work, the N/C ratio, was significantly increased from 0.03 (control) to 0.1 nearly 3 times for both helium and water plasma, with increased excitation power and duration. The nitrogen source is potentially associated with N_2^+ metastable species in the gaseous mixture from residual nitrogen, or introduced as purge gas after plasma treatment. Also minimal nitrogen concentrations ($> 1\text{ at\%}$) can easily react with aromatic structures due to the inherent electron delocalization from aromatics [176]. Previous studies using argon and helium plasma for surface treatment of PES membranes showed increased elemental species by up to 1.7 at% which was attributed to further reactions with air [139, 177]. The significantly increased ratio detected for water and helium treated samples suggests strong correlations between fragmented materials re-deposited on the surface, which therefore

promoted chemical changes. However, further analysis of C1s high resolution is necessary for an in depth assessment of re-depositions mechanisms.

In this study, only water plasma treated samples were investigated. Figure 4.10 presents the C1s peak at 284.5 ± 0.2 eV from a control membrane, which is characteristic of C-C and C-H bonds. The peak at 286.1 ± 0.3 eV may be attributed to either C-O groups, which could only originate from the surface coating material, or to inherent C-N sites, which are commonly present in commercial PA matrix [171, 172]. The peak at 287.8 ± 0.1 eV is attributed to amide and carboxylate N-C=O and C=O functional groups, which appear as backbone groups within the PA polymer.

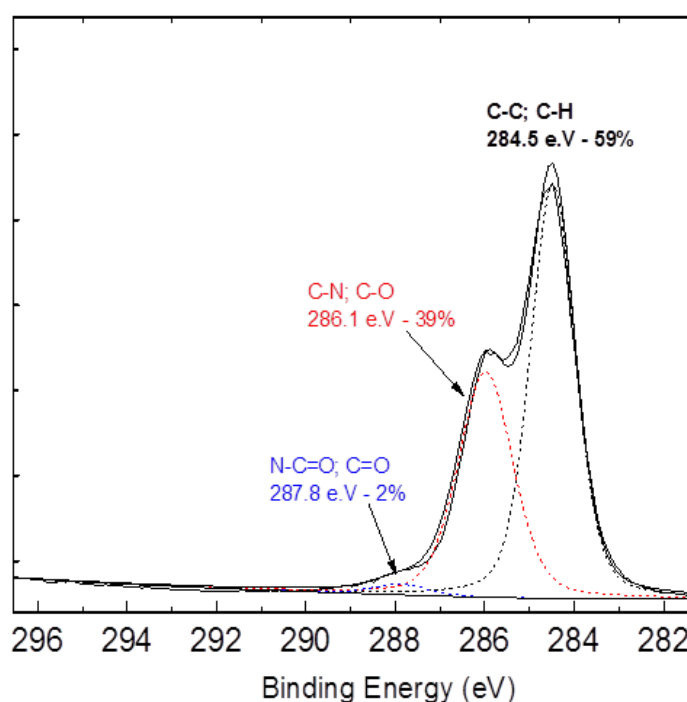


Figure 4.10: XPS C1s high resolution of a control membrane

Figure 4.11, shows that the peak at 286.1 eV consistently decreased with increasing power density with total disappearance at 80 W which is an indication of PA structural degradation. On the other hand, a large peak at 287.9 eV (C-N; C-O) was found to consistently increase upon chain scission of amide groups and carboxylates (N-C=O; C=O) at 286.4 eV which may indicate new conformation of the polymer chain [159]. Furthermore, complex alterations in the aromatic structures were found around 293 eV

and 295.9 eV. This effect corresponds to π - π^* shake-up and σ^*_{C-C} resonance transitions which may indicate the presence of re-configured structures and potential molecular deterioration [137, 170, 178].

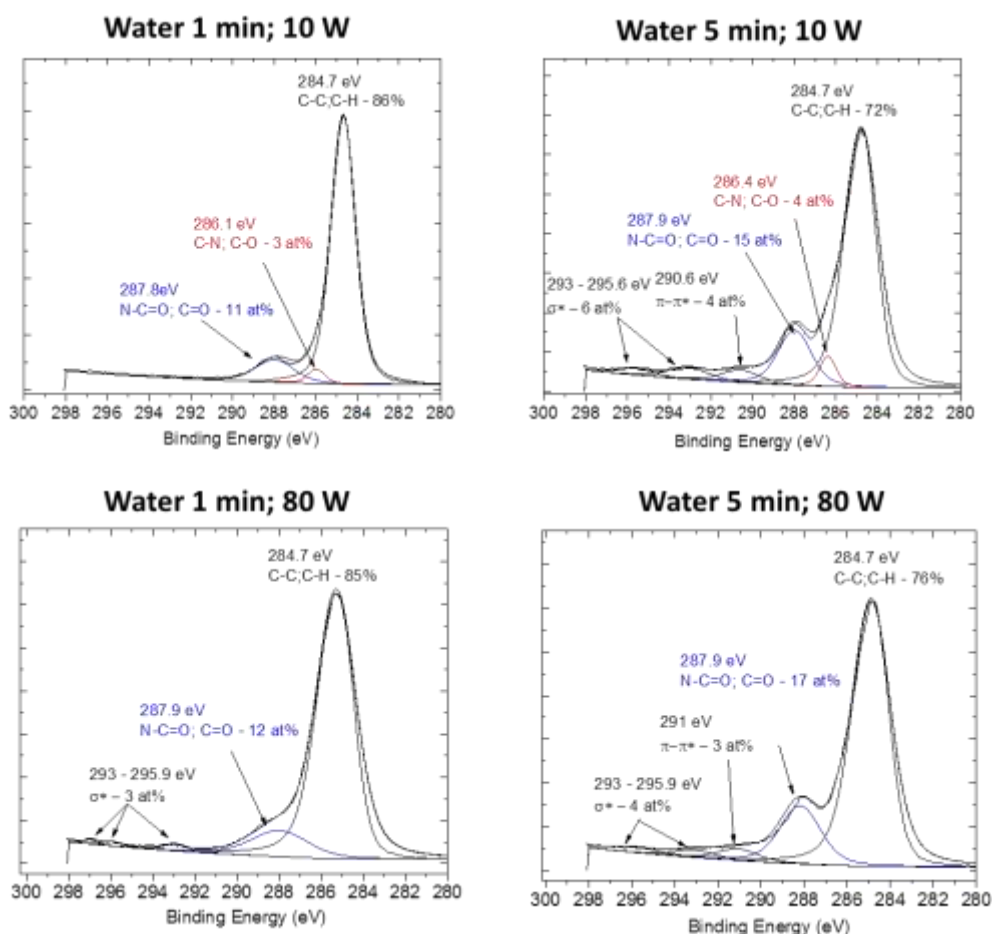


Figure 4.11: XPS C1s high resolution of water plasma modified membranes.

The detection of aromatic π - π^* shake-up and σ^*_{C-C} resonance transitions could also be an indication of loss of selectivity once aromatic rings were affected once selectivity is dependent on intact aromatic structures [32]. On the other hand, the presence of resonance transitions has also been reported as an indicative of presence of aromatic structures [65, 137]. Therefore the chemical reconfiguration detected by XPS analysis also suggests alterations of the surface energy, which were caused by plasma re-depositions of the etched materials. Such chemical alterations may also promote

hydrophilicity increase once the reconfigured polymer backbone may exhibit reoriented oxygen containing species at the outermost layers.

In order to assess hydrophilicity changes, water contact angle analysis was performed and values for the series of plasma treated samples are reported in Figure 4.12. As expected, the water contact angle for all plasma modified membranes was significantly reduced. With water plasma at 10 W and 1 min, the contact angle decreased from $46.6 \pm 3.0^\circ$ (control) to $17.3 \pm 3.0^\circ$. After 1 min the contact angle continued to decrease, with some oscillations with increasing duration, but still contact angles remained lower than the original sample. At 80 W, the contact angles were also decreased and were varied between $15.7 \pm 2.5^\circ$ to $25.9 \pm 5.7^\circ$. Helium plasma at 10 W similarly showed sustained decreased contact angle with increasing duration from $13.3 \pm 5.0^\circ$ after 1 min down to $10.1 \pm 2.0^\circ$ after 5 min of plasma duration. At 80 W, similar consistency was found with increasing duration from $16.6 \pm 2.5^\circ$ after 1 min to $11 \pm 2.2^\circ$ after 5 min of plasma treatment duration.

Competitive processes between etching and re-deposition have led to hydrophilicity and also morphological alterations as previously discussed. Resultant hydrophilicity of the outer modified layers is however, influenced by both morphology [179] or chemical changes [180]. Some of the roughness values as presented in Figure 4.12 were well correlated to decreased values of the contact angles. The contact angle decrease was correlated with the decreased surface roughness, as for the water plasma treated samples at 10 W and between 0 and 1 min.

Meanwhile, beyond 1 min, roughness values were slightly lowered than or similar to the original sample. Overall, the effect of increased hydrophilicity may be correlated to the chemical nature of the modified surfaces. Helium plasma at 10 W similarly showed sustained increased hydrophilicity compared to the original membrane, but there was less roughness variability. Specifically, decreased contact angles were more correlated with reduced roughness for 1 and 2 min durations, with stronger re-depositions processes occurring after 5 min. However, much like the water plasma, the decreased contact angle did not occur in turn with an equally significant change in roughness leading to the conclusion that plasma was having a stronger influence on the surface

chemistry. All membranes were more hydrophilic after plasma treatment which may lead to improved organic fouling resistance since hydrophilicity is an important index for antifouling properties of membranes [12]. The optimum conditions were found for helium plasma at 10 W which showed enhanced hydrophilicity and also flux enhancement. However, although such conditions yielded a highly hydrophilic surface, the rough surface morphology may not be resistant to colloidal foulants once contaminants can be encrusted in between the protusions of a rough surface [181, 182]. At 80 W, hydrophilicity was also seen to be consistently reduced, but uniquely at this higher power treatment contact angles were very well correlated to reduced roughness. In this case, hydrophilicity was better correlated also with morphology where roughness was significantly reduced, as well as contact angle. Comparing to flux data in Figure 4.2, we see that a more hydrophilic membrane does not necessarily mean higher flux, where only helium at 10 W showed fluxes increasing due to plasma. The highest flux achieved was the helium 10 W membrane treated for 5 min, where uniquely an increased roughness was observed as well as increased hydrophilicity. Therefore, the rougher aspect combined with the hydrophilicity achieved with low contact angle, led to favored water transport across modified membranes. Such result is in agreement with other surface modification techniques, such as grafting of hydrophilic moieties via plasma and chemical routes that increased roughness upon surface hydrophilization with reduced contact angle, which resulted in flux enhancement [42, 139, 183, 184]. On the other hand, flux decline in this study may be associated with both drying impact as previously discussed and excessive re-depositions which potentially increased internal PA crosslinking for stimulated by UV light from the RF plasma generated as described in Chapter 2 [144, 183].

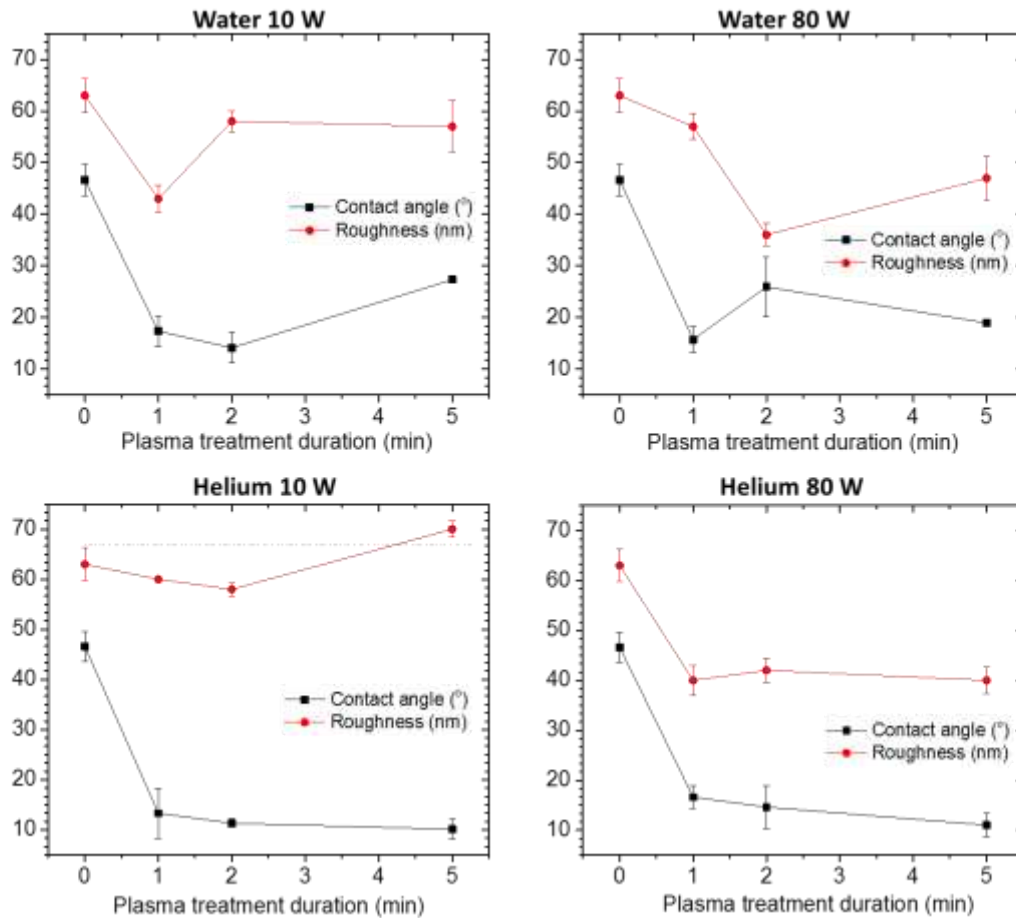


Figure 4.12: Comparison of contact angle and roughness values with increasing plasma treatment durations. Each value from contact angle and roughness represents the mean of three measurements in the sample associated with their estimated standard error.

4.2.3 Summary

Sufficient data on flux and salt rejection changes with plasma treatment, together with chemical and physical characterization, enabled a discussion on the possible impact and modification mechanisms of the plasma treatment on the surface properties. The results and key findings are summarized:

1. Inert gas plasma such as helium has been shown to be beneficial in modifying PA material structure at low power density and improved water transport.

2. Helium plasma increased membrane flux by 11% with a 5 min treatment at the lower excitation power of 10 W, without significant loss in salt rejection. This enhancement was attributed to balanced reactions between etching and re-deposition mechanisms which resulted in a combination of resulting surface roughening and hydrophilization.
3. Higher power density lead for both gases to significantly reduced membrane flux, and for water plasma to physical damages across the surface of the membranes leading to loss of salt rejection with exposure time. Surface smoothing has been shown as an effect of excessive re-deposition mechanisms which may have led to increased mass transfer resistance and therefore reduced water permeation.
4. Re-deposition mechanisms were detected with C1s high resolution in XPS analysis with significant increase of band 287.9 eV attributed to re-depositions binding at the vicinity of amide groups.
5. Surface hydrophilization was detected by increased N/C ratio and C1s high resolution in XPS analysis, and reduced contact angles in all plasma treatments. However, at low power densities the hydrophilization was influenced by chemical changes while at high power densities contact angles were more correlated to reduced roughness and therefore more influenced by morphology alterations.
6. The salt rejection drop noticed after water plasma treatment was correlated to degradation of aromatic structures from the amide groups detected with reduced 3300 cm^{-1} band in the FTIR analysis and excessive bond dissociations of the amide groups and simultaneous formation of bands associated with π - π^* shake-up resonance peaks.

As in this study desalination results were also under the influence of membrane pre-conditioning, further investigation of plasma impact on membranes without pre-conditioning step were assessed.

4.3 Surface modification with argon plasma: investigation of plasma mechanisms on TFC membranes

In this Section, correlations between altered surface properties associated to plasma mechanisms and desalination performance were investigated considering the impact of the plasma under the influence of preservative materials. The purpose of exposing the membranes this time to argon plasma in comparison to the helium and water plasma just presented is to i) confirm the effects associated with inert gas plasma observed for helium where positive improvement to water flux was uniquely seen for the inert gas, and ii) establish the basis performance of plasma polymerization utilizing argon carrier gas which is to be presented in Chapter 5.

4.3.1 The impact of argon plasma treatments on membrane performance

The impact of the plasma treatments on the performance of the pre-conditioned membranes under different plasma dose matrixes was first investigated. Model saline water permeation results, shown in Figure 4.13, indicate that the plasma treatments led to lower flux decline compared to fresh control membranes. Also, the flux of fresh control membrane was 60% higher than of the pre-conditioned control membrane. However, increasing the excitation power to 80 W and 15 min of duration significantly enhanced the flux while compromised the membrane integrity. The salt rejection trends indicated a progressive decline with increasing power density. The salt rejections were found to decrease from 98% for the control membranes down to 6% after 15 min and 80 W. Physical damage caused argon plasma by excessive etching of the PA structure is most likely the cause of this loss of performance due to increased gas molecules - surface collisions promoted by high power densities [124].

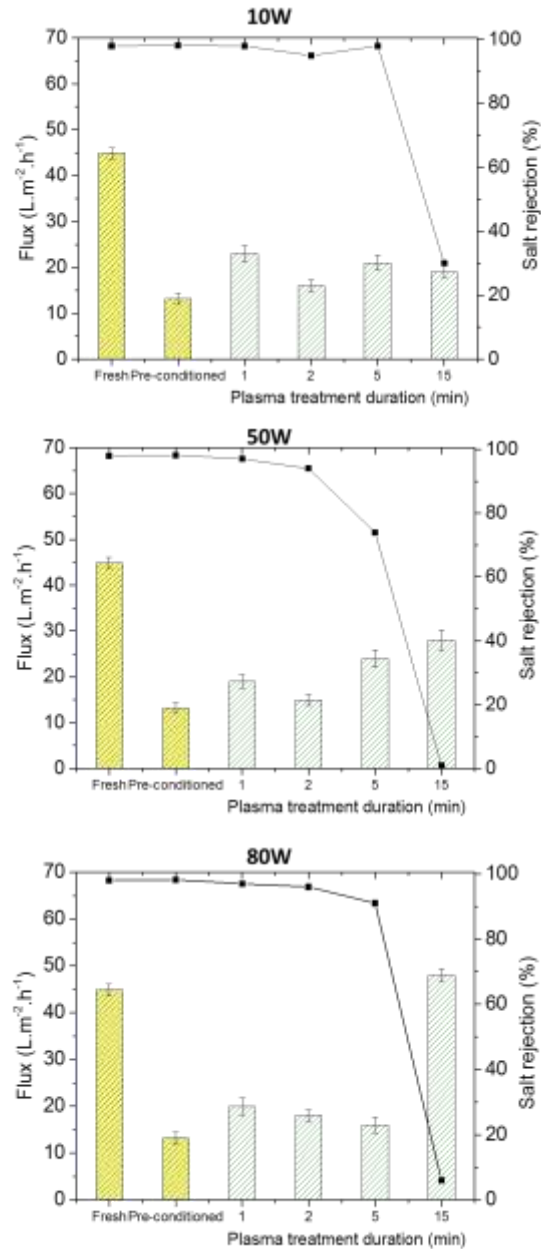


Figure 4.13: Flux and salt rejection for control and pre-conditioned plasma modified membranes. Cross-flow desalination test conditions: 15 bar inlet pressure and 2,000 ppm NaCl solution at 25°C. The displayed data from flux and salt rejection represents replicates associated with their estimated standard error of the mean.

Conversely, as seen in Figure 4.14, plasma treated membranes without pre-conditioning led to consistent flux enhancement for treatment durations between 1 and 5 min, while rejection remained essentially unchanged compared to the fresh control membrane materials. However, beyond 15 min of treatment, clear reduction of flux relative to fresh control membrane were found at all tested power densities. Specifically, at 10 W, the flux relative to the control membrane ($44.9 \pm 1.2 \text{ L.m}^{-2}.\text{h}^{-1}$) was increased by 22% and 5% for treatment durations, at $54.6 \text{ L.m}^{-2}.\text{h}$ and $47.1 \text{ L.m}^{-2}.\text{h}^{-1}$ for 1 and 5 min, respectively. Past 5 min, the permeation was found to plateau at $43.4 \text{ L.m}^{-2}.\text{h}^{-1}$, to a nominal value $\sim 3\%$ lower than that of the control membranes. A similar pattern occurred at 50 W, where the flux increased by 11% and 20% at 49.8 and $53.3 \text{ L.m}^{-2}.\text{h}^{-1}$ for 1 and 5 min of treatment respectively, prior to dropping progressively by 43% at $24.9 \text{ L.m}^{-2}.\text{h}^{-1}$ below reference flux at 30 min of plasma exposure. At 80 W, the flux was again increased by 20% with increasing duration to 5 min of treatment, prior to dropping dramatically by 76% after 30 min of treatment compared to the control membranes.

Interestingly, the salt rejection across the series of treated membranes at 10 W was found to be maintained between 98% and 96.9% at 1 and 5 min and slightly declined to 96.7% at 15 min which is within range of acceptable brackish water RO membranes performance as reported in the literature [162]. However, with increasing duration to 30 min, salt rejection significantly declined to 91.5%. This result suggests that the membranes were stable at low power densities for this plasma regime. On the other hand, increasing the excitation power to 50 W led to a significant reduction of salt rejection for plasma durations beyond 5 min. High salt rejections were only maintained around 97.5 % between 1 and 5 min, prior to decreasing to 95.7% and 59% after 15 and 30 min of plasma duration respectively. An increase of the plasma power to 80 W strongly accentuated the behaviors observed at 50 W. The salt rejections at short treatment durations between 1 and 5 min were slightly reduced to 97% and 96.2% respectively, while longer treatment durations, of 15 and 30 min led to impractically low salt rejections of 90.5% and 5% respectively. In comparison to pre-conditioned

membranes treated with helium and argon plasmas results in Figure 4.2 and Figure 4.13, show increasing fluxes relative to the pre-conditioned membranes by ~60%.

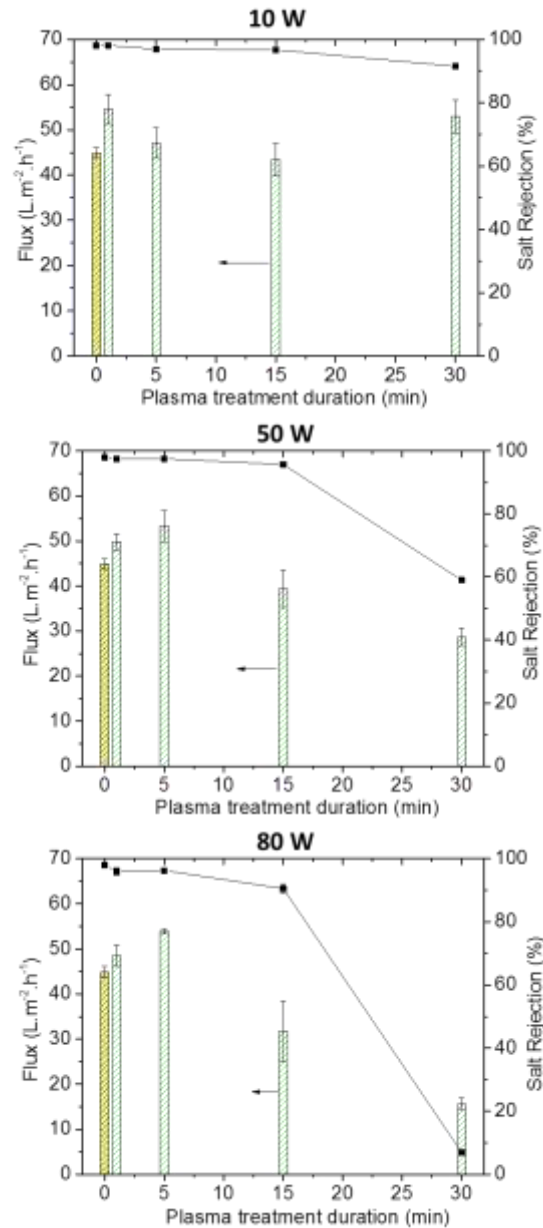


Figure 4.14: Flux and salt rejection for fresh control and plasma-modified membranes. Cross-flow desalination test conditions: 15 bar inlet pressure and 2,000 ppm NaCl solution at 25°C. The displayed data from flux and salt rejection represents the mean of four replicates associated with their estimated standard error.

Also similarly to fresh argon treated membranes, rejections of pre-conditioned membranes were largely unaffected up to 5 min of treatment at any powers. Pre-conditioned membranes were shown to be less resistant to the plasma etching after 15 min. On the other hand, fresh membranes showed consistent stability at low power density which enabled to handle etching effects up to 30 min at 10 W, 15 min at 50 W and 5 min at 80 W. Water soluble coatings added by the manufacturer to enable storage of the membranes in dry conditions, appear to have a beneficial effect of protecting the membrane in the vacuum plasma condition. This coating still enabled flux improvement with inert gas plasma but may have acted as a barrier to excessive etching.

In order to understand the processes behind these changes and compare to the helium plasma, it is necessary to further study the surface properties of the modified membranes and the overall energy absorbed by the membrane materials. This will be evaluated by surface characterization.

4.3.2 Characterization of surface properties after argon plasma treatment

4.3.2.1 Morphology assessment after argon plasma treatment

Surface roughness was analyzed by SEM and AFM to evaluate the physical impacts of plasma on the morphology of the membranes caused by the suspected competing etching and re-deposition mechanisms within different plasma regimes. As seen in Figure 4.3a and 4.3b, morphology of control membrane was found to be irregular and rough, which were attributed to the fabrication mechanisms of these materials by IP. During IP of PA, diffusion of the diamine monomer molecules across the nascent thin-film towards the organic phase were shown to produce crosslinked protrusions, giving rise to a micron-scale rough surface morphology, characteristic of this type of membranes [84]. Figure 4.15 shows SEMs of plasma treated membranes resulted in different types and varying levels of surface texturization compared to control membrane Figure 4.3a. Interestingly, short plasma treatment durations, up to 5 min, at 10 W, were generally flattening these protrusions generating a smoother layer. This layer was starting break down for longer treatment durations. Although, increasing the

plasma power density accelerated and accentuated the process, the same morphological trend was observed at 50 and 80 W. A quantification of the level of texturization was obtained from measuring the average roughness (Ra) for the samples, calculated from AFM maps, are presented in Appendix 1 Figure A-4.1. The calculated values were plotted in Figure 4.16a in order to further illustrate the morphological variations. The roughness of the 10 W plasma treated membrane series initially decreased by nearly 35 % after 1 min of treatment, from an initial 60.5 ± 2.5 nm to 39.2 ± 2.8 nm, then plateaued around 45 nm for treatment durations greater than 5 min. The sharp drop in roughness is consistent with the flattening and fusing of protrusions observed during SEM investigations. As found at 10 W, the roughness at 50 W series was sharply reduced for short treatment durations between 1 and 15 min down to an average value of 43.1 ± 3.5 nm. The roughness was however found to plateau around 50 ± 3 nm, at a value slightly higher than that of the 10 W series between 5 and 30 min of plasma duration. At the highest power density of 80 W, the roughness was found to steadily reduce with increasing treatment duration. Similarly at 10 and 50 W, between 15 and 30 min of treatment, the roughness was found to significantly decrease from $51.6 \text{ nm} \pm 6.0$ nm to $40.6 \text{ nm} \pm 4.0$ nm. A quantification of the level of texturization was obtained from measuring the average roughness (Ra) for the samples, calculated from AFM maps, are presented in Figure 4.16b and Appendix 1 - Figure A-4.2. The roughness of the 10 W plasma treated membrane series initially decreased by nearly 35 % after 1 min of treatment, from an initial 60.5 ± 2.5 nm to 39.2 ± 2.8 nm, then plateaued around 45 nm for treatment durations greater than 5 min. The sharp drop in roughness is consistent with the flattening and fusing of protrusions observed during SEM investigations. As found at 10 W, the roughness at 50 W series was sharply reduced for short treatment durations between 1 and 15 min down to an average value of 43.1 ± 3.5 nm. The roughness was however found to plateau around 50 ± 3 nm, at a value slightly higher than that of the 10 W series between 5 and 30 min of plasma duration. At the highest power density of 80 W, the roughness was found to steadily reduce with increasing treatment duration. Similarly at 10 and 50 W, between 15 and 30 min of treatment, the roughness was found to significantly decrease from $51.6 \text{ nm} \pm 6.0$ nm to $40.6 \text{ nm} \pm 4.0$ nm.

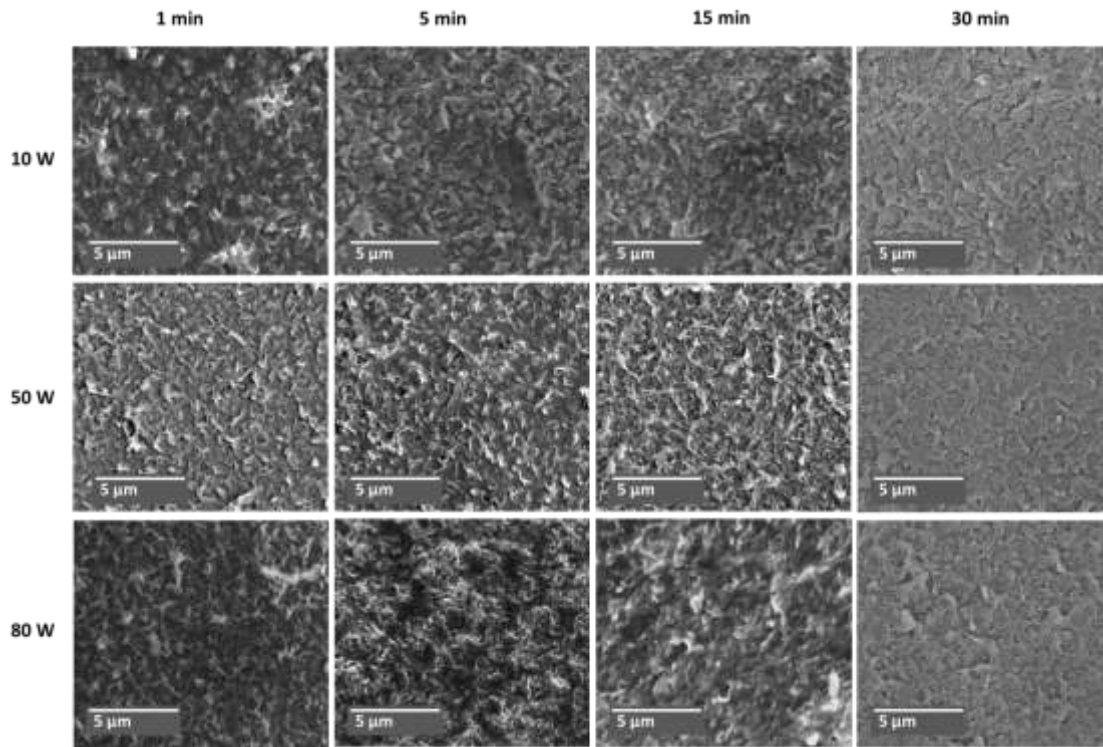


Figure 4.15: Surface morphology performed by SEMs for the plasma powers (10, 50 and 80 W) and treatment durations (1, 5, 15 and 30 min) series. All scale bars represent 5 μm .

