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#### ABSTRACT

We designed a portable system using an open source microcontroller ( $\mu$ C) with built-in field programmable gate array (FPGA) for on-the-fly data acquisition and processing of optical data generated from rapidly pulsed infrared light emitting diodes (IR LEDs) for optical sensing of gases. The system is used for rapid pulse generation (ca. 2  $\mu$ s short pulses with a typical repetition rate of 1 kHz) to drive the IR LED, as well as for the optical sensing data acquisition and processing on-the-fly large data streams of ca. 2 Gbit/s. The flexibility and performance of the system is demonstrated. Each of the digitally processed signal pulses yielded one data point of analytical signal in time as a quasi-continuous data stream produced at a rate of between 1000 and 0.1 Hz. This microcontroller—based portable open source platform is then implemented in on-the-fly data acquisition and processing, of analytical signals enabling continuous gas sensing.

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#### 1. Introduction

13

14 For analytical measurements where the sample property can 15 change at a fast rate, such as in the case of atmospheric 16 monitoring of trace gases, rapid digital sampling and 17 analysis techniques are required [1]. This requirement is 18 well satisfied with optical analytical platforms, such as 19 infrared (IR) spectrometers, supplemented with adequately 20 fast electronics and data handling capabilities. Although IR 21 spectroscopy-based gas detection is a well-established 22 technique [2], designing small low-cost low power 23 consumption analytical platforms for portable and remote 24 analysis presents a number of challenges [3]. One of them is 25 the rapid, on-the-fly processing of continuous and live data 26 streams in a flexible custom-defined manner. Additionally, 27 low-cost, small size and weight, and low-power analytical 28 platforms capable of rapid, on-the-fly and custom-defined 29 data processing are required in a number of field 30 deployment modes including portable hand-held devices and 31 remote sensing devices such as on-board unmanned aerial 32 vehicles (UAVs).

Most gaseous analytes of environmental or industrial 34 significance have strong absorption bands in the infrared 35 (IR) spectral range [4]. Most commercially available 36 instruments for the analysis of gases employ sophisticated 37 and expensive spectrometers that provide measurements 38 solely in a laboratory setting [5, 6]. Light emitting diodes 39 (LEDs) have proven to be in many ways ideal light sources 40 for optical detection and sensing in portable format [7-9]. In 41 this context, the use of LEDs with photodiodes (PDs) in the 42 IR spectral range has enabled the development of portable 43 low-cost sensors [10-12]. Recently we demonstrated that 44 response of MIR LED-based absorption photometric sensor 45 for methane can be predicted from 1st principles using the 46 readily available molecular absorption data HITRAN, 47 resulting in calibration line slope agreement to  $\pm 1\%$  with 48 experimental data [13].

In this paper we advance this work in investigating a 50 programmable fully portable sensor for methane using a 51 powerful hand-held open source microcontroller with field 52 programmable gate array (FPGA), to our best knowledge 53 used for the first time for portable analytical 54 instrumentation. The FPGA was capable of rapid on-the-fly 55 processing of large data streams of up to 2GB/s which then 56 resulted in the demonstration of continuous sensing of 57 methane in indoor and outdoor environment (Part 2).

58 Most of the commercially available LED drivers for pulse 59 signal generation (in µs pulses with a 1kHz frequency) have 60 fixed settings and need additional electronics for signal 61 collection and data acquisition [14, 15]. In most cases an 62 oscilloscope or standard electronic data acquisition (eDAQ) 63 system can be a good option. However, the maximum 64 sampling rate for data acquisition of a typical eDAQ is only 65 1 kHz, which is not adequate for the acquisition of data 66 generated by microsecond pulses [16]. Expensive digital 67 oscilloscopes with sampling rates in excess of 100 mega 68 samples/sec can be implemented for data acquisition and 69 collection, however, the acquisition of large data streams (in 70 our case 125 MHz/16bit yielding 2 GB/s) will exhaust the 71 memory of a typical 16 GB SD card in only ca.1 min [17].

72 Nowadays, computers are omnipresent as an interface with 73 analytical instruments for online digital data acquisition, 74 processing, storage, and display [18, 19]. Some are capable 75 of precisely handling large data streams in modern

76 laboratory-based (not portable) analytical instruments such 77 as Raman spectrometry [20]. However, for miniaturized 78 portable analytical instruments, modern powerful 79 microcontrollers ( $\mu$ C) are ideal where on-the-fly (live data) 80 data processing is needed [8, 21]. Recently the Hauser group 81 published a review covering the use of  $\mu$ C for portable 82 analysis [8]. Although a number of  $\mu$ C based commercial 83 devices, including those for detection of methane, are 84 available [22], these lack flexibility and give no insight into 85 the way the analytical signal is produced ('black box'), so 86 that it is in principle impossible to make a judgement on the 87 data processing.

88 Currently, open source µC systems, such as Arduino, are 89 popular due to their programmable options [23], however, 90 the Arduino can handle only one operation at a time and the 91 maximum sampling rate of its in-built analog-to-digital 92 converter (ADC) is only 10 kHz [24]. Another popular open 93 source µC, Raspberry pi, has no built-in analog input, 94 therefore one has to be implemented using an additional 95 ADC [25]. Conventional ADCs perform single conversions 96 at a time, which results in a random lag between analog 97 signal acquisition and data processing, making it difficult to 98 generate synchronised data [26]. Importantly, even with a 99 very fast ADC, the Raspberry pi is not capable of processing 100 data in a rapid manner due to the speed limit of its 101 processor. Regarding the most important parameter in 102 respect to this work, namely on-the-fly large data stream 103 data processing, both the Arduino and Raspberry pi would 104 not be able to handle data streams in excess of 50 kHz at 16 105 bit 0.1 MB/sec [27].

a recently Alternatively, introduced 107 microcomputer with a field-programmable gated array 108 (FPGA, which enhances the processing capabilities of 109 existing microprocessors) 'Red Pitaya' (a technology spin-110 off from Instrument Technologies as the makers of Libera 111 family devices [28]) is capable of on-the-fly processing of 112 large data volumes without any lag, thanks to the FPGA 113 responsible for data synchronization [29]. An FPGA allows 114 for the integration of the ADC interface, input/output (I/O) 115 interface, memory, and processing units in a single chip 116 [30]. FPGA-based devices are especially used in particle 117 colliders for high-energy physics (HEP) [31], gamma 118 radiation spectroscopy, real vision imaging and many other 119 types of reconfigurable high performance virtual 120 instrumentation [32]. Although FPGA based devices offer 121 real-time data handling capability of large data without any 122 lag, other than in the fields of nanosecond pulse generation 123 [33], computational chemistry [34] and simulated mass 124 spectroscopy (MS) [35], the application of a μC system with 125 FPGA in analytical chemistry to the best of authors' 126 knowledge, has not been presented in the analytical 127 literature.

Therefore, we aimed to investigate flexible data 129 acquisition and on-the-fly fully automated data processing 130 through developing an in-house data processing routine 131 capable of handling large and live data using a  $\mu$ C system 132 with an FPGA in a rapid manner. This creates the capability 133 of generating rapid pulsed signals and processes in real time 134 giving large amounts of data per second (2 Gbits/s at 125 135 MHz with 16bit ADC), where implementation of a 136 miniaturized  $\mu$ C with an FPGA for IR LED based optical 137 gas sensing offers portability, and at the same time 138 maximum flexibility for implementing codes for specific

139 analytical scenarios such as portable and remote analysis of 140 gases.

