# EXPLORING THE EFFECTS OF BALL SPEED AND SPIN IN GRAND SLAM TENNIS MATCH-PLAY

by

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#### ABSTRACT

This thesis featured modern technology to investigate the effect of ball speed and spin on aspects of on-court hitting performance. Adjusting a shot's ball flight – be that in the form of speed and/or spin – is a tennis tactic that features in almost every point that is played. Past research has highlighted the importance of generating high shot speeds for on-court performance, while the limited empirical work that has examined the influence of ball spin has largely relied on indirect measures. Indeed, even with ball-tracking systems such as Hawk-Eye being commonplace at professional-level tournaments, the precision of proprietary spin measures is not well understood and limits the extent to which they can be used to derive insight by scientists and practitioners.

During rally play, it is rare for players to produce just ball speed or spin for any given shot; more logically generating varying combinations of both speed and spin. The interplay between these characteristics has been largely overlooked in the literature; so much so that the popular concept of stroke heaviness, thought to capture the unique combined effects of speed and spin, has not been explored. Further, research relating shot characteristics (i.e., speed) with point outcomes is too simplistic as it essentially disregards the influence of one shot on the next, including how incoming shot characteristics shape the impact and quality of an opponent's reply.

To address these gaps in the literature, this thesis validated methods to estimate ball spin from the sport's most common multi-camera tracking technology (Hawk-Eye), finding that a theoretical ball trajectory model applied to Hawk-Eye outputs was most accurate. This method estimated spin rate with a root mean square error (RMSE) of 221.93 RPM and correctly classified the spin direction of all trials, thus, outperforming Hawk-Eye's proprietary spin rate (RMSE: 549.56 RPM) and direction (97.60% correctly classified) measure. This has widespread applications given the extent to which Hawk-Eye is used during professional matches and allowed the thesis's subsequent studies to probe spatiotemporal data from Grand Slam matches. This involved the novel exploration of player and data-driven views of the attributes and effects of stroke heaviness and then investigation of the effect of incoming shot characteristics (i.e., speed, spin, landing depth) on aspects of on-court hitting performance (i.e., player impact, return stroke quality). Investigating the concept of stroke heaviness highlighted the complexity of this style of shot-making, while further examination of the influence of incoming shot speed and spin on player impact and ball-striking revealed that producing a consistent contact point and return stroke was outside of a player's full control.

To summarise, developing a method to accurately estimate ball spin from balltracking data allowed this thesis to extend current knowledge on the influence of incoming shot characteristics on aspects of performance during Grand Slam matches. Accordingly this thesis provides coaches and players with a method to estimate spin in practice and match contexts and highlights how shot characteristics can be varied to influence an opponent's contact point and the quality of their next shot.

#### **STUDENT DECLARATION**

I, Olivia Cant, declare that the PhD thesis entitled 'Exploring the effects of ball speed and spin in Grand Slam tennis match-play' is no more than 80,000 words in length including quotes and exclusive of tables, figures, appendices, bibliography, references and footnotes. This thesis contains no material that has been submitted previously, in whole or in part, for the award of any other academic degree or diploma. Except where otherwise indicated, this thesis is my own work.

I have conducted my research in alignment with the Australian Code for the Responsible Conduct of Research and Victoria University's Higher Degree by Research Policy and Procedures.



Date: 30<sup>th</sup> December 2020

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#### LIST OF PUBLICATIONS AND PRESENTATIONS

The following work has been published (or submitted for publication) in peer reviewed journals and/or presented at relevant scientific and coaching conferences.

#### **THESIS PUBLICATIONS**

CHAPTER 3: Cant, O., Kovalchik, S., Cross, R., Reid, M. (2019). Validation of ball spin estimates in tennis from multi-camera tracking data. *Journal of Sports Sciences*, 38(3), 296-303, https://doi.org/10.1080/02640414.2019.1697189

**CHAPTER 4: Cant, O.,** Kovalchik, S., Reid, M. (2020). A mixed methods approach to investigating heaviness in professional tennis. *Submitted to PLoS ONE*.

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**CHAPTER 6: Cant, O.,** Kovalchik, S., Reid, M. (2020). Modelling the effect of incoming shot trajectories and impact positions on the quality of a player's ball-striking. *Submitted to PLoS ONE*.

#### **CONFERENCE PRESENTATIONS**

**Cant, O.,** Kovalchik, S., Cross, R., Reid, M. (2019). Using tracking technology to estimate ball spin in tennis. Presented at the 37th annual conference of the International Society of Biomechanics in Sports, Ohio, USA.

Cant, O. (2019). "What is stroke heaviness?". Presented at the 2019 Grand Slam Coaches' Conference, Melbourne, Australia.

**Cant, O.** (2019). "What is stroke heaviness? And what does it mean for performance and injury". Presented at the 2018 Tennis Australia High Performance Workshop, Canberra, Australia.

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# LIST OF ABBREVIATIONS

C <sub>D</sub>	Drag coefficient
CL	Lift coefficient
CI	Confidence interval
OTD	On the drop
OTR	On the rise
RMSE	Root mean square error
RPM	Revolutions per minute
SD	Standard deviation
Sp	Spin parameter

CHAPTER 1

# **INTRODUCTION AND OVERVIEW OF THESIS**

#### **1.1 Introduction**

Manipulating the speed and spin of projectiles is a key component and area of interest common to many ball sports. Be it in the context of cricket bowling, baseball pitching and batting, golf driving, soccer penalty-taking or tennis serving, all of these sports skills require the ball to take flight. Athletes, in turn, adjust ball speed and/or ball spin with the intent of achieving a tactical advantage (e.g., producing high ball speeds to impose time pressure on an opponent) or to overcome the constraints of the sport (e.g., use of ball spin to clear the defensive wall in soccer). Despite the practical interest and anecdotal evidence of the role and influence of ball speed and spin on the performance of various sports skills, it has not attracted significant research attention in applied settings. This is likely, at least in part, due to the historical methodological challenges of measuring ball speed and spin in non-invasive, accurate and efficient ways. Fortunately however, with the advent of contemporary camera-based player and ball-tracking, there is now greater scope to examine the effects of ball speed and spin on aspects of performance in-situ.

In tennis, especially at the professional-level, players rely heavily on tactics to gain an advantage during matches. One such tactic involves players adjusting the speed and spin that they apply to their shots to increase the difficulty their opponents encounter in returning the ball. Indeed, the strategic benefits of generating high ball speeds are well accepted, both from theoretical - with increased winning probabilities associated with higher speed shots (Kovalchik & Reid, 2018; Mecheri et al., 2016) – and practical points of view (Crespo & Miley, 1998). The benefits of higher spin rates are comparatively less well understood, with most of the published work involving simulated experiments of ball flight (Brody, 2006; Cross, 2011, 2020; Robinson & Robinson, 2018a). Although

topspin shots are commonly linked to improved margins for error (Brody, 2006) and higher spin intensities (implied by the lift coefficient) on serve have been positively linked to a point's outcome (Mecheri et al., 2016), there remains a dearth of information examining the effects of ball spin on player performance. There is also a distinct lack of insight into the interplay and interdependency of ball speed and spin, especially in matchplay contexts.

The relatively nascent state of research into the post-impact characteristics of stroke production also helps to explain why stroke heaviness, a popular concept among coaches and players and one that is thought to capture the combined effects of ball speed and spin, has not been empirically examined. Accordingly most accounts of heaviness are anecdotal, where players describe match contexts that involve an uncomfortable response to an opponent's ball-striking. For instance, in highlighting Rafael Nadal's forehand as the sport's heaviest shot, Andy Murray has described that "the ball kind of jumps at a tough angle (making) it hard to step into the court and just go for it" (Garber, 2008). These accounts are often opponent-specific and rarely indicate how other factors such as landing depth may influence the perception of a stroke's heaviness by players. Given these gaps in the sport's understanding of this concept, there exists the opportunity to quantify stroke heaviness in a novel and evidence-based fashion. However this is partly contingent upon testing and deriving new methods of modelling ball spin from balltracking data given the limitations of the previous indirect estimates (i.e., lift coefficient and angular change) and concerns over the precision of existing commercial models (i.e., as supplied by Hawk-Eye). Such a method would also allow for empirical work to investigate the effect of ball spin, in combination with ball speed, on the characteristics of serve-return and groundstroke impact, the likes of which have not previously been

examined despite high levels of practical interest (United States Tennis Association, 2004).

Player and ball-tracking data from professional tennis tournaments present a unique opportunity to address these previous research gaps, including the effect of ball speed and spin, along with other contextual factors, on player performance. With this in mind, this thesis will first validate methods to estimate ball spin from Hawk-Eye tracking technology before exploring the quantification of heaviness and then investigating the combined effects of ball speed and spin on aspects of on-court hitting performance.

#### 1.2 Aims of the thesis

#### 1.2.1 General aim

This thesis aims to use data recorded from Hawk-Eye tracking technology to better understand the effect of ball speed and spin on aspects of on-court hitting performance.

#### 1.2.2 Specific aims

- 1. Examine the accuracy of methods to estimate ball spin using multi-camera tracking technology.
- 2. Develop a method to measure stroke heaviness utilising available technology that can be implemented in match-play and practice settings.
- 3. Describe the impact characteristics relative to peak bounce height and player stature in a novel way.

- Investigate the effect of the incoming shot characteristics (speed, spin, landing depth), gender and ranking on a player's contact point during Grand Slam matches.
- 5. Examine the effect of the incoming shot characteristics (speed, spin, landing depth) and player impact (impact relative to peak height, impact height) on measures of return stroke quality (where 'quality' refers to the speed, spin, time pressure and error rate of the return).

#### **1.3 Chapter organisation**

Chapter 1 provided an introduction to the thesis topic while also detailing the general and specific aims of the thesis.

Chapter 2 will provide a review of the relevant literature examining the effects of ball speed and spin on player performance. Additionally, this chapter will review methods utilised to measure and examine ball trajectory characteristics such as ball speed and spin in sporting contexts like baseball. These methods will be considered in terms of their suitability for use during tennis matches.