Irregular morphology, as expected for the control membrane material. It is interesting to see that across the power densities tested in this work, increasing surface uniformity was observed through sharper AFM profile peaks for all short treatment durations (1 min shown here for example). However, as shown in Table 4.2, the FWHM determined from each of these distributions, which correlates the broadness of the height distributions, revealed that these profiles become more irregular upon reaching 30 min of treatment.

Table 4.2: FWHM values from morphology height distribution of argon plasma modified membranes.

Plasma duration (min)		10 W	50 W	80 W
Control	0.17	-	-	-
1		0.09	0.11	0.12
5		0.11	0.14	0.11
15		0.12	0.13	0.13
30		0.13	0.16	0.11

The height distribution analysis suggests that the plasma treatment, while initially smoothing the membrane surface and increasing protrusion uniformity, ultimately reduced for longer plasma durations the surface uniformity. These results therefore indicate that plasma treatments performed at 10 W significantly smoothed and uniformly modified the surface area before the surface roughness increased again. This is a critical point, since the flux of these membranes were improved without loss of salt rejection suggesting that smoothening the membrane surface had a positive impact by improving flux performance of the membranes, as illustrated in Figure 4.14 for plasma treatments up to 5 min. These trends also suggest that performance was largely independent of power density, at similar treatment durations. However, this criterion alone is not sufficient to explain the permeation behaviors of the membranes treated at high power density (80 W for 15 min or longer), also found to be smoother but exhibiting poor performance. Further chemical analyses were therefore performed to better understand the nature of the surface properties changes.

Although not investigated within the scope of the present work, another possible benefit of smoother more uniform surfaces could be improved fouling resistance to colloidal matter, where researchers have attributed reduced fouling to reduced surface roughness [11, 185].

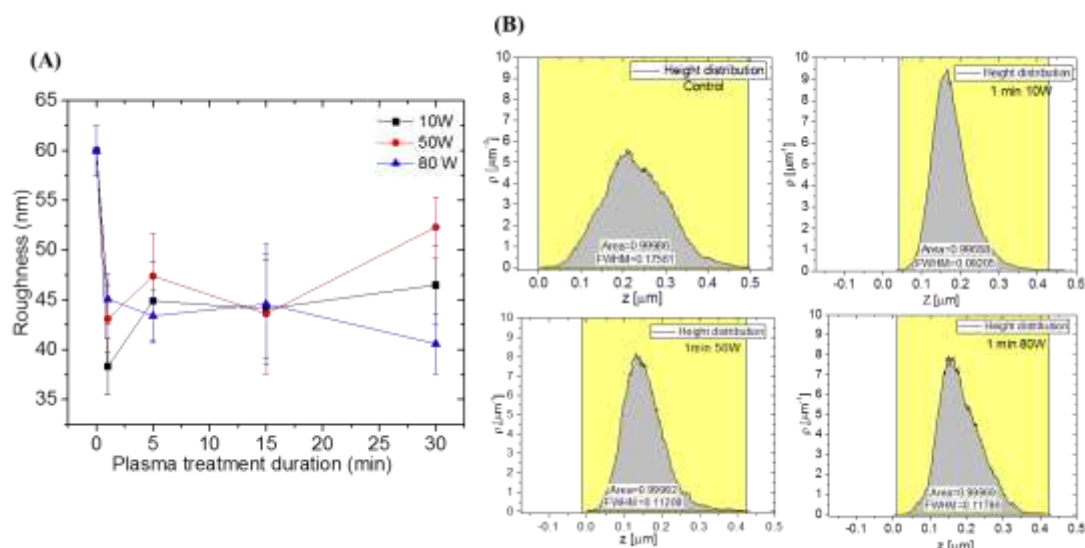


Figure 4.16: Morphology analysis: a) Average roughness (Ra) calculated from AFM surface roughness maps and b) height distribution histogram of the inset were calculated from 5 x 5 μm AFM maps, indicated by values of Z and p represents the density or histogram-frequency of respective height values. Each value from roughness represents the mean of three measurements in the sample associated with their estimated standard error.

4.3.2.2 Chemical assessment after argon plasma treatment

Chemical analysis using XPS C1s deconvolutions were performed since carbon bonds are the most vulnerable sites during plasma treatment. For instance, argon excited species exhibit high dissociation bond energies in the order of 11.7 eV, which was demonstrated to be sufficient to induce various bond scissions, including C-C (3.7 eV), C-H (4.7 eV), C-N (3.2 eV), C-O (3.7 eV), N-H (4.0 eV), O-H (4.8 eV) and C=C aromatic bonds (5.5 eV) [186, 187]. Figure 4.17a, presents a similar impact of plasma etching as found in water plasma with the peak at 285.9 eV consistently decreased with increasing power density. The peak 285.9 eV dropped by nearly 30% (Figure 4.17a) relative to the control membrane material while the peak at 287.5 eV (Figure 4.17b) was shown steadily increased by 8% compared to control membrane.

These trends suggest that saturated C-N and/or C-O bonds shown in Figure 4.17a are under these conditions the most vulnerable bonds. However, it is most likely that C-O bonds were cleaved in the process because these groups are commonly found in the outermost regions of the PA layer potentially associated with preservative materials bound electrostatically, as discussed elsewhere [171, 172]. As previously mentioned in Chapter 1, the native control membranes are multi-layer composite materials and the active top layer is coated with a thin (nanoscale) preservative material which protects the membrane during dry storage and shipping [171]. These materials, although undisclosed by manufacturers, involve chemistries based on ester or anionic surfactants as determined by probing the commercial membranes using FTIR or XPS analysis [171, 172]. The resulting surface reconfigurations may also be associated with rearranged ionized molecular groups from the material etching and re-deposition processes caused by the plasma glow [188]. Unsaturated groups (Figure 4.17b) were potentially rearranged and re-attached along with vaporized materials indicating specific re-deposition mechanisms.

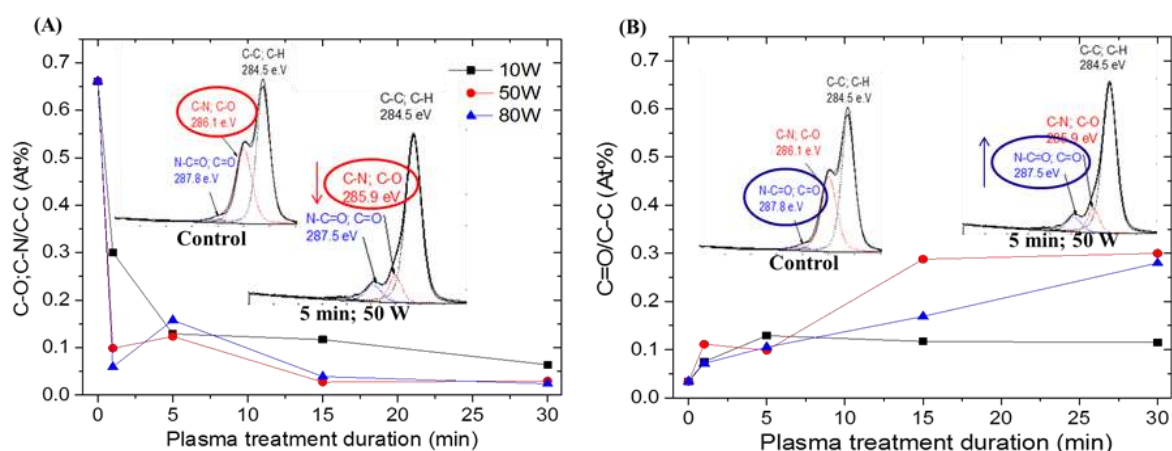


Figure 4.17: Analysis of plasma functionalization mechanisms with XPS C1s high resolution of atomic ratio a) peak 285.9 eV reduction: C-O; C-N/C-C from peaks 285.9/284.4 eV and b) peak 287.6 eV increase: C=O/C-C from peaks 287.6/284.4 eV. The pictures inserted in the figures are a generic illustration of how the functional groups in C1s are affected by plasma treatment.

The C1s deconvolution in Figure 4.18 shows additional peaks towards 300 eV which can be attributed to the formation of polar groups upon rearrangement resulted from etching and re-deposition mechanisms [159].

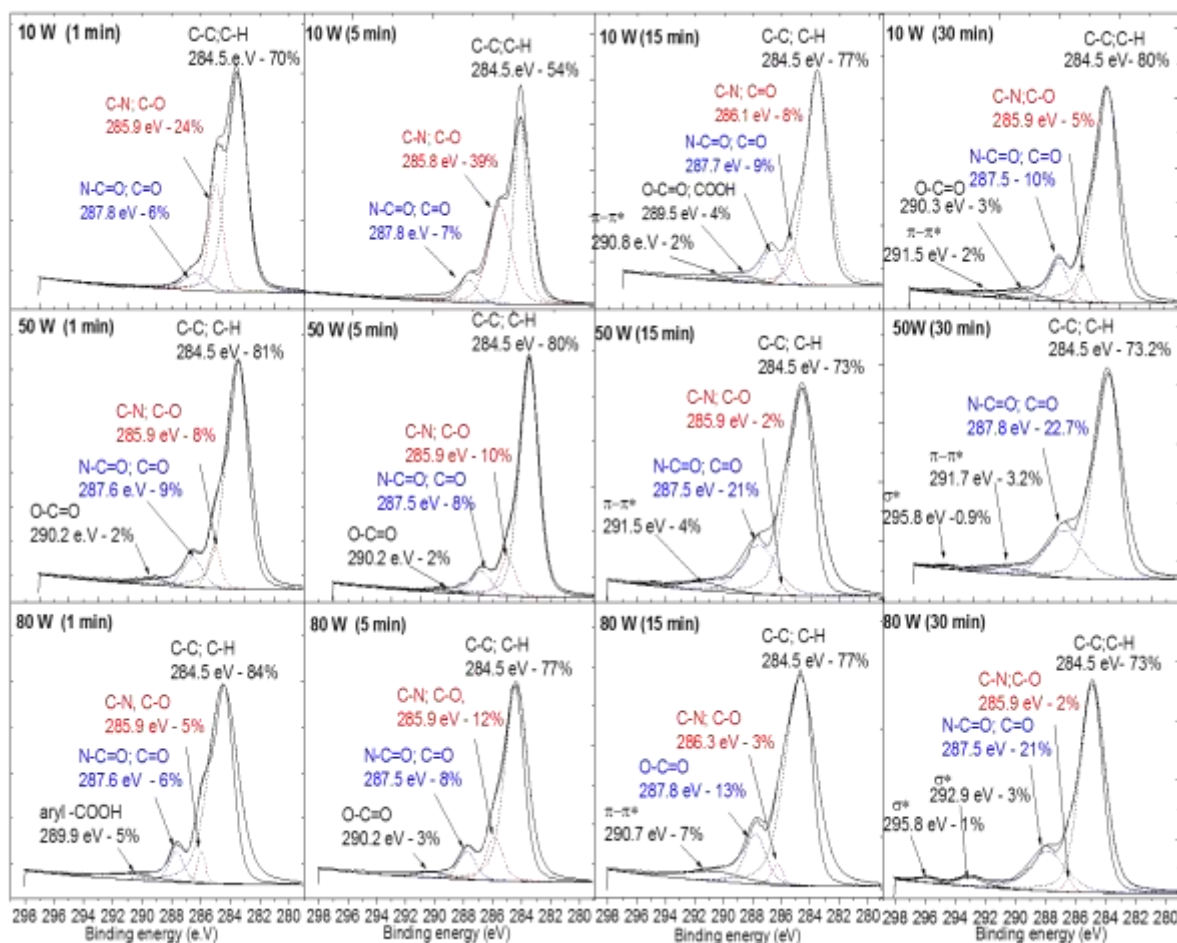


Figure 4.18: High resolution XPS C1s peaks of the argon plasma modified membranes.

These peaks were found at 290.2 eV, indicating ester carboxylic groups. Furthermore, complex alterations within the aromatic structures were found to occur for the most at 290.8 eV and 295.8 eV. These resonance transitions transition bands were also found with water plasma treated membranes which also may indicate the presence of re-configured structures and potential molecular deterioration [188, 189] which led to loss of selectivity due to a reduction of the macro-molecular weight of the polymer through

breakage of structural bonds from within the PA. Therefore, etching may also play a significant role on the altered surface properties and the relative intensity of the etching process was shown to influence the density of removed materials leading to different re-deposition processes. The chemical reconfiguration detected by XPS analysis also suggests potential alterations of the surface energy, which were caused by plasma re-depositions. An assessment of surface energy involving surface charge and wettability is discussed in the following Section.

4.3.2.3 Surface energy assessment and the role of surface charge on wettability

Figure 4.19 shows the surface charge as a function of pH measured by streaming potential technique. In all cases, increasing pH leads to an increased negative surface charge. For plasma treated membranes, the magnitude of the negative charge also increased. Such effect is commonly achieved during plasma modification of polymers that is attributed to the effect of UV radiation which promotes crosslinking with re-deposited materials that may exhibit negatively charged species [190]. The control membranes exhibited a relatively smooth negative curve profile, reaching -24 mV at pH 8 which is similar to PA membranes reported previously [172]. Plasma treated membranes exhibited an overall accentuated change towards negative charge that resulted in a streaming potential of -60 mV at pH 8. At 10 W, the membrane treated for 5 min showed a significant negatively charged surface reaching -63 mV at a pH of 8. On the other hand, after 1 min of plasma treatment a slight reduction of the negative charge with appearance of an IEP at a pH of 3.7 reaching around +5.0 mV could be observed. The presence of an IEP point may indicate that a low density of acidic species was also re-deposited. However, acidic species were not detected across the XPS C1s analysis. The surface charge analysis for the membranes treated at 50 W showed a stronger chemical influence with increased negative charge. The negative charge was significantly increased at the shortest treatment duration reaching -24 mV similar to the control membrane with the longest 30 min treatment reaching -75 mV at a pH of around 8.0. On the other hand, at 80 W, surface charge values also presented increased negative profiles however, less negative than at 10 or 50 W. The highest negative charge for the

80 W series reached -45 mV at 30 min of treatment. Furthermore, the IEP of these membranes was found to lie at 3.7, similarly to that obtained at 1min; 10 W. Therefore, re-depositions suggested by the XPS analysis gave rise to an increased density of hydrophilic species with ionizable functional groups reaching optimum OH^- and Cl^- ions adsorptions.

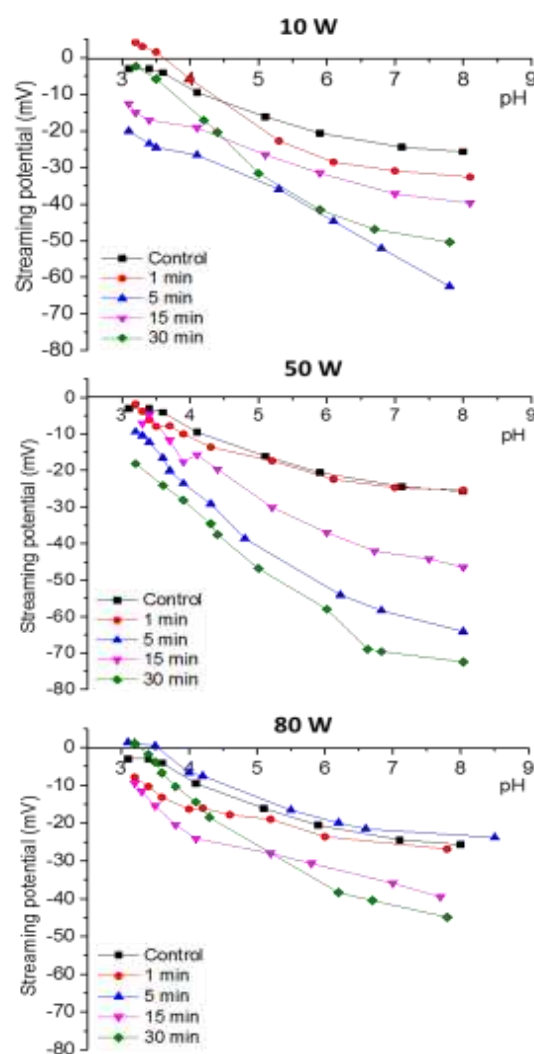


Figure 4.19: Streaming potential with increasing excitation power at 10, 50 and 80 W power input and for 1, 5, 15 and 30 min plasma durations. The repeatability of all zeta potential measurements varied ± 2 mV.

Figure 4.20 shows wettability of the plasma-modified surfaces which investigated functionalization reactions. The hydrophilicity was significantly increased for all plasma treated membranes. At 10 W, the water contact angles were found to decrease, with increasing plasma treatment duration from $60.8 \pm 5.0^\circ$ for the control membrane, down to $23.5 \pm 9.0^\circ$ after 30 min of treatment duration. A consistent and similar trend was also found at 50 W in contact angle measurements. Water contact angles were reduced at 50 W from $32.2 \pm 9.0^\circ$ to $19.4^\circ \pm 9.0$ for 1 to 30 min of treatment duration. Furthermore, the water contact angles were further reduced at 80 W when compared to 10 and 50 W, down to $29.4^\circ \pm 3.0$ and $15.2^\circ \pm 4.0$ between 1 and 30 min of treatment duration respectively. Therefore, the hydrophilicity increase detected by the reduced water contact angles can again be attributed to chemical reconfigurations with polar species as previously discussed within the XPS and streaming potential Sections [191]. These chemical changes may also contribute to a potential anti-fouling property on the PA material where further long-term tests are required [71, 192].

4.3.2.4 Stability of water contact angle

The stability of the water contact angle values was assessed on the same membrane materials after 5 months of storage, and is shown in Figure 4.20. The results indicated strong wettability decay at lower power density over time while at 80 W, above 15 min duration, wettability was shown more stable. Similar investigations have reported hydrophobicity recovery upon RF plasma treatment of polymers. The wettability decay was therefore correlated with the reorganization of polar functionality on the surface with the passage of time, where work on plasma modified membranes attributed this to storage condition upon exposure with air [177, 193, 194]. However, for the contact angle measurements, membranes were kept dry while in practical terms, RO membranes would be continuously subjected to water and high pressure would therefore be less likely to be impacted by hydrophobicity recovery. For instance, helium plasma treated PES membrane were kept immersed in water for 3 weeks and showed that hydrophilic surfaces were quite stable, exhibiting static contact angles variations of only $\pm 2^\circ$ over this period [139]. Therefore, as previously discussed in the water and helium plasma

Section contact angles may also be influenced by morphology. This combination of results strongly suggests that the plasma regime at 10 and 50 W tended to promote more chemical reactions while 80 W was primarily governed by the physical surface properties promoted by etching and re-deposition mechanisms. Therefore, an in depth analysis of the degree of etching as performed in the next Section, was required necessary in order to better understand the impact of the plasma glow on the upper layers of the PA material.

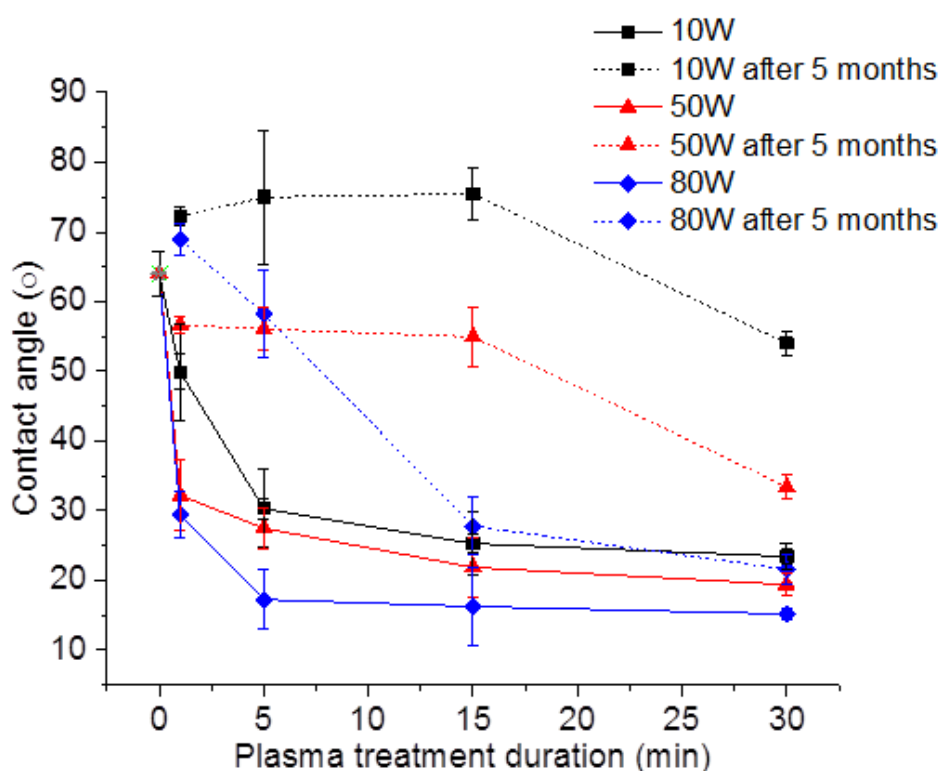


Figure 4.20: Investigation of hydrophilicity alterations by reduced water contact angles with increasing power density. Each value from contact angle represents the mean of three measurements in the sample associated with their estimated standard error.

4.3.2.5 Analysis of the effect of etching on surface properties

ATR-FTIR analysis was used to investigate the etching mechanisms occurring during these different plasma regimes, by considering the presence and alteration of the nascent preservative materials. This investigation allowed accessing the etching behaviors across the different surface layers of the materials, including ester or anionic surfactants which may have shielded the PA internal layers and limited the depth of penetration of the glow. In Figure 4.21, the FTIR spectra of the control membranes were compared to membranes both as supplied and washed with deionized water, pre-treatment step performed in order to remove preservative materials. The presence of a band at 1043 cm^{-1} relates to C-O and C-C stretching vibrations which may correspond to the previously mentioned coated preservative materials electrostatically attached onto the PA layer [171, 173]. This band, present on the native membrane, was absent on the spectra corresponding to the washed membrane suggesting that preservative materials was indeed easily removed upon gentle washing.

The membranes subjected to plasma glows were not washed prior to treatment, and therefore the band at 1043 cm^{-1} was chosen as a reference to access the degree of etching. Spectral analysis of the plasma modified membranes at 10 W (Figure 4.21b) showed slight alterations of absorbance of the band at 1043 cm^{-1} . Therefore, this result indicates that the etching was not intensive enough to completely remove the as supplied preservative material. The degree of etching on the other hand, appeared to be intensified at 50 and 80 W. The FTIR spectral analysis presented in Figure 4.21c and d, showed that the integrated areas at the band at 1043 cm^{-1} significantly decreased by 50% with 30 min of plasma treatment. This analysis suggests that at 50 and 80 W more materials tended to be removed and therefore re-deposited. The plasma etching involves bond scission mechanisms [145] which could potentially increase free-volume density and physically affect the surface such as potential thinning or formation of defect across the PA layer.

In order to assess the penetration depth of the etching mechanisms, XPS elemental quantitative analysis, was able to probe down to 10 nm within a surface, was performed

to investigate sulphur content across the surface of the membranes (Appendix 1 -Table A-4.3). The % of sulphur is normally found at 0.09 +/- 0.03 for such membrane materials and is attributed to sulfonic groups within the underlying Psf ultra-filtration supporting layer below the PA. XPS elemental analysis revealed that the sulphur content progressively increased at all power densities and with plasma treatment duration. At 10 W, the sulphur at% reached 0.36 at% after 30 min, which is nearly 4 times that of the nascent material (Appendix 1 - Table A-4.3).

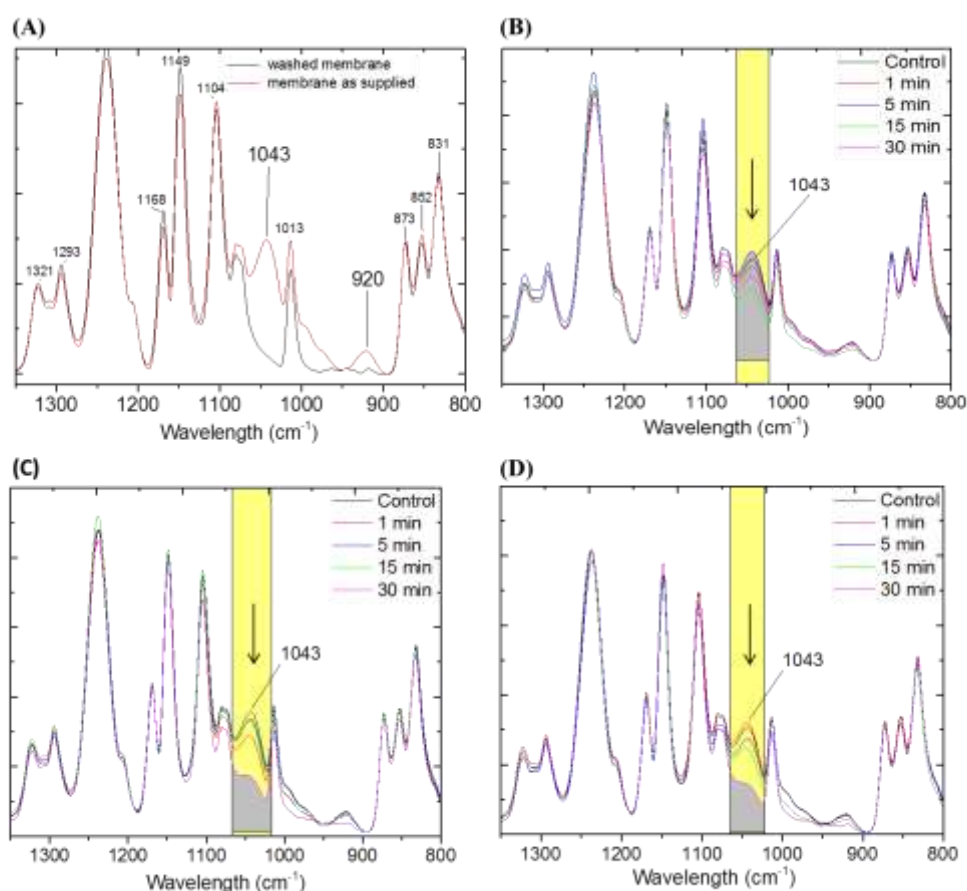


Figure 4.21: Degree of etching by FTIR analysis of the band 1043 cm⁻¹ with increasing excitation power: a) analysis between control membranes: washed membrane with deionized water (for 1 h) and a membrane with preservative materials, b) modified membranes at 10 W, c) at 50 W and d) at 80 W. Normalization was performed at 1240 cm⁻¹.

Consistently, at 50 and 80 W, sulphur at% was increased by nearly 10-fold that of the nascent material. Furthermore, the rate of increase was found to be larger with increasing excitation power suggesting stronger etching of the PA layer at higher power, which could lead to degradation or thinning of the material as previously discussed in morphology analysis. A strong correlation was found between sulphur at% increase and salt rejection decline as shown in Figure 4.22. This correlation indicates physical degradation of PA layer caused by plasma etching which was strong enough to reveal the Psf between the tortuous profiles of the PA layer and therefore likely rupture locally at the nanoscale the PA layer. Recent study on the depth profile of an oxidized TFC membrane performed radiofrequency pulsed glow discharge time of flight mass spectrometry (RF-GD-TOFMS) in order to detect oxidized species across PA layer. Elemental analysis was performed upon surface sputtering with argon plasma at 13.56 MHz using is a similar system used in this work [195]. Analysis performed in control samples detected increased sulphur elements from Psf under layer with increasing sputtering duration which is a comparable trend found in this work for modified membranes under the influence of plasma etching.

Such etching mechanisms, valid for the different plasma regimes, led to an empirical understanding in terms on surface morphology, and permeation is therefore found to be improved for shorter treatment durations due to the smoother and thinner active layers [196]. On the other hand, the permeation declines, for longer treatment durations, are likely caused by re-deposition mechanisms which could have led to densification and to a reduction of the free-volume across the PA [81]. The degree of etching potentially generated different densities of re-deposition regimes and appeared to be strongly correlated to the applied power density.

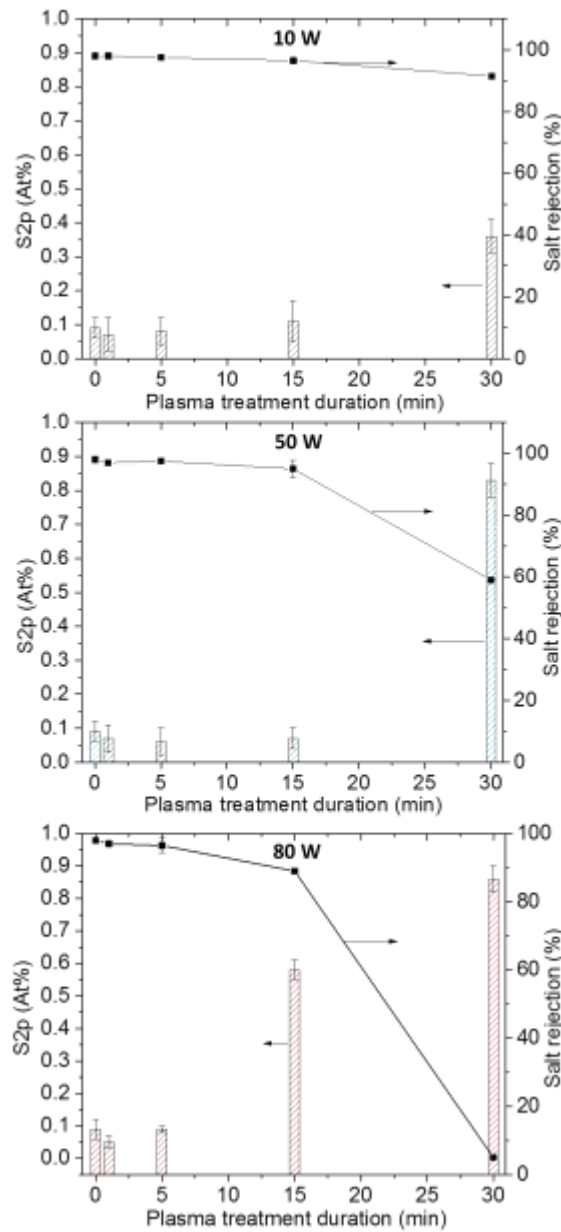


Figure 4.22: Correlation between sulphur S_{2p} at% and salt rejection with increasing power and duration. Each value from sulphur at% represents the mean of five measurements in the sample associated with their estimated standard error of the mean.

