

Caffeine ingestion enhances Wingate performance: a meta-analysis

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1 Caffeine ingestion enhances Wingate performance: A meta-analysis

2 Abstract

The positive effects of caffeine ingestion on aerobic performance are well-established; 3 however, recent findings are suggesting that caffeine ingestion might also enhance anaerobic 4 5 performance. A commonly used test of anaerobic performance and power output is the 30second Wingate test. Several studies explored the effects of caffeine ingestion on Wingate 6 7 performance, with equivocal findings. To elucidate this topic, this paper aims to determine the 8 effects of caffeine ingestion on Wingate performance using meta-analytic statistical techniques. Following a search through PubMed/MEDLINE, Scopus, and SportDiscus®, 16 9 studies were found meeting the inclusion criteria (pooled number of participants = 246). 10 11 Random-effects meta-analysis of standardized mean differences (SMD) for peak power output and mean power output was performed. Study quality was assessed using the modified 12 version of the PEDro checklist. Results of the meta-analysis indicated a significant difference 13 (p = 0.005) between the placebo and caffeine trials on mean power output with SMD values 14 of small magnitude (0.18; 95% confidence interval: 0.05, 0.31; +3%). The meta-analysis 15 16 performed for peak power output indicated a significant difference (p = 0.006) between the placebo and caffeine trials (SMD = 0.27; 95% confidence interval: 0.08, 0.47 [moderate 17 magnitude]; +4%). The results from the PEDro checklist indicated that, in general, studies are 18 19 of good and excellent methodological quality. This meta-analysis adds on to the current body of evidence showing that caffeine ingestion can also enhance components of anaerobic 20 performance. The results presented herein may be helpful for developing more efficient 21 evidence-based recommendations regarding caffeine supplementation. 22

23 Keywords: exercise, nutrition, performance

24

25 Key points:

26	-	Caffeine ingestion can enhance mean power output on the Wingate test.
27	-	Caffeine ingestion can enhance peak power output on the Wingate test.
28	-	More evidence is needed among athletes competing in anaerobic sports.

29 Introduction

Caffeine is a 1,3,7 trimethylxanthine and is commonly found in foods and beverages. In a detailed review of literature, Glade (2010) concluded that consumption of caffeine (1) increases energy availability, (2) enhances cognitive performance, (3) decreases mental fatigue, (4) increases concentration and focus attention, (5) improves memory, and (6) increases problem-solving that requires reasoning, among others. Besides its impact on the aspects mentioned above, caffeine has received attention from researchers due to its ergogenic effects on sport and exercise performance.

The effects of caffeine ingestion on improving aerobic performance are well-37 established (Berglund & Hemmingsson, 1982; Bruce et al., 2000); however, there is 38 considerable evidence suggesting that caffeine intake might also enhance anaerobic 39 components of performance (Davis & Green, 2009; Astorino & Roberson, 2010; Grgic & 40 Mikulic, 2017). One common test of anaerobic capacity and power output is the Wingate test. 41 42 Briefly, the Wingate test consists of a short warm-up and of pedaling or arm cranking at a 43 maximal speed for 30 seconds. This test is widely accepted and commonly used as it is inexpensive, non-invasive, and feasible for administration across populations (Bar-Or, 1987). 44 Several studies explored the effects of caffeine intake on Wingate performance, with 45 equivocal findings. For instance, Greer, McLean, and Graham (1998) reported an ergolytic 46 effect of caffeine ingestion compared to placebo on power output, specifically, on the fourth 47 Wingate bout. No significant effect was noted with caffeine ingestion in the follow-up work 48 by the same author (Greer, Morales, & Coles, 2006). Interestingly, while not reaching 49 significance, it is important to highlight that 12 out of the 18 participants in that study did 50 51 experience an increase in peak power output when caffeine was ingested compared with placebo. In contrast to Greer et al. (1998), Salinero et al. (2017) reported that caffeine 52

ingestion increased both peak power and mean power output during the Wingate test in agroup of young men and women.

Most of the studies that explored this topic have small sample sizes, which can be 55 56 underpowered to detect statistical significance (at an a priori alpha level of 0.05), when in fact, an actual effect might exist (type II error). A way to surmount these issues is to perform 57 a meta-analysis. Such statistical techniques allow integration of findings from studies that are 58 59 addressing the same issue while providing greater statistical power than individual studies. However, such an analysis has yet to be done. Therefore, this paper aims to conduct a meta-60 analysis of studies that are investigating the effects of caffeine ingestion on Wingate 61 62 performance.