#### 2. Instruments and Methods

#### 142 2.1. Instrumentation

143 2.1.1. Microcontroller with field programmable gate array The microcontroller (µC) system (Red Pitaya V1.1, RS 145 Components Pty Ltd, Wetherill Park, NSW, 1851, 146 Australia) shown in Fig. 1 is an open source platform, based 147 on an ARM Cortex A9 processor plus a Zynq μC system on 148 chip (SoC) field programmable gate array (FPGA) in the 149 same device (component A in Fig. 1) with 512MB of DDR3 150 RAM (component B in Fig. 1). The operating μC system is 151 based on Linux (version 2015.1 from Xilinx) supporting 152 network connection (WIFI, LAN and USB), which allows it 153 to operate remotely. The ARM CPU functions as a data 154 analyser to evaluate the data collected by a high-speed 155 ADC. The sampling capability of this μC system through 156 RF output and input (components C and D in Fig. 1) has 157 four different options from 2-125 MHz. The buffer size 158 (maximum data capture capacity) of the FPGA-μC system is 159 16,384 points. The input and output buffer of the FPGA-µC 160 system was self-triggered using its external triggering 161 facilities in the GPIO (shown as component E in Fig. 1).

162 Insert figure 1

163 2.1.2. In-house electronics: Voltage to current converter 164 and resistor-capacitor circuit or RC filter.

We developed a voltage to current conversion unit (V-166 to-I) and a resistor-capacitor circuit as an RC filter in-house 167 with off-the-shelf electronics. The V-to-I circuit converts a 168 voltage pulse, generated by the Red Pitaya FPGA, to a 169 current pulse to drive the LED.

170 The RC filter has two 1 ohm resistors and a 390  $\mu$ F 171 capacitor. There is also a dummy load resistor of 27 ohms 172 connected across the output. The dummy load is necessary 173 so that the power bank does not switch off if the load 174 becomes too small. The filter supplies power to the LED 175 driver (V-to-I) so that the 2 amp pulse is not affecting the 176 detector which is supplied from the same power bank. See 177 the supplementary information (SI) Fig. S1 A&B for a 178 detailed circuit diagram of the V-to-I, and RC filter.

#### 179 2.1.3. LED and photodiode

180 We used an IR LED with an emission maximum 181 wavelength  $\lambda_{max} = 1.65 \mu m$ , (Lms16LED-R, Alfa Photonics, 182 Latvia) and an IR sensitive photodiode (PD) (Lms24-05-PA, 183 Alfa Photonics, Latvia) having a spectral response over the 184 range from 1.1 to 2.3  $\mu$ m equipped with embedded 185 preamplifier.

#### 186 2.1.4. Power supply.

187 We employed a rechargeable portable power bank 188 (CY1767PBCHE, Cygnett, Australia) to supply 5 volt DC 189 power to the μC system, V-to-I conversion circuit, and the 190 preamplifier circuit of the IR PD.

#### 191 2.2. Method

#### 192 2.2.1. Pulse generation

193 We used the signal generation feature of the 194 microcontroller ( $\mu$ C) system (Red Pitaya) to generate the 195 desired voltage pulses. Signal generation of the  $\mu$ C operates 196 by filling a floating-point array of up to 16384 values with

197 the desired voltage at each time point, then commanding the 198 FPGA to produce that voltage pattern at the desired 199 frequency. They were subsequently converted into current 200 pulses by the voltage to current converter (V-to-I) unit to 201 drive the IR LEDs in pulse mode. The shape of the pulse is 202 flexible, generated stepwise digitally with details described 203 in the Results and Discussion section.

After receiving the radiation from the pulsed IR LED, 205 optical pulses from the IR PD were acquired by the  $\mu$ C 206 system through Input Channel 1 as analog voltage pulses. 207 An 800 mm long and 7.5 mm inner diameter electro 208 polished aluminium tube was used as a sample cell for 209 portable analysis, which also housed the IR LED and the IR 210 PD (shown in Fig. 2 in the Results and Discussion section).

#### 211 2.2.2. Fast data acquisition and on-the-fly data processing

212 The analog voltage pulses from the IR PD which were 213 collected by the  $\mu$ C system through the RF input high speed 214 ADC (input channel 1) were designated 'raw pulses' for 215 clarity. We constructed a data processing program for the 216 ARM cortex A9 processor of the  $\mu$ C system to perform 217 digital smoothing on the raw pulses. The digital smoothing 218 utilized three techniques: repetitive smoothing (averaging a 219 number of consecutive pulses) alone, and in addition to the 220 repetitive smoothing, boxcar averaging, or Savitzky-Golay 221 smoothing (a special form of 2nd polynomial regression-222 based smoothing). After the application of the smoothing 223 techniques, the smoothed pulses were termed 'processed 224 pulses' (for more information see Fig. 4).

The baseline and pulse top of each of the processed 226 pulses were evaluated using three different statistical 227 operations: averaging, linear regression, and  $2^{nd}$  degree 228 polynomial regression. From the evaluated values of 229 baseline and pulse-top, the height of the pulse was 230 calculated (by subtraction), resulting in one data point for 231 each processed pulse, termed the 'final signal value'(S). 232 After acquiring additional quasi-continuous final signal 233 values from an arbitrary number of pulses, the 'digital data 234 signal' in volts was formulated and by taking the negative 235 natural logarithm (base e) of the data stream values, the 236 digital data stream was converted into the 'final analytical 237 signal' (A) in absorbance units (A.U.) (for more information 238 see Fig. 4). The total calculation time for the  $\mu$ C was 239 ~20 ns.

Baseline noise was evaluated by observing the 241 distribution pattern of all the data points in the baseline of 242 each of the pulses for random and fixed instrumental noise 243 in our detection system. The distribution pattern for the final 244 digital signal was tested on the obtained results using two 245 different statistical evaluation techniques: simple averaging, 246 and linear regression. Both the instrumental and analytical 247 signal to noise ratio was calculated and the result was 248 optimized by comparing one with another.

#### 3. Results and discussions

#### 250 3.1. Design of Pulse Generation for IR-LED

The radiometric power output of LEDs increases 252 proportionally with the magnitude of the applied current 253 [36]. However, the temperature across the chip of the LED 254 rises significantly when it is driven at a higher applied 255 current [37], which causes efficiency droop (i.e. the 256 efficiency of the LED decreases while operated with higher

257 electric current) due to overheating across the 258 semiconductor material of the LED chip [36].

Therefore, to minimize the effect of overheating of the 260 semiconductor materials used in the IR LED, the LEDs have 261 to be operated either in a quasi-continuous wave (QCW) 262 mode (duty cycle = 50%) or in pulsed mode (switched on 263 for a very short time, usually microseconds). The maximum 264 driving current in QCW for the IR LED is 250 mA [38], 265 whereas in pulse mode the driving current can be up to 2A 266 [38], which yields higher radiometric power output during 267 the pulse [36] and this in turn yields in better performance 268 of the optical measurement due to lower minimum 269 absorbance values that can be measured by absorbance-270 based analytical detection [15, 39].