4.3.3 Summary of argon plasma exposure

1. Flux enhancement achieved at low power densities and short durations (1 and 5 min) shown during membrane testing were primarily driven by chemical changes that promoted hydrophilicity confirmed with water contact angle and streaming potential analysis; Also surface thinning due to concurrent material removal and re-deposition mechanisms was evident from FTIR and XPS C1s and elemental sulphur analysis.
2. XPS C1s, FTIR and elemental XPS analysis showed plasma etching and re-deposition mechanisms are intensified with increasing argon plasma power - the more removed materials also lead to more re-deposited materials;
3. All modified membranes treated with ≥ 15 min of duration, led to flux reduction due to mechanisms which strongly altered morphology and promoted excess of re-depositions.
4. At 80 W and long durations ≥ 15 min, significant reduced water contact angle (hydrophilicity) by $\sim 30\%$ were attributed to intense etching and re-deposition mechanisms causing significant physical changes on the surface while at 10 and 50 W increased hydrophilicity was attributed to chemical alterations. Similar conclusions correlating increasing excitation power and physico-chemical mechanisms were obtained for helium and water plasma modified membranes.
5. Selectivity drop was associated with excessive etching which was detected by XPS and FTIR at high power densities. Such effect potentially damaged the aromatic structures detected by XPS with $\pi\text{-}\pi^*$ shake-up and $\sigma^*\text{C-C}$ resonance transitions also found with water plasma treated membranes associated with loss of salt rejection. Plasma etching at high power densities was shown to physically affect the surface with potential thinning or formation of defect across the PA layer also detected with increased % of sulphur element by XPS analysis.

4.4 Analysis of the impact of different plasma treatments in this study

As previously discussed in Chapter 2, one of the main targets in modifying the surface of TFC membranes is to produce new materials with anti-fouling properties. The challenge with membrane operation is primarily related to performance loss over time due to the strong adherence of both organic and colloidal contaminants with surfaces of membranes upon compaction. The targeted surface properties, generally considered as favorable for low fouling or enhanced and facilitated cleaning of surfaces, are therefore associated with increased hydrophilicity and reduced surface roughness.

All plasma treatments performed in this study led to significant enhancement of hydrophilicity by up $\sim 70\%$ and to different degrees of surface roughness. Regardless on the nature of the plasma reactant gas, the excitation power was a critical parameter to intensify the etching impact which in turn also intensified re-depositions of removed materials. Any reactant gas at low excitation power (10 W) and short durations (up to 5 min) led to altered surface properties without significantly compromising the salt rejection. However, at 10 W some differences in the efficiency to reduce water contact angle and roughness were found to occur.

Pre-conditioned membranes exhibited water contact angles $\sim 18\%$ lower with helium plasma than with water plasma treatment. When helium plasma is compared to argon plasma treatments, water contact angles were $\sim 37\%$ lower than that of the not pre-conditioned argon treated membranes. In terms of surface morphology, at 10 W of excitation power the roughness of the membranes was reduced upon argon treatment while roughness was also found to be reduced by up $\sim 33\%$, compared to 20% for water plasma and only 3% with helium plasma. The significant roughness decrease seen upon argon plasma treatment is also related to the fact that the membranes were not pre-conditioned and therefore more removed materials corresponding to the preservative coating, were re-deposited, as demonstrated in this chapter, across the surface. Part of the flux enhancement achieved at low powers and for short durations could also be related to surface thinning. Surface thinning, due to smooth surface etching may occur for the near top-surface layers, which would in turn reduce water resistance and thus

increase permeability. Also at 10 W, water plasma treatments were efficient to reduce roughness which is potentially attributed to more intense etching mechanisms involved with this reactant gas, which is known to generate free radicals.

In terms of membrane performance, specifically for water plasma treatment, salt rejection significantly declined after only 5 min of duration and at high excitation power of 80 W. Intense etching mechanisms for water plasma therefore was shown to degrade PA layer upon reduced or disappearance of some of the PA nascent bonds. FTIR analysis showed reduced absorbance of amide bands at 3300 cm^{-1} and C1s XPS analysis showed disappearance of the peak at 286.1 eV corresponding to C-N bonds. Similar impacts with inert reactant gases were only detectable with argon treated membranes but only when subjected to pre-conditioning. Those membranes showed significant and even higher impact on salt rejection after 5 min of duration, with the impact progressively intensified with increasing excitation power. However, the impact of etching associated with loss of salt rejection may potentially be reduced upon working with membranes without pre-conditioning, i.e. with still a layer of preservative materials present. The lower intensity etching was therefore attributed to the shielding influence from this protective preservative layer across the surface of the PA thin film. Similar bond scissions detected by C1s XPS analysis found for water treated membranes at 80 W was found only after 15 min at high excitation power where nascent bond was totally disappeared. Thus, significant salt rejection decline only occurred after 15 min of duration at high excitation powers, where the PA was most harshly attacked by the free- radicals induced by plasma.

The optimum conditions therefore were shown by the treatment with inert gas plasmas at low power densities once membranes were more permeable and also exhibited significant increased hydrophilicity and reduced roughness.

4.5 Conclusions

The work presented investigated the impact of plasma conditions on the performance of commercially available RO TFC membranes, and assessed the threshold of membranes resistance to etching and re-depositions mechanisms. These results provided significant evidence of altered surface properties such as roughness, charge and hydrophilicity which enabled to suggest potential antifouling properties according to the surface characteristics of the modified membranes. The benefit of nascent preservative materials layer was shown to shield and simultaneously functionalize the PA surface which led to improved performance. The significance of this chapter is to show a threshold and the real impact of water, helium and argon plasmas on the PA layer for the first time and provide insights about the penetration depth of the plasma mechanisms on the bulk PA nanoscale network.

The findings in this study has implications for sample pre-conditioning, characterization of surface properties and etching limitations on the PA layer when working with plasma polymerization in Chapter 5.

5. Plasma polymerization across poly(amide) thin-film composite membranes

In this chapter, low pressure plasma was explored using an AC plasma generator to control the deposition of functional moieties across the TFC membrane surface. The surface charge was readily tuned according to the nature of the used monomer and controlled by adjusting plasma process parameters. The monomer 1-vinyl(imidazole) (VIM) was employed to deliver positive charges while the maleic anhydride (MA) to enhance negative charges. The practical assessment of the performance of the membranes included essential desalination tests to prove working membranes, as well as the characterization of the surface properties of the membranes. Furthermore, the reactivity of the amine-based functional groups introduced upon polymerization of the VIM monomer molecules was demonstrated through the attachment by coordination of Ag nano-particles (NPs). The AgNPs enriched membranes were further tested for their anti-bacterial functionality. In the last Section, experiments targeted to improve desalination performance using membranes fresh control membranes without a pre-conditioning step in order to improve desalination performance. The range of probe monomers used in this work demonstrated the versatility of film formation onto PA surface with the effect of opposite surface charges promoted by the nature, density and bond dissociation energies (BDE) of the functional groups introduced across the surface.

5.1 The impact of AC argon plasma on pre-conditioned TFC membranes

In this Section the impact of argon-only plasma was first investigated using pre-conditioned TFC membranes in order to understand potential sources of surface properties changes. Argon gas is essential to generate plasma in polymerization processes in order to avoid monomer degradation [62]. The membrane pre-conditioning consists in washing the samples with DI water following by drying in air prior to the surface modification in order to remove preservative materials. The conditions of the argon plasma presented in this chapter were different to those in Chapter 4 since the treatments in previous chapter were performed on a RF system at higher excitation powers and gas environment pressure (details in Chapter 3 - Section 3.2.2). However, the potential chemical and morphology alterations were still assessed considering the same nature of the plasma gas used. Argon plasma was performed at fixed parameters (2 W and 5 Pa) and durations i.e. 5, 9 and 15 min, mimicking the conditions of the plasma polymerization process presented in Sections 5.2 and 5.3.

5.1.1 The impact of AC argon plasma on the performance of the TFC membranes

As previously seen in Chapter 4, the control membranes under the effect of drying conditions showed flux declined by 70% compared to fresh control samples. Membrane performance with argon plasma presented in Figure 5.1 shows that membranes exposed to argon plasma alone did not show superior decline than the pre-conditioned control membrane. The flux of membranes exposed to argon plasma drops around 60 to 64% which led to conclusions that flux was not declined by plasma. Therefore, as discussed in Chapter 4, the impact on the water flux was more associated with the degree of dryness of the material than with the plasma polymerization process. In terms of salt rejection, the argon plasma without monomer did not affect the membranes selectivity given that rejections were maintained in the range of 98 to 99% for all plasma durations as shown in Figure 5.1. As the selectivity was maintained, it is suggested that argon

plasma did not affected the integrity of the PA structure and therefore further morphology and chemical analysis is required.

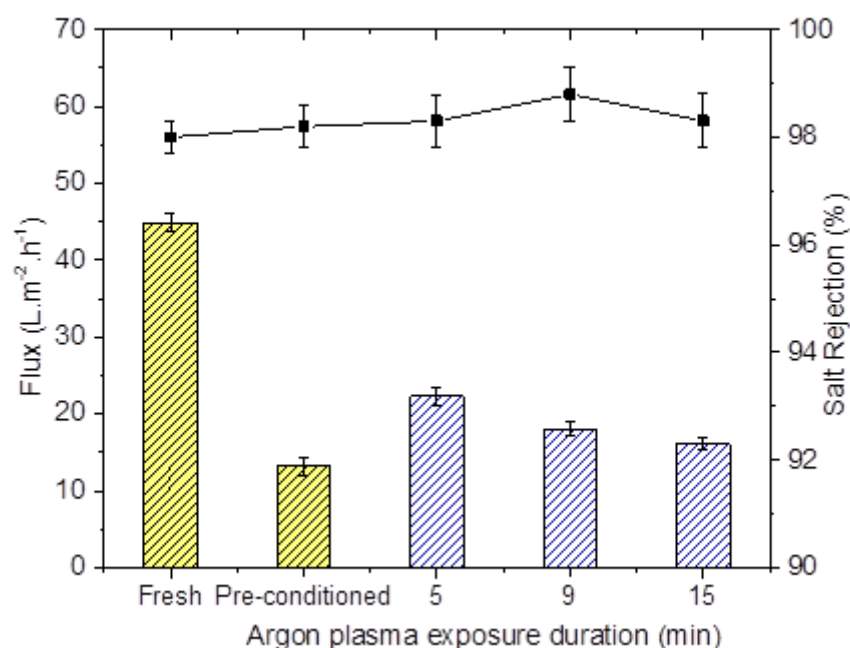


Figure 5.1: Permeation tests under 15 bar inlet pressure and 2,000 ppm NaCl solution, 27°C at pH 6.5 for series of controls membranes exposed to AC argon plasma and process durations of 5, 9 and 15 min

5.1.2 The impact of AC argon plasma on the surface morphology of the TFC membranes

Figure 5.2 shows SEM analysis performed on membranes exposed to argon plasma alone in order to detect sources of morphology alterations. Argon plasma in this experiment was shown to less affect the morphology of the PA as seen in similar argon-only plasma presented in Chapter 4. The difference between the gas plasmas lies in their plasma systems, where Chapter 4 showed results using RF plasma while the system

here utilised AC plasma. The etching impact of argon in an AC plasma generator is lower than in RF. The mobility of ions and electrons in AC system is lower than in RF due to less intense collisions between gas phase and the treated substrate in order to maintain the monomers integrity [62]. Therefore, while AC plasma is mainly suitable for polymerisation, the RF system is mainly used for surface etching and cleaning [62]. However, further chemical analysis is required in order to confirm inertness of the material upon argon exposure.

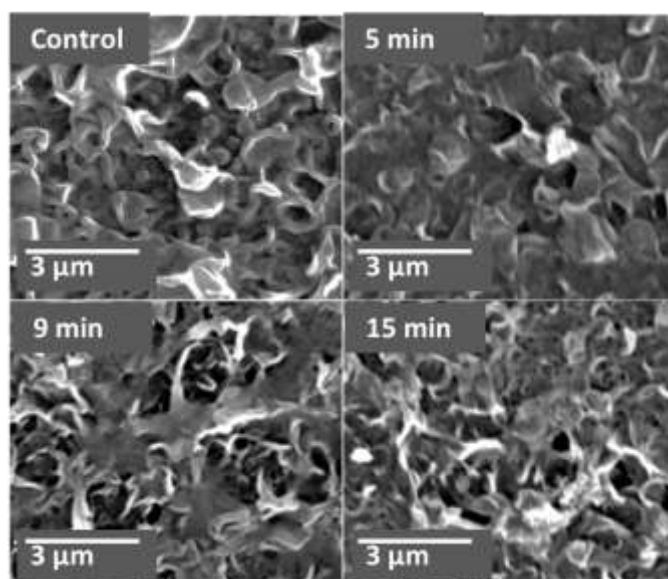


Figure 5.2: SEMs of pre-conditioned TFC membranes for series of controls membranes exposed to AC argon plasma and process durations of 5, 9 and 15 min.

5.1.3 The impact of AC argon plasma on the surface chemistry of the TFC membranes

ATR-FTIR analysis was performed in order to detect chemical functional group changes after argon plasma exposure. Figure 5.3 shows amide I band stretching vibration (C=O ; C-C-N) at 1666 cm^{-1} , aromatic amide deformation vibration (N-H ; C=C) at 1609 cm^{-1} and amide II band stretching vibration (N-H ; N-C) at 1541 cm^{-1} . As

shown in Figure 5.3, no significant alterations were found in absorbance across the studied bands for membranes exposed to argon plasma. Furthermore, surface charge analysis was also assessed once in Chapter 4 argon significantly enhanced the negative charges.

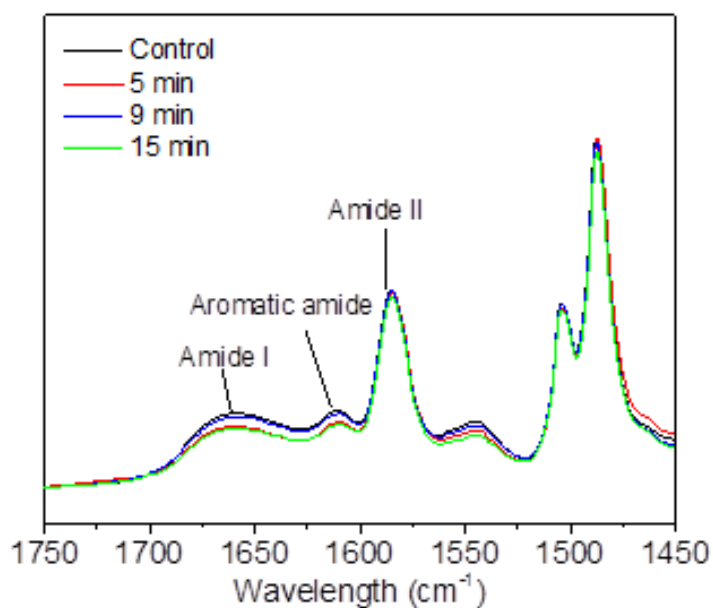


Figure 5.3: FTIR analysis of pre-conditioned membrane for series of controls membranes exposed to AC argon plasma and process durations of 5, 9 and 15 min.

In Figure 5.4, streaming potential analysis showed that argon exposure slightly increased the negative charges across all ranges of pH. The control membrane exhibited zeta potential values close to zero at the acidic range and negative charges around -30 mV around neutral to alkaline pH range. The series of membranes exposed to argon showed relative increased negative charges from -30 mV to -40 mV at pH 8; however no IEP point was detected. The negative charges enhancement was also detected in argon plasma treatment as seen in Chapter 4 but with a less intensity which is due to lower etching provided by AC system used here.

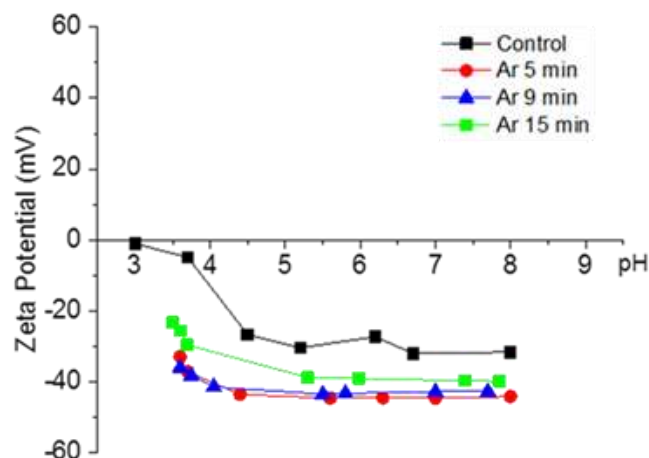


Figure 5.4: Streaming potential analysis for series of pre-conditioned controls membranes exposed to AC argon plasma and process durations of 5, 9 and 15 min.

5.2 Plasma polymerization of VIM monomer using pre-conditioned TFC membranes

5.2.1 The impact of plasma polymerization on the performance of the TFC membranes

The first set of plasma polymerization tests involved VIM monomer on pre-conditioned membranes. The performance of plasma polymerized membranes is presented in Figure 5.5. The water flux across the plasma polymerized membranes was significantly lower by 77% than that of the fresh control membranes and 23% lower than that of the pre-conditioned membranes. Also, the average flux obtained with plasma polymerized membranes was about 44% lower than that of the argon-only exposed membranes (Figure 5.1). In this regard, the impact on the water flux in polymerized membranes is an association of the dryness of the material and the polymerization across the PA surface.

The salt rejection of the plasma polymerized membranes declined between 5 and 9 min (95.5% and 95.7%) compared to 98% for the control membranes. At 15 min of process duration, the rejection was found to decline down to 93.8%. In practical terms, this means that the permeate salt concentration has increased by more than 3-fold as a result of the plasma polymerisation. The drop in salt rejection suggests an association of factors that influenced the PA microstructure. Evidence of the impact of plasma polymerisation needs to be evaluated and both morphology and chemical alterations were explored in this chapter.

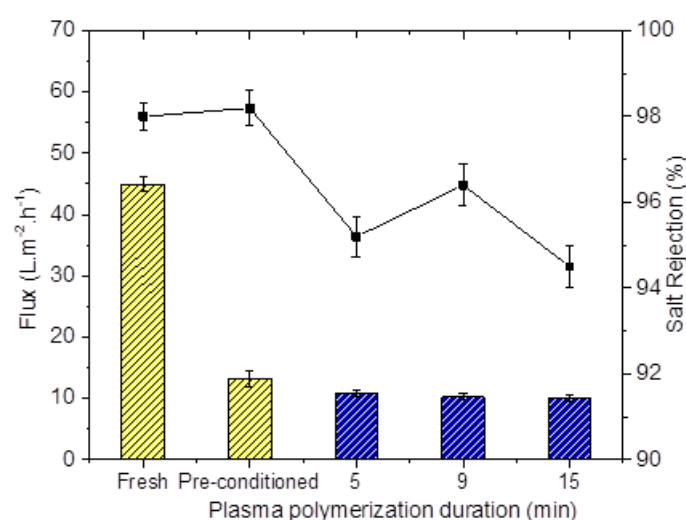


Figure 5.5: Permeation test under 15 bar inlet pressure and 2,000ppm NaCl solution, 27°C at pH 6.5 for VIM plasma polymerized membranes using an AC plasma reactor and polymerization durations of 5, 9 and 15 min.

5.2.2 Characterization of the surface properties of the pre-conditioned plasma polymerized membranes

5.2.2.1 Morphology assessment after plasma polymerization

Topographical analyses were performed by SEM and AFM on polymerized TFC membranes in order to detect the morphology changes after plasma polymerization. SEMs in Figure 5.6 show a smoothing effect consistent with increased plasma polymerization process duration. Smoother surfaces became more evident between 9 and 15 min duration in contrast to the control membrane and membranes exposed to argon-only as seen in Section 5.1.2.

A film rupture was detected for the polymerized membrane at 15 min of process duration and more details of the cause and impact on the performance of the membrane will be discussed in the following Section.

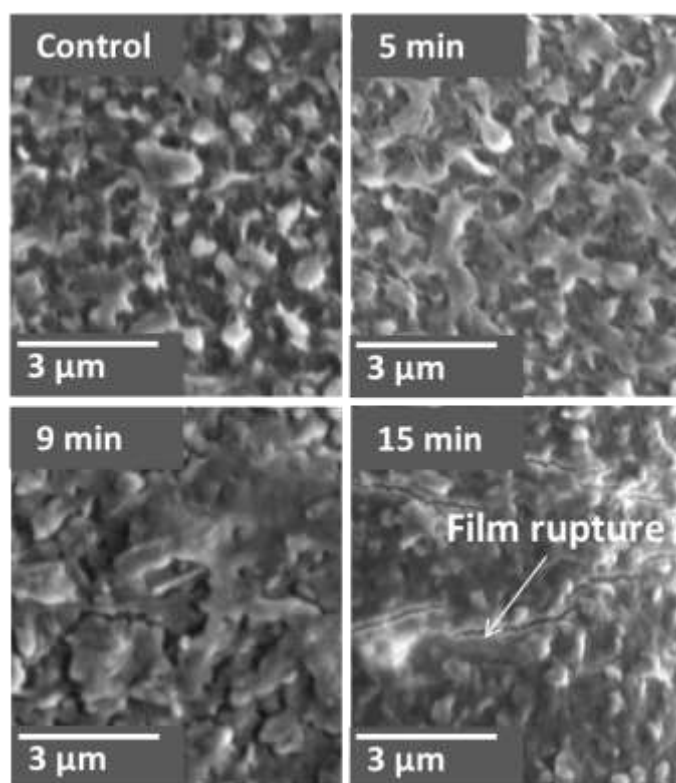


Figure 5.6: SEMs of the pre-conditioned control membrane and pre-conditioned VIM plasma polymerized membranes using an AC plasma reactor and polymerization durations of 5, 9 and 15 min.

The smoothing effect was also observed from AFM analysis as shown in Figure 5.7. The protusions were changed from high and sharp according to the scale bar to flattened and rounded protusions shape with increasing duration. A decrease in surface roughness occurred at longer plasma polymerization times. The average roughness (R_a) was measured from three different locations across the surface of the membranes. The R_a of the control TFC membrane was 24 ± 0.2 nm. A slight decrease of roughness was found for the polymerized membranes after 5 min of duration with R_a of 22 ± 0.3 nm and 9 min of duration with R_a of 23 ± 0.5 nm. However, more significant smoothing effect was found for polymerized membranes after 15 min of duration with R_a of 17 ± 0.4 nm.

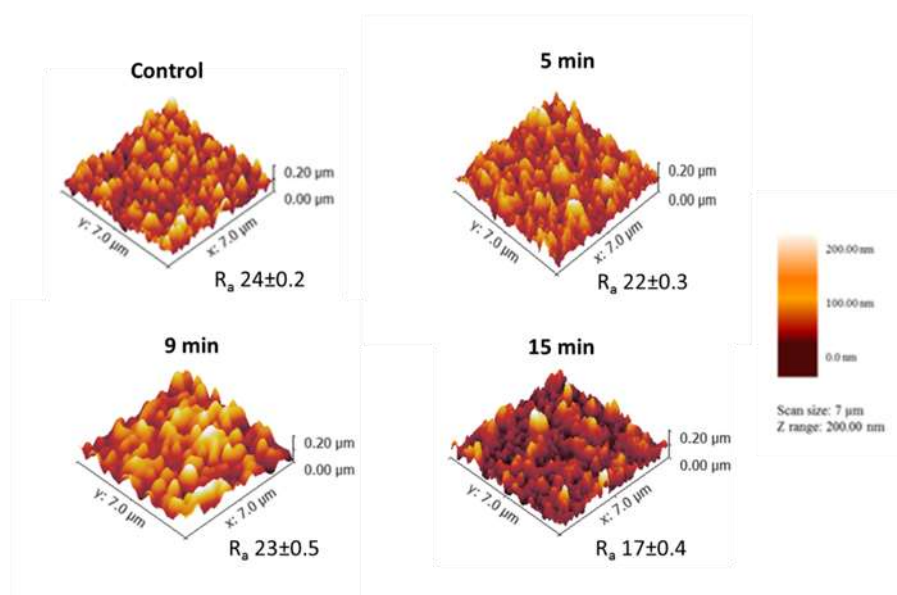


Figure 5.7: AFM morphology analysis and roughness values of pre-conditioned plasma polymerized membranes using an AC plasma reactor and polymerization durations of 5, 9 and 15 min.

Significant smoothed surface obtained after 15 min suggests potential thicker polymerized layer on the surface which covered the re-entrances of the PA structure. Furthermore, the smoothed surface also presented multiple film ruptures visible (~ 600 nm length) as shown in the SEM analysis (Figure 5.6). The depth of the ruptures was not assessed due to the limitations of the 2D surface SEM analysis. However, the source of these ruptures may be associated with internal stress caused by swelling and constraint of the PA chains upon polymer expansion during polymerization growth [124, 197]. As the film rupture was only visible after 15 min of the process duration, it is presumed that a potential high degree of polymerization was obtained at this duration. The degree of polymerization can be detected with chemical distribution and density of amine functionalities associated with the film thickness and therefore, further chemical analysis is required.

5.2.2.2 Chemical assessment after plasma polymerization

Given that plasma polymerization ideally consists in the deposition of thin-films and not in the treatment of bulk materials, the internal stress causing films rupture (Figure 5.6) may suggest that polymerization growth may have propagated cracks into the underlining layers of the PA material. The propagated cracks potentially resulted in the direct exposure of Psf under layer, generating a similar etching effect as reported for RF argon treatment in Chapter 4. Quantitative elemental analysis was performed with XPS in order to evaluate bonds scission (Table 5.1). The XPS elemental analysis can probe down to 10 nm in depth, primarily across the ~100-200 nm PA layer. Previous XPS tests performed on the control BW30 membrane in Chapter 4 detected only carbon, nitrogen, oxygen, and very low sulphur contents (0.1 at%) from the Psf supporting layer underneath the PA layer. However, uniquely for plasma polymerized membranes, the S/C ratio was also increased from 2 to 9% (Table 5.1). Increased sulphur content suggests that the Psf under layer became more apparent to XPS due to small ruptures during plasma polymerization which would in turn adversely affect the TFC membranes selectivity.

The plasma polymerized layer lowered the salt rejections from 98% to 95.5 and 95.7% for the 5 and 9 min treatment respectively. However, these rejection drops from the 5 and 9 min membranes were not significant from a practical point of view, where according to the manufacturer, the salt rejection of dissolved salts can vary between 95% to greater than 99% [198]. At 15 min, the film deposition appeared to have more penetrating ruptures but only enough to lower salt rejection to 93.8 % which well correlates to the S/C ratio increase. Therefore, the salt rejection drops are strongly related to high degree of polymerized film. According to the values shown in Table 5.1, the spectra obtained for the plasma polymerized samples showed 10% increase in the N/C elemental ratio expected from the polymerization of the VIM monomer.

The N/C ratio values are therefore a good indication of the presence of the polymerized film on the PA surface. Figure 5.8 shows the ATR-FTIR analysis of the plasma

polymerized membranes which was undertaken to explore the chemical profile after polymerization. Plasma polymerization process was investigated with Psf membrane as a reference material as shown Figure 5.8a, as well as on TFC membrane as shown in Figure 5.8b.

Table 5.1: XPS contents from pre-conditioned plasma polymerized membranes with VIM monomer

Plasma duration	C (%)	N (%)	O (%)	S (%)	N/C	S/C
Control	77.5	2.2	20.2	0.1	0.03	0.01
5 min	68.7	13.6	15.3	2.4	0.20	0.04
9 min	65.2	12.0	18.7	4.1	0.18	0.06
15 min	61.2	13.3	20.6	4.9	0.22	0.08

Formation of a broad band was detected corresponding to a carbonyl (C=O) stretching vibration around 1723 cm^{-1} , an amide I (C-N) stretching vibration at 1666 cm^{-1} and aromatic amide (N-H) deformation vibration or C=C ring stretching vibration 1609 cm^{-1} [199, 200]. As seen for the water plasma modified membranes in Chapter 4, a carbonyl band was also formed after plasma polymerization from the reaction of free radicals generated by the plasma via atmospheric O_2 , a common reaction found in any polymer after plasma polymerization [124]. In the amide I band, possible crosslinking related to rearranged and ruptured imidazole rings present within the monomer were detected across the surface. As an example, amide I stretching vibrations were previously reported at the frequency at 1663 cm^{-1} and 1668 cm^{-1} . The band 1666 cm^{-1} was reported for pyrimidine, an aromatic heterocyclic organic compound similar to the imidazole ring present within the monomer [201]. The same bands corresponding to cross-linked bonds were also found for amine rich TFC membranes, as shown in Figure 5.8b.

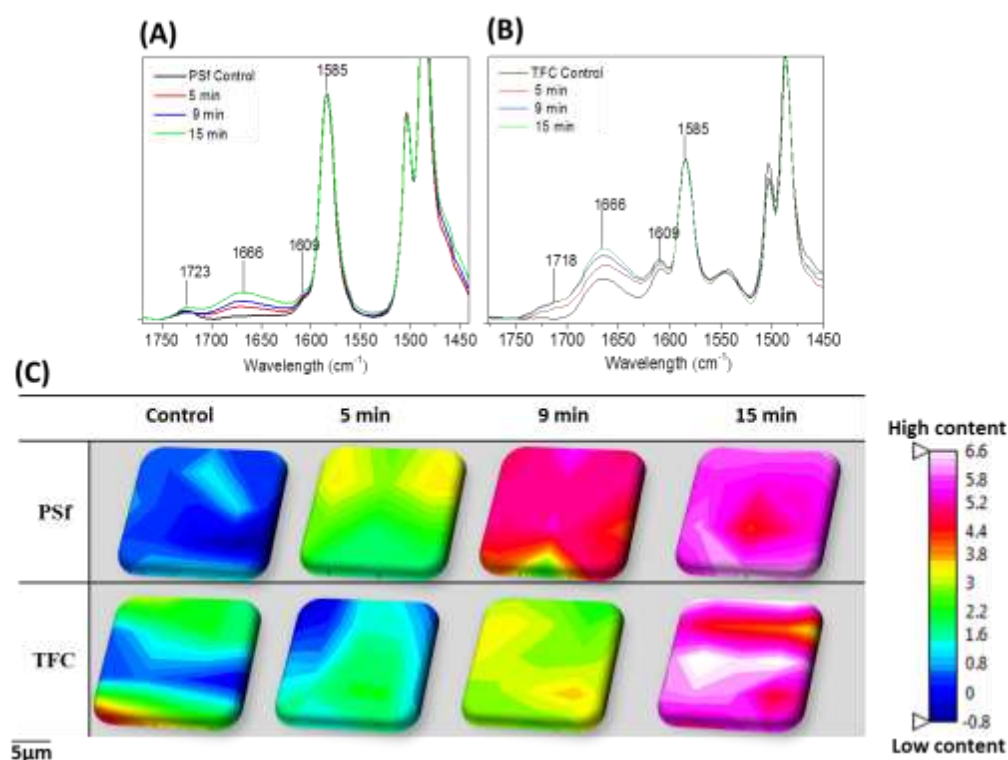


Figure 5.8: TR-FTIR peak profile for absorption bands noted after plasma polymerization (a) bands at 1700 to 1650 cm^{-1} for Psf and (b) 1700 cm^{-1} to 1650 cm^{-1} for TFC, (c) SIR-Map homogeneity analysis with integration of peak 1666 cm^{-1} .