Chapters 3 to 6 represent the experimental chapters of this thesis. These chapters have been prepared with the intention of publication in peer-reviewed journals (as per the list of publications on page vii). Chapters are presented in the form in which they have been submitted for publication or are published, thus, there is some repetition in the content of these chapters.

Chapter 3 validates methods to estimate ball spin (rate and direction) from multicamera tracking technology (Hawk-Eye). The accuracy of ball spin estimates from Hawk-Eye's proprietary measure are compared to an alternate method which applies a theoretical ball trajectory model to recorded ball trajectories. This chapter finds a theoretical ball trajectory model can estimate spin rate and direction more accurately than Hawk-Eye's proprietary measure. This chapter also reveals that both methods tend to estimate spin rates >4500 RPM with the highest error and shows how the validated method can be extended to a three-dimensional ball trajectory model to provide spin estimates more representative of typical tennis shots. These findings inform how ball spin is estimated from Hawk-Eye data collected during Grand Slam matches in subsequent chapters.

Despite players, coaches and commentators commonly referencing the heaviness of a player's ball-striking, this concept, to our knowledge, had not previously been empirically examined. Thus, Chapter 4 involved a sample of professional players being surveyed to identify their perception of heavy strokes, with survey results subsequently used in combination with ball trajectories from Grand Slam matches to inform a datadriven approach to quantify heaviness. This chapter provides a consensus view on the characteristics and outcomes of heavy strokes among a sample of tennis experts and reports the performance of a variety of models to explain this phenomena using balltracking data. Given the difficulty in deriving a single measure of heaviness, the chapters that follow consider the interaction of a shot's speed and spin on features of an opponent's ball-striking.

Chapter 5 describes groundstroke and serve-return impact during Grand Slam matches by examining how a range of factors (i.e., incoming shot characteristics, gender, player ranking) influence the contact point of players. A number of impact characteristics are investigated, including, where the ball is impacted relative to peak height, impact depth and impact height. Shot, gender, ranking and player effects were observed across measures of serve-return and groundstroke impact during Australian Open singles matches. Results highlighted that the impact point of players may be dictated by a multitude of factors and outside of their full control.

The effects of the incoming shot's characteristics and the receiving player's impact on the subsequent shot's quality are examined in Chapter 6. This chapter revealed that incoming shot characteristics variously effect a player's serve-return and groundstroke quality, with effects varying by player gender, return type and impact (on the rise, on the drop). Results from this chapter also provided insight into how players can vary their ball-striking to influence the quality of opponents' returns. Additionally, this chapter shows players likely vary their contact point based on stylistic preferences.

Chapter 7 provides a summary of key findings from this thesis and then identifies the theoretical, methodological and practical implications from this body of work. This chapter culminates by detailing the limitations of the course of studies while also highlighting areas for future investigation.

# CHAPTER 2

# **REVIEW OF LITERATURE**

#### **2.1 Introduction**

The motion of projectiles has long been a focus in sporting contexts, including, pitches in baseball, free kicks in soccer, deliveries in cricket, drive length in golf and strokes in tennis. Related empirical research in many of these sports has largely focused on the aerodynamic properties of the ball (Alam et al., 2011; Alaways & Hubbard, 2001; Carré et al., 2002; Cross & Lindsey, 2014; Sayers & Hill, 1999), general performance characteristics of different skills (pitches, shots, deliveries) (Alcock, Gilleard, Brown, et al., 2012; Jinji & Sakurai, 2006; Sakurai et al., 2013; Spratford et al., 2018) as well as the biomechanics that underpin movement in those skills (Alcock, Gilleard, Hunter, et al., 2012; Chin et al., 2009; Reid et al., 2007; Stodden et al., 2005). Unusually, despite the interest in and popular commentary on the speed, spin and trajectory of different projectiles, which essentially represent the outcome of most of these sporting skills, relatively little is known in an applied sense. While elite athletes manipulate ball speed and spin relatively routinely, the nature of these effects on performance outcomes or the opposition remains the source of considerable speculative but not research interest. One of the barriers to researchers learning more about the characteristics and effects of ball flight in match-play has been its onerous measurement. However, with the advent of sophisticated computer vision (tracking technologies) in professional-level sport, in-situ ball flight is thought to be tracked precisely and at scale, which creates opportunities for its inter-relationships with player and other contextual factors to be scrutinised.

The interest in shot trajectories is very evident in tennis, where ball speed has been a prevailing focus (Capel-Davies & Spurr, 2019; Landlinger et al., 2012; Vaverka & Cernosek, 2013). Ball speed is considered all important in the sport, most notably due to its association with the sport's ultimate goal of winning points (Kovalchik & Reid, 2018; Mecheri et al., 2016). As such, it has received considerable research attention, including, the analysis of underpinning stroke mechanics (Elliott et al., 1997; Elliott et al., 1995; Martin et al., 2013; Reid & Elliott, 2002; Reid et al., 2008) and intrinsic factors (i.e., player height, fatigue, gender) (Capel-Davies & Spurr, 2019; Cross, 2014; Maquirriain et al., 2016; Martin et al., 2016; Reid et al., 2016; Söğüt, 2018; Vaverka & Cernosek, 2013) related to the production of ball speed. However, ball speed represents only one aspect of ball-striking, with players directing shots to different parts of the court and also generating ball spin. Interestingly, investigations into the characteristics and effects of ball spin have been comparatively sparse, especially during match-play. This is likely the historical consequence of spin's measurement being a computational challenge, meaning that the datasets available for analysis are limited. Fortunately, as abovementioned, with the advent of modern technologies (i.e., ball-tracking systems), tracking data has become increasingly accessible, paving the way for heightened understanding of not just the effects of ball spin but the interplay between ball speed and spin in-situ.

The following review will examine methods which have been used to model or measure ball flight, including ball speed and spin, in sporting contexts. It then critiques the literature that has examined the link between ball speed and/or ball spin and sports performance, specifically in tennis. The review will finally turn to scrutinising the limited research that has considered the combined effect of ball speed and spin in ball-striking.

#### 2.2 Projectile motion models in sport

Ball trajectory models have previously been utilised to examine the effect of launch parameters, including ball speed and spin, on aspects of the ball's flight in numerous sporting contexts. Specific examples of the application of such models include, examining the effect of ball speed and/or ball spin on the height and range of batted baseballs (Nathan, 2008), the bounce distance and lateral movement in cricket swing bowling (Robinson & Robinson, 2015) and the free kick in soccer (Carré et al., 2002), including consideration for the defensive wall (Bray & Kerwin, 2003). Modelled trajectories have similarly been utilised in tennis, where their application has included examining the effect of shot speed and/or spin on the ball's landing point (horizontal and lateral), landing speed and trajectory shape (e.g., amount of lateral movement) (Cross, 2020; Robinson & Robinson, 2018a).

Trajectory models can simulate a ball's trajectory based on its initial launch parameters and by incorporating the gravitational and aerodynamic (drag, lift) forces acting on it through flight (Figure 2.1). The magnitude of the drag force (F<sub>D</sub>) is quantified by  $F_D = \frac{1}{2}C_D\rho Av^2$  and the magnitude of the lift force (F<sub>L</sub>) by  $F_L = \frac{1}{2}C_L\rho Av^2$ , where  $\rho$  is the air density, A is the ball's cross-sectional area and C<sub>D</sub> and C<sub>L</sub> are the drag and lift coefficients, respectively (Cross & Lindsey, 2014). A ball's two-dimensional motion (i.e., horizontal (x) and vertical (z) plane) can be described as (Cross & Lindsey, 2014):

$${mdv_x}/{dt} = -F_D \cos\theta - F_L \sin\theta$$
 (1)  
 ${mdv_z}/{dt} = F_L \cos\theta - F_D \sin\theta - mg$  (2)

which can be written as

$$\frac{dv_x}{dt} = -kv(C_D v_x + C_L v_z)$$
(3)  
$$\frac{dv_z}{dt} = kv(C_L v_x - C_D v_z) - g$$
(4)

In equations (3) and (4),  $k = \frac{1}{2}\rho\pi R^2/m$ , where *R* and *m* represent the ball's radius and mass, respectively. These equations require the input of C<sub>D</sub> and C<sub>L</sub>, with the measurement of each for a range of sport balls obtained through the use of wind tunnels (Asai et al., 2007; Goodwill et al., 2004; Štěpánek, 1988; Watts & Ferrer, 1987) as well

as through identifying the best fit values for trajectories recorded using motion analysis or high-speed cameras (Alaways & Hubbard, 2001; Cross & Lindsey, 2014; Goff & Carré, 2009; Nathan, 2008).



**Figure 2.1.** Forces acting on a tennis ball in flight. The drag force ( $F_D$ ) acts in the opposite direction to the ball's motion, the lift force ( $F_L$ ) acts at right angles to the ball's direction of motion and mg represents the gravitational force.

The above equations can also be applied to simulate the lateral component of the ball's trajectory or extended to a three-dimensional model to allow for even greater insight into the ball's flight (Robinson & Robinson, 2013). As will be discussed in section 2.3, tennis currently lacks a practical and validated method to estimate ball spin off the racket and therefore this aspect of ball spin will form one of the bases of this thesis. However, it is important to note that although not the focus of this thesis, equations to model the ball's trajectory post-bounce have been applied in tennis (Cross, 2020).

In sports such as tennis where the effectiveness of a shot is largely dependent on the interplay between the incoming shot and the receiving player, the trajectory modelling approach somewhat limits our ability to understand the direct effect of ball speed and spin on match-play performance. For instance, although ball speed and spin influence the bounce height of shot trajectories in tennis (Cross, 2011, 2020), the subsequent effects on the receiving player can only be presumed from these trajectory models.

#### 2.3 Use of technology to measure ball speed and spin in sport

Various methods have been implemented to quantify ball speed and spin in ball sports. However a number of these are intrusive, historically limiting their use to controlled testing and/or practice contexts. With advances in modern technology, there is greater scope to quantify both aspects of the ball's flight during matches or games. This section will review approaches to quantify ball speed and spin in sporting contexts, with a particular focus on their suitability for use during tennis matches.