In rapid pulsing mode, the duty cycle (the percentage of 272 the 'on' time) is significantly shorter, which helps to reduce 273 the thermal effect. In our work, the IR LED was in "on" 274 mode only for 2 µs with a duty cycle equal to 0.2%, so the 275 LED was in "off" mode for a comparatively longer period 276 (998 µs), which allows sufficient time to cool down and 277 protect the LED from efficiency droop. The corresponding 278 pulse repetition frequency (PRF) in our study was 1 kHz, 279 which helps to produce a higher number of pulses within a 280 short period of time with resulting maximum radiometric 281 power output. Hence, 0.2% duty cycle provides data 282 processing suitability at such high PRF since the pulse width 283 determined the number of data points to be processed.

To further demonstrate the flexibility of this approach 285 with custom-defined data processing, we developed a 286 computer program written in C and compiled in a Linux OS 287 environment to generate the voltage pulses in the required 288 shape. This program was employed to forward an array of 289 voltage values, with stepwise amplitudes between 0 and 290 l volt, from the  $\mu$ C system to the voltage-to-current 291 converter (V-to-I) circuit shown in Fig. 2. Since LEDs are 292 current driven, we applied the in-house voltage-to-current 293 (V-to-I) converter circuit to transform the voltage pulsed 294 signal generated (V<sub>in</sub>) by the  $\mu$ C system into current signal 295 pulse (I<sub>in</sub>) for the IR LED.

296 Insert Figure 2

As mentioned before, generated pulses can be produced 297 298 in arbitrary shapes i.e. any time duration (pulse width or 299 duty cycle), frequency, and forward voltage are possible 300 simply by changing the parameters of the program. The 301 stepwise pulses generated by the μC are shown in Fig. 3A 302 (i) as a continuous stream and in Fig. 3B (i) as single pulse 303 which was constructed by the following: 500 steps with 0 304 volts to achieve the base line, 20 steps to achieve 0.9 volt, 305 180 steps to make the pulse top with 0.9 volt, 20 steps to 306 bring the pulse signal down to 0 volt and the remaining 307 steps to fill the buffer at 0 volt (1 step = 10ns. The pulse 308 generated was repeated with 1 kHz frequency, and the total 309 time duration depends on the number of pulse data that need 310 to be processed. The corresponding converted currents 311 pulsed from the V-to-I conversion unit, and measured in 312 channel 2 of the µC are shown in Fig. 3 A (ii) and 3 B (ii). 313 These currents were used to drive the IR LEDs in pulse 314 mode. IR radiation from the LED was detected by the IR 315 PD and transformed from an optical pulse signal to voltage 316 pulses (Vout) as measured in Channel 1 of the µC shown in 317 Fig. 3A (iii) and 3B (iii). This voltage pulsed signal was 318 collected by the µC system as a raw pulsed signal and 319 employed for further processing.

320 Insert Figure 3

321 From Fig. 3 B i) it is observed that the stepwise 322 generated pulse from the  $\mu C$  system follows a smooth 323 shape, with a sharp rise and fall as it is generated. However, 324 when it was converted into current pulses by the V-to-I 325 conversion circuit the LED has a rise time of 200 ns to 326 generate the final optical output (~2A). After detecting the 327 response from the IR LED, the IR PD has a "rise time" and 328 a "fall time" of 250 ns a shown in Fig. 3 B. The response 329 delay appears due to inherent properties of the 330 semiconductor material of the IR LED and IR PD and the 331 response of the embedded PA and therefore, cannot be 332 controlled by the user.

333 3.2. Data Acquisition and on-the-fly Data processing with 334  $\mu$ C system

335 By default, Red Pitaya FPGA performs data 336 acquisition in continuous mode, which may result in 337 overwriting and loss of necessary data for further processing 338 in pulsed mode. Therefore, data acquisition was performed 339 through a command to the FPGA to acquire a full buffer of 340 16384 points as an array of digital numbers. Triggering was 341 used to ensure the  $\mu C$  system only collected the informative 342 part of the raw signal that included the baseline and the 343 entire pulse.

To eliminate time lag between data acquisition and 345 data processing, the input and output buffer of the  $\mu$ C were 346 synchronized using self-triggering. Self-triggering was 347 performed by employing the external triggering facility of 348 the FPGA where the digital output from the GPIO 349 (component E in Fig. 1) was fed back as the external trigger. 350 It was programmed by raising the pin from low (0 volt) to 351 high (3.3 volt), keeping the pin high for 5  $\mu$ sec then 352 allowing it to fall back to low, triggering both RF input and 353 output to function simultaneously

354 3.2.1. Digital filtering by repetitive smoothing, boxcar 355 averaging and Savitzky-Golay smoothing

In order to achieve smooth pulses from the 357 acquired raw pulses, we incorporated three digital filtering 358 techniques namely, repetitive smoothing, boxcar averaging, 359 and Savitzky-Golay smoothing through C programming in 360 the CPU of the  $\mu$ C. When digital filtering software is 361 incorporated in commercially available analytical 362 instruments, analysts lose the flexibility of investigating 363 different digital filtering techniques with variable input data 364 according to specific analytical requirements [15]. Our 365 digital filtering approach with the µC system allowed us to 366 select flexible numbers of raw pulses starting from time zero 367 (triggered on) to perform the repetitive smoothing by 368 averaging consecutive pulses [40]. Then boxcar averaging, 369 and Savitzky-Golay (S-G) methods [15] using point wise 370 data after the repetitive smoothing also produced smoothed 371 processed pulses. The selection criteria for the large number 372 of raw pulses for processing are described in the SI.

In the data processing program, we started with 374 repetitive smoothing of different numbers of raw pulses 375 being averaged (A = 10, 100, 1000, and 10,000) (Shown in 376 Fig. 4A). We then employed Boxcar averaging and 377 Savitzky-Golay methods on 1000 pulses already smoothed 378 by repetitive smoothing to investigate whether further 379 smoothing after repetitive smoothing is required (discussed 380 in section 3.3.2).

In order to obtain the final signal (height of the pulses) 382 from both raw and processed pulses we applied three

383 different statistical operations in the program: simple 384 averaging, linear regression, and  $2^{nd}$  degree polynomial 385 regression, to evaluate the base line and pulse top (shown in 386 Fig. 4B). At 0.2% duty cycle with 100M/s data rate, 200 387 points were delivered while the LED is on only for 2  $\mu$ s. 388 Therefore, we selected 125 data points (discarding the pulse 389 rise and fall) from the pulse top and 150 data points from the 390 base line (before the rise) for each statistical operation. The 391 difference between the pulse top and baseline i.e. pulse 392 height is considered the final signal value for each 393 individual pulse. Schematic representations of the final 394 evaluated signal as pulse height and the final stream of 395 quasi-continuous data are shown in Fig. 4C.