63

64 Methodology

65 *Inclusion criteria*

To be included in the review, studies were required to meet the following criteria: (i) the original research was published in an English-language refereed journal; (ii) the study assessed the effects of caffeine ingestion in the form of capsule, liquid, gum or gel on performance in the 30-second Wingate test; (iii) the study employed a crossover design, and (iv) included apparently healthy human participants.

Coffee ingestion was not considered because coffee has other compounds that might
moderate the impact of caffeine (Trexler, Smith-Ryan, Roelofs, Hirsch, & Mock, 2016).
Further, studies were not included if caffeine was co-ingested with other potentially ergogenic
substances or compounds, such as taurine.

75 *Search strategy*

Searches were performed through PubMed/MEDLINE, Scopus, and SportDiscus®.
The following word syntax was used for the search through titles, abstracts, and keywords:
caffeine AND (Wingate OR anaerobic OR "peak power" OR "mean power"). No year
restriction was applied to the search strategy. Secondary searches were performed by
screening the reference lists of all selected studies and relevant review papers. The search
concluded on August 8th, 2017.

82 Study coding and data extraction

The following information from the studies found meeting the inclusion criteria was extracted on an Excel spreadsheet: (i) sample characteristics including sample size, participant's sex and age; (ii) caffeine form, dosage, and time of ingestion before the testing sessions; (iii) main findings related to the placebo and caffeine trials; (iv) and reported side effects.

88 *Methodological quality*

89 To assess the methodological quality of the studies the previously validated 11-item PEDro scale was used (Maher, Sherrington, Herbert, Moseley, & Elkins, 2003). Details from 90 the checklist can be found elsewhere (Maher et al., 2003). Due to the specificity of the topic, 91 92 the scale was modified, and the following question (item 12) was added: "Did the study assess the effectiveness of the blinding to the caffeine condition(s)?" With the addition of this 93 question, the maximal score on the scale is 11, as the first item is not included in the total 94 score. Each question is answered with a "yes" if the criteria are satisfied or with a "no" if the 95 criteria are not satisfied. Based on the score, the studies were classified as being of excellent 96 (10-11 points), good (7–9 points), fair (5–6 points) or poor (<5 points) methodological quality 97 (McCrary, Ackermann, & Halaki, 2015). 98

99 *Statistical analyses*

A random-effects meta-analysis of standardized mean differences (SMD) expressed as 100 Hedge's g was performed using the Comprehensive Meta-analysis software (Biostat Inc., 101 Englewood, NJ, USA). SMDs and 95% confidence intervals (CI) were calculated using the 102 sample size (*n*), the correlation between the conditions, and mean \pm standard deviation values 103 of the placebo and caffeine trials. None of the included studies reported correlation values; 104 therefore, a conservative 0.5 correlation was assumed for all studies (Follmann, Elliott, Suh, 105 & Cutler, 1992). If a study measured Wingate performance under multiple conditions, such as 106 107 multiple caffeine doses, the average values were used for the analysis. As presented by Cohen (1988), the SMDs were classified as: [i] small (≤ 0.2); [ii] moderate (0.2-0.5); [iii] large (0.5-108 0.8); and [iv] very large (>0.8). Sensitivity analysis was performed by excluding two studies 109 performed in children and examining the outcomes (Turley et al., 2012; Turley, Eusse, 110 Thomas, Townsend, & Morton, 2015). Statistical significance was set at p < 0.05. In addition 111 to SMDs, percent changes were calculated. Heterogeneity was assessed using the I^2 statistic. 112 I^2 values that were $\leq 50\%$ indicated low heterogeneity, I^2 values from 50-75\% indicated 113 moderate heterogeneity and I^2 values >75% indicated a high level of heterogeneity. Standard 114 error was plotted against Hedge's g for the funnel plots. The Trim-and-Fill method was used 115 for assessing the asymmetry of the funnel plots. 116

117

118 **Results**

119 *Search results*

120 The search syntax resulted with a total of 540 results (PubMed/MEDLINE = 159;

121 Scopus = 259; SportDiscus® = 122). Of the total results, 34 full-text articles were read.

122 Eighteen studies were excluded as they did not meet the inclusion criteria, which resulted in

the inclusion of 16 studies (Bell, Jacobs, & Ellerington, 2001; Bellar, Lawrence, Kamimori, &