#### 2.3.1 Radar

Radar speed guns are commonly implemented in both research and applied sporting contexts to measure ball speed through Doppler radar technology. This technology is commonplace during professional tennis matches, where it is implemented to measure serve speed. These speeds are displayed on electronic scoreboards on-court and reported in summary match statistics available on tournament websites (for an example see US Open, 2020) which are in turn a common data source for research purposes (Fernández-García et al., 2019; Fitzpatrick et al., 2019; Katić et al., 2011; Martin et al., 2018; O'Donoghue, 2002). During tennis matches, this technology does not record the ball speed for shots other than the serve (e.g., serve-return, groundstrokes) and does not measure ball spin.

TrackMan is a system that has been utilised in a number of sports, particularly golf and baseball, where until recently it was the main system installed at Major League

Baseball stadiums. By utilising Doppler radar technology, the system can derive a number of metrics concerning the ball's flight (e.g., ball speed, spin rate). A validation study on the accuracy of TrackMan's golf system found a median error of 0.32 km/h (lower quartile = -0.16, upper quartile = 0.80) in ball speed and -47 revolutions per minute (RPM) (lower quartile = -73, upper quartile = -26) in ball spin measurement, although spin measurement error increased for the driver club at lower spin rates (~2500 RPM) (Leach et al., 2017). Significantly, a metallic dot was added to the ball during validation to enhance the radar signature and spin rate tracking (Leach et al., 2017). In baseball, with the exception of a small portion of trajectories, TrackMan has also been reported to accurately quantify the ball's spin rate (root mean square error = 35 RPM) (Nathan et al., 2014). This system can be used in tennis and has been found to display reasonable agreement with gold standard speed and spin rate measurements, but to poorly estimate the ball's spin axis (Murata & Takahashi, 2020; Sato et al., 2017). At this stage, however, this system is not approved for use during tennis tournaments (International Tennis Federation, 2020).

#### 2.3.2 High-speed vision

Ball speed and spin are also commonly measured using high-speed cameras and a variety of related mathematical approaches. Specifically though, for ball spin to be calculated from this data source, markings are required on the ball's surface which can be tracked across frames. Thus, the suitability of this approach in match or game settings is likely dependent on the availability of markings on the ball's surface.

In tennis, the ball's logo serves as a marking which can be tracked in order to determine ball revolutions. Therefore, high-speed cameras provide a non-intrusive method to collect ball speed and spin data during tennis practice and match-play. This method originally required the manual analysis of vision (Goodwill et al., 2007; Kelley et al., 2008), however, software has subsequently been developed and validated to automatically determine ball speed as well as ball revolutions by tracking the ball's logo (Kelley et al., 2010). This software was found to calculate the speed of ball's launched from a ball machine with a mean error of -0.43 km/h (95% CI = -0.61, 1.51) compared to light gates and, after the exclusion of an outlier, the ball's spin rate was estimated with a mean error of -4.68 RPM (95% CI = -15.28, 6.02) compared to manual analysis (Kelley et al., 2010). While this method is promising due to its accuracy and non-invasive nature, it was only validated for trajectories with speeds of 64.37 to 112.65 km/h and spin rates of 600 to 1600 RPM, which are considerably lower than those commonly reported in practice and match-play (Choppin et al., 2011; Goodwill et al., 2007; Kelley et al., 2008; Reid et al., 2016). Further, these approaches to measuring ball spin require the ball's logo to be visible to the camera (if no other markings are added) which occurs only sporadically (Goodwill et al., 2007; Kelley et al., 2010) as well as requiring data to be collected manually and from specific perspectives. Likely owing to these limitations, studies utilising this approach to describe typical spin rates during match-play have used relatively small sample sizes. As such, a more practical and scalable method is required to evaluate ball speed and spin during match-play.

#### 2.3.3 Three-dimensional motion analysis

Three-dimensional motion analysis technology is utilised to measure both ball speed and spin in sports, including cricket (Chin et al., 2009; Lindsay & Spratford, 2020; Spratford et al., 2018), baseball (Jinji et al., 2011) and tennis (Elliott et al., 2013; Murata & Fujii, 2014; Reid et al., 2013; Sakurai et al., 2013; Whiteside et al., 2013). These approaches have been used to compute components of ball velocity as well as angular

velocity vectors. In tennis, for instance, prior work has measured the horizontal velocity, spin rate, angular velocity components and spin axis characterising different serve types (i.e., flat, kick, slice) using a VICON motion analysis system (Sakurai et al., 2013). This method of data collection however is intrusive, requiring a number of cameras to be positioned around an athlete and markers to be attached to the ball. These markers may not only affect the production of a given skill (i.e., stroke) but also the subsequent ball trajectory (Sakurai et al., 2013). Thus, while this approach may provide an accurate source of ball speed and spin measurements, its use is typically constrained to controlled laboratory or testing environments.

#### **2.3.4 Instrumented sports equipment**

Instrumented sports balls which incorporate sensors (e.g., gyroscopes) have been developed and utilised for research and training purposes in sports such as baseball (Diamond Kinetics, n.d.; Doljin et al., 2020), cricket (Doljin & Fuss, 2015; SportCor, n.d.) and Australian Rules Football (Fuss & Smith, 2011). Despite the addition of a sensor, these balls have been developed to specified regulations (i.e., ball mass) and with the same outer casing to mimic regular match and game balls. While likely providing a useful tool to quantify ball speed and/or ball spin in a number of sports, instrumented sports balls are not a turn-key solution in tennis and would be unlikely additions to match-play settings given the regulations on ball specifications (International Tennis Federation, 2019) and the requirement to regularly change balls throughout professional matches (i.e., every 7 or 9 games). Such technology has however been incorporated into the design of rackets/bats and wearables used by athletes more generally. These sensors have been shown to measure the velocity of implements and balls with varying levels of precision (Keaney & Reid, 2020; Lückemann et al., 2018; Myers et al., 2019), while the accuracy
of spin rate estimates from these devices has not been a focus of prior validation work. In tennis, the widespread implementation of sensors may be constrained by the fact that sensors are often specific to a racket and/or brand and can change the inertial parameters of a racket (Keaney & Reid, 2020).

# 2.3.5 Computer vision

There are a number of commercially available computer vision systems that track ball flight in sporting contexts. Tracking data from these technologies is increasingly used for research purposes, with the work of Whiteside et al. (2016) in examining how pitch characteristics (e.g., ball speed) relate to success in Major League Baseball a case in point. Such technologies can overcome some of the limitations of historical methods to studying the effects of ball characteristics such as trajectory models. These contemporary approaches are non-intrusive and often have multiple use cases (i.e., tracking players), which can provide helpful additional context. The implementation of such systems in match or game contexts in professional sport is typically determined by the sport's governing body. For instance, in tennis, only a limited number of systems are approved for use (International Tennis Federation, 2020); the most common of which is Hawk-Eye which is discussed in detail below.

#### 2.3.5.1 Hawk-Eye

Hawk-Eye (Hawk-Eye Innovations Ltd, Basingstoke, UK), primarily implemented as an electronic line-calling system, triangulates the position of the ball and players through up to 10 cameras positioned around the court (Hawk-Eye Innovations, n.d.) and provides three-dimensional cartesian coordinates of the ball as well as twodimensional player coordinates as a function of time. Compared to high-speed vision, Hawk-Eye has been reported to estimate the location of the ball's bounce with a 2.6 mm mean error (Hawk-Eye Innovations, n.d.). In addition to its use in officiating, Hawk-Eye tracking data provides a valuable source of match-play information for broadcasters and research purposes. For example, previous investigations have used player and ball-tracking data to describe features of match-play (Kovalchik & Reid, 2017; Reid et al., 2016; Whiteside & Reid, 2017a), predict shot and point outcomes (Wei, Lucey, Morgan, Reid, & Sridharan, 2016; Wei, Lucey, Morgan, & Sridharan, 2016), examine ball wear during match-play (Choppin et al., 2018), develop shot dictionaries (Kovalchik & Reid, 2018; Wei et al., 2015) and investigate the effect of serve characteristics on shot success (Capel-Davies & Spurr, 2019; Mecheri et al., 2016; Whiteside & Reid, 2017b).

The majority of these studies have documented ball speed but few have detailed the effect of ball spin. Those that have, have used indirect measures (e.g., lift coefficient (Mecheri et al., 2016), angular change (percentage increase in the ball's out-of-bounce compared to into bounce angle) (Kovalchik & Reid, 2018)) or incorporated the effects of ball spin by interpreting the ball's trajectory (Wei et al., 2015). Outside of datasets from tournament play, Krause, Farrow, et al. (2019) and Busuttil et al. (2020) have also reported ball spin rates (revolutions over time) obtained from Hawk-Eye's proprietary spin measure. This measure provides an estimate of a shot's spin rate and spin direction (topspin, backspin), however, no information on its validity is publicly available. The comparatively sparse attention afforded to ball spin in research would seem at least partly due to the lack of transparency regarding the precision of Hawk-Eye's current ball spin measure as well as the overarching complexity of measuring ball spin in-situ. Thus, there is scope to better understand the accuracy of the current measure as well as to explore alternate measures to estimate ball spin from this data source.

## 2.3.5.2 Hawk-Eye specific application for measuring ball spin

Spin does not directly enter into the equations presented in section 2.2 which can be used to model the ball's flight, however, previous research has found  $C_L$  and the spin parameter (Sp = ball radius \* angular velocity / velocity) share a linear relationship (Alaways & Hubbard, 2001; Cross & Lindsey, 2014; Goodwill et al., 2004; Nathan, 2008). Thus, if  $C_L$ ,  $C_D$  and launch parameters are known, an accurate estimate of ball spin from Hawk-Eye trajectories (the most common tracking technology in tennis) may be possible.

With this in mind, Mecheri et al. (2016) applied a trajectory model to Hawk-Eye ball trajectories to measure the  $C_L$  and axis of rotation of serves to examine the relationship between serve spin and winning probability. The  $C_L$  was used as an indication of the ball's spin intensity and provides some insight, albeit indirectly, into the effect of serve spin given the abovementioned relationship between  $C_L$  and the spin parameter (Alaways & Hubbard, 2001; Cross & Lindsey, 2014; Goodwill et al., 2004; Nathan, 2008). However, the use of the  $C_L$  likely limits the adoption of these findings among coaches and players who are unfamiliar with the  $C_L$  and more accustomed to popular measures of ball spin such as revolutions per minute. Thus, considering alternate applications of ball trajectory models to Hawk-Eye outputs to quantify ball spin in revolutions over time may be beneficial.