397 3.2.2. Baseline noise evaluation and instrumental signal-to-398 noise (SNR) from the processed pulses

399 A. Baseline noise evaluation.

Theoretically, Gaussian (white) noise attenuates with 401 square root of the number of repetitive pulses, while other 402 types of noise will not, and therefore the additional Boxcar 403 and Savitzky-Golay smoothing along with the repetitive 404 smoothing might not be equally beneficial. To assess the 405 nature of the noise of the processed data, we have conducted 406 statistical analysis based on histograms of the baseline data 407 point values. The histograms were constructed using 150 408 data points (A=1000) in the baseline of processed pulses, 409 using repetitive smoothing, Boxcar and repetitive 410 smoothing, and Savitzky-Golay and repetitive smoothing as 411 shown in Fig. 5A. From the histograms in Fig. 5A we 412 observed that the baseline signals follow a normal 413 distribution for repetitive smoothing and for repetitive 414 smoothing + Boxcar, while the repetitive smoothing + 415 Savitzky-Golay resulted in a distribution skewed to the 416 right. The characteristic appearance of the normal 417 distribution of the baseline data values in this study 418 confirms that the baseline signals resulting from repetitive 419 smoothing and repetitive smoothing + Boxcar averaging 420 methods include only white noise [41, 42].

421 We determined the baseline noise of the processed 422 pulses after repetitive smoothing by multiplying the 423 standard deviation (σ) of 150 baseline data points by 5, 424 following a classical noise evaluation technique in analytical 425 chemistry for flow-through detection, and found a good 426 agreement with theoretical noise values (as shown in SI Fig. 427 S2A). This also confirms the Gaussian nature of the baseline 428 noise in the processed pulses through repetitive smoothing. 429 The baseline noise values after applying the three different 430 smoothing techniques are shown in SI Fig. S2B. As 431 expected, the repetitive smoothing followed by additional 432 smoothing techniques resulted in lower white noise in the 433 baseline with Boxcar averaging being 7% and Savitzky-434 Golay 5% lower.

The flexibility in this type of baseline noise evaluation 436 with the  $\mu$ C system provides users with the capability to 437 choose from a variety of digital filtering-by-smoothing 438 techniques. Boxcar averaging and Savitzky-Golay 439 smoothing didn't result in a statistically significant 440 reduction in noise, so we have chosen repetitive smoothing 441 only for further investigation in this study with a view to 442 providing rapid data processing with the proposed  $\mu$ C based 443 detection system.

444 3.2.3. Instrumental signal-to-noise (SNR)

445 The quality of an analytical method is very often quantified 446 by analyzing the signal-to-noise ratio (SNR). 447 determined the instrumental SNR for each resulting signal 448 value (pulse height) and baseline noise obtained from each 449 processed pulse after employing different smoothing 450 techniques. In Fig. 5B we compare the SNR values 451 obtained after employing repetitive smoothing as a function 452 of the number of pulses being averaged (A) using three 453 different statistical methods. We observed that the SNR 454 improves as the number of pulses being averaged (A) 455 increases. The enhancement of SNR follows the theory 456 where SNR improves linearly by a factor of  $\sqrt{A}$  (shown in 457 Fig. 5C) [42]. However, different statistical operations have 458 no observable effect on the SNR values. 459 measurements were reproducible since the standard 460 deviations were too small to notice the error bars for 10 461 repetitions of each result.

Insert Figure 5

## 463 3.2.4. Determination of the digital data stream

A. Pulse top and baseline signal distribution

In order to select the most suitable statistical method for 466 the determination of the pulse top and baseline signal values 467 for each subsequent pulse signal (pulse height), we 468 investigated the distribution of the pulse top and baseline 469 signal values, applying simple averaging and linear 470 regression for both cases. We omitted the 2nd degree 471 polynomial as we did not observe any significant difference 472 in the SNR values using simple averaging, linear intercept, 473 or 2nd degree polynomial methods as illustrated in Fig. 5B. 474 In Fig. S3A of the SI we have shown the baseline and pulse 475 top signal values obtained from evaluating 10,000 476 consecutive raw pulses (without applying any digital 477 smoothing) using simple averaging and linear regression. 478 We constructed the histogram using these data values 479 (10,000 baselines and pulse tops) as shown in Fig. S3B and 480 S3C, and we observed that the simple averaging method 481 resulted in normal distributions as well as smaller standard 482 deviations when compared to linear regression for both 483 evaluations of baselines and pulse tops.

484 B. Final quasi-continuous data stream.

From the distribution pattern of baseline and pulse top 486 signal values (Fig. S3B & S3C) it is evident that simple 487 averaging has less deviation when it is used for signal 488 evaluation. Therefore, we considered the simple averaging 489 method to investigate the final signal (pulse height) 490 distribution while averaging consecutively increased 491 numbers of pulses for repetitive smoothing, and referred to 492 the result as the final digital data stream as shown in Fig. S4. 493 The distributions of the final pulsed signal (height of the 494 pulse) became smooth and, as expected, the analytical noise 495 (in voltage) of the final data stream lowered as the number 496 of consecutive pulses being averaged for repetitive 497 smoothing increased.

498 C. Evaluation of analytical signal by converting the 499 voltage signal into an absorbance signal.

Since the ultimate usage of the proposed optical system 501 is analytical sample detection based on the absorbance 502 principle, we converted each voltage signal of the final data 503 stream (i.e., Fig. S4) into an absorbance unit (A.U.). By 504 taking the negative natural logarithm (base e) of the final 505 signal (pulse height) values, the minimum measurable

506 absorbance was determined [41] and referred to as the final 507 analytical signal for this proposed absorbance based 508 detection system. In Fig. 6 the final absorbance signal is 509 shown with corresponding analytical noise values (ΔA in 510 A.U.). It is evident that as the number of repetitions of 511 pulses for smoothing increases, the noise drops by a factor 512 of the square root of the number of pulses, which 513 consequently helps to improve the performance of any 514 analytical detection. However, while the number of 515 repetitive pulses increases, the time required for processing 516 each pulse increases simultaneously and the rapid 517 instantaneous processing capability of the system therefore 518 decreases. Hence, where fast data processing is the 519 principal focus, the number of repetitive pulses being 520 smoothed needs to be optimized.

521 Insert Figure 6

#### 522 3.3. Optimization.

In this section, the number of pulses to be averaged for 523 524 repetitive smoothing are optimized for the proposed IR 525 detection system using on-the-fly data processing which will 526 be exercised further in the field for real sample analysis. 527 For this, the analytical signal-to-noise ratios (ratios of the 528 averaged absorbance values obtained from Fig. 6 to the 529 corresponding absorbance noise values  $(A/\Delta A)$  were 530 compared with the instrumental signal-to-noise ratio (SNR) 531 shown in Fig. 7A. In both cases, the signal-to-noise ratio 532 increased as the number of pulses being averaged increased 533 and almost the same pattern was followed although they 534 were appraised independently for different data sets 535 following different evaluation approaches. The analytical or 536 absorbance noise values ( $\Delta A$ ) were also compared with the 537 instrumental or baseline noise values (N) of each processed 538 pulse as shown in Fig. 7B. From Fig. 7B, we observe that 539 the analytical and instrumental noise values merge with each 540 other at A=10,000 (for repetitive smoothing) which is the 541 consequence of fixed instrumental noise and the pulse being 542 almost smoothed. Although A=10,000 gives the optimal 543 result in terms of noise elimination and signal-to-noise ratio 544 enhancement, the time needed for each pulse to be smoothed 545 for A=10,000 is 10 seconds, which in some cases may not 546 be ideal in terms of rapid data processing. Therefore, to keep 547 the system response fast enough for most real-time sensing 548 scenarios, A=1000 (1 second for each data point) was 549 chosen for on-the-fly data processing for in-field real sample 550 analysis.