The synchrotron ATR-FTIR map in Figure 5.8c shows the amine group content for both of these membranes after different process duration, with respect to the absorption at 1666 cm^{-1} . This band was found to proportionally increase with longer plasma treatment times for both Psf and TFC membrane materials. Equation 4 presented in Chapter 3-Section 3.3.3 was used to estimate the thickness of the plasma polymerized film by evaluating the penetration depth of the infra-red beam across this particular wavelength range [87]. The degree of polymerization evaluated by the film thickness for the Psf membrane was estimated to be 250 nm, 290 nm and 345 nm for 5, 9 and 15 min process duration, respectively. On the other hand, a film thickness for polymerized TFC membranes were lower and was only possible to be measured from 9 min onwards

corresponding to 21 and 60 nm, from 9 and 15 min of duration respectively. The 5 min of process duration offered the least amine enrichment coverage and the thickness of the layer could not be evaluated due to the short period given for the polymerization growth. However, the presence of small polymer chains on the surface after 5 min of process duration cannot be discarded once N/C ratio was also significantly increased for this sample. As seen on the contour plot map, the density of the C-N stretching vibration across the surface of control TFC membranes showed lower intensity and an irregular distribution of the studied band. However, after plasma polymerization the density of these functionalities increased as longer plasma polymerization duration increased leading to a more homogeneous distribution – this was especially visible for after 15 min.

The surface charge was also investigated as a function of pH as shown in Figure 5.9. This test was carried out in order to confirm the increased amine densities. Control membranes presented a flat negatively charged surface (~ -30 mV) over a pH range of 3 to 9 and similar results were also previously reported [171]. At low pH, the magnitude of the positive charge increased with longer plasma polymerization durations compared to the control membranes. This trend was most notable between 9 and 15 min of polymerization duration. This behaviour can be attributed to the higher degree of polymerization achieved at longer durations due to the protonation of the added functional amine groups. On the other hand, all plasma polymerized membranes showed proportional surface charges increasing in magnitude of negative charge between -40 and -50 mV at pH 8 which may be resulted from the influence of argon plasma as seen in Figure 5.4. Another potential contribution with enhanced negative charges is associated with formed carboxylates upon reaction with air after removal from the plasma chamber, which is supported by the increased carboxylic bands at 1718 cm^{-1} (Figure 5.8b). Furthermore, the isoelectric point (IEP) was found to strongly shift as a function of the plasma duration. The IEP is reached when the zeta potential was equal to zero and therefore the salt rejection of the membranes at the particular pH may not be as effective - for more details please refer to Chapter 3, Section 3.3.4. The IEP values were

ranging from pH 3.7 at 5 min to pH 4.3 and pH 4.7 for 9 and 15 min of plasma polymerization respectively, which was consistent with an increase of basic amine moieties on the surface. The increased amine presence on the surface is also consistent with the increase in the N/C ratio (Table 5.1) as measured by XPS as displayed in Figure 5.9b.

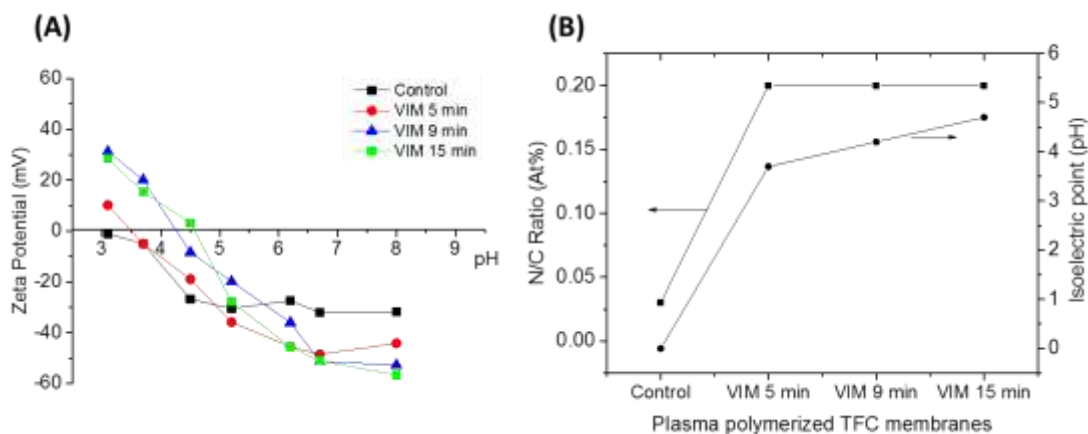


Figure 5.9: a) Streaming potential for TFC-control with isoelectric point for amine rich membranes between pH 3.7 to 4.7 and b) correlation between increased amine groups detected by streaming potential and increased N/C ratio detected by XPS after plasma polymer.

5.2.3 Demonstration of amine rich active sites for attachment of silver nanoparticles

Amine rich membranes were further exposed to AgNPs solution in order to demonstrate the coordination capacities of the amine active sites. Figure 5.10 presents the XPS analysis of silver binding on the polymerized surface with quantification of the silver (Appendix 2 - Figure A-5.1). The enhanced binding of silver was shown in wide scan XPS spectra, Figure 5.10a contrasting with the control membrane as presented in Figure 5.10b. Figure 5.10c shows a closer zoom of the silver peak with the Ag_{3d} core level

spectrum split in two peaks at 372 and 366 eV, indicating Ag 3d_{5/2} and Ag 3d_{3/2}, respectively [202]. The percentage of silver was 6 wt % (Appendix 2 -Figure A-5.1) measured by EDS from the peak at 3 keV in the spectra.

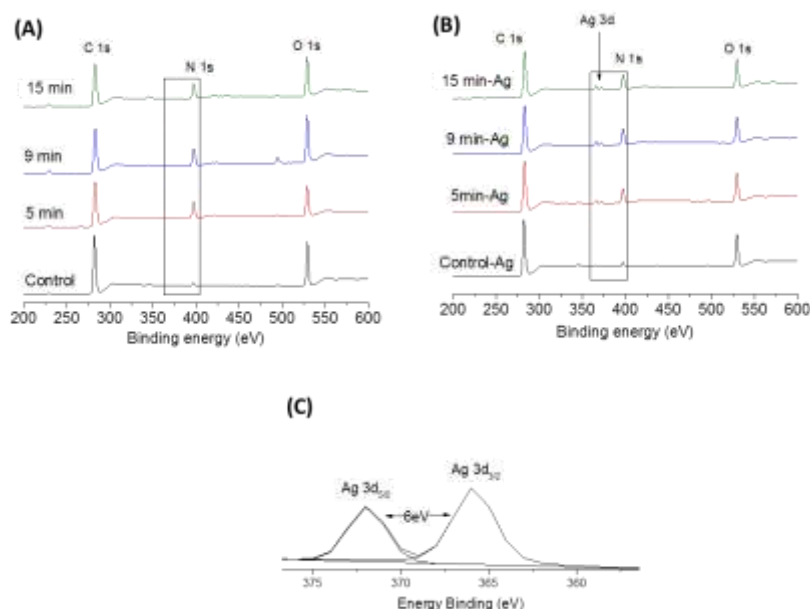


Figure 5.10: a) XPS wide scan spectra containing Ag3d peak enhancement on amine rich surface; b) wide scan spectra containing Ag3d peak for amine rich membranes with silver attachment and c) peak split Ag3d covering 372 eV and 366 eV energy binding.

The silver functionalized membranes were tested for antimicrobial properties by exposure to *E.Coli*. A number of studies have correlated the silver ions release with the bacteria elimination mechanism and as well the biocidal lifespan [203]. The release rates are mainly influenced by the particle size and pH conditions although some limitations on the methodology for separation of nanoparticles and ions prior to detection potentially can lead to experimental artefacts [204]. The particle etching is time dependent over 6-24 h observation period [205]. For particles around 20 nm under neutral aqueous medium as used in this study, fast biocidal activity (etching rate ~2-4 nm / h) enabled to eliminate bacteria in the first 5 h and elimination maintained within

24 h [206, 207]. Inhibition zones indicating antimicrobial activity are shown in (Appendix 2 - Figure A-5.2). The inhibition zone showed 5 different distances ranges in the sample which distance differences could be potentially be caused by the aggregation/agglomeration of the AgNPs. The control membrane shows a dense bacterial area with no inhibition zone (Appendix 2 - Figure A-5.2). The inhibition zones started to appear in contrast to the bacteria-free valleys for the 5 min plasma polymerized membranes coordinated with silver. The inhibition zones are clearly identified as dispersed colonies and the average distances was 304 μm with minimum distance of 77 μm . For 9 and 15 min, Appendix 2 - Figure A-5.2, inhibition zones were more defined with average of 342 μm and 330 μm and minimum distances of 266 μm and 115 μm respectively. The amine enrichment was therefore found to be directly correlated to the diameter of the inhibition zones and also showed minimum distances in longer polymerization time. Therefore, longer plasma polymerizations durations lead to more coordination sites are available for silver attachment.

While the silver attachment and associated antimicrobial functionality has been demonstrated, the effect of ions leaching over time is an important issue. Silver leaching from chemically modified silver nanoparticles bonded to the surface of TFC membranes showed Ag^+ release lasting months during operational cross-flow filtration [208]. This indicates that the silver modified membranes may require reloading of silver nanoparticles, possibly during routine cleaning of the operating membrane plant. However, further investigations of the long term stability of the attached amine groups, as well as the attached silver nanoparticles during operation conditions are out of the scope of this work.

Therefore, plasma polymerization was demonstrated to be viable for the functionalization of amine moieties onto PA layer and control of the surface charge. The film thickness was readily controlled by plasma polymerization duration, and shown to influence amine density and homogeneity. Increasing polymerization duration led to increased values for the IEP which was consistent with increasing amine density showed by XPS. However, membrane pre-conditioning compromised the water flux

while thicker film layer obtained with 15 min of duration tended to decrease selectivity due to film ruptures. As the set parameters used in this work was shown to successfully control the density of amines and surface charge, further optimization of the polymerization process using fresh membranes without pre-conditioning is further assessed in Section 5.3.

5.3 Improving the performance of plasma polymerized TFC membranes

Optimization of the plasma polymerization process with VIM monomer was explored to improve the water flux and salt rejection of polymerized membranes. Specifically, the impact of pre-conditioning which was observed to play a significant role in maintaining high fluxes in Chapter 4 will be considered. The versatility of plasma polymerization and the control of surface charge were also assessed with the carboxylate enrichment after polymerization with MA on fresh control membranes as shown Section 5.3.2.

5.3.1 Plasma polymerization of the VIM monomer onto TFC membranes

5.3.1.1 Optimization of performance after VIM plasma polymerization

Fresh control membranes (no pre-conditioning) were directly inserted into the plasma chamber. In Figure 5.11a the water flux after plasma polymerization with VIM monomer showed to be slightly increased practically maintained compared to the fresh control membrane ($44.9 \text{ L.m}^{-2}.\text{h}^{-1}$). In terms of selectivity, the salt rejection showed a slight declining trend with increasing duration, but decreased more significantly after 15 min plasma duration from 98% (control) down to 96% which is still within the range of RO membranes as previously discussed.

Much like the finding in Chapter 4, these results indicated that working with membranes without pre-conditioning step is more advantageous. The real impact of polymerization process on the water flux can be assessed without the interference of

pores and nano-voids collapse caused by the drying effect. The surface properties characterization of the polymerized film is discussed in the following Sections.

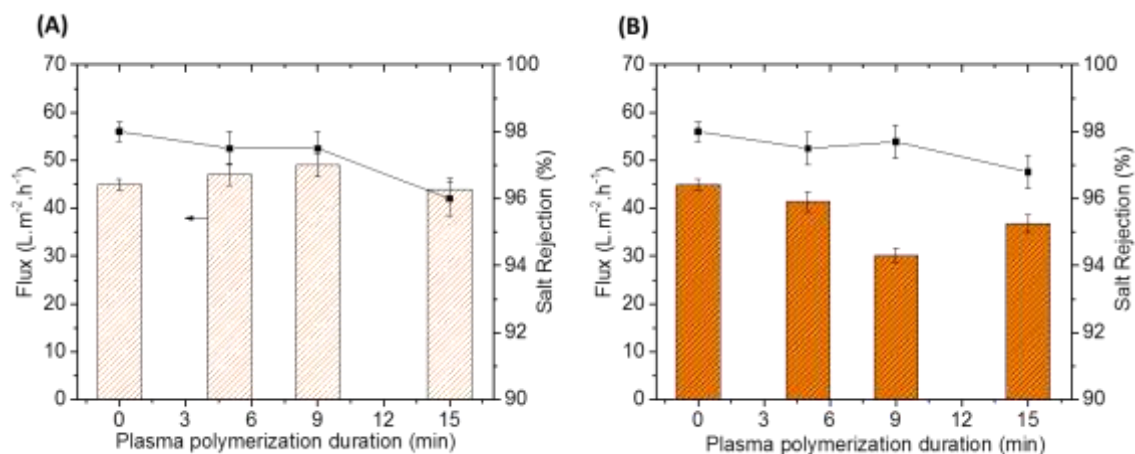


Figure 5.11: Permeation tests of membranes after plasma polymerization under 15 bar inlet pressure and 2,000 ppm NaCl solution, 27°C at pH 6.5. a) VIM polymerization and b) MA polymerization performed at 5, 9 and 15 min polymerization duration.

5.3.1.2 Morphology assessment after plasma polymerization with VIM

The degree of polymerization process was initially assessed with SEM cross-sections Figure 5.12. Observing just the top layer at the very top of the images, similar smoothing trends as discussed in Section 5.2.2.1 were detected with increasing process durations for both monomers. No film ruptures could however be detected across the large surface area surveys (5 mm²). However, at 5 min of process duration showed texturization on PA layer an aerated aspect formed which is potentially caused by an artefact upon cross-sectioning during FIB analysis. Although the cross-sections revealed morphology alterations, the estimation of the film thickness through chemical analysis was required in order to confirm the findings. The SEM 2D surface analysis in Appendix 2 -Figure A-5.3 showed a gradual smoothing trend consistent with increased

plasma polymerization process duration. The protusions became more smoothed between 9 and 15 min duration in contrast to the control membrane.

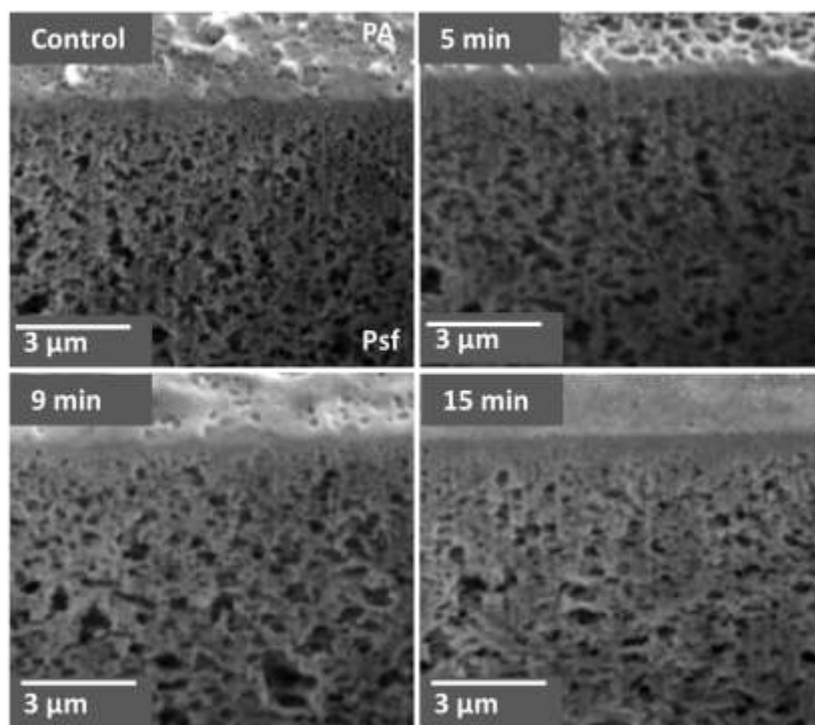


Figure 5.12: SEM cross-sections of fresh plasma polymerized membranes with VIM monomer. The PA layer for the 5 min membrane shows an aerated aspect which relates to an artefact upon cross-sectioning during FIB analysis

5.3.1.3 Chemical assessment after plasma polymerization with VIM

Figure 5.13 presents spectra from the plasma polymerized membranes in which enhanced bands were further used for chemical distributions and homogeneity analysis. In Figure 5.13a, the area of the band at 1666 cm^{-1} was also chosen as a reference band as seen in Section 5.2.2.2 in order to assess amine enrichment after plasma polymerization process. The reference bands were also shown to be increased with increasing duration of the polymerization process as previously discussed. The contour colour maps in

Figure 5.13c also confirmed the intensity of functional groups which were also homogeneously distributed once the colours were uniformly spread compared to control samples. The thickness was also estimated using Equation 2 (Chapter 3 - Section 3.3.3) based on evaluation of penetration depth of the infra-red beam corresponded to the integrated wavelength area. The estimated thickness of the amine film coverage was calculated at 31, 29 and 62 nm added to the surface associated with increasing process durations of 5, 9 and 15 min respectively.

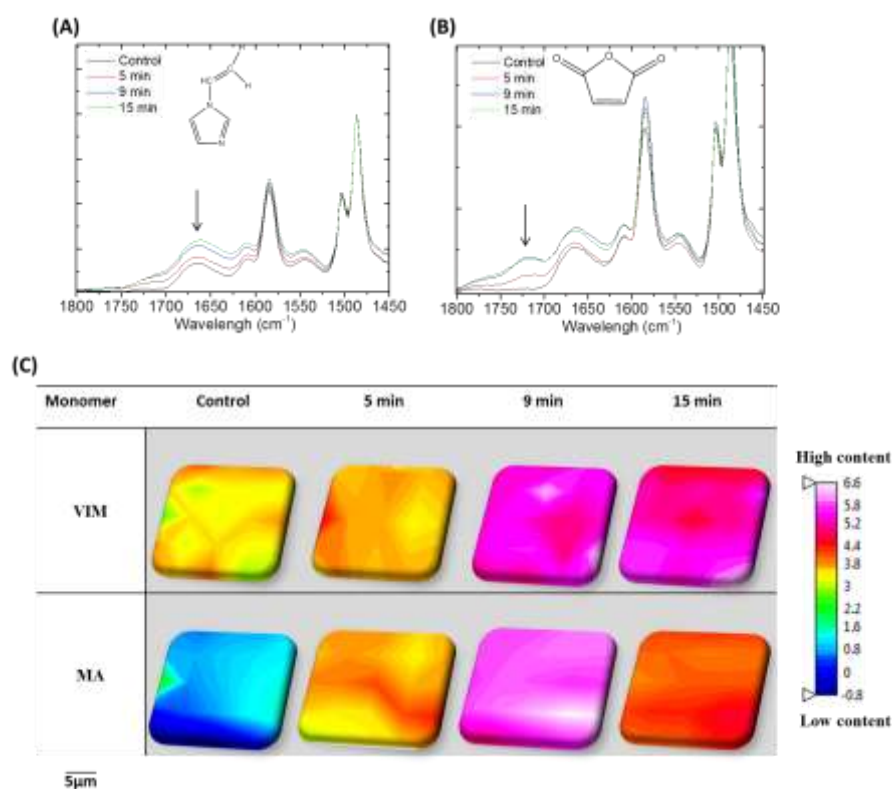


Figure 5.13: ATR-FTIR peak profile for absorption bands noted after plasma polymerization a) plasma polymerization with VIM: reference band at 1666 cm⁻¹ b) plasma polymerization with MA: reference band at 1722 cm⁻¹ and c) homogeneity analysis on 5 μm x 5 μm maps with integration of bands around 1666 cm⁻¹ and 1722 cm⁻¹.

The reference bands were also shown to be increased with increasing duration of the polymerization process as previously discussed. The contour colour maps in Figure 5.13b also confirmed the intensity of functional groups which were also homogeneously distributed once the colours were uniformly spread compared to control samples. In comparison with Section 5.2, the film thickness of the amine enriched membranes also reached a maximum value of ~ 60 nm obtained at 15 min of process duration. However, the thickness of the film obtained in this Section did not significantly changed particularly between 5 and 9 min. Indeed, limitations of the FTIR analysis to estimate the film thickness should be considered. Also the flux was minimally impacted since flux was only declined 1% after 15 min of duration compared to the fresh control membrane. Therefore, the water flux in fresh plasma polymerized membranes was not interfered with the impact of the dryness of the material.

The increasing density of functional groups generated upon plasma polymerization as a function of the process duration was also assessed by the measuring the surface charge by streaming potential for the series of samples. In Figure 5.14 the amine enrichment showed similar trends as previously presented in Section 5.2 (Table 5.2) however, the IEP was significantly shifted from approximately pH 3 for the control membrane to around pH 7 after plasma polymerization. The increased IEPs indicate that more of the protonable amine species were polymerized onto the surface and that the surface charge of the material could be finely tuned by simply varying the plasma duration. Also, in comparison with the IEPs from Section 5.2 (Table 5.2), the significant increase of IEP may be attributed to the variations of the nascent irregular amide groups distribution, previously shown in the homogeneity analysis Figure 5.8c and Figure 5.13b and associated with the rough topology of the PA layer generated during the IP synthesis. However, the resulting plasma polymerized films showed a homogeneous distribution of amine film regardless of the nascent chemistry variations.

Table 5.2: Comparison between Section 5.2 and 5.3 with increasing density of amine groups after plasma polymerization

Section	Membranes	Film thickness (nm)	Flux ($\text{L.m}^{-2}.\text{h}^{-1}$)	N/C ratio	IEP pH
5.2	Pre-conditioned (control)	-	13.2 ± 1.2	0.03	-
	5 min	< 21	10.9 ± 0.5	0.20	3.7
	9 min	21	10.2 ± 0.6	0.20	4.7
	15 min	60	10.1 ± 0.4	0.22	5.2
	Fresh (control)	-	44.9 ± 1.2	0.1	-
5.3	5 min	31	47 ± 2.3	0.1	6.7
	9 min	29	49 ± 2.4	0.2	6.7
	15 min	62	44 ± 2.2	0.1	6.3

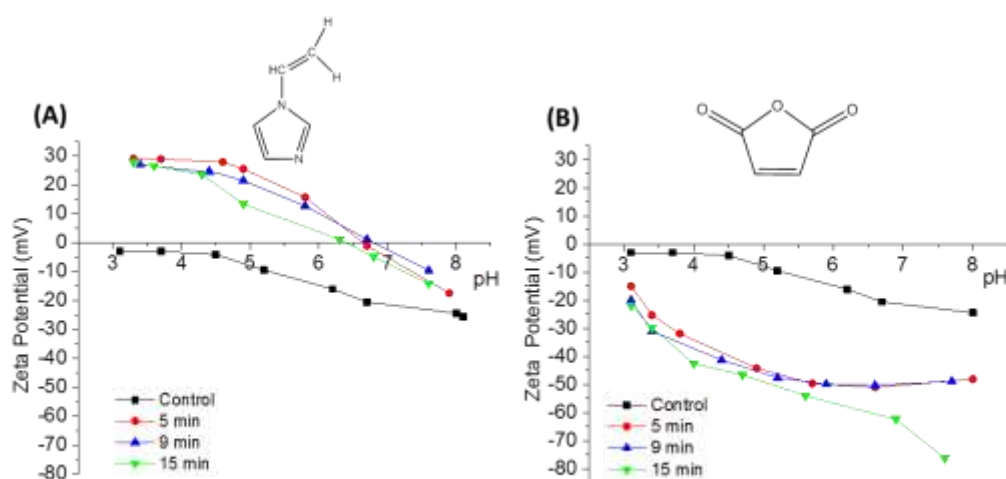


Figure 5.14 Surface charge analysis of fresh plasma polymerized membranes a) plasma polymerization with VIM and b) plasma polymerization with MA

Furthermore, the elemental XPS survey analysis also confirmed significant increase of N at%, upon polymerization (Appendix 2 - Table A-5.2). However, the N/C ratio was only significantly increased after 9 min of duration from 0.1 (control) to 0.2. The plasma polymerized samples in Section 5.2 also exhibited N/C around 0.2, however the C at% was shown dramatically declined $\sim 21\%$. On the other hand, the polymerized samples in this Section showed a C at% only by $\sim 3\%$. Therefore, the C at% differences detected in polymerized membranes were shown to be associated with the coexistence of competitive etching and polymerization mechanisms. As shown in Chapter 4 the pre-conditioned membranes are more vulnerable to etching while the presence of preservative materials in fresh samples shield the PA layer but at the same time may bury the amine functionalities within this complex network. However, surface charge was still significantly enhanced compared to Section 5.2 suggesting that the polymerized chains were looser and rotated to outer layers when in contact to the saline solution. Further C1s deconvolution is required for more conclusive analysis of bond

scissions involved between fresh and pre-conditioned samples however, for this work XPS access was limited.

In this Section, the performance of the plasma polymerized membranes was significantly improved compared to the pre-conditioned polymerized membranes. The positive charges were equally enhanced for all polymerization durations and plasma polymerized samples were also homogeneous. The salt rejection was minimally altered from 98% to 96% which is still within the range RO according to the manufacturer. Therefore, the polymerization of amine functionalities on the surface via low pressure plasma was shown to efficiently functionalized membranes with or without preservative materials. However the impact of preservative materials on the stability of the samples is yet to be assessed in future work.

5.3.2 Plasma polymerization of the MA monomer onto TFC membranes

5.3.2.1 The impact of MA plasma polymerization on the performance of the membranes

The performance of plasma polymerized membranes is presented in Figure 5.11b. The water flux across the plasma polymerized membranes was practically maintained at 5 min of duration but significantly declined by 33% for 9 min followed by 18% decline after 15 min of plasma duration. The salt rejection was practically maintained around 97.5% for 5 and 9 min of process duration while slightly declined to 96.8% for 15 min duration. Therefore, such significant flux variations may be correlated to the film thickness which may lead to hydraulic resistance on the membrane. Similar impact on the flux associated with increasing film on the surface has been reported using conventional chemical routes for surface coating. The surface coating with hydrophilic polymers led to flux declined by 25 to 81% which was attributed to thicker coating layers (thickness not reported) [34, 52]. Such flux variations promoted by the polymerization with MA may be correlated to the different polymer growth mechanisms and therefore, further characterization of surface properties is required.

5.3.2.2 Morphology assessment after plasma polymerization with MA

The degree of polymerization process with MA was initially assessed with SEM cross-sections Figure 5.15. The cross-sections showed significant densification and texturization of the PA layer with increasing polymerization process. The film appeared to be thicker after 9 and 15 min of process duration. The Psf layer of 15 min membrane showed an aspect of densification in this layer. However, this effect is related to an artefact of the FIB during cross-sectioning. The increased densification on the PA layer aligns with observed flux loss as found for 9 and 15 min polymerized membranes (Figure 5.11b) and further discussed in chemical analysis. SEM 2D surface analysis in Appendix 2 - Figure A-5.4 showed similar texturization as found in the cross-sections with gradual densification with increasing polymerization duration.

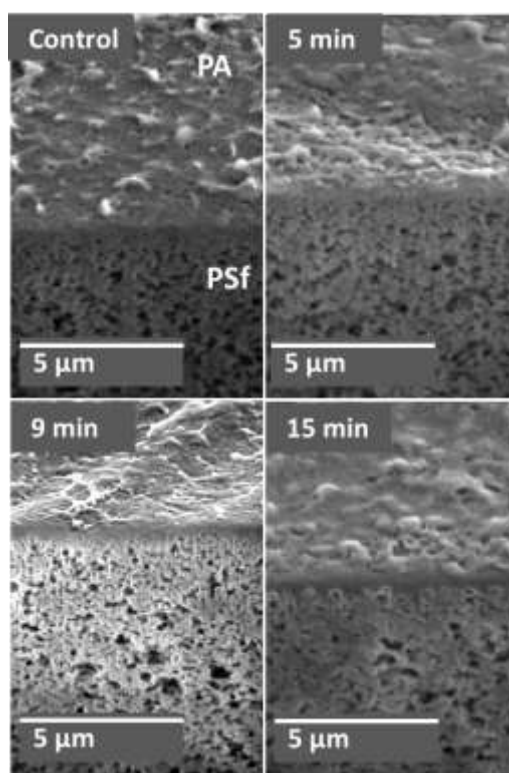


Figure 5.15: FIB SEM cross-sections of control membrane and fresh plasma polymerized membranes with MA monomer. The dense aspect seen on the 15 min plasma polymerized membrane relates to an artefact during FIB cross-sectioning

5.3.2.3 Chemical assessment after plasma polymerization with MA

The XPS analysis on the C at% and O/C ratio contents was investigated. Appendix 2 shows some variation of carbon contents and for 9 min plasma polymerized membrane the C at% was increased by 5% while for 15 min polymerized membrane the C at% was significantly declined by 80%. The 15 min polymerization process also led to significant increase of O/C ratio from 0.2 (control) to 0.5. Similar variation between oxygen and carbon contents after plasma polymerization process has been previously reported. In previous study on plasma polymerization of PEG chains on PET material, also using an AC plasma system, investigated the degree of polymerization of combined maleic anhydride and PEG chains on PET material [209]. The O/C ratios did not

necessarily increased with increasing degree of polymerization which was attributed to the fact that PET nascent material is already saturated with oxygen contents. The C 1s however showed formation of ester species, and C-C, C-H and C-O bond scissions on the nascent material therefore, further C1s is needed.

Furthermore, the formation of new carboxylate bands on the surface also led to surface charge alterations as shown in Figure 5.14b. The polymerization of carboxylic groups across the surface of the membranes led to a progressive and significant enhancement of negative charges. The surface charge of the membrane was found to be reduced reaching from - 20mV (fresh control) to ~-50 mV (5 and 9 min) and -80 mV (15 min) at pH 8. As the carboxylate rich membranes exhibited minimal salt rejection decline the enhanced electrostatic interaction caused by high negative charges suppressed potential film defects from polymerization process. Therefore, the increase of negative charges on surface may be related to the excess of available carboxylic species which can be deprotonated.

The FTIR analysis as shown in Figure 5.13b showed formation of a broad band around 1722 cm^{-1} corresponding to carboxylate groups (C=O) which was also enhanced with increasing polymerization duration [209]. Similar to plasma amine enrichment, MA also confirmed that the intensity of functional groups was homogenously distributed once the colours were uniformly spread compared to control samples (Figure 5.13b). For the carboxylate enrichment with MA the estimated film thickness showed higher degree of polymerization than of the amine enrichment process in this Section.

With only 5 min of process duration the film thickness was estimated at 37 nm added to the surface, 20% above 5 min of duration obtained with amine enrichment from this Section. Furthermore, with 9 min of process duration film thickness was estimated at 102 nm, which are about 3 times higher than obtained with 9 min of the amine enrichment polymerization process. However, the estimated film thickness for polymerized membrane at 15 min of process duration was estimated at 83 nm which is 19% lower than of the 9 min polymerized membrane. Also, the performance tests showed that the flux varies inversely with the layer thickness as plotted in Figure 5.16a

while as previously discussed for the plasma polymerization with VIM flux was not significantly altered with increasing film thickness (Figure 5.16b).