# 2.4 Why shot speed, and its measurement, is important in tennis

Shot trajectories in tennis comprise of varying characteristics, such as, speed, direction and spin; each of which is manipulated according to the tactical intent of players. As critiqued below, speed has been variously investigated by researchers in different tennis contexts.

## 2.4.1 Relationship between serve speed and point or match outcome

Ball speed is generally considered a key aspect of stroke production, with higher shot speeds logically thought to be advantageous due to the time pressure they impose on opponents (Capel-Davies & Spurr, 2019; Crespo & Miley, 1998; Mecheri et al., 2016). Prior research linking ball speed with performance outcomes during matches has largely focused on the serve (Capel-Davies & Spurr, 2019; Fernández-García et al., 2019; Katić et al., 2011; Mecheri et al., 2016; O'Donoghue, 2002), likely owing to it being considered one of the sport's most important shots (Elliott & Saviano, 2001; Gillet et al., 2009; Reid et al., 2013) and the availability of serve speed data. That is, serve speeds recorded during professional matches have historically been made available via tournament websites, allowing researchers to examine various, although relatively crude, associations between match-level summaries of serve speed and match outcome (Fernández-García et al., 2019; Fitzpatrick et al., 2019; Katić et al., 2011; O'Donoghue, 2002). Ball-tracking data routinely collected during matches affords researchers with the opportunity to examine the effect of serve speed at the point-level.

Higher winning probabilities have been associated with faster paced serves in the men's and women's game (Kovalchik & Reid, 2018; Mecheri et al., 2016). For instance, Mecheri et al. (2016), using a sample of ~260,000 points from professional men's and women's tournaments (2003-2008), found that faster first and second serve speeds were associated with higher point winning probabilities. Unusually, no measures of statistical significance (e.g., confidence intervals) were provided, complicating the interpretation of the exact relationship between each serve speed category and winning probability. Nevertheless, Kovalchik and Reid (2018) offered support to the importance of fast serving by revealing that serves associated with the highest winning probability during

Australian Open main draw singles matches tended to be characterised by higher speeds. For example, of the 17 different types of ad court serves identified in the women's game, serves with a favourable win chance (i.e., >50%) were hit with higher speed (median = 160 km/h) than those associated with a lower probability of winning (median = 130 km/h). Whiteside and Reid (2017b) have also found men's first serve speeds to be linked to aces, although, serve placement and angle were found to be the main factors discriminating aces from serves which were returned into play. The importance of serve placement and angle, functions of a serve's trajectory, was also highlighted by Capel-Davies and Spurr (2019), with the relevance of ball speed further reinforced through its inverse relationship with the probability of serves being returned into play. From the above, it is clear that past research has considered the influence of serve speed on point outcomes, aces and unreturnable serves yet not applied the same scientific lens to its relationship with the characteristics of successful returns and subsequent rally play.

# 2.4.2 Relationship between serve-return and groundstroke speed and point outcome

A link between higher shot speeds and higher winning probabilities has also been observed for serve-returns and groundstrokes (Kovalchik & Reid, 2018). For instance, of the 19 men's forehand serve-returns identified, eight have been associated with a favourable win chance (i.e., >50%), with each of these being in the fastest speed category (i.e., median = 120 km/h) for serve-returns (Kovalchik & Reid, 2018). Similarly, eight of the ten forehand rally shots in the men's game that have been associated with winning probabilities of  $\geq$ 80% belonged to the fastest groundstroke speed category (median = 130 km/h) (Kovalchik & Reid, 2018). While instructive, this work reported the winning probabilities of shot types characterised by speed categories, which may oversimplify the relationship between shot speed and point outcome. The work of Wei et al. (2013) also provides some insight into how these higher winning probabilities may be achieved. Using Australian Open (2012) ball-tracking data, the researchers investigated shot-level characteristics to predict shot outcomes. They found a general trend among the top three tournament seeds in the men's game (Novak Djokovic, Rafael Nadal, Roger Federer) for shots preceding rally errors to be hit faster (and land deeper) than shot's preceding winners (Wei et al., 2013).

It is worth noting that analyses linking shot speed with point outcomes are somewhat blunt and likely oversimplify the role of ball speed by ignoring the influence of one shot on the next. For instance, with 75% of a shot's outgoing speed attributed to racket velocity (Brody, 2003), shots hit with higher speed that reduce a player's preparation time may limit their ability to generate racket speed, thus directly affecting the quality of their outgoing reply. The advent and availability of ball-tracking data during professional matches makes it increasingly possible to investigate the effects of one shot on the next.

# 2.4.3 Relationship between ball speed and competition level

Beyond a link to point and match outcomes, shot speed has also been used to describe a player's competitive level. Across serves and groundstrokes, higher shot speeds have been observed to differentiate players of higher compared to lower skill level (e.g., professional vs. advanced, elite vs. recreational) (Girard et al., 2005; Hernández-Davó et al., 2019; Kolman et al., 2017; Landlinger et al., 2012; Martin et al., 2014; Söğüt, 2017). It could be argued that these findings were a product of controlled laboratory test conditions and failed to satisfactorily mimic match-play contexts where incoming ball trajectories differ with a player's competitive level, although similar differences have

been observed between professional and junior players at the Australian Open (Kovalchik & Reid, 2017). Furthermore, a number of the abovementioned studies compared players of varying skill level as well as age groups (Girard et al., 2005; Kovalchik & Reid, 2017; Landlinger et al., 2012), thus, differences in ball speed may also be linked to physical maturity and the mechanics underpinning stroke production (Whiteside et al., 2013). It then stands to reason that there is scope to better understand differences in stroke production during match-play among more homogenous groups of professional players. In this vein, Whiteside et al. (2015) described shot-specific differences in ball speed among higher (ranking  $\leq 53$ ) and lower (ranking  $\geq 73$ ) ranked males at the Australian Open. Higher-ranked males generated significantly faster average first serve-return speeds and likely higher maximum serve speeds (Whiteside et al., 2015). No meaningful differences were observed in the average shot speed for first and second serves, second serve-returns or groundstrokes (Whiteside et al., 2015). The analysis failed to consider other contextual factors presumably linked to shot speed such as the pace of the incoming shot, while the female game was not considered at all. These omissions underline the opportunity for further research in this area.

## 2.5 Why shot spin, and its measurement, is important in tennis

It is worth noting that the above synthesis of the literature highlights the value of high ball speeds, yet it's logical for players to choose not to simply execute every stroke at maximal speed. Indeed, in applying equations describing the physics of ball flight, Brody (2006) showed that for a given set of launch conditions, an increase in ball speed reduces a shot's window of acceptance and therefore increases the chances of players committing an error. In comparison, topspin acts to increase a shot's window of acceptance, and thus margin for error (Brody, 2006). For this reason, among others discussed below, (top)spin is imparted to shots.

## **2.5.1 Ball spin for tactical variation**

As alluded to above, ball spin can be used by players for tactical variation by adjusting the ball's trajectory. Slice or backspin groundstrokes are characterised by a high-to-low racket trajectory and low out-of-bounce angle (Elliott & Marsh, 1989). Topspin groundstrokes, on the other hand, often feature steeper post-bounce trajectories produced by high vertical racket velocities and a low-to-high racket trajectory (Elliott & Marsh, 1989; Genevois et al., 2020; Takahashi et al., 1996). In serving, similar effects are observed with topspin or kick serves known for their "kick" off the court and subsequent bounce height, while slice serves produce lateral ball movement, allowing the ball to be moved away from returners (Crespo & Miley, 1998; Robinson & Robinson, 2018a). The effect of these trajectories on a player's on-court performance is an important consideration to determine the usefulness of these variations in stroke production.

# 2.5.2 Relationship between ball spin and point outcome

The effect of ball spin on point outcome during match-play has been examined using ball-tracking data, albeit, through indirect measures of spin. For instance, Kovalchik and Reid (2018) used the angular change (percentage increase in the ball's out-of-bounce compared to into bounce angle) and Mecheri et al. (2016) relied on the lift coefficient as a measure of spin intensity. While there was no clear association between the ball's angular change and winning probability (Kovalchik & Reid, 2018), Mecheri et al. (2016) reported that serves characterised by high and low lift coefficients were associated with more positive point outcomes. Given the speed-spin trade-off previously observed on serve (Sakurai et al., 2013), the authors concluded that serves characterised by high spin intensities were as effective as those characterised by lower spin intensities but high velocity (Mecheri et al., 2016). However, the strength of these conclusions should be tempered given the relatively small change in winning probability across the categories of lift coefficient examined as well as the paper's omission of a measure of significance. Interestingly, the work of Gillet et al. (2009) reported contrasting findings with males observed to win more points from flat first serves (57.60%) than serves typically hit with higher spin rates (topspin; 24.1%, slice; 18.30%). These differences may be attributed to a combination of the variation in court surfaces examined and the categorical and indirect classification of serve spin by Gillet et al. (2009). Additionally, as with investigating the effect of shot speed on winning probability, these studies provide limited insight into the interplay between successive shot's in the point.

#### 2.5.3 Relationship between ball spin, the post-bounce trajectory and player impact

Partly motivated by popular commentary around heavily spun topspin shots challenging player impact (United States Tennis Association, 2004), research involving trajectory simulations has examined the effect of initial launch conditions (e.g., speed, spin, angle) on aspects of a shot's post-bounce trajectory (e.g., out-of-bounce angle, bounce height) (Cross, 2011, 2020). For instance, the work of Cross (2011) and Cross (2020) has revealed that the bounce height of kick serves and topspin groundstrokes generally increases with ball spin but tends to decrease with ball speed off the racket. Similarly, Kolbinger and Lames (2013) have highlighted the influence of spin on serve bounce height by revealing that men's second serves (>88% were >1.22 m from the ground at a point three-feet inside the opponent's baseline), which are characterised by higher spin rates (Kelley, 2011), bounced higher than first serves (>80% were <1.22 m

from the ground) during Grand Slam matches. Limited empirical attention has been paid to quantifying the practical effect of these bounce conditions on the opponent beyond Cross (2020) suggesting that topspin groundstrokes with moderate-to-high ball speed and heavy spin would still only bounce to knee-to-waist height and thus be comfortable for players to counter. This seems at odds with the perception of players and coaches and warrants further investigation.