551 Insert Figure 7

552

#### 4. CONCLUSIONS

This study demonstrates the prospects for rapid data 554 processing of large data streams on modern portable  $\mu$ C 555 systems with field-programmable gate arrays, for on-the-fly 556 and rapidly changing sample scenarios. Further it shows the 557 benefits of flexibility and full insight based on a custom data 558 handling routine implemented in an open source  $\mu$ C system 559 with a FPGA. The user-defined data processing thus 560 acquired and implemented through the  $\mu$ C system in a 561 flexible manner also allows the generation of the required 562 pulsed signal with any desired shape, duration, frequency, 563 and amplitude to drive the LED. The user can define and 564 adapt the data processing software and apply it in a flexible 565 way as required. The use of such miniaturized  $\mu$ C-FPGA 566 systems with custom data processing routines and high

567 reproducibility makes the analytical sensing of rapidly 568 changing samples, such as atmospheric gases, a real and 569 relatively low-cost possibility.

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#### 574 Supplementary information available

575 Detail of the in-house made instruments and figure for baseline 576 noises, Pulse top and baseline signal values, constructed 577 histograms and quasi-continuous digital data stream using two 578 different statistical methods and command line parameter for 579 program are given in the SI.

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#### 723 Figures

724

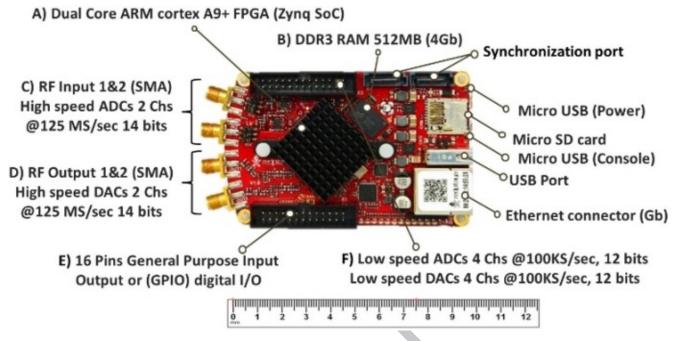


Fig. 1.  $\mu$ C system (Red Pitaya) showing the principal components: A)  $\mu$ C system Processor + FPGA, B) RAM High speed & resolution ADC input, C) High speed and resolution ADC input, D) High speed and resolution DAC output, E) General Purpose input and output (GPIO) which provides external self-triggering facilities, F) High speed ADC input and DAC output.

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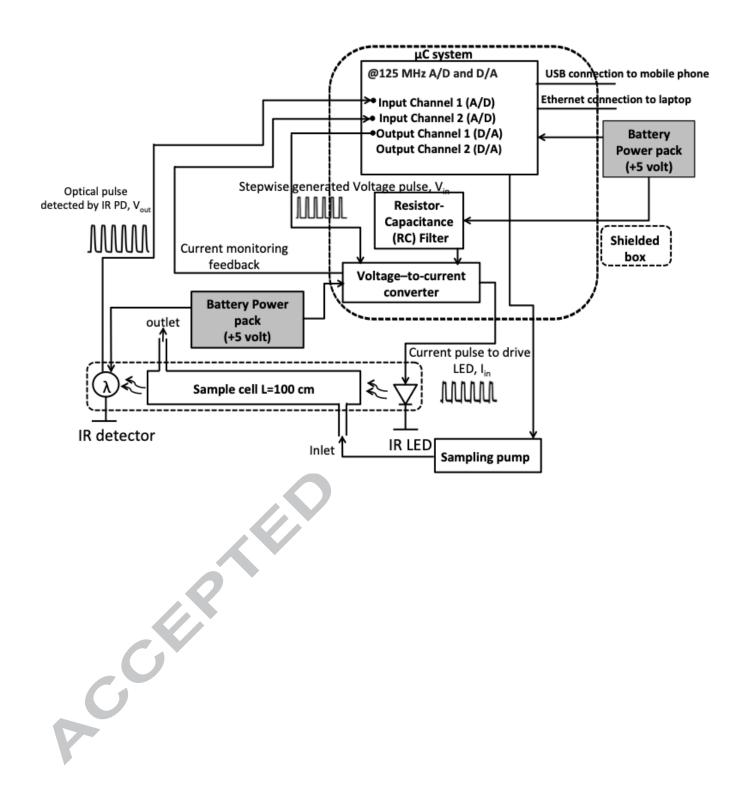


Fig. 2. Schematic representation of the IR detection associated with μC system as pulse generation and data collection system.

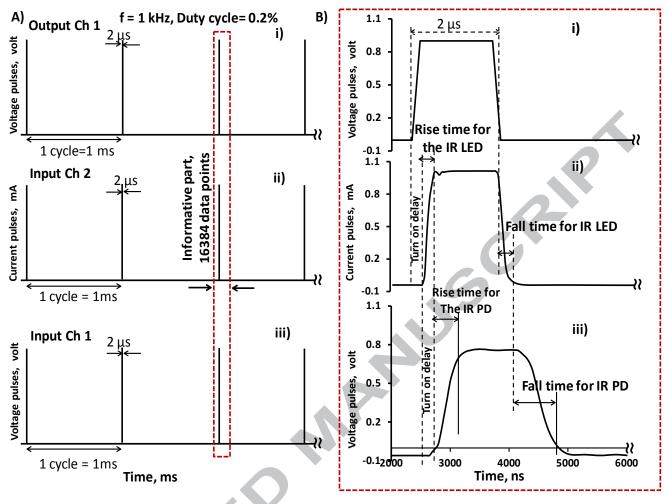
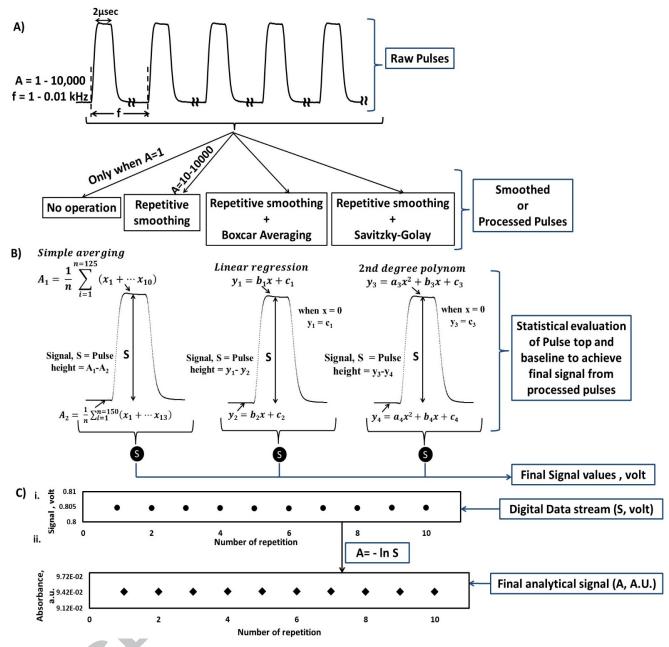


Fig. 3 A) Schematic representation of three types of pulsed signals generated and measured through i) output channel 1, ii) input channel 2 and iii) input channel 1 of the micro-controller system, informative parts (buffer size data points) are shown within the red dash lined rectangle. B) detail of each pulsed signal: (i) Step wise generated pulse defined by the μC system (Red Pitaya); (ii) current pulse to drive the IR LED, and (iii) the corresponding optical output pulses from the IR LED detected by the IR PD.