124	Glickman, 2012; Cakir-Atabek, 2017; Collomp, Ahmaidi, Audran, Chanal, & Préfaut, 1991;
125	Duncan, 2009; Greer et al., 1998; Greer et al., 2006; Lorino, Lloyd, Crixell, & Walker, 2006;
126	Mahdavi, Daneghian, Jafari, & Homayouni, 2015; Pereira et al., 2010; Salinero et al., 2017;
127	Turley et al., 2012; Turley et al., 2015; Warnock, Jeffries, Patterson, & Waldron, 2017;
128	Williams, Cribb, Cooke, & Hayes, 2008; Woolf, Bidwell, & Carlson, 2008). Publication dates
129	of the included studies ranged from 1991 to 2017. The pooled number of participants across
130	the studies was 246 (median = 15; range = $6-26$). All of the participants were classified as
131	being young or children. Thirteen of the studies employed a double-blind design (Bell et al.,
132	2001; Bellar et al., 2012; Cakir-Atabek, 2017; Greer et al., 1998; Greer et al., 2006; Lorino et
133	al., 2006; Mahdavi et al., 2015; Pereira et al., 2010; Salinero et al., 2017; Turley et al., 2012;
134	Turley et al., 2015; Williams et al., 2008; Woolf et al., 2008), two a single-blind design
135	(Collomp et al., 1991; Warnock et al., 2017), while in one study there was no blinding
136	(Duncan, 2009). Caffeine doses ranged from 1 mg.kg- ¹ to 5 mg.kg- ¹ , with two studies using a
137	fixed dose of caffeine. Only one study used caffeine in the form of gum (Bellar et al. 2012),
138	while in the rest, either a liquid or a capsule form was used. Time of caffeine ingestion before
139	testing sessions was most commonly 60 minutes. All of the studies used the lower body
140	Wingate test. Summary of individual studies can be found in Table 1.
141	
142	***Insert Table 1. about here***
143	
144	Meta-analysis results
145	Meta-analysis for mean power output indicated a significant difference ($p = 0.005$)
146	between the placebo and caffeine trials, with SMD values of 0.18 (95% CI: 0.05, 0.31; +3; I^2

147 = 0.0% [Figure 1]). The meta-analysis performed for peak power output indicated a

148	significant difference (SMD = 0.27; 95% CI: 0.08, 0.47; +4%; $p = 0.006$; $I^2 = 52.1\%$ [Figure
149	2]) between the placebo and caffeine trials. The sensitivity analysis did not change the
150	outcomes by a meaningful degree. Funnel plots did not indicate any substantial asymmetry in
151	both analyses. The Trim-and-Fill method did not have an impact in either analysis.
152	
153	***Insert Figure 1. about here***
154	***Insert Figure 2. about here***
155	
156	Methodological quality
157	The average score on the PEDro scale was 9 ± 1 . Nine of the studies were classified as
158	being of excellent quality, six as being of good quality, and one as being of fair
159	methodological quality. None of the studies satisfied the added item regarding the assessment
160	of the effectiveness of the blinding. Only three studies specified who was eligible to
161	participate in the study (checklist item 1). The scores from individual studies can be found in
162	Table 2.
163	
164	***Insert Table 2. about here***
165	
166	Discussion
167	The present study is the first to assess the effectiveness of caffeine ingestion on
168	Wingate performance using meta-analytic statistical techniques. The results presented herein
169	indicate that caffeine ingestion can augment mean and peak power output on the Wingate test

by +3% and +4%, respectively. This meta-analysis adds on to the current body of evidence
supporting the notion that caffeine ingestion can also be ergogenic for anaerobic performance.

172 It is important to highlight that while caffeine ingestion can enhance performance on 173 the Wingate test, the SMDs for mean and peak power output are classified as being of small 174 and moderate magnitude, respectively. While athletes would likely benefit the most for such 175 small improvements in performance, only four studies included that population (Duncan, 176 2009; Mahdavi et al., 2015; Warnock et al., 2017; Woolf et al., 2008). Therefore, the practical 177 usability of these findings remains somewhat questionable.

In a review by Bar-Or (1987), the author concluded that the correlation between performance on the Wingate test and other anaerobic tasks (e.g. short sprinting) is quite high (r = 0.84). However, it is relevant to emphasize that performance in the Wingate test does not necessarily reflect the performance in sports-specific activities. Therefore, the generalizability of these findings to other anaerobic tasks is limited. While a transfer of effects can be hypothesized, the current body of evidence prevents concrete conclusions regarding possible benefits of these findings to other sport and exercise activities.