It is evident from the above research that examination of bounce height alone discounts the interplay between the incoming ball's spin (and trajectory) and a player's court positioning in determining impact height. In a practical sense, experts commonly espouse the value of consistent and comfortable contact points in providing players with maximum tactical choice (Giles et al., 2019). Some coaching resources are even more explicit, referencing how players can counterattack heavily spun topspin shots by taking balls early and on the rise or shifting deeper in the court to meet the ball at an equally comfortable height (Crespo & Miley, 1998; United States Tennis Association, 2004). Indeed, only one study has previously examined where in the post-bounce trajectory professional players make contact (Choppin et al., 2007). By examining the ball's vertical velocity out of the bounce as an indication for how early or late contact occurred, the researchers found that players predominantly made contact near the top of the ball's bounce during practice at Wimbledon Qualifying (Choppin et al., 2007). Limited detail was provided in relation to the study's sample, including player gender, which is problematic given the observed gender-based differences in shot speed, spin and shape as well as court positioning (Kelley, 2011; Kovalchik & Reid, 2017; Mecheri et al., 2016; Reid et al., 2016). Additionally, the generalisability of the findings is limited owing to the small sample size (106 shots from 13 players), the unique coefficients of friction and

restitution of grass courts (Cross, 2003) and lack of detail describing the characteristics of the inbound (e.g., landing location, spin rate, spin direction) and outbound (e.g., spin direction) shots. Against this backdrop, it is clear that even though the inter-relationships between ball trajectory, impact point and the player's court position continue to be the source of considerable practical interest in tennis, they remain largely unexplored in research settings.

#### 2.6 The complex interaction and effects of ball speed and spin in tennis

Logically, players do not produce shots with speed or spin alone, rather varying magnitudes of both are generated across stroke types. Thus, considering their combined effects, such as through a stroke's heaviness, may allow a more comprehensive understanding of how shot characteristics effect player performance.

# 2.6.1 Understanding beyond the independent analysis of ball speed and spin

While studies are increasingly exploring clustering techniques to build out shot dictionaries in an effort to better understand the types of shots played and player style (Kovalchik & Reid, 2018; Wei et al., 2015), these studies have not specifically looked at the interplay between ball speed and spin. Indeed, past research has largely considered the effects of ball speed and spin independently and/or incorporated the effect of ball spin through indirect measures (Kovalchik & Reid, 2018) or as a by-product of examining trajectory shape (Wei et al., 2015). For instance, Kovalchik and Reid (2018) developed a dictionary of serves, serve-returns and groundstrokes using a number of characteristics describing where the shot was impacted and the ball's flight (e.g., ball speed, height over net, landing location). Such an approach allowed for common features across shots to be identified and for the frequency and effectiveness of distinct shots to be analysed. For

example, 17 unique ad court serve trajectories were identified in the women's game, with the most common serve being a fast paced wide serve with a low net clearance that accounted for 15% of all women's ad court serves (Kovalchik & Reid, 2018).

More classical approaches to understanding the dynamic between ball speed and spin in tennis have involved theoretical trajectory modelling work. Such work has provided insight into how varying combinations of ball speed and spin can influence various outcome measures (e.g., margin for error, bounce height, rebound angle) (Brody, 2006; Cross, 2011, 2020). For instance, across the ranges of ball speed and spin examined by Cross (2020), it was found that the out-of-bounce angle of a topspin groundstroke generally increased with the spin of faster but not slower paced groundstrokes. Such insight provides some sense of how players might adjust their stroke production to obtain a specific outcome, but this work remains largely theoretical and fails to consider the way in which players deal with the varying characteristics of incoming shots.

## 2.6.2 The concept of stroke heaviness

From a practical point of view, coaches and players refer to the unique combined effects of a shot's speed and spin as a stroke's heaviness, where a "heavy" stroke has historically been described as one characterised by higher speed and spin (Elliott, 2003). Player descriptions of this style of ball-striking offer some insight into its effects. For instance, in describing Rafael Nadal's forehand as the sport's heaviest shot, Andy Murray noted that "the ball kind of jumps at a tough angle (making) it hard to step into the court and just go for it" (Garber, 2008), while Richard Gasquet has similarly described having "to be deeply entrenched in order to hold up against it and return it well" (Fest, 2016). Additionally, facing the heavy ball-striking of Dominic Thiem, Novak Djokovic has described that, "it's very hard to dictate the play and stay close to the line" (Mutua Madrid

Open, 2019). While these quotes offer some insight into the perceived challenge posed by heavy ball-striking, only Elliott (2003) and Elliott et al. (2009a) has offered a scientific way of quantifying the measure. Indeed, the simplicity of their proposed measure (an equal contribution of ball speed and spin: ball speed x ball spin) highlights the nascent state of the sport's understanding into heaviness and the gap between research and this aspect of practice.

# 2.6.3 The effect on contact point: Impact height

With the combination of a shot's ball speed and spin affecting the characteristics of the ball bounce, including bounce height (Cross, 2011, 2020), it seems intuitive that they would directly influence a player's contact point. Although there exists a coaching maxim that players could dynamically adjust to these changes in ball flight (speed and spin) to make contact with all shots in the same position, this is not physically possible and variation in contact points has not been investigated. Rather previous work has reported the average height at which professional players make contact during matchplay, including how contact is affected by gender, ranking and shot type (i.e., 1st vs. 2nd serves) (Reid et al., 2016; Whiteside et al., 2015). However, even these researchers have emphasised that a focus on average contact points risks oversimplifying stroke production given the wide variety of factors that likely influence player contact (Reid et al., 2016).

The impact point of players can also be expressed relative to stature (i.e., their standing height or the height of their hips). Coaching texts commonly reference player impact in this way and make links to other aspects of stroke production such as grip position, where there is some suggestion that the natural contact point of players will be dictated by their grip (Crespo & Higueras, 2001). For example, it is proposed that the use of a semi-western forehand grip by players favours contact points around the mid chest,

while the eastern grip is better suited to lower and the western grip to higher (e.g., above shoulder) contact points (Crespo & Higueras, 2001).

Prior research has also variously described the location of impact height relative to the hip and waist (Elliott & Christmass, 1995; Lin et al., 2011; Reid & Elliott, 2002). That is, Reid and Elliott (2002) reported the impact height of different single and double handed backhands relative to the hip, while Elliott and Christmass (1995) compared the kinematics of slice backhands hit at approximately hip (mean 5.4 cm below hip height) and shoulder (mean 41.6 cm above hip height) height, revealing bounce height affected some aspects of stroke production (i.e., shoulder height impacts were associated with a more upright trunk and larger front knee angle), yet players were able to generate similar post-impact ball speeds. It is instructive that this collection of studies required players to respond to pre-determined trajectories from a ball machine, thus, the extent to which each impact occurs during match-play remains poorly understood. In examining the effect of equipment scaling, Kachel et al. (2015) have reported the proportion of shots taken at low (below hip height), comfortable (hip-shoulder height) and high (above shoulder height) contact points by a sample of elite juniors (10 and under) in a match-play context. The work of Timmerman et al. (2015) derived similar insight, although through a different classification of contact point (i.e., comfortable = knee-shoulder). To the author's knowledge such insight is not available from the professional game.

With reference to players having a preferred and most effective hitting zone (Giles et al., 2019; United States Tennis Association, 2004), it then follows that the height at which players contact the ball may directly affect the physical attributes of the outgoing shot. This thought has been explored by Lin et al. (2011), who compared the post-impact ball speed of forehands executed above and below waist height by intermediate and

advanced players. While the researchers observed no significant differences in shot speed, there was a trend for the advanced group to generate higher average shot speeds (6.80km/h) when making contact above compared to below waist height (Lin et al., 2011). However, it is worth noting that these data were collected during controlled testing and no detail was provided on how far above or below waist height impact occurred, where data was collected (i.e., on a tennis court) or what determined a successful stroke (i.e., landing in a target). Additionally, advanced players in this study were below professional level, classified as level three and four based on the International Tennis Number (ATP/WTA ranking = level one). Further, impact height has been suggested to theoretically influence other aspects of stroke quality (Cross, 2011; Kwon et al., 2017), although as much has not been confirmed through research in match-play.

# 2.6.4 The effect on contact point: Impact depth

A player's court positioning and the incoming shot's trajectory, partly influenced by the shot's combination of speed and spin, combine to determine the height at which the ball is impacted. This interplay may explain the gender differential in impact observed during Australian Open singles matches, where males were found to contact first servereturns from deeper in the court, with a similar trend observed for second serves (Reid et al., 2016). This deeper return positioning, in combination with the high spin rates observed for men's serves (Kelley, 2011), may in turn explain the higher average height at which males contacted returns. In that sense, it becomes clear that deeper impact positions do not always correspond with lower impact heights.

Players may also vary their court positioning to manipulate the spatiotemporal aspect of the game. For instance, the deeper first serve-return position adopted by males (Reid et al., 2016), may reflect an attempt to ease the time pressure imposed by the serve,

providing them more time to respond. While this is effective in a relative sense (versus attempting to return from further up the court), male players in the Reid et al. (2016) study were still found to be under greater time pressure than females despite their deeper return positions. Nevertheless, the ability of players to ease the time pressure they are under may offer some strategic advantage as it might enable them to generate higher horizontal and/or vertical racket velocities to produce higher ball speeds and/or ball spins (Genevois et al., 2020; Kwon et al., 2017). In this sense, court positioning may indeed link to a player's game style (e.g., deeper court positioning may be associated with heavily spun shots).