**Fig. 4** Schematic representation of data processing methods A) repetitive smoothing and two additional digital smoothing techniques: Boxcar averaging and Savitzky-Golay applying on pointwise obtained data from repetitive smoothened pulses B) evaluation of baseline (from 150 data points) and pulse top (from 125 data points) of each processed pulse applying three different statistical methods to obtain final signal values (height of the pulses, S) C) Final data i. digital data stream in volt and ii. analytical signal in absorbance units (A.U.) from each individual pulse after the statistical evaluation of pulse top and baseline.

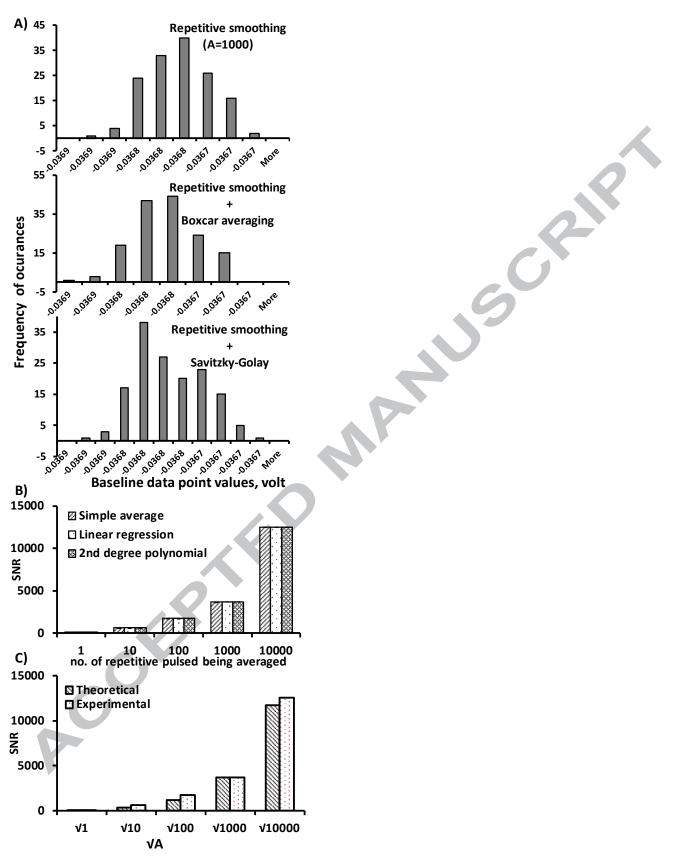


Fig. 5 A) Histograms of the baseline data points. The value of the baseline data points was obtained by binning the baseline data values, the difference between each of the bin values was = (max baseline – min baseline)/6. The histogram covers the whole range of baseline data point values. The number of points averaged (A) was 1000. B) Signal-to noise ratio obtained by applying three different statistical methods after smoothing raw pulses by repetitive smoothing and C) comparison of experimental SNR with theoretical values where SNR should increase by a factor of  $\sqrt{A}$ .

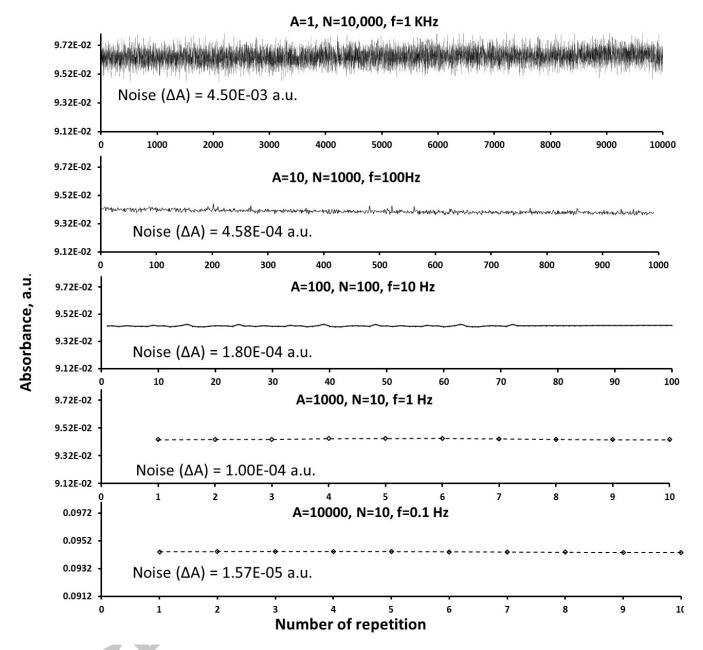


Fig. 6. Ultimate analytical signal in absorbance unit (A.U.) as negative natural logarithm of each signal values with corresponding noise values.

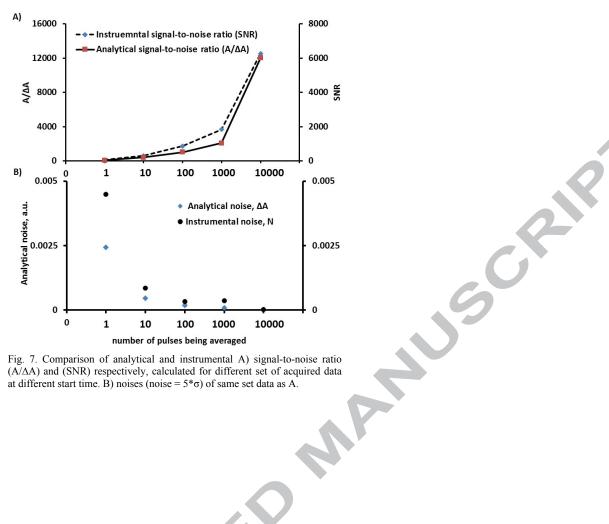
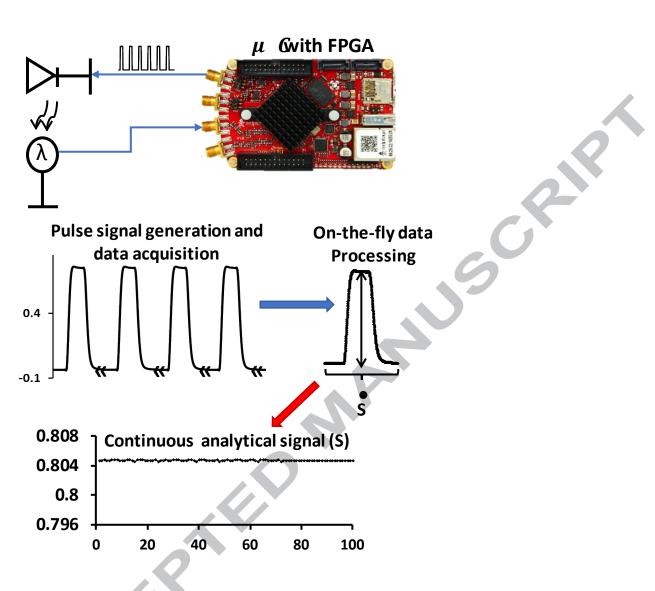


Fig. 7. Comparison of analytical and instrumental A) signal-to-noise ratio (A/ΔA) and (SNR) respectively, calculated for different set of acquired data at different start time. B) noises (noise =  $5*\sigma$ ) of same set data as A.