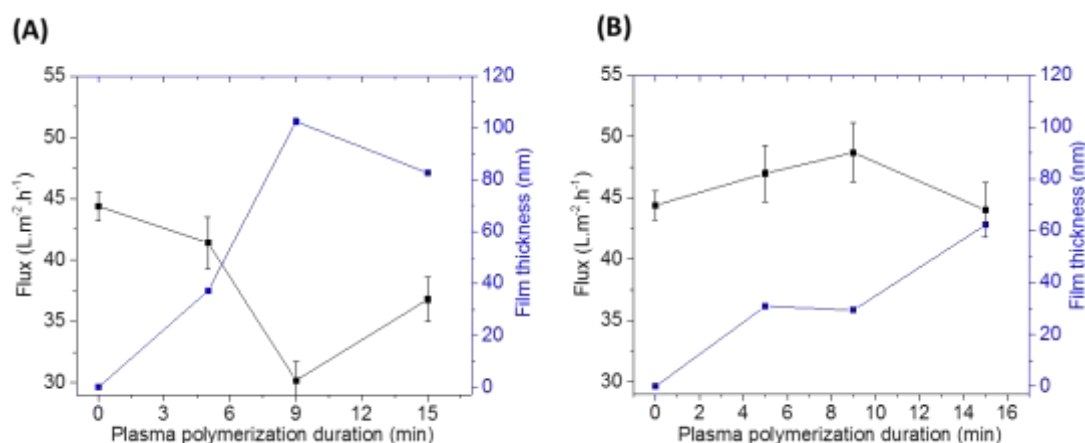


Figure 5.16: Correlation between polymerized film thickness and water flux a) plasma polymerization with MA and b) plasma polymerization with VIM.

Differences in film thickness over duration, between VIM and MA deposition, are related to the kinetics of the polymerization process. As the plasma conditions for both polymerization processes were the same, it may be assumed that the structural and electronic characteristics of the individual monomer molecules, such as their relative C-H homolytic bond dissociation energies, may influence their relative deposition rates onto the PA layer. Indeed, plasma polymerization hydrogen atom detachment within the monomer is reputed to play an important role in plasma polymerization yield [124]. The specific structural features of such monomers, such as the presence of an aromatic moiety like an imidazole ring, as in the case of the VIM monomer, may significantly influence radical formation due to hydrogen atom cleavage, since the resulting unpaired electron would exhibit varying degrees of delocalization [124, 210]. On the other hand, monomers with other structural features, such the cyclic MA molecule, provide a different molecular environment that would be expected to significantly alter the relative C-H homolytic bond dissociation energy. Thus a detailed comparison of the

structural and electronic features of all the molecules (monomers and substrate) involved in the deposition process, particularly their relative C-H homolytic bond dissociation energies, is critical for understanding the complex mechanisms and kinetics involved. This understanding can be facilitated by the application of computational chemical calculations, as described in the next Section.

5.4 Quantum chemical calculations of the relative C-H or C-N homolytic bond dissociation energies (BDEs) across the VIM, MA and model PA molecules.

It may be hypothesized that the reactivity and mechanisms of reaction between the monomers themselves (homopolymerization) and between the monomers and the PA model (grafting) arise from the formation of radical species as a result of energy input, either through plasma or through gamma-rays irradiation [124, 211]. Therefore, it may be assumed that the homolytic bond dissociation energies within these molecules are primarily determinative of the radical species that are formed under controlled energy-threshold conditions¹. Under a specific set of energy conditions some radicals, and hence some products, formed in polymerization reactions will have a higher probability of formation than others. This offers the possibility of predicting the most probable radical reaction sites (i.e. those with the lowest BDEs) and therefore the most likely products under controlled energy-threshold conditions. Such products can then be subsequently modelled to obtain structural information. In this regard, computational chemistry calculations (Density Functional Theory - DFT), as described below, have enabled an evaluation of the relative homolytic bond dissociation energies (BDEs) for the C- H bonds across the two different monomers (VIM and MA) and for the C-H and C- N bonds across a model PA substrate

¹Radical formation by ionization as opposed to homolytic bond dissociation may be shown to require considerably more energy input, as is evident for the VIM molecule, BDE range = 118 to 135 kcal/mole - compared to its calculated ionization energy of 199 kcal/mol.

Computational Method

Homolytic BDEs were calculated as follows [212]:



$$\text{BDE} = [\text{Energy RC(N)}^\cdot + \text{Energy H}^\cdot] - [\text{Energy RC(N)} - \text{H}]$$

All relative BDEs were computed using the Density Functional Theory (DFT) facility of Spartan'06 (Wavefunction, Inc.) on a standard PC. Each energy was a DFT single point calculation based on an AM1 semi-empirical equilibrium structure from an equilibrium conformer (MMFF) starting structure equilibrium conformer. The 6-31+G* diffuse basis set was chosen since some of the molecules modelled are anionic as well as to optimize the computational cost. All equilibrium structures of products were computed using semi-empirical methods, AM1, PM3 or RM1. All relative energy values associated with these calculations are provided in Appendix 2 -Table A-5.3.

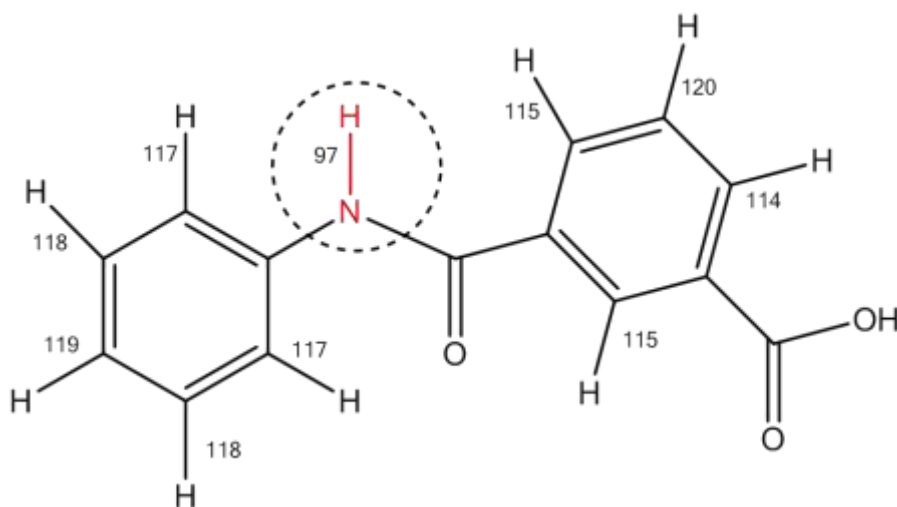
The BDE landscape for the PA structure

Figure 5.17: Relative C–H and C–N homolytic BDEs (kcal/mol) for the PA structure showing the most probable N–H homolytic BDE (red). The relative BDEs range from 97 to 120 kcal/mol. Note that the lowest value is for the amide N – H bond and this is taken to be the most likely site for product formation under controlled energy-threshold conditions, all else being equal.

The BDE landscape for the VIM monomer

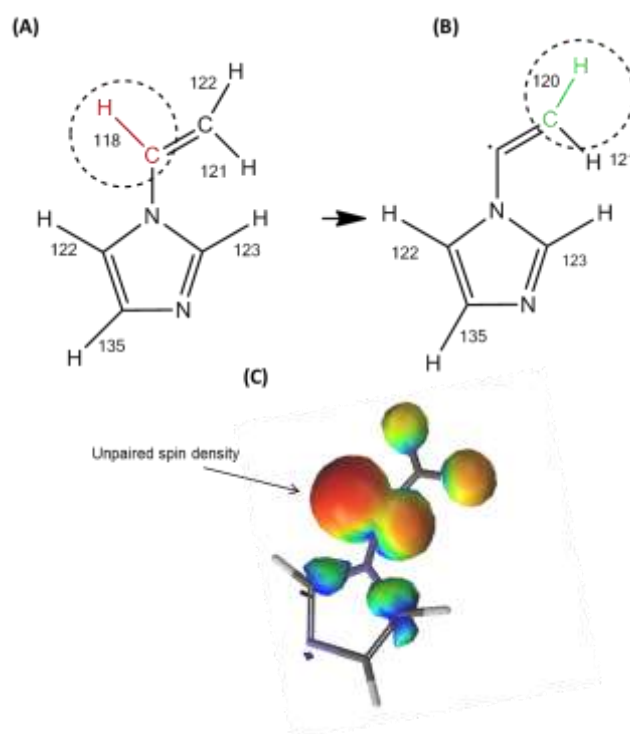


Figure 5.18: Relative C–H homolytic BDEs (kcal/mol) for the VIM monomer and the most probable C–H homolytic BDE (red). a) Relative VIM BDEs ranging from 118 to 135 kcal/mol. Note that the lowest values are on the vinyl moiety. b) The most probable radical under controlled energy-threshold conditions; the secondary BDEs are also shown and one of these is activated in the radical by 2 kcal/mol (green) – favouring further reaction c) spin potential density distribution in the most probable radical. Note the extent, and hence availability, of the unpaired electron.

The BDE landscape for the MA monomer

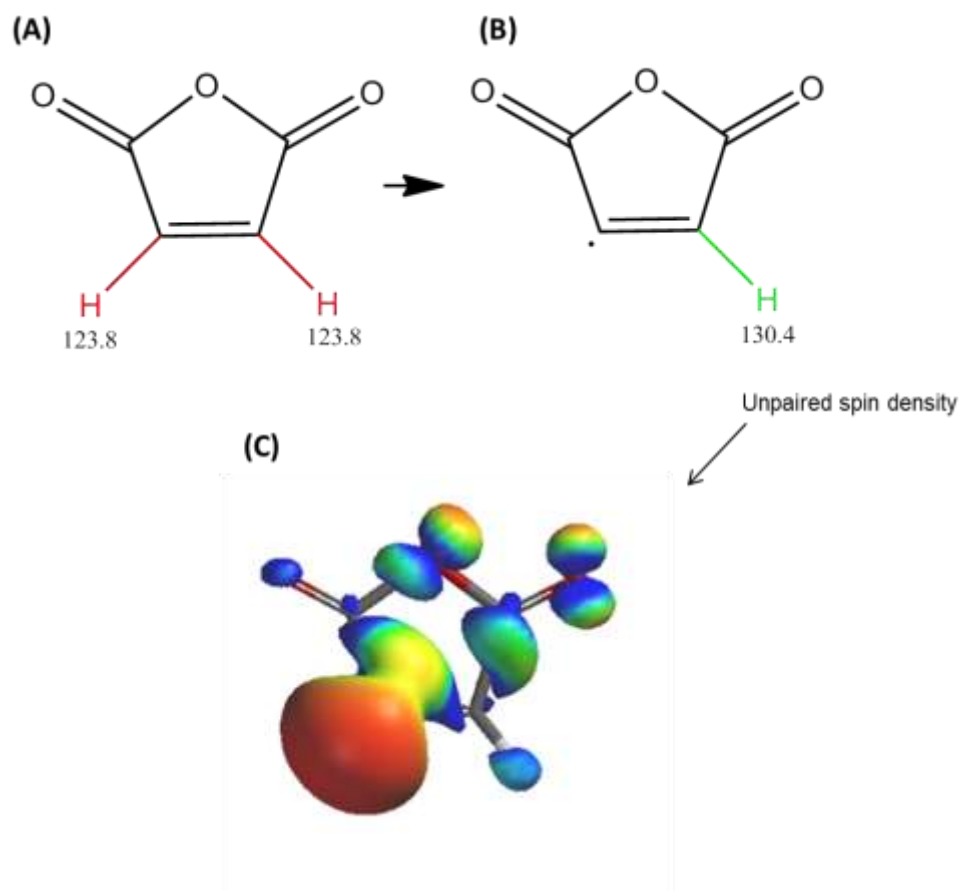


Figure 5.19: Relative C-H homolytic BDEs (kcal/mol) for the MA monomer and the most probable C-H homolytic BDE. a) Both C-H bonds are equivalent and have the same BDE of 123.8 kcal/mol. Note that this is higher (by 5.8 kcal/mol) than the lowest BDE of 118 kcal/mol for the VIM monomer. b) The most probable monomer under controlled energy-threshold conditions. Note that the formation of this radical also strongly deactivates the other C - H bond by 5.8 kcal/mol - from 123.8 to 118 kcal/mol. c) spin density distribution in the most probable radical. Note the extent, and hence availability, of the unpaired electron.

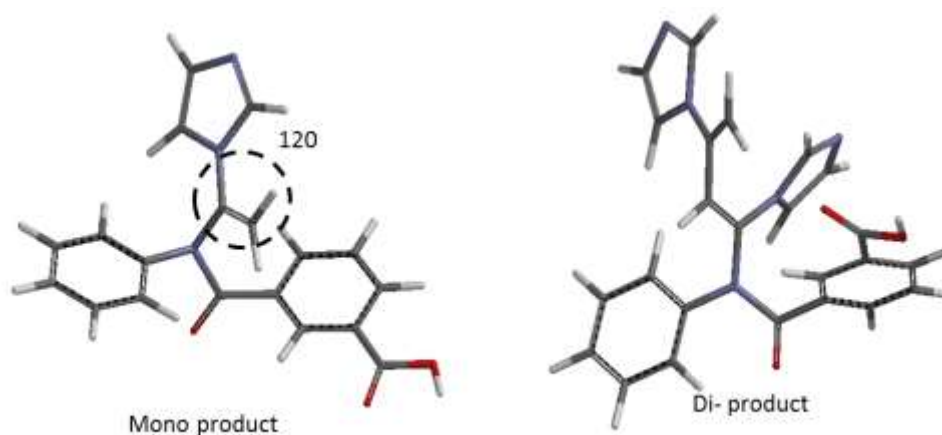
The most probable VIM-PA and MA-PA products

Figure 5.20: Computed (PM3Quantum Chemical/Semi Empirical) equilibrium structures of the most probable mono and di-products of VIM on the model PA structure. Note that the computed BDE for the vinyl hydrogen on the mono-product itself (120 kcal/mol) reveals a feasible site for the attachment of a second VIM molecule. The same procedure may be carried out for subsequent products.

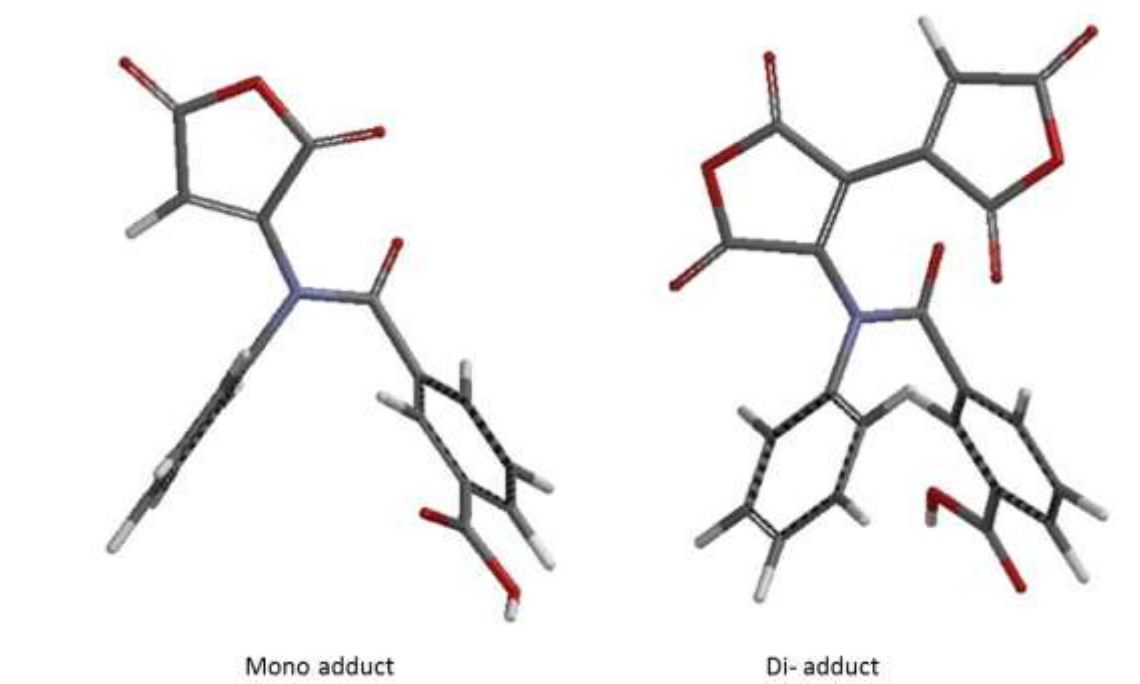


Figure 5.21: Computed (PM3 Quantum Chemical/Semi Empirical) equilibrium structures of the most probable mono and di-products of MA onto the model PA structure. Note that the computed BDE for the vinyl hydrogen on the mono-product itself (125 kcal/mol) reveals a feasible site for the attachment of the second MA molecule. It is notable that, unlike with VIM, the PA model is severely distorted in both of these adducts that would suggest considerable steric hindrance of MA interacting with an extended PA surface. This, together with the relatively higher BDE of MA (123.8 kcal/mol) compared to VIM (118 kcal/mol), would suggest a lower reaction rate of MA as compared to VIM, at least for the formation of the mono-adducts. However, once formed the MA adducts could produce a more extensive layer and more surface disruption, Fig 5.22.

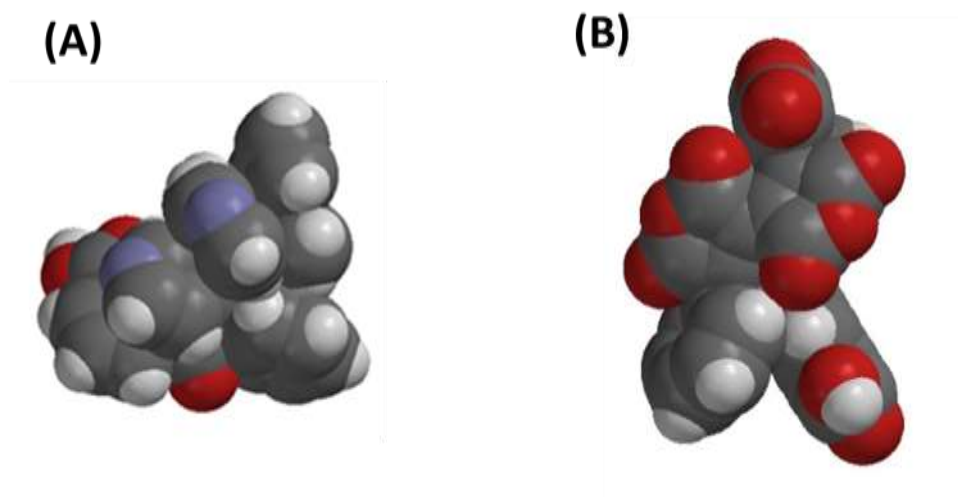
Modelling of the extended grafted structures of VIM₄-PA and MA₄-PA

Figure 5.22: Space filling representations of a) four VIM molecules grafted onto the model PA b) four MA molecules grafted onto the PA, according to the most probable calculated reaction sites (see above). MA forms a more extensive layer – as supported by experimental observations.

Commentary

Given the wide range of BDE values across both the VIM and MA monomers and the PA model, it is feasible that, assuming controlled energy-threshold conditions, the most probable grafted products can be predicted. Such products can then be subsequently modelled to assess the probably and details of further grafting and to obtain relevant structural information on the resulting deposition “film”. For example, Figures 5.20, 21 and 22 represent the formation of a mono-product and a di-product between the most probable sites (lowest energy DBE sites) between VIM, MA and PA as well as the higher order products. In making such predictions, it is assumed that all else is equal (e.g. steric effects). However, it may be seen that the unpaired electron density is quite extensive (and therefore quite available, perhaps circumventing steric effects to some extent). In this regard, the significance of relative steric effects can also be assessed by examining the energetics (e.g. relative strain and formation energies) of the postulated products. However, this is beyond the scope of this thesis.

5.5 Conclusions

This chapter investigated the impact of plasma polymerization on the performance of TFC membranes. In this study the surface charges were tuned accordingly to the nature of the monomer used. There was also an assessment of the impact of membrane pre-conditioning which improved the water flux at the same level as fresh control membranes. Working with fresh control membranes preserved nascent membrane structures (e.g. pores and PA nano-voids), which allowed an investigation of the real impact of polymerization on desalination performance. Quantum chemical calculations have been demonstrated to provide a powerful insight into the kinetics of the PP process. For example, the MA polymerization results in more extended polymerized structures than for the VIM monomer. Furthermore, intact maleic anhydride is not favoured during plasma polymerization process. MA hydrolyses when exposed to air and it is most likely that during plasma polymerization process the ring system could

also open up with an input of energy. This result may be attributed to the steric interaction of the MA ligand, both with other MA and the PA surface – via the cyclic carbonyl moieties. Thus the computational chemical calculations have been demonstrated to be consistent with the experimental outcomes and provided a comparison of the structural and electronic features according to the most probable calculated reaction sites between PA and monomers. In Chapter 6, there will be a discussion about how VIM polymerization processes will impact the surface chemistry and desalination performance via a higher energy input from gamma-rays.

6. Gamma-ray irradiation induced grafting across thin-film composite membranes

In this chapter, gamma-ray irradiation induced polymerization of VIM monomer, solubilized in a methanol/water mixture solution, was performed for the first time to enrich TFC membrane surfaces with amine functional group moieties. As opposed to the plasma polymerisation process presented in Chapter 5, this grafting process was operated at atmospheric pressure and the membranes were gently washed but not dried, prior to being immersed in the monomer/solvent solutions. The impact of the degree of grafting across the surface of the TFC membranes was systematically investigated with different monomer concentrations (1, 10 and 35 v/v%) and for increasing irradiation total dose (1, 10 and 100 kGy). The kinetics of polymerization across the surface was assessed by analysing the membranes surface properties including their wettability and streaming potential surface charge and correlated to the membranes performance against series of benchmark samples. The objective of this chapter is to demonstrate feasibility and the potential of the controlled grafting for functional thin-film membrane materials with specific selectivity and custom-tailored performance.

6.1 Performance of modified TFC membranes

Figure 6.1 presents the flux across the membranes, tested with NaCl feed solutions against the material mass gain after irradiation-induced grafting. In Figure 6.1a the flux of grafted membranes irradiated in 1 v/v% solutions was found to increase by up to 50 %, compared to the control membrane. The flux reached $70 \text{ L.m}^{-2}.\text{h}^{-1}$ at 1 kGy of irradiation and progressively was declined with increasing irradiation total dose to $48 \text{ L.m}^{-2}.\text{h}^{-1}$ at 10 kGy and $19 \text{ L.m}^{-2}.\text{h}^{-1}$ at 100 kGy. Similar trends were found for grafted membranes in 10 and 35 v/v% solutions as shown in Figure 6.1b and 6.1c respectively. The flux of grafted membranes in 10 v/v% solutions was initially increased by 17% reaching $52.7 \text{ L.m}^{-2}.\text{h}^{-1}$ and then was progressively declined by 50% at $\sim 23 \text{ L.m}^{-2}.\text{h}^{-1}$ for both grafted membranes irradiated at 10 and 100 kGy. Furthermore, membranes in 35 v/v% solutions showed initial flux increase by 28% reaching irradiated at 1 kGy irradiation total dose prior to dropping progressively by 20% and 50 % at 10 kGy and 100 kGy irradiation total doses respectively.

In Figure 6.1d the salt rejection capability of the membranes shows the evaluation of the impact of both the solution compositions and the total irradiation dose on the materials integrity. At low VIM concentrations, 1 v/v%, the selectivity of the membranes was found to be similar to that of the control membranes at any irradiation dose. The salt rejection across the grafted membrane with 1 v/v% of VIM concentration fluctuated between 96.7% and 95.7% between 1 and 100 kGy, compared to 98% obtained for the control membrane. Salt rejection values were however found to start declining upon exposure to higher VIM concentrations. At 10 v/v% VIM concentration, the salt rejection declined from 95 % to 92.5 % and 94.5% at 1, 10 and 100 kGy, while at 35 v/v % of VIM concentration, salt rejection strongly declined from 92% to 84% between 1 and 100 kGy total dose respectively. These salt rejection results show that grafting of 10 v/v% VIM concentration onwards, appear to affect the salt rejection of the PA layer.

Figure 6.1 shows the mass gains obtained with increasing monomer and irradiation total doses. The mass gains were found to be limited with overall increases of only 0.52 to 1.1 wt% for grafted membranes irradiated in 1 v/v% monomer solution (Figure 6.1a). However, the flux across the membranes progressively declined for higher irradiation total doses, in line with the mass gains observed. At 10 v/v% of the monomer concentration (Figure 6.1b), the mass gain was found to increase more rapidly from 0.6 to 3.5 wt%, while at 35 v/v% (Figure 6.1c), the mass gain increased from 2.8 to 14.4 wt% between 1 and 100 kGy of irradiation total doses respectively. As expected, this trend demonstrated that the kinetics of polymerization was favoured with increasing monomer concentration and total irradiation dose. Furthermore, the increased mass gains were well correlated to flux declines across the membranes. It was shown that feasible degree of grafting was found at 1 kGy in all solutions concentrations. The flux therefore, was shown to be physically dependent on the resultant coverage density.

As discussed in Chapters 4 and 5, salt rejection is governed by both surface charge across the surface and free-volume distribution within the material [81]. Salt rejection in these plasma modifications was attributed to loss of membrane integrity due to excessive etching. Based on the previous findings for plasma, the causes for the salt rejection decline may be attributed to several factors including (i) the formation of defects or ruptures within the PA material due to the irradiation exposure, (ii) to macromolecular network excessive swelling due to monomer molecules uptake and polymerization thus affecting free-volume and (iii) to specific surface interactions and crosslinking upon irradiation between the PA and the monomer or solvent molecules. However, to confirm both the findings from plasma as well as with gamma-ray irradiation, the impact to the membranes needs to be explored more closely to assess if similar effects indeed did occur. The impact of the potential sources of degradation was investigated with permeation tests performed on the series of control samples. Desalination tests from the series of control samples are shown in Appendix 3 - Figure A-6.1. The membrane series in MeOH/water mixture, without VIM monomer (0 v/v%), was exposed to the same irradiation total doses (Appendix 3 - Figure A-6.1a) as used for grafting.

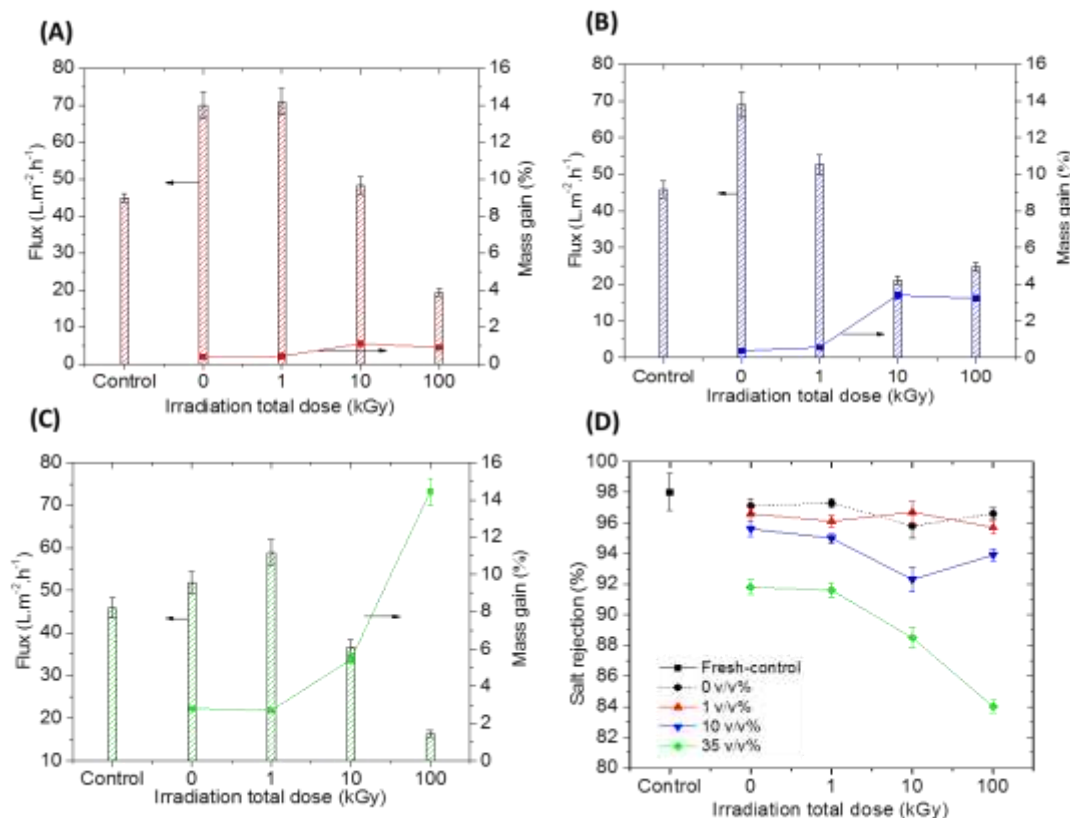


Figure 6.1: Flux and mass gain for irradiation-induced grafted TFC membranes with VIM monomer; a) grafting with 1 v/v% concentration, b) 10 v/v% concentration, c) 35 v/v% concentration and d) salt rejection of grafted and control membranes with 0 v/v% corresponding to MeOH/water solvent. Cross-flow desalination test conditions: 15 bar inlet pressure and 2,000 ppm NaCl solution, pH 6.5 at 25°C. The error bars correspond to standard deviations of the mean values.

These samples demonstrated that irradiation alone did not damage the PA layer. The permeation across the irradiated membranes was maintained upon exposure with all irradiation doses without affecting the flux and salt selectivity. Previous study on gamma-ray irradiation of RO membranes immersed in saline solution showed that flux and salt rejection was practically maintained when membranes were irradiated at 100 kGy due to PA resistance to irradiation and integrity of the amide groups [30]. On the other hand, the performance of the membranes exposed to the monomer solutions with no irradiation (0 kGy) as shown in Appendix 3 - Figure A-6.1b led to significant permeation increases of ~50% at low VIM concentrations, between 1 and 10 v/v%, but

at 35 v/v% of VIM concentration flux was increased to only 19%, compared to control membrane ($44.9 \text{ L.m}^{-2}.\text{h}^{-1}$). The flux enhancement suggests that the solvent molecules not only can facilitate the VIM diffusion across the membrane layers but may also slightly swell the macro-molecular network and cause alterations of the free-volume distribution. Previous studies on free-volume alterations of TFC membranes, performed positron annihilation lifetime spectroscopy (PALS) on membranes exposed to a methanol solution. After 12 h of exposure the measured free-volume was significantly increased by 19%. The free-volume increase contributed to double the permeability however, salt rejection was affected and decreased by 5% in the reported work [213]. Here, the MeOH/water solvent system potentially caused free-volume alterations which slightly increased water fluxes but no significant changes of salt selectivity were observed for these control membranes suggesting, which could be attributed to the presence of water in the system, limiting the action of methanol.

This trend indicates that the PA layer was significantly affected by exposure to the VIM monomer molecules over 10 v/v% in concentration. The monomer solution likely diffused and was adsorbed within the PA membrane material due to the long periods (42 h) of exposure. A study on PA hollow fibers forward osmosis (FO) treated with N-methyl pyrrolidone investigated the impact of oxidation post-treatment resistance [214]. The water flux of PA FO membranes with oxidation treatment increased, but the separation performance of salt retention decreased significantly ~50% suggesting that an oxidative degradation destroyed the molecular chains structure. Also, in Figure 6.1, the mass gain confirms that for this series the monomer molecules were adsorbed and mass values were found to increase with increasing monomer concentration from 0.4 wt%, for both 1 and 10 v/v% VIM concentrations, to 2.7 wt% for 35 v/v%. These results indicate that the monomer solutions were taken up onto the membrane. The exposure duration lasted for 42 h in order to simulate similar exposure duration for the highest dose of 100 kGy performed in this study, as detailed in Chapter 3 Section 3.2.4.2. Therefore, the chemical and morphology surface properties must be addressed in order to understand the impact of monomer solutions uptake.