Conversely, players may tactically choose to adopt positions further up the court. In principle, these court positions can allow players to contact the ball on the rise and/or earlier in its post-bounce trajectory. This tactic is commonly described in coaching texts as a means to impose time pressure on opponents (Antoun, 2007; Crespo & Miley, 1998; United States Tennis Association, 2004), with higher time pressure (measured as time to net), in turn linked with positive point outcomes during Grand Slam matches (Mlakar & Kovalchik, 2020). While the assumption that higher time pressure would be imposed through earlier impacts is seemingly logical, in practice this relationship may be more complicated. For instance, with 75% of a shot's speed attributed to racket velocity (Brody, 2003), earlier impacts may limit a player's ability to generate racket and consequently ball speed, which in turn could have implications on the creation of time pressure. In a similar vein, the incoming shot's characteristics (e.g., higher ball speed) may effect this aspect of stroke production. However, the interplay between time pressure, impact and/or the incoming shot has not been a focus of prior work. Players have also been suggested to obtain a positional advantage when, relative to the opponent, they are positioned closer to the net and/or the midline of the court (Carvalho et al., 2013). Similarly point losers in men's matches have been found to spend less time in offensive and more time in defensive zones compared to point winners (Martínez-Gallego et al., 2019). The assumption might then be that when players are in control of points, they adopt more central court positions, while their opponents are more likely to be forced behind or wider on the baseline, although Whiteside et al. (2015) have presented some evidence to the contrary. Regardless, players may benefit from insight into how their ball-striking can be used to influence an opponent's court position.

#### 2.7 Summary

Players can adjust the ball speed and spin they produce when hitting, which may be done with the aim of achieving a tactical advantage during matches. Indeed, previous research generally supports the notion of producing higher shot speeds, yet, the efficacy of spin is less clear, exacerbated by a reliance on the use of indirect measures of ball spin in published work (Kovalchik & Reid, 2018; Mecheri et al., 2016). Furthermore, studies linking a shot's speed or spin to a point's outcome are too reductionistic and ignore the effect of one shot on the next, while an understanding of the interplay and combined effects of ball speed and spin during match-play remains overlooked. A barrier to advancing the sport's understanding in this regard has historically been the inaccessibility and complex measurement of ball spin information.

Although the use of large-scale spatiotemporal data describing ball and player position has become more commonplace in research, few studies have examined the ball's trajectory in detail. Attempts to rely on Hawk-Eye's proprietary measure of ball spin to examine this aspect of ball flight are also confounded by the lack of information on its precision. The development and validation of accurate estimates of ball spin from the available tracking data for subsequent use to more comprehensively understand the effects of ball speed and spin in match-play represents a valuable addition to the analysis of professional tennis.

# CHAPTER 3

# VALIDATION OF BALL SPIN ESTIMATES IN TENNIS FROM MULTI-

# CAMERA TRACKING DATA

*Validation of ball spin estimates in tennis from multi-camera tracking data* by O. Cant, S. Kovalchik, R. Cross, M. Reid was published in the peer review journal, Journal of Sports Sciences, *38/3, 296-303, 2020*.

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# **CHAPTER 4**

# A MIXED METHODS APPROACH TO INVESTIGATING HEAVINESS IN

# **PROFESSIONAL TENNIS**

## 4.1 Abstract

Professional tennis players rely on tactics to gain an advantage during matches, with the heaviness of a player's ball-striking one such tactic. While players, coaches and commentators commonly refer to the concept of heaviness, it has not been empirically examined, with no consensus on its measurement. Therefore, this study adopted a mixed methods approach to derive the attributes, effects and a definition of heaviness in tennis. Professional players (median peak rank = 53 males, 48 females) were surveyed to identify the perceived characteristics of heavy shots (e.g., effect on impact). Generalised additive models were then fit to ball trajectories recorded by Hawk-Eye during Grand Slam matches to determine the contribution of ball speed and spin to these characteristics and therefore heaviness. Survey responses from experts identified heavy strokes to; (1) rear off the court, (2) effect a player's court position (push them further back), (3) compromise a player's impact height. Using survey results and ball-tracking data, we developed models explaining 53-72% of the variance in stroke heaviness. Our findings highlight the complex nature of stroke heaviness and propose a significant first attempt at quantifying the phenomena in tennis.

## **4.2 Introduction**

Tennis players commonly manipulate their factics by adjusting the speed and spin of their shots to assert control over a match. Directly related to the horizontal and vertical velocity of the racket (Genevois et al., 2020; Takahashi et al., 1996), high ball speeds are a feature of professional players' arsenals and increase the probability of winning the point (Kovalchik & Reid, 2018; Mecheri et al., 2016), while higher spin intensities are considered advantageous to performance (Mecheri et al., 2016) and higher topspin rates can increase a shot's margin for error (Brody, 2006). Strangely though, beyond the occasional application of clustering algorithms to inform shot taxonomies (Kovalchik & Reid, 2018) and trajectory modelling work (Cross, 2011, 2020), past research has independently considered ball speed and spin. This contrasts with their interplay in a practical sense as coaches or players rarely consider one independent of the other; indeed it is almost physically impossible for players to do so. This oversight in the literature also helps to explain why so little is known about stroke heaviness, a concept thought to capture the unique combined effect of speed-spin and which is discussed on a daily basis in commentary booths and practice courts the world over. Players are lauded for their heavy ball-striking and coaches constantly tinker with ideal ratios of ball speed and spin to produce heavy shots, yet there is no consensus around how the concept is best quantified.

Players have commonly referenced the effects of heavy shots or better put, how they adapt and respond to this type of ball-striking. For instance, Andy Murray describes Rafael Nadal's forehand as the sport's heaviest shot, lamenting that "the ball kind of jumps at a tough angle (making) it hard to step into the court and just go for it" (Garber, 2008). Richard Gasquet has similarly suggested that heavy shots force players "to be deeply entrenched in order to hold up against it and return it well" (Fest, 2016), while Novak Djokovic has recounted that "it's very hard to dictate the play and stay close to the line" against the heavy ball-striking of Dominic Thiem (Mutua Madrid Open, 2019). Through the above, we can begin to appreciate the challenge posed by heavy ball-striking among elite male players but are unclear whether these effects are experienced by female players in the same way and/or influenced by factors like landing depth. Accordingly, there is a need to establish a consensus view on the effects of heaviness across a range of expert coaches and players in the male and female game.

High topspin rates are thought to differentiate heavy shots from others (i.e., flat shots), and likely contributed to the aforementioned effect of the ball leaving the court at a tough angle, as higher bounces and rebound angles are associated with heavily spun groundstrokes (Cross, 2020). Equally though, heavy shots are unlikely the product of high spin alone as this may coincide with players producing very little horizontal or forward racket movement (and therefore, ball velocity), presumably making for a relatively comfortable shot for professional players to counter. In this way, and as abovementioned, very little is known about how ball speed and spin combine to create the heaviness perceived or described by players. The only previous documented attempt at quantifying the contribution of ball speed and spin to heaviness inferred an equal weighting (i.e., ball speed x ball spin) (Elliott, 2003; Elliott et al., 2009a). While arguably intuitive as a starting point, the researchers offered no justification or evidence for this approach. Indeed, using the crudest possible application of this uniform weighting, it seems unlikely that a forehand hit at 50 km/h with 4000 RPM would be as heavy as another hit at 100 km/h with 2000 RPM.

This study had two aims. Firstly, to identify the perceived characteristics (landing location, post-bounce trajectory, effect of shot on impact behaviour and shot quality) of a heavy shot from experts using a structured survey of elite tennis players. Secondly, to derive a new measure of stroke heaviness using ball-tracking data from Grand Slam match-play that reflected the perceived characteristics of a heavy shot.

## 4.3 Methods

Part one of this study surveyed professional players to understand how they responded when receiving heavy strokes as well as the shot characteristics (e.g., landing location) which may have contributed to this response. Part two of the study aimed to use these outcomes and characteristics to develop a measure of stroke heaviness from balltracking data.

# 4.3.1 Survey

Eighteen professional players who currently or have previously held a ranking on the men's (ATP) or women's (WTA) tour consented to participate in the study (Appendix B). The six females and twelve males included seven current and eleven former professional players. The peak career WTA rankings (doubles or singles) of females ranged from 1 to 189 (median peak rank = 48). For males, the range in ATP peak rankings was 2 to 722 (median peak rank = 53). This sample of participants included three top ten players, ten top 100 players and eight Grand Slam tournament quarterfinalists. An Institutional Review Board approved this study.

Experts were asked to rate on a scale of 1 to 5 (1 - not at all; 5 - always) the extent to which playing against an opponent hitting heavy strokes resulted in nine different outcomes (listed in Table 4.1). The survey focused on groundstroke heaviness to gain a consensus view on the abovementioned player descriptions, although experts were also asked to rate its relationship with serving. The outcomes rated were informed by coaching texts (Elliott et al., 2003), player descriptions (Draper, 2016; Fest, 2016; Garber, 2008; Mutua Madrid Open, 2019), conversations with players and coaches as well as from the experience and expertise of researchers. Shot characteristics and outcomes were rated separately for groundstrokes, first serves and second serves. Respondents were provided the opportunity to describe additional effects of playing against a heavy ball striker through an open-ended question. Additionally, experts were asked to indicate in which part of the court they perceived heavy strokes to land so that the interaction between landing depth and the perceived heaviness could be probed. For groundstrokes, this required players to nominate the landing depth (using one metre intervals from the baseline) of heavy strokes, while the direction (wide, body, T) and depth (using one metre intervals from the service line) of heavy serves was also indicated.

An average rating was calculated for each of the nine shot characteristics and outcomes. Themes emerging from the qualitative comments of the experts (in response to the open-ended question) were codified and recorded. The frequency at which each court zone was identified as the landing location of heavy strokes was also calculated. Summaries of mean ratings and frequency counts were used to prioritise the characteristics of heavy strokes to be investigated in part two of this study, where the objective was to develop a measure of stroke heaviness. This involved exploring the contribution of ball speed and spin to stroke characteristics using ball-tracking data collected during Australian Open Grand Slam matches. This process aimed to identify the contribution of ball speed and spin (components of stroke heaviness) to the characteristics of a heavy stroke and thus the combination of ball speed and spin which best explain stroke heaviness.

 Table 4.1. List of characteristics and outcomes of heavy shots (left column) and performance

 variables derived from Hawk-Eye (right column).