# GRAPHICAL ABSTRACT ONLY



# **Supplementary Information**

# Portable Device for Continuous Sensing with Rapidly Pulsed LEDs – Part 1: Rapid On-the-fly Processing of Large Data Streams using an Open Source Microcontroller with Field Programmable Gate Array

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#### 1. Voltage-to-current conversion

The amplified output voltage from pin 6 of the op amp turns on the Mosfet (Fig. S1 A Q1) allowing current to flow through the LED (Fig. S1 D2) and resistors R10, R11. The voltage increased across the resistors until it is equal to the voltage applied to pin 3. This voltage is fed back to the op amp (D) through pin 2 which holds the current constant until the voltage applied to pin 3 changes to a different value

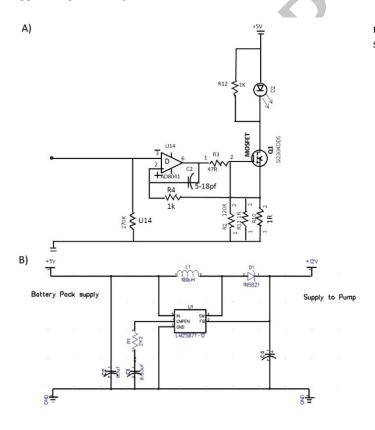


Fig. S 1 Block diagram of the in-house made A) voltage-to-current (V-to-I) conversion circuit B) resistor-capacitor (RC) filter.

## 2. Other Figures

Figures for baseline noises, pulse top and baseline signal values, constructed histograms and quasi-continuous digital data stream using two different statistical methods are given in Figure S2, S3, S4 respectively. In Figure S2 A the theoretical noise was calculated using equation 1,

$$N_{theoretical} = \frac{S_{max} - S_{min}}{6}....(1)$$

Where,  $N_{theoretical}$  is the base line noise,  $S_{max}$  is the maximum signal value in the baseline and  $S_{min}$  is the minimum signal value in the baseline.

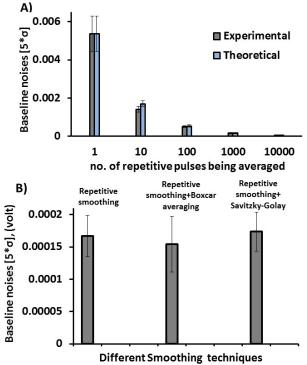


Fig S 2 A) Baseline noise values of raw and repetitive smoothing pulses compared with theoretical values B) Comparison of baseline noise values obtained after three different smoothing techniques.

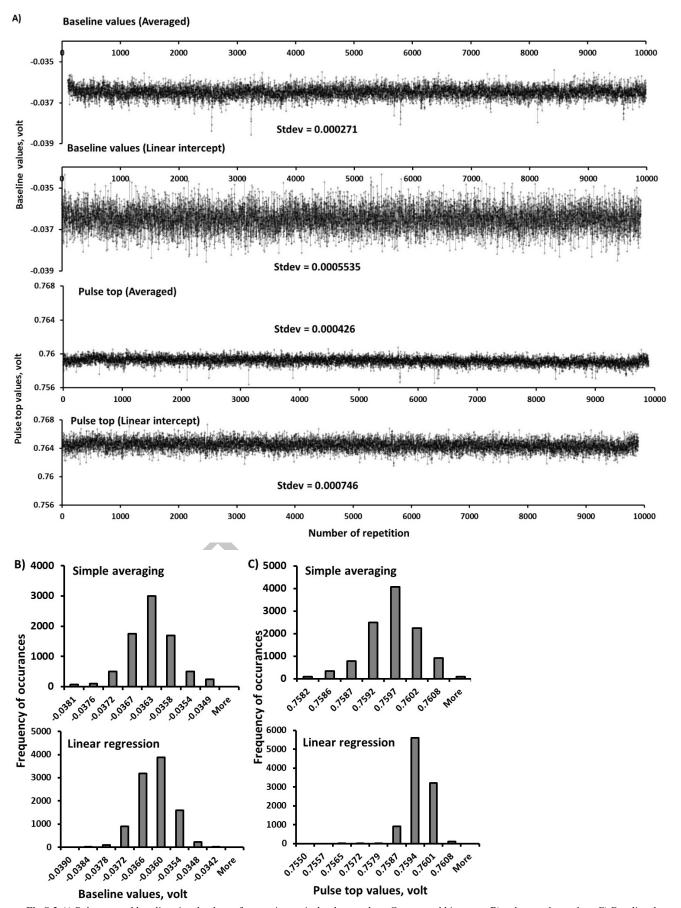


Fig S 3 A) Pulse top and baseline signal values of respective optical voltage pulses, Constructed histogram B) pulse top data values C) Baseline data values obtained from 10,000 consecutive raw pulses after applying two different data evaluation statistical methods simple averaging and linear regression.

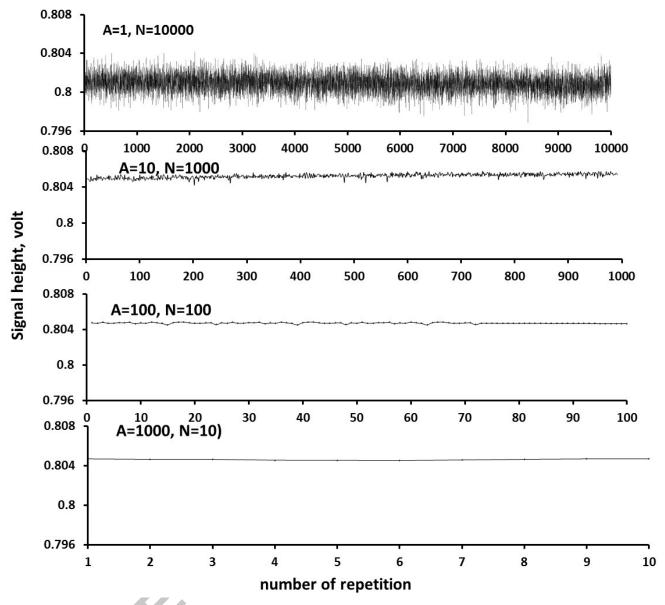


Fig. S 4 Analytical signal from each digitally processed signal pulses of processed data point as a quasi-continuous data stream using simple averaging applied on of the pulse top (125 data points) and base line (150 data points).