6.2 Characterization of surface properties of grafted layer

6.2.1 Morphology analysis of the grafted layer and PA surface integrity

The morphologies of the grafted functional layers formed by irradiation polymerization were analyzed by SEM surface and cross-sections followed by AFM analysis. In Figure 6.2 SEM surface analysis and AFM (Appendix 3 - Figure A-6.2) were used to evaluate the resultant morphological changes across the PA layer. As per the findings presented in Chapters 4 and 5, the morphology of the control membrane materials was found to be irregular and rough. However, after grafting, the membranes morphology was significantly altered suggesting that grafted monomer was most likely to be deposited into the valleys of the rough surface morphology which tended to flatten the natural protusions across the PA layer with increasing monomer concentration and dosage. At low irradiation doses, between 1 and 10 kGy, different levels of surface texturation were also observed. However, significant reduction of the density of protusions at 35 v/v% of monomer concentration suggests an intensified polymerization process which filled the ridges within the rough PA surface. This hypothesis is supported by the fact that the surface protrusions across the series of control membranes exposed to monomer solutions without irradiation appeared only slightly flattened. The series of control samples exposed and irradiated with only MeOH/water, the solvent system used to prepare the VIM solutions (Figure 6.2), showed that this solvent system tended to slightly flatten these protrusions generating a smoother layer, with however, no significant variations between the series of irradiated samples.

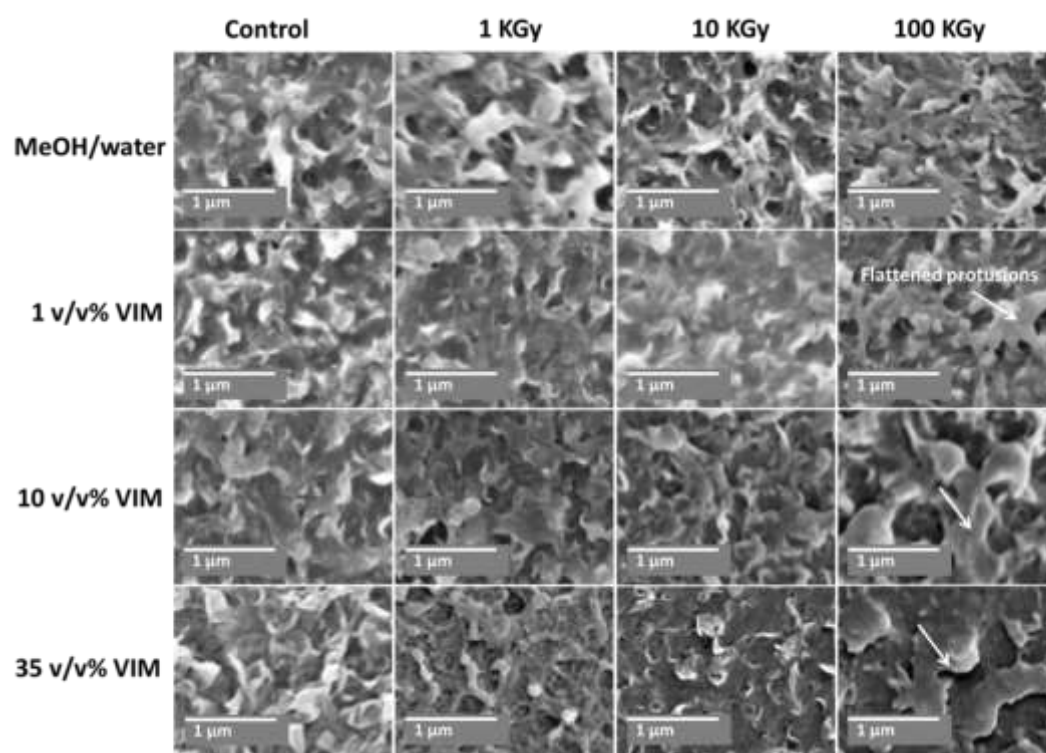


Figure 6.2: SEMs of control series and irradiation-induced grafted TFC membranes with VIM monomer.

This flattening, which was also observed in the SEMs shown in Figures 6.2 could also be related to the thickening of the top layer due to the monomer grafting or due to swelling of the matrix upon solvent and monomer uptake. The same previously mentioned study on the impact of oxidative reactions with N-methyl pyrrolidone in PA hollow fibers also showed significant alterations in the morphology after the treatment [214]. The SEM cross-section analysis showed surface texturization with defects and bumps of the treated sample suggesting an oxidative reaction that caused the skin membrane surface to shrink and the molecular structure to change. In this respect, the PA material has been shown to be vulnerable in exposure to oxidative reagents and therefore to potential degradation and chemical etching, which may have contributed to the flattening of the surfaces.

The levels of texturation were calculated from AFM maps by measuring the average roughness (Ra) for the samples, as presented in Appendix 3 - Figure A-6.2. The surface of the grafted membranes was smoothened and roughness values found to decrease with increasing irradiation dose. At 1 kGy, the roughness was reduced compared to the control membranes by over 50 % and found to plateau around 35.7 and 35.8 nm for the samples grafted with VIM concentration solutions at 1 and 10 v/v% respectively. The roughness of the materials was slightly increased, at 44.6 nm, for the 35 v/v% irradiated VIM concentration solution, yet approximately 30% lower than the control membranes, suggesting again excess build-up polymerization due to the prolonged irradiation conditions. At 10 kGy, a similar plateau was found between 1 and 10 v/v% with roughness around 44.1 and 42.4 nm respectively. However, at 35 v/v% concentration, the roughness of the samples was significantly decreased to 24.5 nm. At 100 kGy, increasing monomer concentration from 1 to 35 v/v% values reduced to 34.1 nm, 35.3 nm and 27 nm respectively. The calculated roughness values of the series of control membranes exposed to monomer solutions without irradiation demonstrate that sole contact with the VIM monomer smoothen the surface of the membranes (Appendix 3 - Figure A-6.2). The roughness of the membranes exposed to 1, 10 and 35 v/v% of VIM concentration decreased from 60.5 nm (control) down to 27.5 nm, 37.5 nm and 32 nm respectively. In Chapter 5 - Section 5.2.2.1 similar trends were found with increasing plasma polymerization duration with roughness decreased by 29% at 15 min treatment duration.

Furthermore, SEM cross-Sections of the samples were prepared to evaluate the extent of grafting across the PA and the Psf layers. At 1 kGy irradiation dose, the PA layer was found to be denser and thicker with increased monomer concentration comparing with controls. When irradiation is increased to 100 kGy as shown in Appendix 3 - Figure A-6.3 and Figure A-6.4 the densification is also intensified specially with at 10 and 35 v/v% of VIM concentration (Appendix 3 - Figure A-6.5) compared to the control membrane. Excessive polymerization was also found to occur across the pores of the Psf layer and appeared to progressively obstruct the pores highlighting the overall effect of the polymerization process on the entire membrane composite structure. Thus, the

reduction of the pore volume distribution across the Psf layer was particularly visible at 10 v/v% and 100 kGy and all series of irradiated membranes in 35 v/v% of VIM concentration which contributed to the water resistance increase. The series of grafted membranes in 35 v/v% of monomer concentration showed that Psf layer was significantly dense with the excessive monomer concentration which also compromised the FIB cross-sectioning in these samples (Appendix 3 - Figure A-6.5). Similar impact of coating thickness was previously reported with surface coating on commercial TFC membrane in order to create smoother and hydrophilic membranes. In a recent work, increasing monomer concentration led to increased thickness estimated ~300 nm which in turn caused severe flux decline, decreasing by 81% [34]. The changes found in grafted and control samples immersed in VIM solutions (no irradiation) suggested a vulnerability of the PA structure to the VIM solutions and therefore further chemical investigation is required.

6.2.2 Chemical analysis of grafted layer and PA surface integrity

The presence of the amine functional groups across the grafted surfaces was assessed by XPS survey analysis. The survey analysis showed elemental nitrogen at% increase from 5.9 (35 v/v% VIM solution, 0 kGy) up to 19.4 at% for 35 v/v% irradiated at 100 kGy. However, this increase was also detected across the control series exposed to the monomer solution alone, without irradiation, which also confirms the impact of monomer adsorption as detected with mass gain and morphology analysis. The N/C ratio therefore was increased from 0.03 for the control membrane up to 0.26 for the membranes irradiated at 35 v/v% and 100 kGy of total irradiation dose (Appendix 3 - Table A-6.1). In terms of PA integrity, grafting of amine functionalities caused peaks dissociations within the C_{1s} main peak, which are attributed to changes across the amide bonds present across the nascent PA as shown in Appendix 3 - Figure A-6.6. The peak at 285.98 eV corresponding to C-N sites from amides, across the PA, was significantly decreased after grafting, indicating consequent damage or reconfiguration of the PA structure [151, 215]. Peaks appearance were found around 291 eV, corresponding to π^* - shake-up transitions of aromatic structures. Such peaks were found only for the

grafted samples at 10 and 35 v/v% VIM concentrations. The presence of these peaks is an indication of potential remaining monomer aromatic structures adsorbed across the surface [159]. The π - π^* shake-up transitions was therefore used as a reference to detect residual imidazole rings [159] which should have been opened, due to low dissociation energies, upon polymerization [216].

The XPS results were confirmed by Fourier transform infrared (FTIR) spectra analysis, presented in Figure 6.3, demonstrating that new chemical bands, likely belonging to the amine groups, appeared upon irradiation of the membranes in the VIM solutions. The absorption of the band at 3330 cm^{-1} corresponding to N-H and/or O-H groups stretching vibrations in the neighboring of the PA aromatic rings [172], significantly increased after grafting suggesting an increase of the density of amine groups across the surface of the membranes [217]. This broad absorption region at 3330 cm^{-1} , which is particularly enhanced after grafting at 35 v/v% of VIM concentration and upon irradiation at 100 kGy, is here attributed to the presence of hydroxyl groups resulting from the presence of remaining MeOH or water solvent molecules. Azole groups particularly, as present across the VIM monomer molecules, were previously reported to have a very strong affinity to adsorb water molecules and therefore led to the broad band effect [218]. The presence of π - π^* - shake-up transitions peaks, as discussed in the XPS Section, also indicated residual unreacted VIM aromatic structures caused by an excess of monomer molecules. Therefore, the broad 3300 cm^{-1} band is expected to be an indication of residual aromatic structures from the VIM at high VIM concentration [219]. An extra band at 3110 cm^{-1} appeared upon increased monomer concentration and irradiation dose which was attributed to stretching vibrations of C=CH and N=CH bonds from the imidazole ring [218].

In this regard, the imidazole groups were partially dissociated. The absorbance of the bands in Figure 6.4 corresponds to functional groups in the vicinity of the aromatic amide bands at 1663 , 1609 and 1545 cm^{-1} which were also enhanced after irradiation grafting suggesting attachments of amine groups from the grafting layer. However, such bands for the 35 v/v% at 100 kGy show significant band enhancement suggesting chemical degradation which explains significant declined salt rejection. Furthermore a

new band at 1649 cm^{-1} was found to increase in intensity with monomer concentration, which is here likely correlated to a direct and measurable increase of the primary amine pendant groups surface density [173].

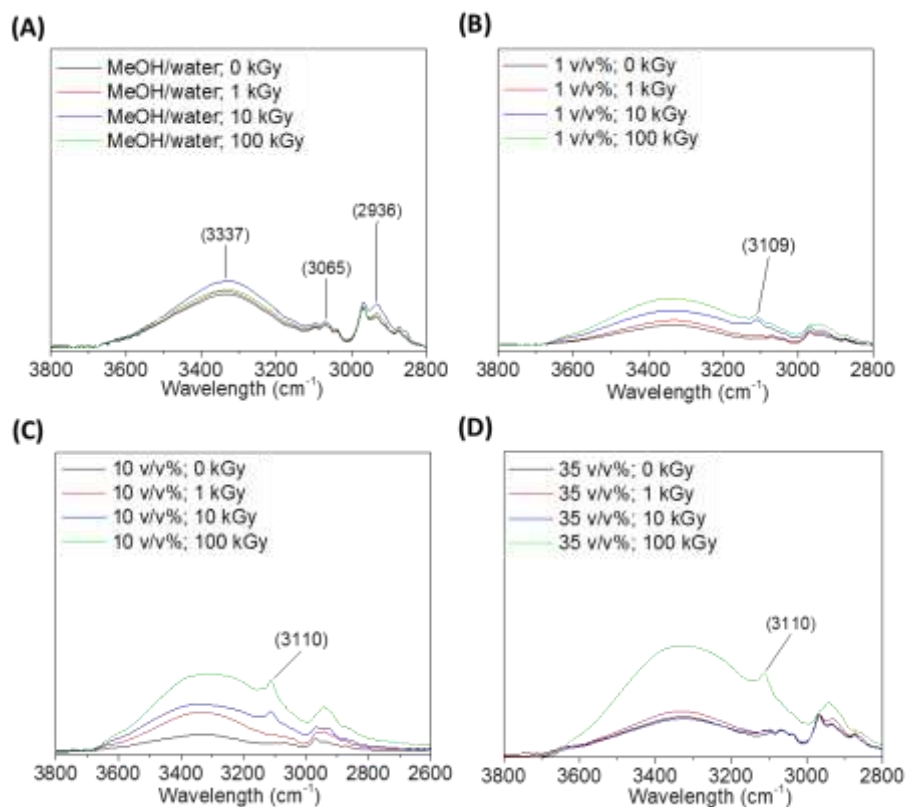


Figure 6.3: FTIR analysis of irradiation-induced grafted TFC membranes with VIM monomer irradiated at 1, 10 and 100 kGy total doses. Studied band: 3330 cm^{-1} corresponding to N-H and/or O-H groups stretching vibrations

This increase was found to be more prominent after exposure at 35 v/v% of VIM concentration on both irradiated and control membranes solely exposed to the monomer molecules. This finding therefore demonstrates that in addition to being covalently grafted to the surface, monomer molecules were also physically adsorbed and up-taken within the PA material, corroborating the mass gain findings. The quantity of monomer present within the free volume of the PA material is not possible to be estimated. However, in this work it was not possible to access advanced techniques such as

transmission electron microscopy (TEM) or positron annihilation spectroscopy (PALS) that could investigate surface adsorption in Psf layer. The changes visible across the control series however indicate that the quantity of free molecules is rather limited. In addition, the enhanced intensity of the band at 918 cm^{-1} in Figure 6.5, was attributed to C-H out-of-plane bending, is attributed to dissociated vinyl groups from the imidazole ring, which was dissociated upon irradiation [217]. The irradiated MeOH/water control membranes did not show chemical change within these specific bands which therefore suggests, as expected, that no polymerization occurred without gamma ray irradiation, and that up-take of the monomer was likely a purely physical-sorption phenomenon.

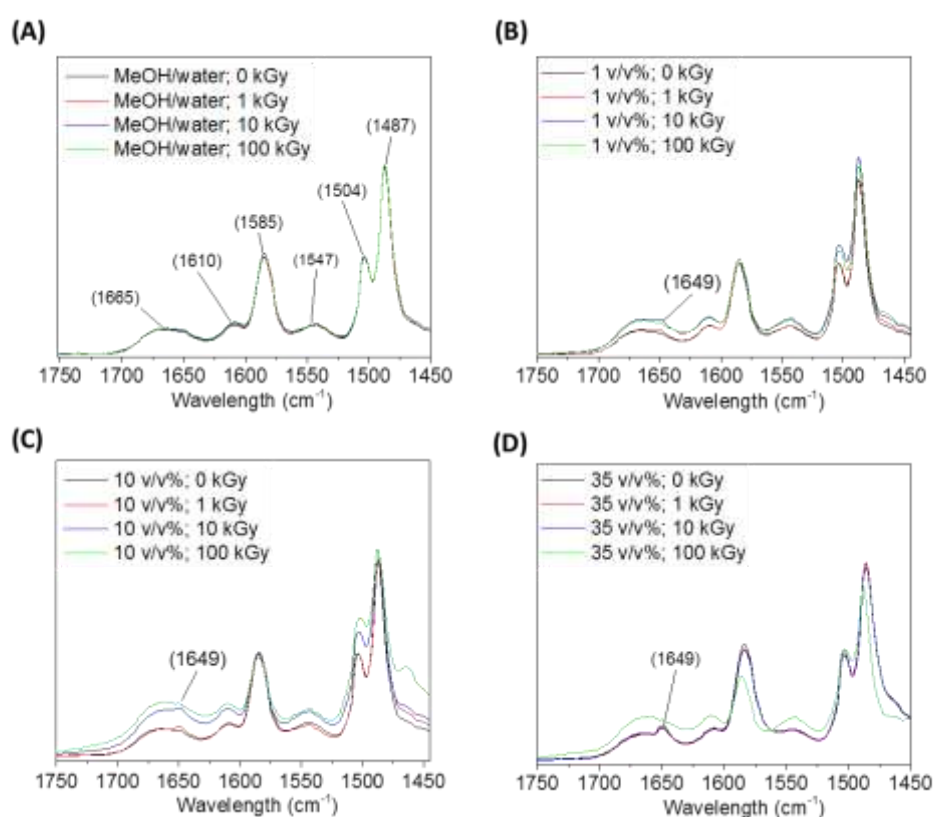


Figure 6.4: FTIR analysis of irradiation-induced grafted TFC membranes with VIM monomer irradiated at 1, 10 and 100 kGy total doses. Studied bands: 1663, 1609 and 1545 cm^{-1} from aromatic amide bands.

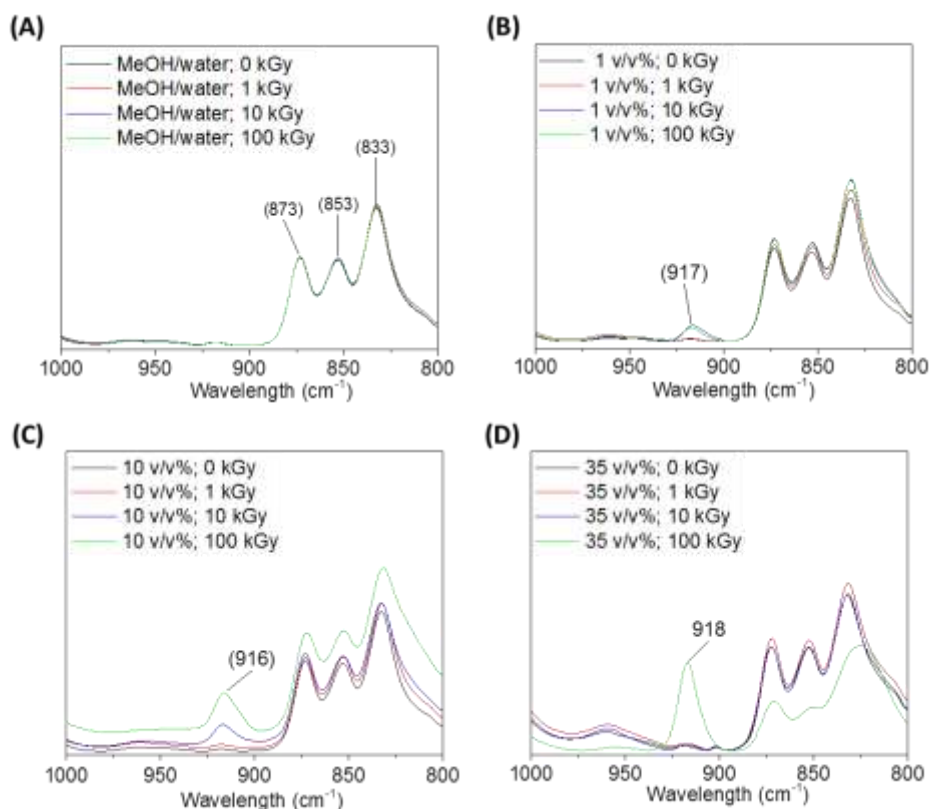


Figure 6.5: FTIR analysis of irradiation-induced grafted TFC membranes with VIM monomer irradiated at 1, 10 and 100 kGy total doses. Studied band: 918 cm^{-1} attributed to C-H out-of-plane bending.

Synchrotron FTIR analysis also performed in Chapter 5, Figure 5.8 and 5.13 is also presented in Figure 6.6. The area of the band around 1666 cm^{-1} was also chosen as a reference band as seen in order to assess amine enrichment of irradiated grafted membranes. The bands however, as presented in Figure 6.6 tended to be increased with increasing dosage. However, thickness values as summarized in Table 7.3 in Chapter 7, shows a broad range varying from 28 to 227 nm within all the series. The calculated film thicknesses indicated that the aqueous membrane environment during grafting led to less chemical distributions which can be associated with saturation of high monomer concentration solutions; however, limitations on the FTIR analysis for quantitative measurements may also be considered.

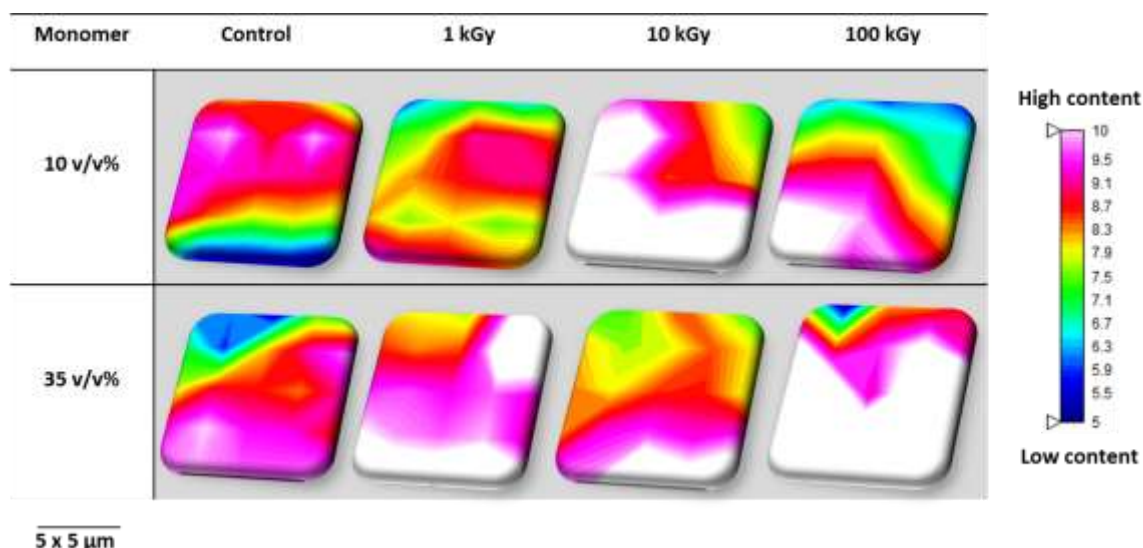


Figure 6.6: The SIR-Map homogeneity analysis with integration of band from 1700 to 1650 cm^{-1} noted after of irradiation-induced grafted TFC membranes with VIM monomer irradiated at 10 and 100 kGy total doses.

In terms of chemical distribution, irradiated membranes have led to overall lower homogeneity compared PP (Figure 6.6). However, for the 1 v/v% VIM series homogeneity was shown to increase with increasing dosage. The estimated film thickness for the 1 v/v% VIM series was not possible to be calculated due to lower absorbance in the FTIR analysis since there are limitations to consider regarding the inherent heterogeneity of the PA material [88].

6.2.3 Analysis of surface charge and impact on membrane performance

Streaming potential results are shown in Figure 6.7. Results are shown for tests performed on the 1 v/v% concentration series for increasing irradiation doses before and after membrane permeation. The grafted membranes presented in Figure 6.7a were shown to present an increasing density of surface amine functional groups which led to a net increase of their surface positive charge. As a reference – as supplied membranes typically exhibit a negative charge profile from almost zero to – 25 mV across pH range of 3 to 8 respectively [172]. The grafted membranes presented highly positive net

charge profiles across the full pH range. The IEP of the grafted membranes between the 1 and 10 kGy was shifted from approx. pH 6.5 to pH 6.8 reaching a net charge of +45 mV in the acidic pH range. In addition, the net charge profile in the alkaline pH range above pH 8 was found to reach -5 mV, therefore nearly 5 times higher than that of the reference membranes. At 100 kGy, the charge was always positive across the complete pH range, reached maximum of +47 mV in the acid range and a minimum of +5 mV within the alkaline range. These results are significant since they provide evidence that TFC materials may be in-depth modified to offer highly tunable surface charges and IEPs. Another study on grafting of amine functionalities amino groups improved performance and heavy metals rejection of TFC membranes in order mimic the hollow fiber membranes [220]. The surfaces not even became smoother but also highly positively charged between pH 2.0 and 11.0 possibly due to the import of amine groups, however the performance of the particular sample was not reported. On the other hand, the control membranes exposed to a 1 v/v% of VIM concentration solution (Figure 6.7a), have taken up monomer molecules by adsorption, presented an IEP at pH 4.5 and a positive net charge increased to +15 mV within the acidic pH range from pH 3 to 6. The net difference with the reference untreated membranes was therefore largely significant in that range. However, within the alkaline range, and particularly above pH 8, this control membrane exhibited a negative profile with a net charge around -15 mV, within the same range to that of the control membranes, demonstrating more similarities with the control membrane.

Furthermore, in order to further evaluate the stability of the grafted molecules, the samples were also tested after permeation testing to assess for potential delamination or desorption of functionalities which may occur within the module test due to the high shear rate (2.4 m.s^{-1}) and the high pressure exerted across the thickness and top layer of the membranes. Indeed in pressure driven separation, the resistance of the membrane is largely concentrated upon the PA layer. After permeation (Figure 6.7b), all grafted membranes had their IEP points shifted towards acidic range by approximately ± 1.0 pH unit, while the reference membranes exposed only to a to 1 v/v% of VIM concentration shifted by ± 0.5 pH unit. Although the positive charges were reduced for amine grafted

membranes they still presented a high content of amines with charges reaching between +30 and +45 mV. The extremely highly positively charged TFC membranes offer tremendous potential for positively charged molecules removal such as proteins, surfactants, metal transition or heavy metal ions. The control of the IEP position, by tuning the materials amine functional groups density, is also promising for the purification of extreme pH solutions, beyond that of water desalination [16]. The solution pH has a significant effect on selectivity, because it dictates the charge on the functional groups of the membrane material and of the molecules in solution [93]. The charge of the material may also offer low-fouling properties and superior recovery capabilities as previously demonstrated during fouling operation tests [102].

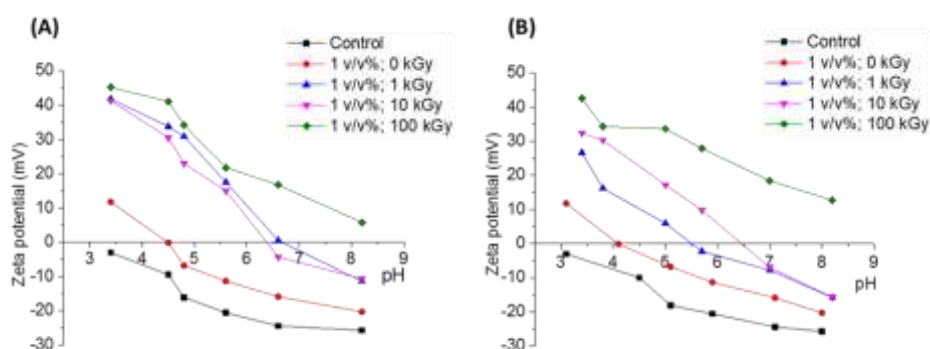


Figure 6.7: Streaming potential analysis of irradiation-induced grafted TFC membranes with VIM monomer grafted with 1 v/v% monomer concentration with increasing irradiation total dose, a) initial performance and b) performance after permeation.

Resultant chemical alterations affecting wettability were also accessed by water contact angle measurements. Water contact angle is a sensitive tool that is strongly affected by the surface charge and morphology. The shape of the water drop is directly correlated to the water molecules orientation at the solid-liquid interface and thus to van der Waals interactions while the presence of air trapped within the protrusion or the general roughness of the material may strongly affect dynamic wettability [221]. For instance, a positively charged surface with a high amine functional groups content would exhibit a higher fraction of ionized species across a low pH ranging from pH 2 to pH 6 [222]. The protonated species on the surface of the materials would therefore enhance the net

positive charge and promote Coulomb interactions thus attracting the negatively charged dipoles, such as water [222]. Such interactions may increase hydrogen bindings between the surface and water and in turn, reduce the dynamic wettability of the material [222]. Considering that the contact angle was measured at pH 6 and that at this pH the surface of the modified membrane materials is positively charged, the contact angle after grafting tended to be decreased (Appendix Figure 6.7). The contact angle of the grafted membranes at 1 v/v% of VIM concentration (Appendix Figure 6.7a) showed consistent decrease with increasing irradiation dose.

The control membranes exposed to the 1 v/v% VIM concentration but to no irradiation showed similar behaviors to that of the control membranes, measured at $70 \pm 5.9^\circ$ while after grafting it was shown progressive decrease down to $64.7 \pm 1.2^\circ$ and $22 \pm 3.5^\circ$ from 1 to 100 kGy respectively. This result is well correlated with the surface charge trend previously discussed, where the net positive charges were also increased with increasing irradiation dose.

As also found for the XPS analysis, increasing irradiation dose promoted higher amine contents. However, the formation of aliphatic amines due to imidazole rings opening may be promoted by the high irradiation total dose cannot be discarded, once since bands at 1649 cm^{-1} and 918 cm^{-1} were detected, suggesting the presence of both primary amines and C-H groups (Figure 6.3 and 6.4). On the other hand, Appendix 3- Figure A-6.7d shows that the samples from the series of control membranes irradiated in MeOH/water solution alone were not significantly altered, and presented contact angles consistent around 70° across the series of irradiation total doses compared to the control. The contact angle values trend confirms the results presented in FTIR, XPS and performance test where these control membranes showed no significant changes even at high doses of irradiation (Appendix 3 - Figure A-6.1a).

The stability of the water contact angle was also assessed by measuring on the same samples after 100 days the water contact angles. Interestingly, a slight tendency for hydrophobicity recovery was found to occur, especially for the samples grafted at higher irradiation doses. This result, in line with previously discussed surface charge

IEP shifts suggesting that a portion of the amine functional groups may have suffered aging process with molecular re-orientation [223] or desorbed materials.

In order to confirm the impact of the surface charge on the membrane performance, tests were performed with divalent cations (Ca^{2+}) based solutions. The salt rejections with the CaCl_2 solutions were found to be significantly higher than those reported for the NaCl solutions. The grafting series irradiated at 1 kGy with increasing monomer concentration was chosen to demonstrate the effect of Donnan layer exclusion once this series showed minimum declines of salt rejection values in NaCl desalination test. The surface charge of membranes tested for desalination of CaCl_2 at 1 kGy total dose and for 1, 10 and 35 v/v% VIM concentrations, was also significantly altered and had the IEP shifted towards pH 6.8 and overall net surface charge reaching up to +45 mV (Appendix 3- Figure A-6.8a). The stability of the grafting was also evaluated by assessing the surface charge after desalination tests (Appendix 3- Figure A-6.8b). The IEPs shifted equally towards pH 5.8. The respective control series exposed to 1, 10 and 35 v/v% showed the effect of monomer adsorbing where IEP point was found at pH 4.5 (Appendix Figure A-6.8c), for 1 and 10 v/v% membrane at pH 6.5 and ~ 6.3 for 35 v/v% membrane. The net surface charged reached maximum of +20 mV with 10 v/v% monomer concentration. Although monomer adsorption intrinsically affected the surface charge, the irradiation grafting significantly contributed to the charge increase and durability [224].