To what extent does a heavy stroke:	Ball-tracking variables:
Make you more likely to hit an error	Shot type: serve, serve-return, groundstroke
Force you to change direction of your outgoing shot	Stroke type: forehand, backhand
Reduce the speed of your outgoing shot	Spin direction: topspin, backspin
Decrease the amount of time you have to play your shot	Ball spin: ball spin rate (combined topspin/backspin and sidespin) off the racket (RPM)
Force you to contact the ball outside of your preferred contact zone	Ball speed: ball speed off the racket (km/h)
Make you contact the ball higher	Landing depth: horizontal distance the ball lands from the net (m)
Force you further back in the court	Out-of-bounce angle: angle of the ball out of the bounce (°)
Cause the ball to skid off the court	Impact height: vertical height the ball is impacted from the ground (m)
Cause the ball to jump, climb or rear off the court	Impact depth: horizontal distance the ball is impacted from the net (m). The baseline is 11.89 m from the net

## 4.3.2 Development of heaviness measure

# 4.3.2.1 Participants

A dataset containing ball-tracking data collected during 296 men's and 308 women's Australian Open main draw singles matches played between 2017 and 2019 was utilised. This shot-level dataset was made up of 174 unique male and 173 unique female players.

# 4.3.2.2 Pre-processing and feature engineering

All tracking data was recorded by Hawk-Eye (Hawk-Eye Innovations Ltd, Basingstoke, UK), a ball and player tracking technology utilised during professional tennis matches to assist in adjudication. The system is comprised of cameras positioned around the court which continuously track the three-dimensional position of the ball as a function of time. Hawk-Eye enables players to challenge line calls during matches, with the system reported to determine the location of the ball's bounce with a 2.6 mm mean error (Hawk-Eye Innovations, n.d.).

Hawk-Eye point-level files containing meta and raw trajectory data were processed using a custom R script to calculate and extract variables of interest (Table 4.1). Metadata (i.e., shot type, player names, shot number) added context, while the reconstructed trajectories allowed positions, velocities and angles at any point in the ball's trajectory to be calculated. Ball spin rates off the racket were calculated using previously published methods (Cant, Kovalchik, Cross, & Reid, 2020). The accuracy of this method was observed to deteriorate at spin rates >4500 revolutions per minute (RPM), thus shots incoming with a component of spin (topspin/backspin or sidespin) greater than this were excluded (<0.51% of shots excluded).

#### 4.3.3 Statistical analysis

Following the removal of outliers (any value more than six standard deviations (SD) from the median), a shot-level dataset containing ~180,000 groundstrokes was available for analysis. A groundstroke was defined as any impact that occurred from beyond the service line and at a height of less than 2 m. Further, shots that ended wide of the court boundaries and did not pass the net were excluded from analysis. This was done in an effort to remove shots not representative of typical impact.

Regression models were initially used to identify and exclude the top 1% of influential data points based on Cook's distance (Cook, 1979). Following this, the effect of ball speed and spin on (1) the out-of-bounce angle of topspin shots, and (2) opponent return position (depth and height) during topspin rally exchanges were modelled. All analysis was performed using Generalised Additive Models (GAMs), fit using the mgcv package in R (R Core Team, 2017). GAMs were selected for their ability to handle complex and non-linear associations which may arise. Models were fit by stroke type. Ball speed, ball spin and landing depth were standardised by gender and included in models as thin-plate smooths. Ball speed and spin were represented as a bivariate smooth to capture their interaction, with gender incorporated as a further interaction term. Landing depth was included in the models to control for the effect of shot depth on outcome variables, with the effect of speed and spin for an average landing depth (to represent a typical shot) analysed. Separate models of return position were fitted to examine the distinct effects of ball speed and spin on impact height and depth, while controlling for landing depth and gender. In order to determine the overall influence of ball speed and spin on impact, the impact height and depth outcomes were standardised so that the estimated speed and spin effects would have a common scale and could be combined to determine a total change in impact due to incoming shot characteristics. Outof-bounce angle and impact depth outcomes were log-transformed to improve the normality of the model's residuals. Models also included a random effect for impact player to eliminate potential bias associated with player performance and imbalances in the observed shots for each player. Approximate 95% confidence intervals (CI) were calculated. Additionally, the adjusted r-squared value from models will be reported to indicate the proportion of variance in heaviness explained by ball speed and spin while controlling for landing depth and gender.

The effects of ball speed and spin were summarised over their observed range using the subsample of shots within  $\pm 0.25$  SD of the average landing depth. In order to account for the joint distribution of ball speed and spin, that is, that the range of speed may differ for lower compared to higher values of spin and vice versa, the variable of primary interest (speed or spin) for the summary was divided into ventiles (quantiles in 5% steps) within each decile of the variable of secondary interest. The outcome (impact, out-of-bounce angle) was then predicted for the median of each decile and ventile.

#### 4.4 Results

# 4.4.1 Survey

Average ratings for the perceived characteristics of heavy strokes are summarised in Figure 4.1. On average, heavy strokes were most commonly characterised by the ball jumping, climbing or rearing off the court according to the experts. For heavy groundstrokes and second serves, experts identified the effect on their impact (height, depth and strike zone) as the next most obvious, especially among the female respondents.

The effect of heavy strokes on player impact was supported through qualitative survey responses. Twelve survey respondents provided descriptions on the effects of playing against an opponent hitting heavy first or second serves, with the following commonly referenced effects; forced back in the court (n = 5), impact height is challenged (n = 3) and less time on the ball (n = 3). Additionally, three respondents described taking heavy serves on the rise to counter the trajectories' effect on impact height. Of the 13 respondents providing descriptions on the effects of heavy groundstrokes, seven experts made reference to their court position being affected, with five experts describing being forced back in the court and four indicating that their contact point was challenged.

It was identified that landing depth is important to the heaviness of a shot, with heavy groundstrokes thought to be most effective when landing one to three meters inside the baseline. Of the 18 survey respondents, 16 suggested one of zones 1 (baseline to 1 m inside baseline), 2 (1 to 2 m inside baseline) or 3 (2 to 3 m inside baseline), while zone 2 seemed like the sweet-spot. The relevance of the landing depth to a heavy stroke was underlined via the following from a survey respondent, "Heavy groundstrokes would land in section 2. Depth is very important because the deeper you hit the ball the further back it will push your opponent so then you are able to take control of the point". For first and second serves, the preferred landing location of a heavy serve was thought to be largely dependent on a player's intention (i.e., serve type, serve direction) and shot context (i.e., handedness of opponent).



Figure 4.1. Ratings (mean ± SD) for the perceived characteristics of heavy strokes.

# 4.4.2 Heaviness measure

Based on survey ratings and qualitative responses, the effects of a shot's speed and spin on (1) out-of-bounce angle (as a measure of the ball "jumping" off the court), and (2) an opponent's contact point (height and depth) were examined in part two of this study. These characteristics align with the commentary of contemporary top players (see comments from Murray, Djokovic and Gasquet in the *Introduction*) and relate directly to the incoming ball's trajectory rather than the player's shot execution (i.e., errors and speed of shot) which can be influenced by other contextual factors. Increases in the speed of topspin groundstrokes (forehands and backhands) resulted in a lower out-of-bounce angle (Figure 4.2). Apart from slower paced forehands, ball spin generally had the opposite effect, with a trend for out-of-bounce angle to slightly increase with spin. Ball speed on average had 2.92-28.11 times the effect of ball spin on out-of-bounce angle for shots hit with equivalent speed and spin. Models explained 70-72% of the variance in out-of-bounce angle.



**Figure 4.2.** Effect of ball speed and spin on the out-of-bounce angle of a forehand, shaded areas represent the 95% CI. Spin represents the median value for the 1<sup>st</sup>, 3<sup>rd</sup>, 5<sup>th</sup>, 7<sup>th</sup> and 9<sup>th</sup> deciles of spin.<sup>†</sup>

The effect of ball speed and spin on forehand return impact is shown in Figure 4.3, with these trends similar for backhand returns (Figure A.2 in Appendix C). Players impacted the ball from deeper in the court as the speed and spin of the incoming shot increased. Ball speed and spin had opposite effects on impact height, with players impacting the ball higher as ball speed decreased or ball spin increased. Due to the positive association ball spin had with both impact depth and height, the greatest total

<sup>&</sup>lt;sup>†</sup> See Figure A.1 in Appendix C for the out-of-bounce angle of a backhand.

change in impact (higher and/or deeper) was observed for shots characterised by high spin rates. There was also a trend for slower paced groundstrokes to result in a greater total change in impact. Ball spin in the women's game was 1.29-3.03 and 1.83-3.29 times more influential than ball speed on the total change in impact on forehand and backhand replies, respectively. Similarly, compared to ball speed in the men's game, ball spin had 1.60-3.68 times greater effect on forehand impact and 2.77-7.11 times greater effect on backhand impact (total change) across the majority of speed and spin magnitudes. However, when considering the 90<sup>th</sup> decile of ball speed and spin, spin had 11.64 and 20.23 times the effect of speed on forehand and backhand impact, respectively. Additionally, ball speed, ball spin, landing depth and gender explained 53-55% of the variation in impact depth and 55-56% of the variation in impact height.



**Figure 4.3.** Effect of ball speed and spin on forehand impact (depth, height and total change in impact), shaded areas represent the 95% CI. Spin represents the median value for the  $1^{st}$ ,  $3^{rd}$ ,  $5^{th}$ ,  $7^{th}$  and  $9^{th}$  deciles of spin.

# 4.5 Discussion

According to professional players, heavy shots were most likely to jump off the court as well as challenge a player's impact by forcing them further back in the court, making them contact the ball higher and/or outside of a preferred contact zone. Ball speed, ball spin and landing depth did not fully explain the variation in these characteristics (and therefore heaviness). Accordingly, data-driven interpretation of the conventional

characteristics of a heavy shot, greater speed and greater spin, did not perfectly align with the experts' view of heaviness.