# 3. Command line parameters and code in C language

Command line parameters for the Red Pitaya peakshape program (note that lower case letters in the commands below refer to a number chosen by the user):

- t,v Time (t) and voltage (v) pair. Time is in 0.01 micro seconds or steps, Voltage is in volts. Each pair specifies the next step in generating the voltage graph to be sent from the red pitaya, taking t steps to get from current voltage to desired voltage. For example if the graph is currently at 0.4V and the parameter given is 4,0.6 then the next four steps in the graph will be 0.45V 0.50V 0.55V and 0.6V.
- N=n Specifies how many samples to process. The pump will be turned on before each sample. The value of n must be a whole number greater than or equal to 1. A value less than 1 will be replaced by 1. If it is not specified the default is 10. If N=n is specified more than once then only the last one on the command line is used.
- S=n Specifies how many sub groups of pulses are acquired for each sample. The pump will NOT be turned on between subgroups. If S=n is specified more than once then only the last one on the command line is used. If not specified, the default is 1, ie not sub group analysis.
- A=n Specifies how many pulse are generated and acquired for each sub group which are averaged together. The total number of pulses generated / acquired and then averaged together for each sample is value of S x value of A. If A=n is specified more than once then only the last one on the command line is used.
- Specifies the speed (s) and time (t) that the pump will operate between the analysis of each sample. The value of s must be between 0.5 and 1.8, if the value is less than 0.5 the pump will not turn on, if the value is greater than 1.8 the pump will turn on to 1.8. The second parameter is the time in milliseconds to turn the pump on. Example if P:0.9,4000 is specified the pump will be provided with 0.9 volts for 4 seconds between each sample. The pump cannot be turned on for less than 500 milliseconds and any value less than 500 will be taken as 500. Example if P:1.1,0 is specified the pump will turn on the 500 You cannot turn the pump off by milliseconds. specifying no time, you must specify no voltage or not include the parameter. If this parameter is not present, the default is not to turn the pump on. . If P:s,t is specified more than once then only the last one on the command line is used.
- R=Y Record the time when the pump is turned on and also turned off.
- C:s,f Specifies a calculation zone within the recorded averaged pulse. The calculation preformed and a simple average, linear regression, polynomial regression, boxcar smoothing with simple average, linear regression and polynomial regression along with s-k smoothing with simple average, linear regression and polynomial regression. Up to 10 calculation zones can be specified.
- B:n Specifies how many points to average together in the box car analysis.

V:s,f:v Not yet implemented.

- D=N Specifies to only read and process fast analogue input channel 1 rather than both channel 1 and channel 2.
- F=Y Specifies that the input signals should be collected at 125 MHz instead of the default 15.625 MHz.
- Z=N Specifies to not zero the calculation array between samples. This parameter should rarely be used if ever.

- O=N Specifies that the sequence of averaged pulses should NOT be written to the output.
- O=n Specifies how many steps of each averaged pulse should be output, default if not specified is 1000. If O=n is specified more than once then only the last one on the command line is used.
- T=Y Specifies that timing information should be provided to the user on stderr The information produced is how long is spent in each routine on every call, which can be used to improve the program performance or determine how long a particular run is going to take. This parameter should rarely be used.
- W=Y Indicates that the program should wait for a signal (button being pushed) before collecting and analysing each sample.
- W=S Indicates that the program should initially wait for a signal (button being pushed) before starting to collect samples.

#### 4. Program flow chart

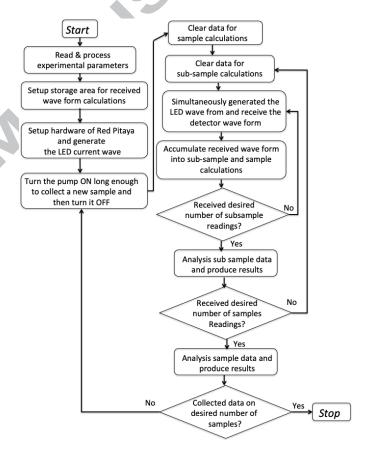


Fig. S 5 Program flowchart of the corresponding algorithm.

#### 5. Program code: Red Pitaya function for generating LED pulse and acquiring signal from detector

```
int GenerateAcquire (int DualChannel,
                  int FastRate,
                  float in1[],
                  float in2[])
                                                                   //* This routine generates the output wave and acquires the responses.
                                                                   //* Prerequisite: The wave form has been loaded into the FPGA using
                                                                   //* rp GenArbWaveform and the frequency set with rp GenFreq.
                                                                   //* The array in1[] will be filled with the detected wave form.
uint32 t buff size = MaxCalculation ;
         TriggerWait ;
if ( FastRate )
  { rp AcqSetDecimation(RP DEC 1); }
  { rp AcqSetDecimation(RP DEC 8); }
rp AcqSetTriggerLevel( 0 ) ;
                                                                   //* Set FPGA trigger.
                                                                   //* Set the FPGA acquisition trigger delay.
 rp AcqSetTriggerDelay( 8192 );
                                                                   //* Tell the FPGA to start an acquisition.
 rp AcqStart();
                                                                   //* Set the acquisition trigger to be external signal.
 rp AcqSetTriggerSrc( RP TRIG SRC EXT PE ) ;
                                                                   //* Turn the signal generation on for channel 1.
 rp GenOutEnable ( RP CH 1 ) ;
                                                                   //* Set the generation trigger to be external signal.
 rp GenTriggerSource( RP CH 1, RP GEN TRIG SRC EXT PE ) ;
rp acq trig state t state = RP TRIG STATE WAITING ;
TriggerWait = 0;
while (( state != RP TRIG STATE TRIGGERED ) && ( TriggerWait < 20 ))
                                                                   //* 5 usec pulse on digital I/O pin connected to external trigger.
  { rp DpinSetState( RP DIO1 P, RP HIGH ) ;
    usleep (5);
    rp DpinSetState( RP DIO1 P, RP LOW ) ;
    usleep (5);
    TriggerWait++ ;
                                                                   //* Get the acquisition trigger state from the FPGA.
    rp AcgGetTriggerState( &state ) ;
if ( state != RP TRIG STATE TRIGGERED )
  { fprintf( stderr, "******* Trigger failed : state is %d\n", state ) ;
    return(false);
else
  { usleep( 15 ) ;
                                                                   //* Sleep long enough for the buffer to be filled.
    rp AcqGetOldestDataV( RP CH 1, &buff size, in1 )
                                                                   //\star Transfer the acquired detector values from the FPGA.
    if ( DualChannel )
     { rp AcqGetOldestDataV( RP CH 2, &buff size, in2 ) ; }
                                                                   //* Transfer the acquired current feedback values from the FPGA.
    rp GenOutDisable ( RP CH 1 ) ;
    return( true ) ;
```

Full detailed program code which is multiple pages long is available from the authors upon request.

## **Research Highlights**

- Flexible data processing with field programmable gate array (FPGA) incorporated with portable open source microcontroller
- Rapid pulse generation of 2 μs short pulses with a typical repetition rate of 1 kHz to drive the IR LED
- Optical sensing, data acquisition and processing on-the-fly of 2 Gbit/s datastream
- Continuous analytical signal of 1 point every 1 ms to 10 s obtained
- Minimum measurable absorbance of 10<sup>-4</sup> a.u. achieved