As previously discussed, NaCl rejection at 1 kGy of 96%, 95% and 92% and flux of 70, 68 and 55 $\text{L.m}^{-2}.\text{h}^{-1}$ were obtained for the 1, 10 and 35% v/v% VIM concentration solutions respectively. The salt rejection in RO membranes is primary driven by Donnan layer exclusion than salt diffusion [225]. The same tested series of 1 kGy with increasing VIM concentrations showed an increase of CaCl_2 rejection as high as 99% for flux values around 46.7 $\text{L.m}^{-2}.\text{h}^{-1}$. Considering that the free-volume of commercial TFC PA membranes are typically reported in the range of ~ 0.259 to 0.289 nm [81], the high selectivity presented indicates that strong electrostatic interactions occurred upon separation once the ionic radius of Ca^{2+} and also Na^+ ions is $0.99 \text{ \AA}$ with diameter ~ 0.2 nm [226].

This high salt rejection therefore, demonstrated the integrity and stability of the coatings across the materials as well as the potential of the novel technique to alter commercial materials towards high permeability materials.

6.3 Conclusions

Irradiation-induced grafting was systematically investigated and the altered surface properties were critically correlated to TFC membrane performance. The nanoscale PA network was shown to be a limiting factor to control the degree of grafting. Considering the structural characteristic of PA ultrathin layer, grafting with low VIM concentration of 1 v/v% at 1 kGy irradiation dose was demonstrated to be sufficient to alter the negatively charged to positively charged membrane. Thus, with the same VIM concentration was possible to produce a completely positively charged membrane with increased dose up to 100 kGy. The selectivity with NaCl was maintained around 96.7% while the rejection of CaCl_2 was dramatically improved to 99% which was favoured by improved electrostatic interactions. On the other hand, flux was likely to be compromised upon densification of PA layer and grafting depth at the Psf layer. Such effects tended to increase membrane resistance to water and were intensified at high doses and VIM concentrations. However, these conditions were demonstrated not to be required to produce a durable and effective positively charged surface confirmed with streaming potential analysis. Therefore, further investigation could investigate the grafting using lower VIM concentration (e.g. < 1%) at intermediate doses. Also, a molecular level investigation including calculations of dissociation bonds energies across PA and VIM molecular structures in order to be correlated to the findings and to kinetic mechanisms involved.

7. A comparative overview of plasma polymerization and gamma irradiation techniques for TFC membranes surface modification

This chapter provides an overview of comparative TFC surface modifications by plasma polymerization (PP) and gamma-ray irradiation (GRI) techniques. The impact of both techniques on actual membrane performance, in terms of relative flux and salt rejection, has been summarized, as are the effects on surface charge. In addition, the deposition characteristics for the monomers VIM and MA have been compared between the two techniques and related to information provided by quantum chemical calculations (QCC) (presented in Chapter 5, Section 5.4). Differences in the polymerization reaction mechanisms and products, related to the fact that PP and GRI methods operate under vacuum and atmospheric conditions, respectively, will be considered as part of this analysis in order to isolate the effects in common from these novel irradiation based surface modification strategies.

7.1 Comparative effects on membrane performance

Table 7.1 presents a qualitative comparison of the effects of PP and GRI on the essential functional desalination parameters (flux and the salt rejection), for the TFC membrane as a function of PP exposure duration, VIM monomer concentration and total dose for the GRI experiments. It can be seen that the flux decline is more dramatic for the GRI technique than for PP for the VIM monomer. Details of these trends are discussed in Chapters 5 and 6 and which were related to the different environments particular to each technique. The salt rejection, in the case of the VIM monomer, tends to decline for both techniques and, again, a more dramatic effect is observed for GRI.

Table 7.1: Qualitative comparison of the effects of PP and GRI on the flux and the salt rejection for the TFC membrane as a function of PP exposure duration, VIM monomer concentration (for GRI) and GRI dosage. This allows comparisons to be made between the two techniques for the same monomer (VIM) – compare blue and green shadings; and between the two monomers (VIM and MA) for a given technique (PP) - compare blue and pink shadings.

Parameters	Plasma Polymerization (PP)		Gamma-ray irradiation (GRI)
	VIM - Monomer	MA - Monomer	VIM - Monomer
Flux ($\text{L.m}^{-2}.\text{h}^{-1}$)	Flux tends to increase with increasing polymer polymerization duration and returns to original level at highest duration, Figure 5.11 a.	Flux decreases significantly with increasing plasma polymerization duration and starts to increase at highest duration but remains significantly lower than the control, Figure 5.11 b.	Flux significantly increases upon introduction of the monomer alone at all three concentrations. The flux subsequently significantly declines with increasing irradiation dosage, Figure 6.1 a – c. The minimum flux occurs at the highest concentration and irradiation dosage.
Salt Rejection (%)	Salt rejection tends to decline (from 98 to 96%) with increasing polymerization duration, Figure 5.11 a.	Salt rejection tends to decline (from 98 to 97%) with increasing polymerization duration, Figure 5.11 b.	Salt rejection tends, Figure 6.1 d, to decline with increasing irradiation dosage and with increasing concentration. The decline is very pronounced for the highest concentration.

Overall the irradiation techniques have a tendency to alter flux, being either a significant increase or decrease depending on the conditions. Someone interested to alter a membrane by any technique would at least expect no change to flux, but an increase is clearly beneficial and could justify the technique solely for harnessing desalinated water with less energy (i.e. reduced pressure to achieve the same flux). It appears however that regardless of the technique, there were no recorded cases of salt rejection increase compared to the control, where instead some reduction would always be seen. Clearly the practical advantage of this loss would need to be weighed up against the gained benefit (flux or altered surface property). To put this in perspective, for a saline feed from a brackish water desalination plant of 3,000 mg/L, a salt rejection drop from 98% to 97% would lead to an increase in the permeate salinity from 60 mg/L to 90 mg/L, i.e. a 50% increase in salinity. While the application may or may not be impacted by this (for example the absolute value still meets requirement), the compromise in terms of higher flux and/or reduced fouling will need to be considered. Regardless, this preliminary assessment has found that the most ideal condition is one that minimises this impact to the salt rejection as much as possible.

Analysing more specifically, from Figure 7.1 it can be seen that the results are more diverse for the GRI technique. In this case the best outcomes (highest salt rejection/highest flux) are seen to be for 1v/v% VIM at 1 and 10 kGy, respectively. The worst outcome for this technique is seen for 35 v/v% at 100 kGy. Also of note is the trend at 35 v/v% whereby both the salt rejection and flux decrease linearly with increasing dosage, from 1 to 100 kGy. The change relative to the control can be seen for all results shown. An “Acceptable improvement window” becomes evident if we define the lower limit of salt rejection of 96% and do not accept any modification that gave lower fluxes. Here we see that there are acceptable PP conditions at 5 min and 9 min, which do not vary significantly from the control. These would be utilised for their other functional changes (surface charge, hydrophilicity and morphology). Meanwhile the 1 v/v% GRI membranes at 1 kGy and 10 kGy doses not only achieved this modification, but offer the benefit of a greatly increased flux.

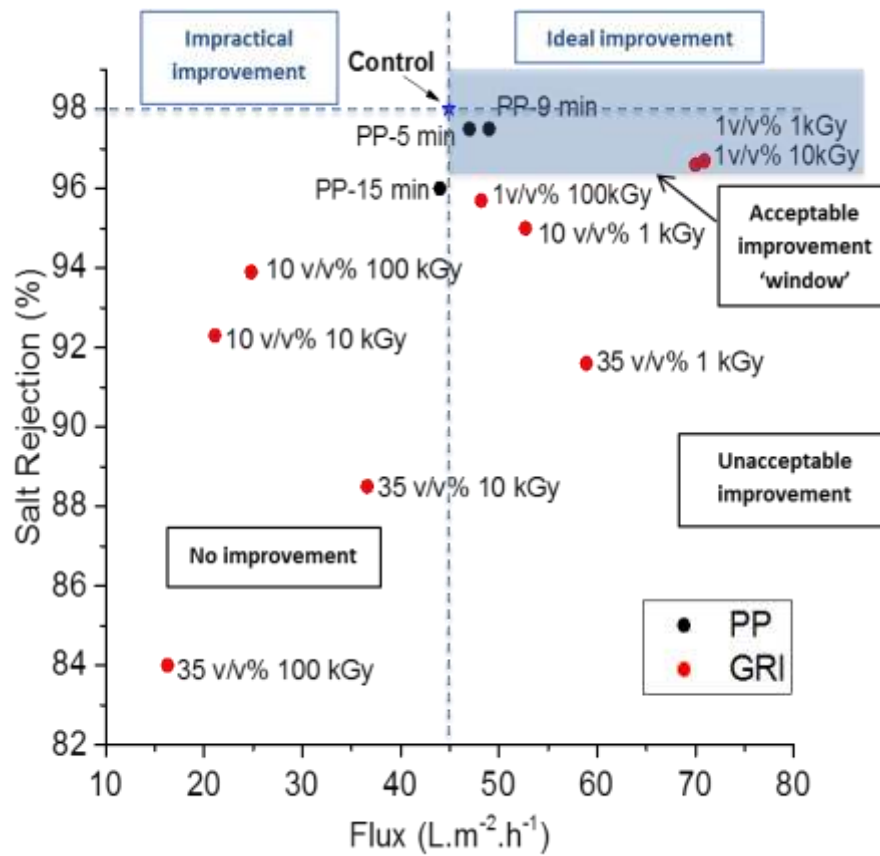


Figure 7.1: Salt rejection versus flux data distribution with respect to concentration and exposure time for GRI, and duration time for PP. The monomer is VIM for both techniques. Details are in Figure 5.11 (Chapter 5) and Figure 6.1 (Chapter 6).

Looking more deeply into the material changes, Figure 7.2 presents a qualitative comparison of the effects of PP and GRI on the salt rejection and isoelectric point (IEP) for the TFC membrane as a function of PP exposure time, VIM monomer concentration (for GRI) and GRI dosage. Observing the “acceptable window” again, we see that the salt rejection decline is more dramatic for the GRI technique than for PP for the VIM monomer. Details of this are discussed in Chapters 5 and 6 and this is probably related to the different membrane environments in each technique. The salt rejection in the case

of the VIM monomer tends to decline for both techniques and, again, a more dramatic effect is observed for GRI.

By plotting IEP on the independent axis, we see a possible trend of maintained salt rejections for higher IEP values. For PP, the IEP is lowered by an extended duration time. This could be explained by more steric shielding of the amine with higher deposition. For GRI, a similar range of IEP variation is observed and notably the highest concentration of 35 v/v% and lowest dosage of 1 kGy gives the lowest value of the IEP and salt rejection. This could be due to the effect of the high monomer concentration on the integrity of the membrane surface and size exclusion dominated the salt rejection mechanisms (Figure 6.1d). The higher values of IEP correspond to the lowest concentrations of 1 and 10 v/v% and lowest dosage of 1 kGy. The intermediate value for the IEP corresponds to the lowest concentration of 1 v/v% and a higher dosage of 10 kGy. The presented data suggests that for an optimal IEP, low duration/dosage and low concentration is desirable. Interestingly the membrane treated with 1 v/v% at 100 kGy showed a complete positive charge across the whole range of pH and simultaneously exhibited practical 96% salt rejection.

The adjustment of the IEP in RO membranes opens new avenues for different applications where nanofiltration (NF) membranes are more commonly applied due to low operational costs. For example in the mining industry, wastewater with highly concentrated and charged contaminants has been treated by NF membranes. The IEP for most of the commercial NF membranes can vary from pH 3 - 5 and the cation rejections tend to decline with increasing pH because the surface of the membranes are pH dependent and tend to become negatively charged. Therefore, to enable high cation rejection, the feed solution must be maintained at as low pH as possible.

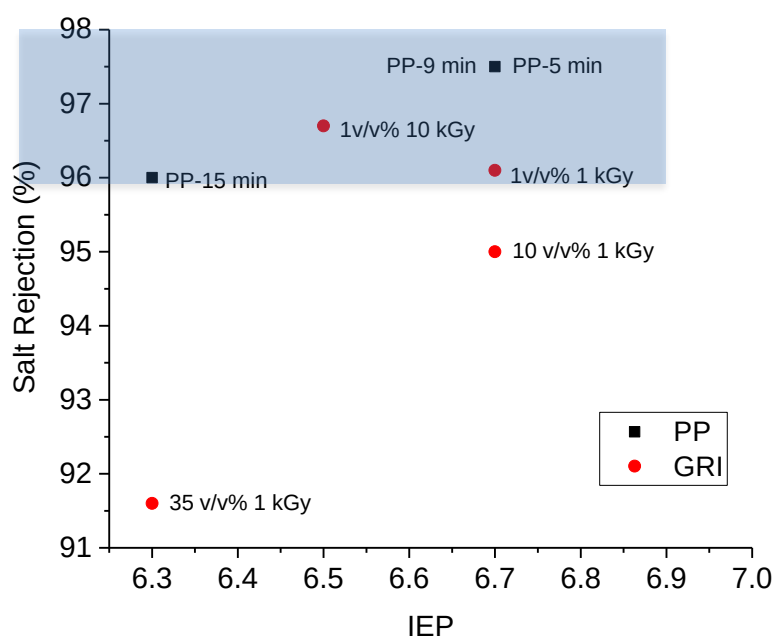


Figure 7.2: Salt rejection versus IEP with respect to PP duration time and GRI dosage and VIM monomer concentration. Rectangle shows the acceptable desalination membrane performance window. More information is in Figure 6.7 and Appendix 3 - Figure A-6.8.

Thus, the anions are also rejected because the inherent negatively charged surface tends to maintain the electroneutrality [16]. However, the tightness of the free-volumes of the membranes is also relevant given that commercial NF membranes that have similar IEP provide differences in the cation rejection [227]. For example, a study on the influence of the IEP for ion rejection of NF membranes compared the performance of a variety of commercially available NF membranes which could be potentially applied in wastewater treatment of mining industries [16]. The most promising result was obtained with TS 80 NF membrane where the overall cation rejection was at a pH ~3 which exhibited Na^+ rejection of 87%. This is in comparison to the second best membrane that exhibited only 50% of Na^+ rejection. However, to compare this membrane with other brands the same membrane was operated at 22 bar to achieve a flux of $33 \text{ L.m}^{-2}.\text{h}^{-1}$. This

high applied pressure therefore suggests that this membrane had a tightened free-volume than the others. The Na^+ rejection for the same membrane tended to decline with increasing pH and exhibited Na^+ rejection of $\sim 78\%$ at pH ~ 6 . In our work, the modified RO membranes that were in the "acceptable window" were operated at 15 bar and showed significant high flux and salt rejection of 97.5% at pH 6 compared to the best tested NF membrane TS 80. The modified membranes are highly positively charged and therefore indicate a promising opportunity to provide a broader range of working pH conditions.

7.2 Monomer deposition characteristics

7.2.1 The gamma-ray technique (GRI)

For GRI, Table 7.2 shows that for both concentrations of the VIM monomer, the rate of deposition was highest at 1 kGy and decreased dramatically with increasing energy. This could be related to more competing homopolymerization reactions at higher energy levels [228], since more homolytic bond dissociations would be likely with respect to the monomer with increasing energy, Chapter 5, Section 5.4. The minimum and maximum thicknesses achieved were 28 and 227 nm, respectively at the 10% v/v concentration. In these experiments the rate of deposition ranges from 1.6 to 504 nm/h across all energies and across both concentrations.

Table 7.2: Comparison of film deposition rate from both 10 and 35 v/v% monomer concentrations*

Total dose	VIM-10 v/v% film deposition rate (nm/h)	VIM-35 v/v% film deposition rate (nm/h)
1 kGy	504	173
10 kGy	6.5	30
100 kGy	4.3	1.6

*The series for 1 v/v% monomer concentration is not considered for this discussion. More details on this are in Chapter 6.

Table 7.3 Minimum and maximum film thicknesses achieved.

Total dose*	Irradiation duration (h)	VIM-10 v/v% Film thicknesses (nm)	VIM-35 v/v% Film thicknesses (nm)
1 kGy	0.45	227	78
10 kGy	4.25	28	127
100 kGy	42.5	181	67

*Dose rate 2.35 kGy/h

7.2.2 Plasma polymerization technique (PP)

For the PP technique, the rate of deposition ranges from 193 to 553 nm/h across both the VIM and MA monomers as shown in Table 7.4. However, the deposition rate for MA is higher than the deposition rate for VIM. According to the results from modelling studies Chapter 5, MA was shown to be less susceptible to homolytic bond dissociation than VIM. However, as MA readily hydrolyses to maleic acid when in contact with air, the deposition rate was subjected to the influence of formed maleic acid since the thickness measurements were performed when samples were in contact with air. The minimum and maximum thicknesses achieved were 29 and 62 nm for VIM, and 37 and 102 nm for MA. Under the same conditions, PP produces a thicker film for MA than for VIM, Table 7.4

Table 7.4: Maximum film thickness achieved with plasma polymerization technique.

Plasma polymerization duration (h)	VIM-film thickness (nm)	MA-film thicknesses (nm)	VIM-film deposition rate (nm/h)	MA-film deposition rate (nm/h)
0.08	31	37	387	462
0.15	29	102	193	553
0.25	62	83	248	320

7.2.3 Comparison between the techniques

Table 7.5 presents a comparison of the monomer deposition characteristics between the PP and GRI techniques. Thus for GRI, there is more variation in the deposition rate, possibly reflecting the differences in the environments between PP and GRI (i.e., vacuum versus atmospheric; gas versus aqueous phase). These variations in deposition rates suggest that the GRI technique could be more versatile with respect to controlling the deposition rate and hence thickness. The upper limit of the deposition rate achieved in these experiments appears to approach a value within the 504 nm/h from GRI (VIM, Table 7.5) to 553 nm/h from PP (MA, Table 7.5) range (approximately) as highlighted for both techniques. Notably the MA is higher and this is again consistent with our modelling studies that show VIM to be more sterically restrictive than MA as a product with PA (Chapter 5, Section 5.4).

Table 7.5: Comparison of the monomer deposition characteristics between the PP and GRI techniques

Parameters	Plasma polymerization		Gamma-ray irradiation
	VIM Monomer	MA Monomer	VIM
Deposition rate(nm/h)	193-387	320-553	1.6 - 504
Minimum thickness(nm)	29	37	28
Maximum thickness(nm)	62	102	227

7.3 Concluding remarks

This chapter provides a comparative overview of the differences in the properties and characteristics of TFC membranes modified via PP and GRI techniques. The impact of both techniques on the actual membrane performance showed that the PA layer can be compromised when subjected to sufficiently harsh conditions. However, under more controlled conditions, a potential application for amine enriched membranes is suggested given that such modified membranes become more permeable and become highly positively charged with maintained salt rejection. Differences in the environments between each technique have been shown to affect monomer deposition rates and the broader range found for the GRI indicates that this technique could be more versatile with respect to controlling the deposition process. The novel application of quantum chemical calculations in delineating the mechanism and structural characterization of the deposition process has been shown to be a promising and potentially predictive method.

Suggestions for future work may be summarized as follows:

- Challenge the practical benefit of the modification in real applications over longer term: the modified membranes are operated under conditions that would be challenging to the unmodified membrane types. For example to observe if the functional modifications reduce fouling from proteins, or require less cleaning when desalinating industrial waste water. Correlate the findings to tailored chemical and/or morphological changes;
- Measure the long term performance for higher flux membranes (operate at lower pressure to achieve the same water productivity) to determine energy saving potential;
- Test with a wider range of salt mixtures to observe if the surface charge changes will enable tailored ion partitioning in NF application, for example to mining waste water treatment;

- The free-volume of modified membranes could be assessed using positron annihilation lifetime spectroscopy (PALS). This will allow deeper investigation into the impact of the irradiation techniques towards understanding if altered flux is related to (for example) changed polymer free-volume, or simply reduced effective area;
- Other techniques to measure the film thicknesses could be explored such as X-ray reflectometry (XRR) and ellipsometry. Both would work on a flat surface and we could have potentially cross-benched the results from the FTIR calculations for the PP samples;
- In gamma-rays, nuclear magnetic resonance (NMR) could investigate monomer homopolymerization with increasing total doses’
- Different parameters for plasma polymerization could be explored. Longer durations tend to increase the amount of functional groups. However, another possibility could explore high density of functionalities by increasing partial pressures of the monomers at a fixed optimum duration such as 5 min;
- A more in depth surface morphology investigation could be explored in plasma polymerized membranes with VIM and MA monomers in order assess the impact of different geometries predicted by the quantum chemical calculations;
- A thicker PA layer could be synthesised in order to assess the impact of plasma etching and grafting penetration via gamma-rays;
- The stability of the plasma modified membranes could be assessed with different storages conditions without presence of air;
- In gamma-rays technique lower irradiation total doses below 1 kGy could be investigated at high monomer concentrations; and
- MA could also be irradiated in order to compare grafting with PP.

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9. Appendices

Appendix 1

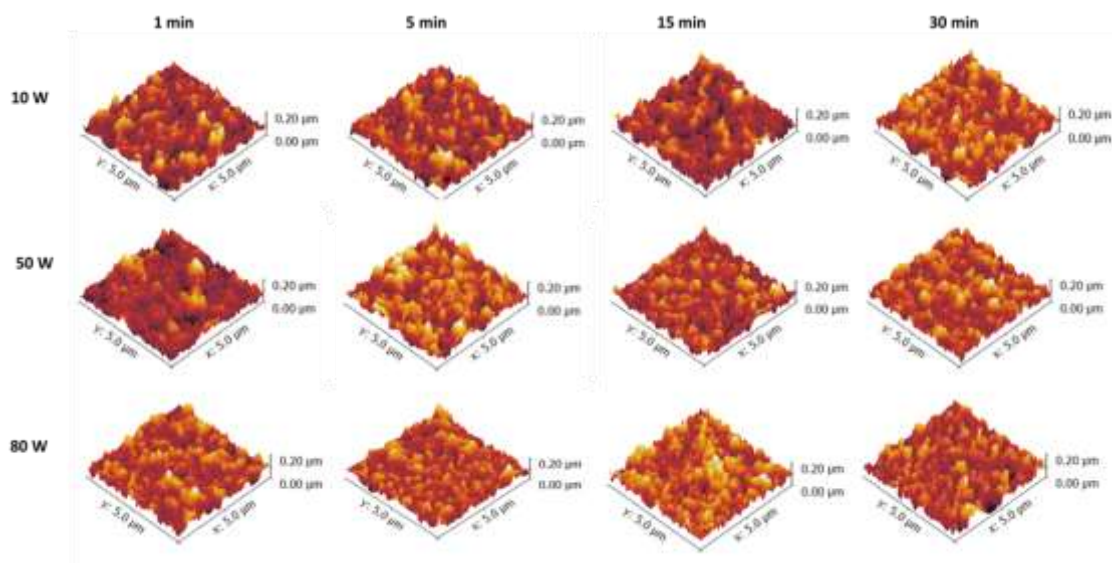


Figure A-4.1: Surface roughness measured by AFM analysis for argon plasma treated membranes with no pre-condition step. The plasma conditions include excitation power at 10, 50 and 80 W and 1, 5, 15 and 30 min of treatment duration. The AFM maps show peak heights coded into a range of dark to light colours.

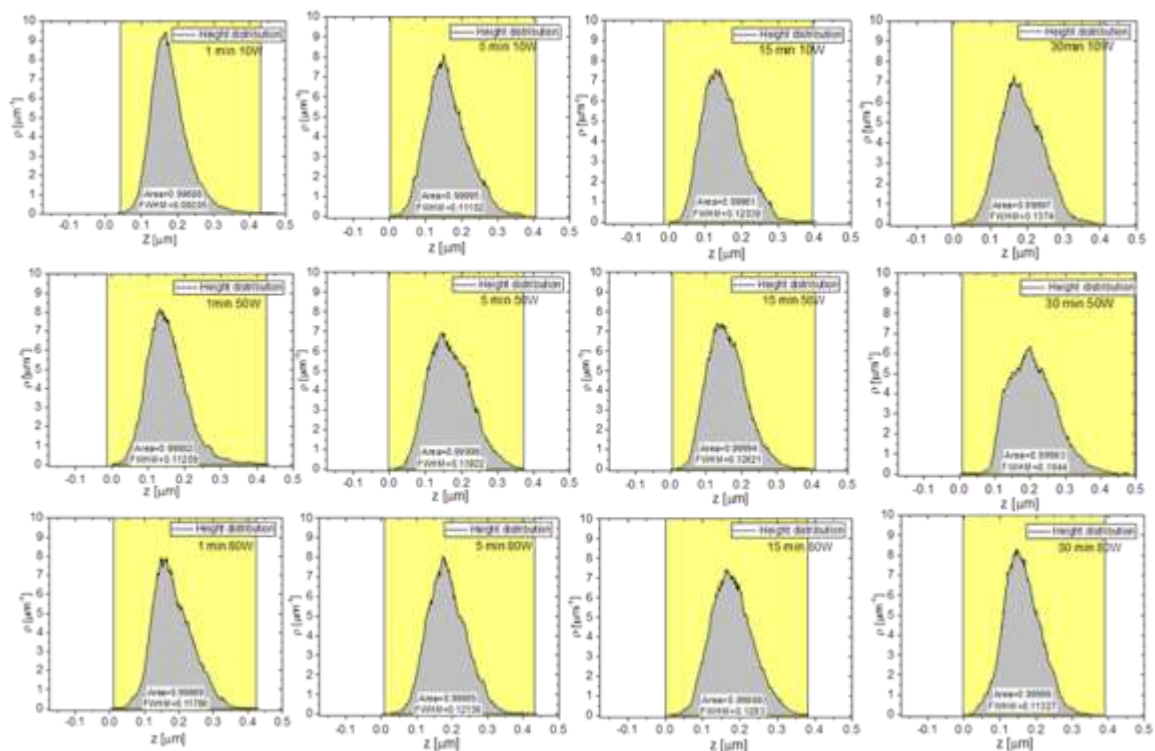


Figure A-4.2: Height distributions calculated from AFM scans. The profiles are characterized by FWHM, integrated area under the curve and peak value as a function of plasma power and treatment time.

Table A-4.1: Elemental XPS analysis from water plasma treated membranes with increasing N/C ratio for water plasma treated membranes [171].

Power density	Membranes	C (at%)	N (at%)	O (at%)	N/C	O/C
10W	Control	77.5	2.2	20.3	0.03	0.3
	Water 1min	80.6	3.3	16.1	0.04	0.2
	Water 2min	84.9	2.1	13.0	0.02	0.4
80W	Water 5 min	72.5	8.1	19.4	0.1	0.3
	Water 1min	79.1	5.0	15.9	0.1	0.2
	Water 2min	74.6	6.9	18.5	0.1	0.2
	Water 5 min	72.6	8.8	18.6	0.1	0.2

Table A-4.2: Elemental XPS analysis from helium plasma treated membranes.

Power density	Membranes	C (at%)	N (at%)	O (at%)	N/C	O/C
10W	Control	77.5	2.2	20.3	0.03	0.3
	He 2min	73.3	6.7	20.0	0.1	0.3
80 W	He 5 min	73.8	6.3	19.9	0.1	0.3

Table A-4.3: Elemental analysis of argon plasma modified membranes

Membranes	C 1s (at %)	N1s (at %)	O1s (at %)	S2p (at %)
Control	76.5±0.5	5.5±0.2	18.2±0.3	0.09±0.03
1 min 10 W	75.9±0.8	4.4±1.8	19.6±2.4	0.08±0.05
5 min 10 W	75.7±0.9	5.7±0.3	18.7±0.9	0.08±0.04
15 min 10 W	76.4±0.4	6.7±0.2	16.8±0.3	0.10±0.06
30 min 10W	73.7±0.5	7.1±0.4	18.8±0.1	0.36±0.05
1 min 50 W	73.4±1.4	5.8±0.3	20.5±1.2	0.08±0.04
5 min 50 W	79.5±0.8	3.4±1.7	17.3±1.3	0.05±0.04
15 min 50 W	79.5±1.6	3.5±1.6	17.8±1.6	0.08±0.03
30 min 50W	69.9±1.2	6.5±1.4	22.7±1.5	0.83±0.02
1 min 80 W	75.4±0.8	5.5±0.4	18.9±0.7	0.04±0.02
5 min 80 W	74.4±1.6	6.8±0.5	17.8±1.1	0.08±0.01
15 min 80 W	70.6±2.0	8.3±0.2	20.4±0.5	0.61±0.01
30 min 80W	72.4±1.5	6.3±0.7	20.4±0.6	0.86±0.05

Appendix 2

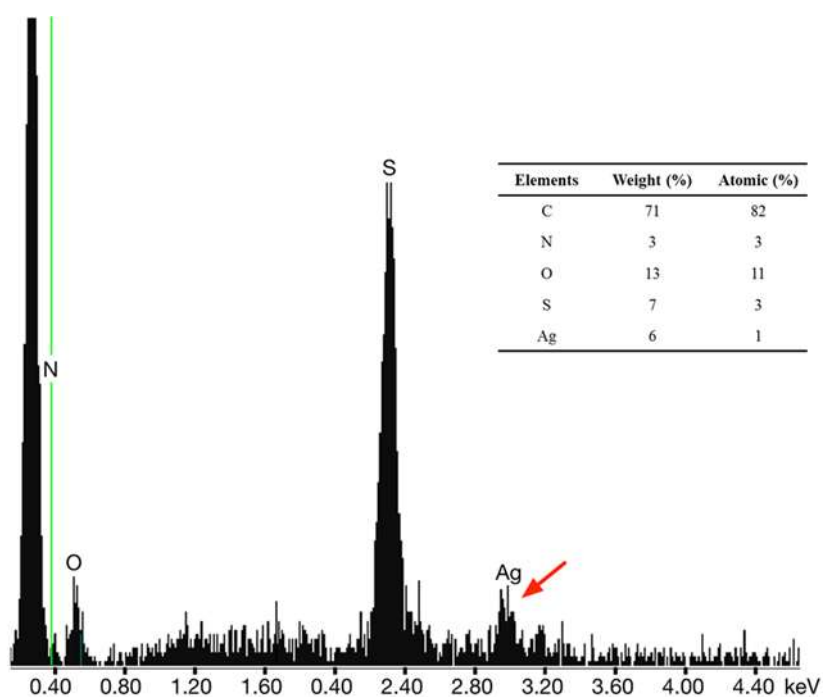


Figure A-5.1: EDS spectra with silver peak at 3.0 keV for TFC-15 and silver contents of weight 6 % and atomic 1%.