Mechanisms by which caffeine ingestion might enhance anaerobic performance include an increase in calcium release from the sarcoplasmic reticulum, which may lead to an increase in tetanic tension, and the alterations that caffeine might have on the neuromuscular transmission (Davis & Green, 2009). However, discussion on the potential mechanisms is beyond the scope of this article (for a review the reader is directed to the work by Davis & Green [2009]).

Besides the study by Williams et al. (2008) which reported a coefficient of variation of 1% to 5% on the Wingate test, none of the other included studies reported their coefficient of variation for repeated measures. It might be that some of the differences between the placebo

and caffeine conditions are the effect of an error of the measurement and not truly related to 194 195 the effects of the condition. Therefore, possible issues with measurement error between placebo and caffeine trials in the analyzed studies should not be excluded. Most of the studies 196 197 did include at least one practice trial to prevent any learning effects; however, two studies did not report any familiarization sessions (Collomp et al., 1991; Greer et al., 2006), which 198 presents a confounding factor to their results, and should be avoided in future research. 199 200 Besides the differences in the protocols used, it is also important to note that some studies used a mechanically-braked ergometer (Bell et al., 2001), while others used an electrically-201 braked ergometer (Warnock et al., 2017), which might also be a reason for differences in 202 203 estimates across studies (Astorino & Cottrell, 2012).

A confounding factor to the present findings is that none of the studies assessed the effectiveness of the blinding. Salinero et al. (2017) reported that they did ask the participants to indicate which trial they perceived to be the caffeine trial. However, the results of this assessment were not reported. Assessing the effectiveness of the blinding can be of significant impact due to the possible placebo effects of "caffeine" ingestion on performance (Beedie, Stuart, Coleman, & Foad, 2006). Therefore, future studies should assess the effectiveness of the blinding following the trials, to increase the robustness of their findings.

The current body of evidence suggests that caffeine ingestion might result in several 211 212 side effects such as insomnia, headaches, nervousness, gastrointestinal problems, and muscle soreness, among others (Astorino, Rohmann, & Firth, 2008; Goldstein, Jacobs, Whitehurst, 213 214 Penhollow, & Antonio, 2010). Only three of the included studies assessed the side effects of caffeine ingestion in their experimental trials. Williams et al. (2008) reported that no side 215 216 effects occurred. Lorino et al. (2006) reported that one of the participants vomited following caffeine ingestion, while Salinero et al. (2017) noted a slight increase in self-reported 217 insomnia and nervousness following the caffeine trials. It seems that some of the side effects 218

mentioned above may be augmented in individuals with low habitual caffeine intake so extra
precaution might be necessary for these individuals (Astorino et al., 2008; Goldstein et al.,
2010). Future studies should consider tracking and reporting side effects to highlight the
possible disadvantages of supplementing with caffeine.

223 Future directions

None of the included studies used the upper-body Wingate test in their trials. 224 225 Therefore, the results presented in this meta-analysis cannot be generalizable to upper body power, as it has been shown that the effects of caffeine ingestion might differ between upper 226 and lower body (Grgic & Mikulic, 2017). This gap in the literature opens an avenue for future 227 research to test the effects of caffeine ingestion on upper body Wingate performance. 228 Furthermore, studies might consider exploring the effects of caffeine ingestion and Wingate 229 performance in older adults, as to date, there are no such studies. More evidence is needed on 230 females, as most of the included studies were performed in men. Some studies included a 231 232 mixed-gender sample, but the total number of female participants was small (n = 23). Besides 233 females, more studies are needed on athletes, in particular on those competing in anaerobic sports. It would be desirable for future studies to plot the individual values from the placebo 234 and caffeine trials, to examine the variation in responses to caffeine ingestion. 235

236

237 Conclusions

In contrast to previous reviews which suggested that caffeine does not have an impact on Wingate performance, this meta-analysis provides findings that caffeine ingestion may increase both peak power output and mean power output during the Wingate test. Therefore, the results presented in this paper may be helpful for developing more efficient evidencebased recommendations regarding caffeine supplementation. While this would suggest that athletes who compete in anaerobic dominant sports might consider supplementing with
caffeine, this remains tentative as it is unclear to which extent these effects could transfer in
the sports context. Furthermore, the effects are not of a large magnitude which limits the
practical usability of the findings. Because of the inter-individual response to caffeine
ingestion, potential supplementation with caffeine needs to be adjusted on a case-by-case
basis.

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