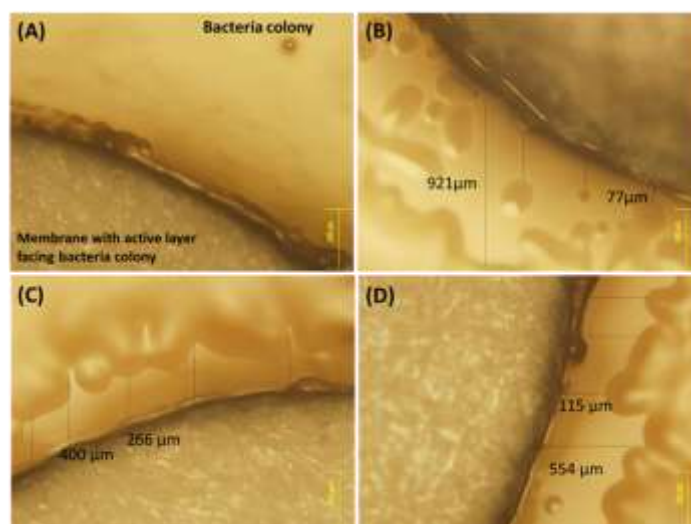


Figure A-5.2: Bacteria assessment with increased inhibition zone distance associated amine rich and Ag NPs attached on membrane surfaces: a) TFC-control, b) TFC-VIm-5Ag, c) TFC-VIm-9Ag and d) TFC-VIm-15Ag.

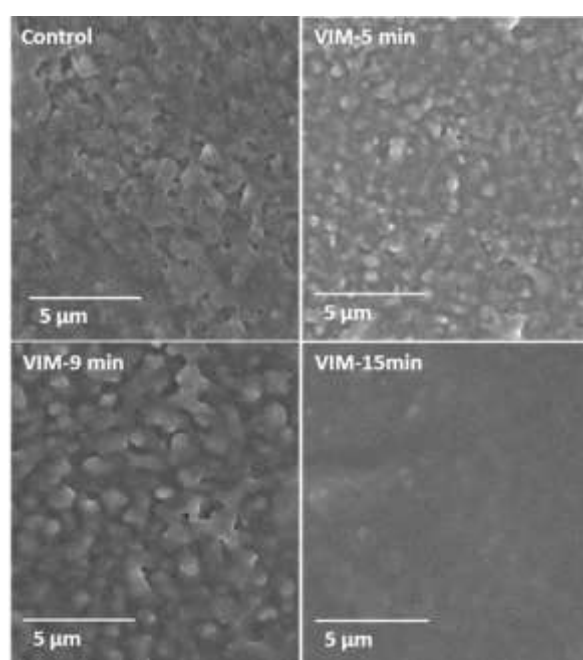


Figure A-5.3: SEMs of the fresh control membrane and fresh VIM plasma polymerized membranes using an AC plasma reactor and process durations of 5, 9 and 15 min.

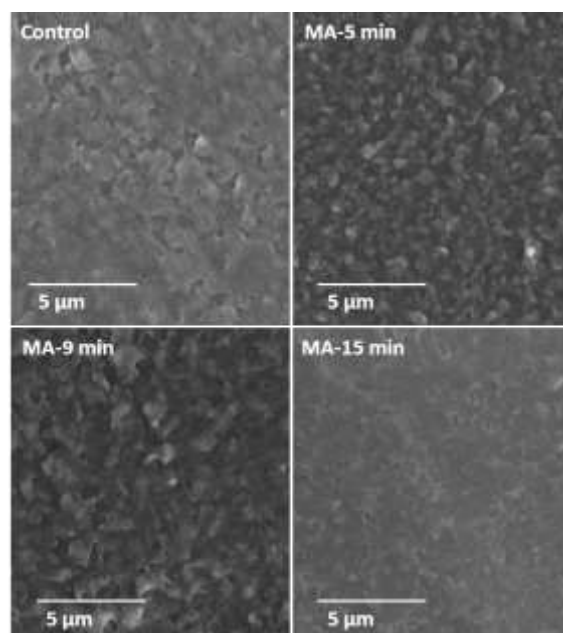


Figure A-5.4: SEMs of the fresh control membrane and fresh MA plasma polymerized membranes using an AC plasma reactor and process durations of 5, 9 and 15 min.

Table A-5.1: XPS contents from pre-conditioned plasma polymerized membranes and Ag NPs attachment

Membranes	C (at%)	N (at%)	O (at%)	S (at%)	Ag (at%)
Control-Ag	78.9	3.2	17.8	0.2	0.1
5 min	72.7	11.5	15.1	0.4	0.3
9 min	70.9	13.8	14.3	0.6	0.4
15 min	70.1	12.6	15.6	1.2	0.5

Table A-5.2: XPS contents from fresh plasma polymerized membranes with VIM and MA monomers. The errors correspond to standard deviations from 3 measurements in each sample

Membranes	C (at%)	N (at%)	O (at%)	S (at%)	N/C	O/C
Control	76.5 ± 0.5	5.5 ± 0.2	18.2 ± 0.3	0	0.07	0.2
VIM-5 min	75.5 ± 3.5	11 ± 2.5	13 ± 0.9	0.5 ± 0.1	0.1	0.2
VIM-9 min	73.1 ± 0.9	13.3 ± 0.8	13.2 ± 0.1	0.4 ± 0.1	0.2	0.2
VIM-15 min	75 ± 0.4	11.2 ± 0.8	13.6 ± 0.2	0.3 ± 0.1	0.1	0.2
MA-5 min	77.2 ± 2	3.2 ± 0.3	19.3 ± 2	0.3 ± 0.1	0.04	0.2
MA-9 min	80.2 ± 1.3	2.6 ± 0.6	16.7 ± 0.8	0.5 ± 0.1	0.03	0.2
MA-15 min	63.0 ± 0.6	1.9 ± 0.1	30.7 ± 0.8	2.3 ± 0.1	0.03	0.5

Table A-5.3: Relative BDEs were computed using the Density Functional Theory (DFT) facilities of Spartan'06 software (Wavefunction, Inc.) on a standard PC. Each energy was a single point calculation based on a semi-empirical equilibrium structure from an equilibrium conformer starting structure - namely, B3LYP/6-31+G*/AM1/MMFF.

E parent	E radical	E_H[•]	BDE
-514936.836	-514525.873	-313.926	97.040
	-514508.297		114.613
	-514503.451		119.459
	-514498.483		114.427
	-514507.730		115.180
	-514505.521		117.389
	-514505.460		117.450
	-514504.379		118.531
	-514505.460		117.450
	-514505.532		117.388
	-514512.232		110.678

Appendix 3

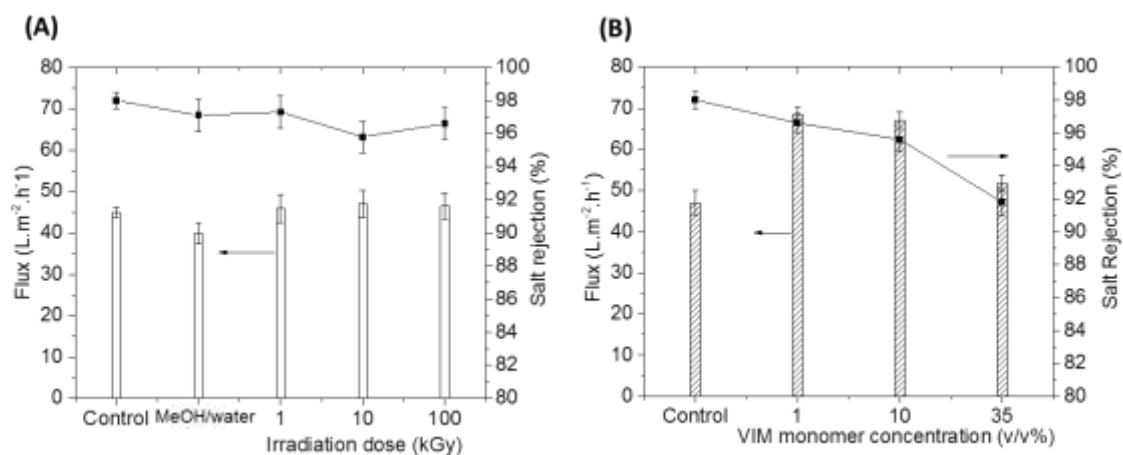


Figure A-6.1: Series of control membranes a) membranes exposed to MeOH/water solution and increasing irradiation doses and b) membranes exposed to monomer solutions (1, 10 and 35 v/v%). The error bars correspond to standard deviations of the mean values.

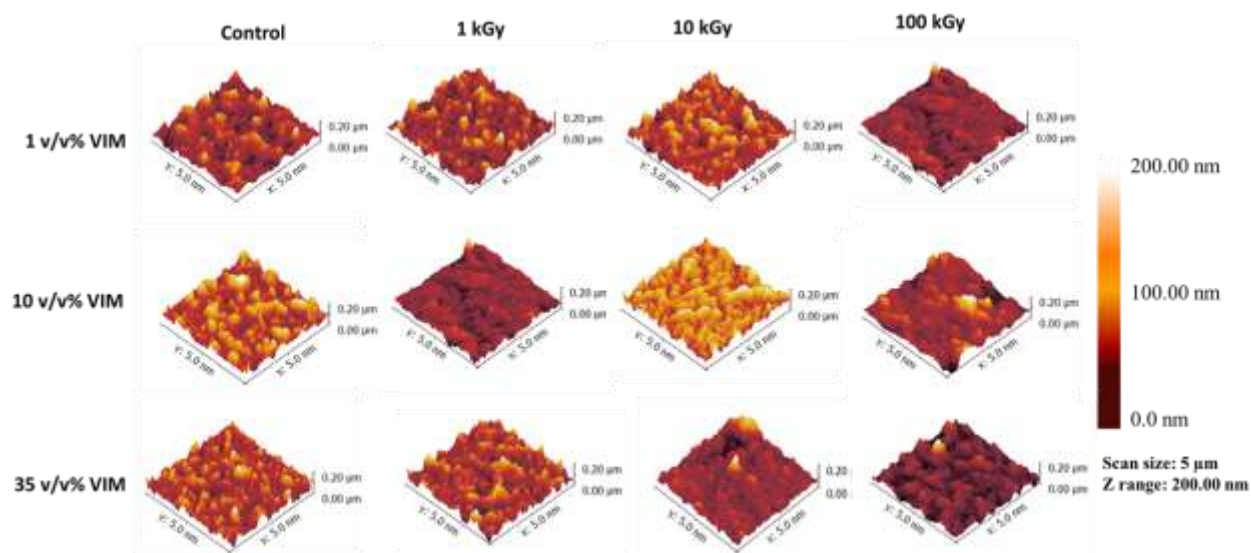


Figure A-6.2: Surface roughness of irradiation-induced grafted TFC membranes with VIM monomer measured by AFM with 5 x 5 μm scan size.

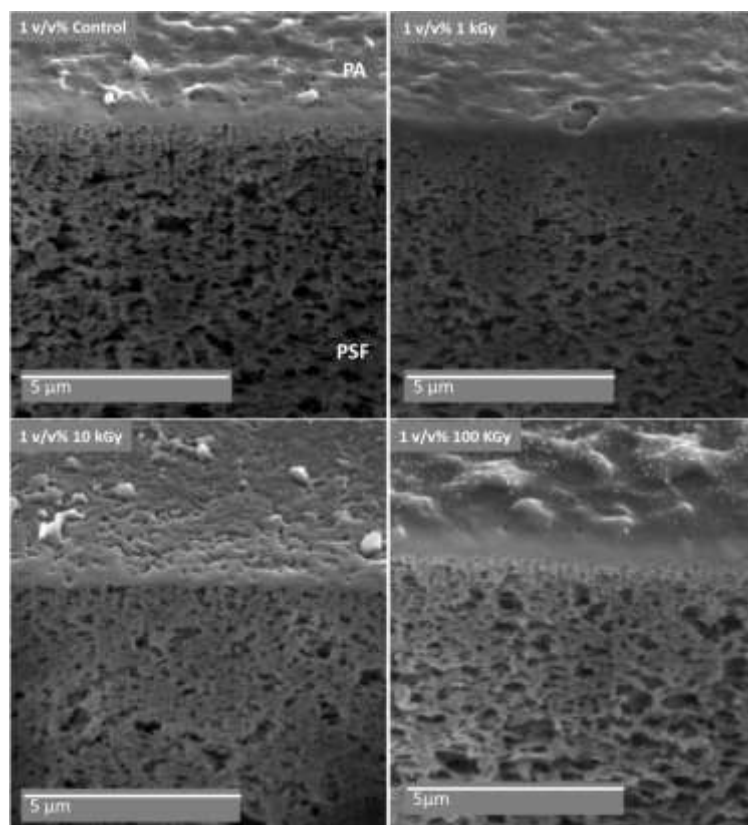


Figure A-6.3: SEM cross-sections of irradiation-induced grafted TFC membranes with 1 v/v% VIM monomer concentration and increasing irradiation total doses: 1, 10 and 100 kGy. The Psf layer from for the sample irradiated at 1 kGy was potentially subjected to FIB artifacts caused by FIB cross-sectioning.

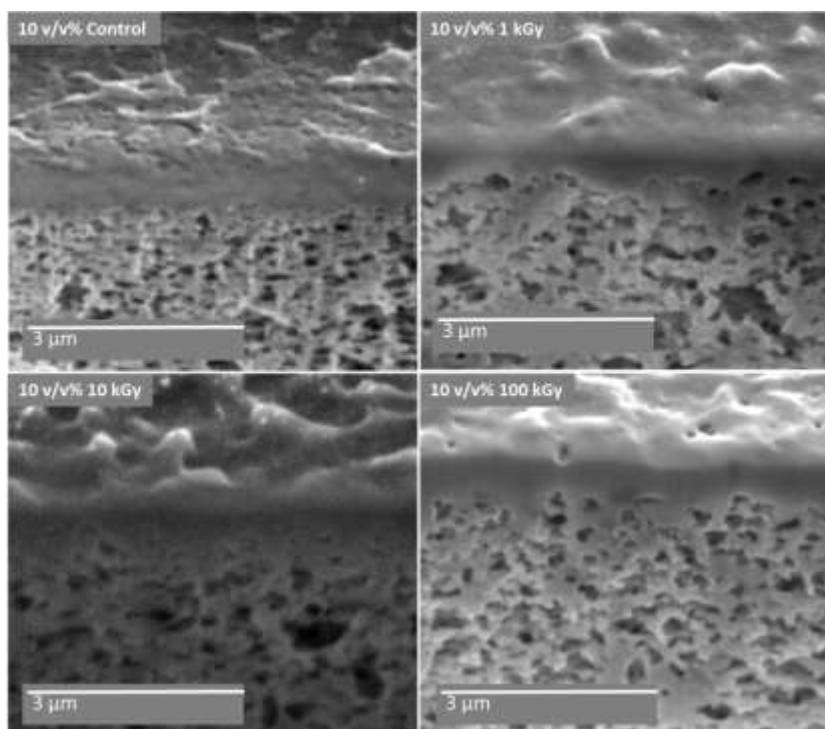


Figure A-6.4: SEM cross-sections of irradiation-induced grafted TFC membranes with 10 v/v% VIM monomer concentration.

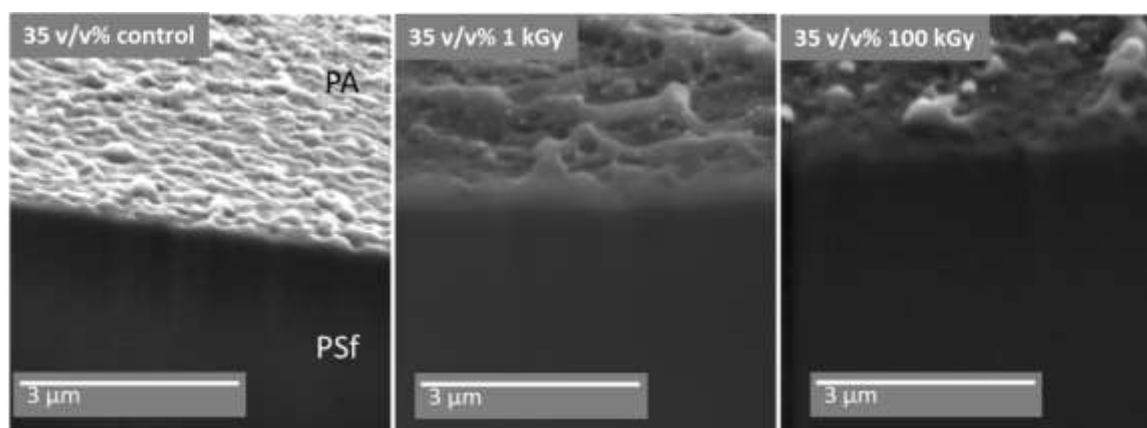


Figure A-6.5: SEM cross-section of irradiation-induced grafted TFC membranes with 35 v/v% VIM concentration. The Psf layer from this series was compromised by to FIB cross-sectioning.

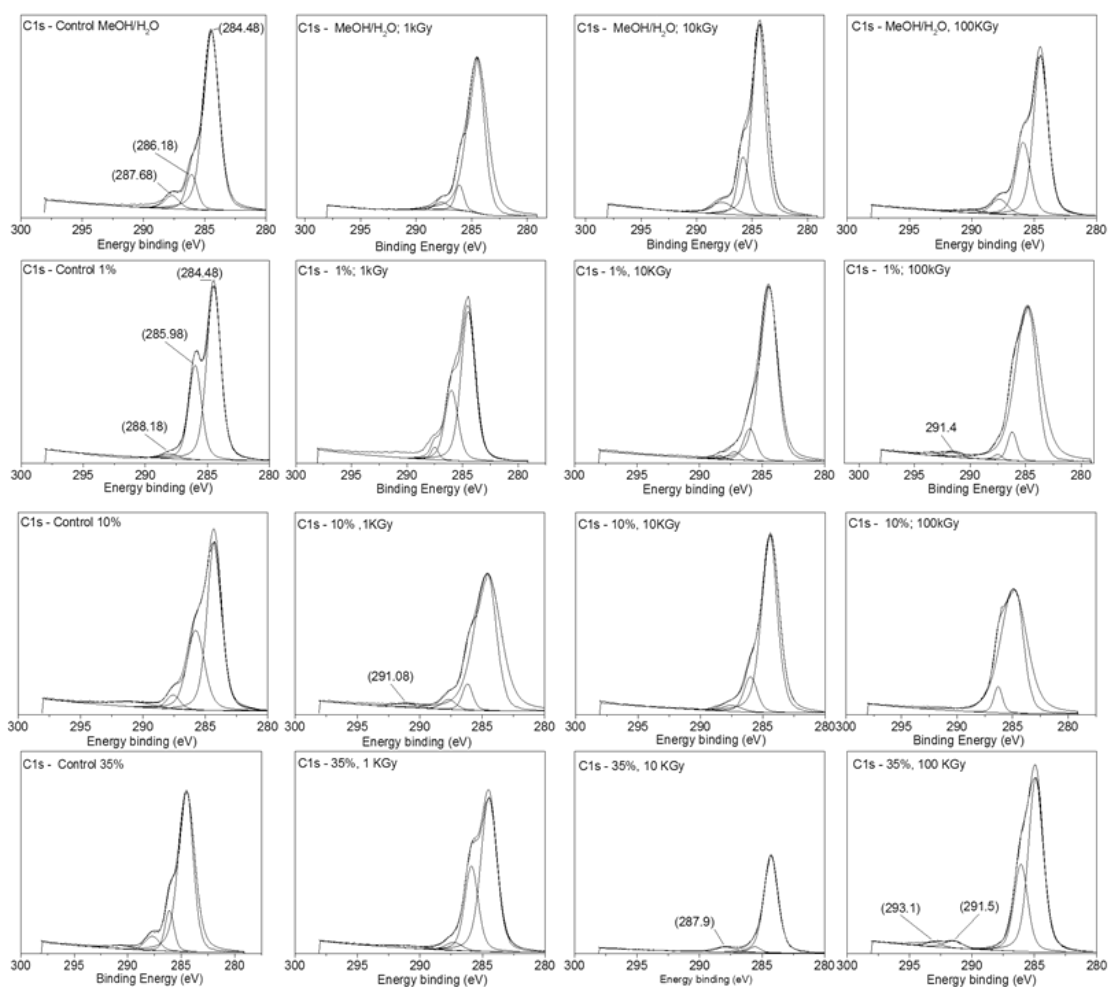


Figure A-6.6: XPS analysis: C_{1s} deconvolution of irradiation-induced grafted TFC membranes with VIM monomer.

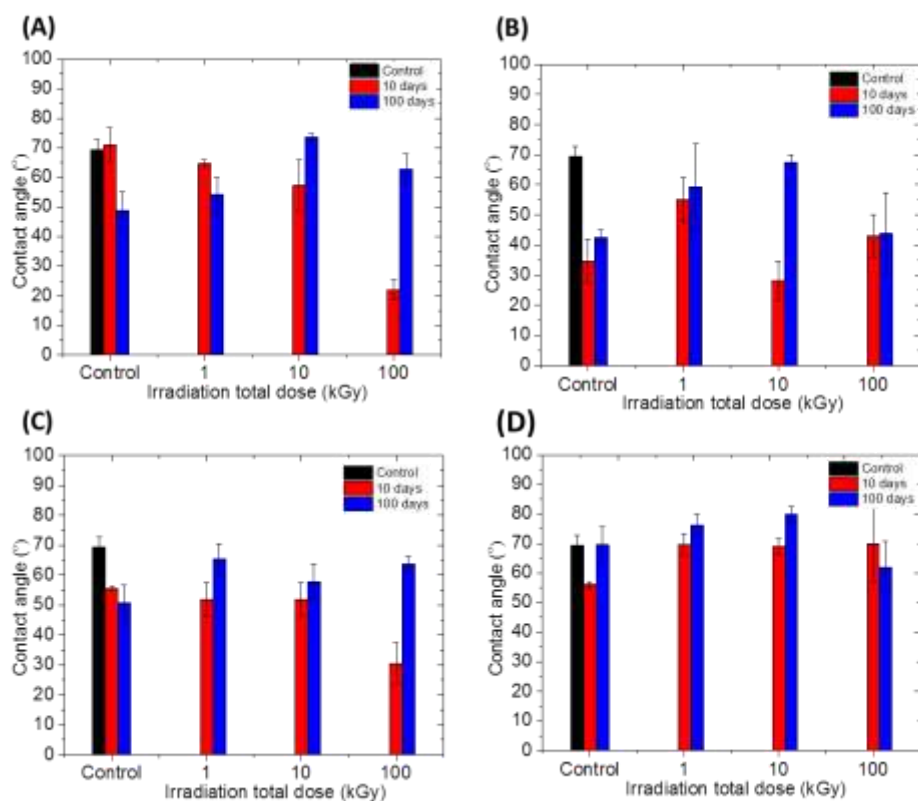


Figure A-6.7: Water contact angle of grated and control membranes a) in 1 v/v% VIM solution, b) in 10 v/v% VIM solution, c) in 35 v/v% VIM solution and d) membranes in MeOH/water. Error bars corresponds to standard deviations.

Table A-6.1: XPS elemental analysis of control membranes and irradiation-induced grafted TFC membranes with VIM monomer. Error bars corresponds to standard deviations

	Sample	C at%	O at%	N at%	N/C	O/C
0 kGy	MeOH/water	82.1	14.3	3.6	0.04	0.17
	1 v/v%-VIM	74.7	20.4	4.9	0.06	0.27
	10 v/v%-VIM	75.0	17.8	7.2	0.09	0.23
	35 v/v%-VIM	76.4	17.6	5.9	0.08	0.23
1 kGy	MeOH/water	79.9	14.5	5.6	0.07	0.18
	1 v/v%-VIM	73.6	17.8	8.6	0.12	0.24
	10 v/v%-VIM	80.7	13.7	5.6	0.07	0.17
	35 v/v%-VIM	72.9	20.4	6.8	0.09	0.28
10 kGy	MeOH/water	78.1	18.7	2.8	0.03	0.24
	1 v/v%-VIM	82.4	14.1	3.5	0.04	0.17
	10 v/v%-VIM	80.7	13.7	5.4	0.07	0.17
	35 v/v%-VIM	77.6	14.2	5.3	0.07	0.18
100 kGy	MeOH/water	75.7	21.7	2.6	0.03	0.29
	1 v/v%-VIM	74.1	12.6	13.2	0.18	0.17
	10 v/v%-VIM	72.1	11.4	16.6	0.23	0.16
	35 v/v%-VIM	74.1	6.6	19.4	0.26	0.09

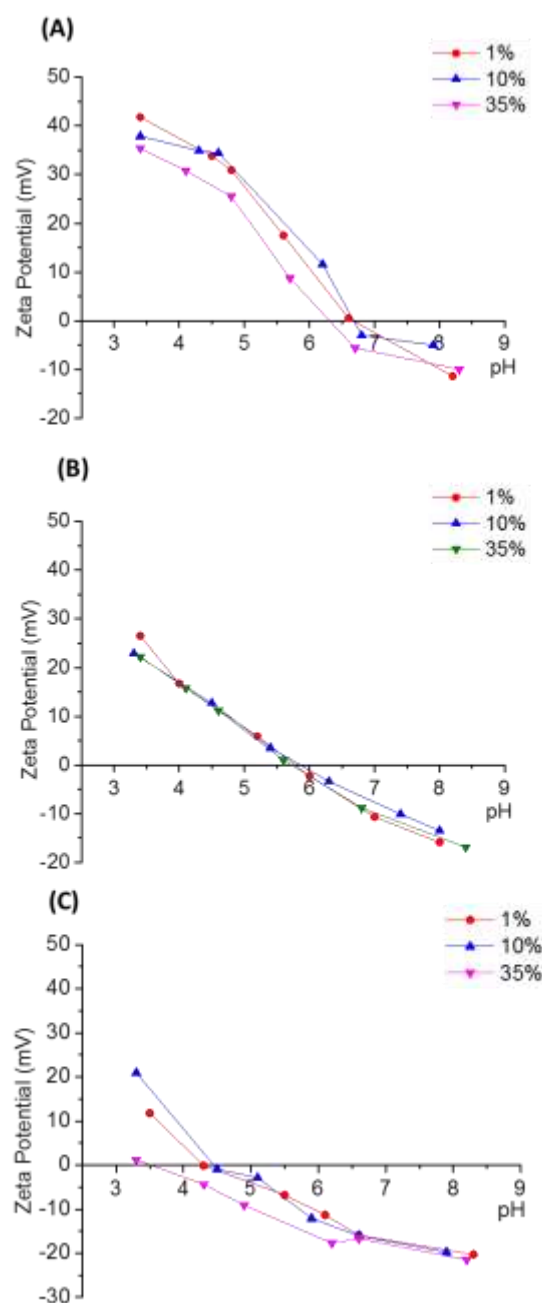


Figure A-6.8: Streaming potential analyses of irradiation-induced grafted TFC membranes with VIM monomer grafted at 1 kGy total dose with increasing monomer concentration, a) initial performance and b) after permeation and c) series of control samples immersed in 1, 10 and 35 v/v% solution (no irradiation).

Appendix 4

Erratum

I. The reagent *maleic acid* was replaced by *maleic anhydride* in the following:

- Page 2 line 17:

Original “The second involved [...] maleic acid (MA) to increase [...].”

Corrected “The second involved [...] maleic anhydride (MA) to increase [...].”

- Page 20 line 29:

Original “Plasma polymerization process utilized monomers such as 1-vinyl(imidazole) (VIM) and maleic acid (MA) [...].”

Corrected “Plasma polymerization process utilized monomers such as 1-vinyl(imidazole) and maleic anhydride (MA) [...].”

- Page 31 line 21:

Original “In this thesis [...] amines (1-vinyl (imidazole) -VIM) and carboxylic groups (maleic acid -MA).”

Corrected “In this thesis [...] amines (1-vinyl (imidazole) -VIM) and carboxylic groups (maleic anhydride -MA).”

- Page 56 line 15:

Original “The reagents used [...] and maleic acid that was used only in plasma polymerization.”

Corrected “The reagents used [...] and maleic anhydride that was used only in plasma polymerization.”

- Page 116 line 7:

Original “The monomer 1-vinyl(imidazole) (VIM) was employed to deliver positive charges while the maleic acid (MA) [...]”

Corrected “The monomer 1-vinyl(imidazole) (VIM) was employed to deliver positive charges while the maleic anhydride (MA) [...]”

II. Maleic acid structure was replaced by maleic anhydride in the following Figures:

- Page 136 Figure 5.13
- Page 139 Figure 5.14 b
- Page 149 Figure 5.19
- Page 151 Figure 5.21
- Page 152 Figure 5.22b

III. Computational calculations were recalculated based on maleic anhydride molecule and replaced in Chapter 5, Section 5.4. Corrections were also made in Chapter 7:

- Page 149 Figure 5.19:

Original a) Both C–H bonds are equivalent and have the same BDE of 93 kcal/mol. Note that this is substantially lower (by 25 kcal/mol) than the lowest BDE of 118 kcal/mol for the VIM monomer. b) The most [...] by 25 kcal/mol - from 93 to 118 kcal/mol.

Corrected a) Both C–H bonds are equivalent and have the same BDE of 123.8 kcal/mol. Note that this is higher (by 5.8 kcal/mol) than the lowest BDE of 118 kcal/mol for the VIM monomer. b) The most [...] by 5.8 kcal/mol - from 123.8 to 118 kcal/mol.

- Page 151, Figure 5.21:

Original [...] Note that the computed BDE for the vinyl hydrogen on the mono-product itself (107 kcal/mol) reveals [...]. It is also notable that the calculated BDE for the vinyl hydrogen on the second attached MA molecule is a relatively low 89 kcal/mol - suggesting a high degree of activation for subsequent polymerization to occur.

Corrected [...] Note that the computed BDE for the vinyl hydrogen on the mono-product itself (125 kcal/mol) reveals [...]. It is notable that, unlike with VIM, the PA model is severely distorted in both of these adducts that would suggest considerable steric hindrance of MA interacting with an extended PA surface. This, together with the relatively higher BDE of MA (123.8 kcal/mol) compared to VIM (118 kcal/mol), would suggest a lower reaction rate of MA as compared to VIM, at least for the formation of the mono-adducts. However, once formed the MA adducts could produce a more extensive layer and more surface disruption, Fig 5.22

- **Page 154 line 24:**

Original For example, the MA polymerization rate was theoretically shown to be potentially higher than for the VIM monomer due to the relatively lower BDE of the former, which influences the kinetics of product formation during polymerization. These results provided significant evidence of the potential differences in polymer growth which impacted on different film thicknesses for each monomer

Corrected For example, the MA polymerization results in more extended polymerized structures rate was theoretically shown to be potentially higher than for the VIM monomer and results suggests that the intact maleic anhydride is not favoured for surface attachment. MA hydrolyses when exposed to air and it is most likely that during plasma polymerization process the ring system could also open up with an input of energy. This result may be due attributed to the steric interaction of the MA ligand, both with other MA and the PA surface – via the ecocyclic carbonyl moieties.

- **Page 183, line 6**

Original However, the deposition rate [...]. This is consistent with the results from modelling studies Chapter 5, where MA was shown to be much more susceptible to homolytic bond dissociation than VIM and is also expected to form less sterically restrictive products with the PA.

Corrected However, the deposition rate [...]. According to the results from modelling studies Chapter 5, MA was shown to be less susceptible to homolytic bond dissociation than VIM. However, as MA readily hydrolyses to maleic acid when in contact with air, the deposition rate was subjected to the influence of formed maleic acid since the thickness measurements were performed when samples were in contact with air.