One of the highest rated characteristics of a heavy stroke across both serves and groundstrokes was the ball jumping, climbing or rearing off the court. This finding was not surprising with professional players previously describing heavy strokes in this way (Draper, 2016; Garber, 2008). We investigated the notion of the ball jumping off the court by examining the ball's out-of-bounce angle. The observed relationship between ball speed, ball spin and out-of-bounce angle is consistent with previous trajectory modelling work (Cross, 2020) and led to out-of-bounce angle (and therefore heaviness) being highest for slower paced shots. It seems unlikely that low ball speeds are a feature of heavy strokes as they tend to be easier to counter and less related to positive point-ending outcomes (Kovalchik & Reid, 2018). This sentiment was echoed by this study's surveyed experts who highlighted the importance of ball speed to the heaviness of a stroke: "Heaviness is not produced by spin alone, it must have velocity to create havoc". Therefore, out-of-bounce angle on its own does not adequately capture what players describe as the ball "jumping" off the court.

Experts also indicated that their impact (height and depth) was challenged by the heaviness of strokes, which is consistent with previous player descriptions (Fest, 2016; Mutua Madrid Open, 2019). Additionally, qualitative survey responses referenced an interaction between impact depth and height, with players describing the need to adjust their court position in an effort to find a comfortable impact height. Thus, we used ball-tracking data from the Australian Open to examine the effect of ball speed and spin on both aspects of impact independently and subsequently combined these to reflect a total change in impact. Impact height increased with ball spin and decreased with ball speed,

an effect similar to that observed for groundstroke bounce height (Cross, 2020). Additionally, professional players were observed to impact faster and heavily spun strokes from deeper in the court. These observed effects led to females changing their total impact the most (deeper and/or higher) when facing shots characterised by high spin and low speed. A similar trend existed in the men's game, although the effect of ball speed was less pronounced at the highest spin rates. As with the above finding describing the equivocal relationship between out-of-bounce angle, ball speed and spin in relation to heaviness, it seems counterintuitive that slower paced strokes are considered as heavy or heavier than those characterised by higher speeds and similar spin. It is worth noting though that where a player intercepts a ball in its post-bounce trajectory was not investigated and may be a limitation of our current approach. For instance, as described by one of the experts ("If you don't have the ability to take that heavy ball on the rise and stand your ground in the baseline you will be forced further and further back to try and find a comfortable height in which to contact the ball"), players can vary how early or late they make contact in an effort to find the ball in a preferred or consistent strike zone. In this way, taking the ball earlier and on the rise may have negligible effect on total impact if players are able to hold court position and find the ball at a consistent height. However, the extent to which players impact the ball on the rise or drop during matchplay and the effect this has on impact height are unknown and represent areas of future research.

An objective of the current study was to take an empirical approach to identify the contribution of ball speed and spin to a stroke's heaviness and in doing so build upon previous descriptions of the concept, such as the inferred equal weighting of ball speed and spin (Elliott et al., 2009a). Quantifying heaviness through out-of-bounce angle
highlights the importance of a shot's speed, with the relative influence of speed being 2.92-28.11 times stronger than spin. The face validity of this approach however seems to be debatable as shots characterised by higher speeds and lower rebound angles would be classified as heavier, which is contrary to player perception of heavy shots jumping off the court (Draper, 2016; Garber, 2008). Conversely, when we assess heaviness through the combined change in impact depth and height (total impact), the influence of ball spin on heaviness is evident. Quantification of heaviness in this way also pointed to a potential effect for gender, with the average importance of spin higher in the men's game. However, with models explaining only ~54% of the variance in heaviness as measured by impact depth and ~55% as measured by impact height, there remains a large portion of unexplained variance in impact conditions (and therefore heaviness). In turn, this may be related to the inconsistencies between our observed effects of heavy ball-striking and that which is expected or perceived by players. Furthermore, it could be that players have a reference shot in mind when describing the characteristics of a heavy shot (e.g., a heavy shot only forces a player back in the court in comparison to a reference shot) and thus heavy strokes are only distinguishable among a smaller subset of shots; a relationship our models have not considered.

With limited information currently available on the effects of playing against heavy ball strikers, the survey component of this study adds considerably to what is known on the concept of stroke heaviness, especially given the wealth of knowledge and playing experience of survey respondents. This information alone could be used by players and coaches to guide match tactics and player development, although an opportunity exists to gain a more in-depth understanding of the effects of stroke heaviness on aspects of match-play through large-scale datasets. For this to occur, further modelling is required to examine the factors that may help explain the variance in the perceived effects of heaviness among players, such as those abovementioned (e.g., where the ball is taken in the post-bounce trajectory). Additionally, the current study examined the effect of ball speed and spin off the racket on measures of heaviness, however, with both speed and spin observed to change due to the bounce (Cross, 2019; Kelley et al., 2008; Lane et al., 2017), post-bounce measures may better represent the heaviness perceived by players. However, it is worth noting that more work is required to validate a post-bounce measure of ball spin from ball-tracking data. Further, the measure of ball spin utilised in this study combined the ball's topspin and sidespin components of spin. While this is likely more representative of a typical tennis shot, considering these components of ball spin separately may have better revealed the different effects of spin on outcome measures.

We examined out-of-bounce angle as a measure of the ball jumping, climbing or rearing off the court, however, as abovementioned this may not adequately capture players' perceptions of heaviness. Thus, further investigation through more in-depth survey questioning or on-court testing may be beneficial to better understand this perceived characteristic of ball-striking in tennis. Additionally, while we focused on the ball's out-of-bounce angle and player impact due to survey ratings and qualitative responses, other lower rated characteristics (e.g., make you more likely to hit an error) could also be explored. The examination of the effect of ball speed and spin at average landing depths could also be expanded to identify if the relationship between ball speed, ball spin and player impact vastly differs at different shot landing depths. Lastly, we fit separate models for impact depth and impact height outcomes and subsequently combined estimated effects to reflect a total change in impact. The effect of incoming shot characteristics could also be scrutinised through the use of a multivariate analysis to model both outcomes at the same time.

# 4.6 Conclusion

Professional players surveyed in the current study identified a number of characteristics and outcomes of heavy strokes, including that the ball jumps off the court and challenges a player's impact. Ball speed, ball spin and landing depth explained only 53-72% of the variation in these characteristics (and therefore heaviness). Additionally, there were inconsistencies between the observed and expected effects of ball speed and spin on out-of-bounce angle and player impact. This study details the characteristics of heavy strokes perceived by a sample of professional players as well as highlighting areas for further investigation to build upon the current work and assist future efforts in developing a measure to quantify heaviness.

# CHAPTER 5

# HOW TENNIS PLAYERS ADJUST IMPACT CONDITIONS IN THE BATTLE

# FOR TIME AND SPACE

The battle for time and space is ubiquitous in tennis. Accordingly, players are encouraged to contact the ball early and optimise the consistency of their impact point, yet how these maxims manifest in match-play is unknown. For the first time in tennis, ball-tracking data from Grand Slam matches was used to explore how early players intercept the ball's post-bounce trajectory and impact height/depth. The effect of shot characteristics, gender and player rank on measures of impact was examined using generalised additive models to account for non-linear effects of shot characteristics. Trajectory equations estimated the peak height of post-bounce trajectories. Serve-returns were commonly impacted between the hip-shoulder and groundstrokes in knee-hip and hip-shoulder zones. Impact during topspin rallies on average occurred 0.06-0.23 m before peak height. Serve-returns were typically impacted on the rise (OTR), with males on average making contact earlier (0.74 m first, 1.09 m second serve-returns) than females. Unexpectedly, lower-ranked players contacted certain shots OTR more or earlier. Landing depth and ball spin were generally the most and least influential, respectively, on how early contact occurred. While the value of early and consistent impact is commonly espoused, our results show that impact position is largely beholden to the incoming shot's trajectory.

#### **5.2 Introduction**

Tennis experts have long acknowledged the importance of optimal player positioning to maximise tactical choice and technical performance through a repeatable and preferred contact point (Giles et al., 2019). With over 70% of shots in Grand Slam singles played out of a bounce (Whiteside & Reid, 2017a), players search for strike zones that are most advantageous to performance. A player's impact can be challenged by an incoming shot's trajectory, and as such, subsequent compensatory adjustments to a player's court position are common, with players known to move forward to take the ball "on the rise" or shift back to buy time and hit the ball as it drops. These decisions also reflect a strategic choice by players to impose spatiotemporal pressure on an opponent or increase the time available to themselves to execute a stroke. Indeed, the suggestion that players "take time away" through earlier contact points has become a coaching catch-cry in the modern game. Despite this, researchers have largely focused on evaluating other areas of contemporary stroke and movement production.

To the authors' knowledge, only one study has scrutinised the impact position of shots in this way, with a small number of players shown to predominantly make contact near the top of a ball's arc during practice at Wimbledon Qualifying (Choppin et al., 2007). Although novel, this study offered little insight into the effect of incoming shot characteristics like speed, spin and landing depth (Choppin et al., 2007). Further, coefficients of friction and restitution vary across court surfaces (Cross, 2003) and may affect player impact, limiting the generalisability of findings. Additionally, no information on player gender was provided, further constraining the study's utility given the established gender-based differences in aspects of stroke production (Reid et al.,

2016). To better understand the interplay between the incoming shot and a player's response from a stroke production point of view, these gaps need to be resolved.

The growth in high-resolution tracking systems at professional tennis events now allows for the large-scale study of impact characteristics in elite competition. Binary assessments of whether any particular shot was contacted before or after its peak height can be achieved through inspection of the post-bounce trajectory. However, these are relatively simplistic and crude, especially given the practical focus on players intercepting incoming balls early. A more granular appreciation of where players contact a ball in its post-bounce trajectory requires a method to estimate the horizontal and vertical distances of impact from peak height. In a practical sense, players facing Roger Federer and Rafael Nadal might contact incoming shots at very similar absolute heights, but likely at very different horizontal and vertical distances from peak bounce height in the post-bounce trajectory. Additionally, an understanding of the vertical displacement of impact, especially in relation to player stature, has been a notable omission from previous research. This is perplexing given the emphasis on players manufacturing consistent and preferred impact heights, commonly reported to be around waist to shoulder height depending on grip position (Crespo & Higueras, 2001).

Against this backdrop, the objectives of this study were to describe groundstroke and serve-return impact and examine how this differs with incoming shot characteristics, gender and player ranking. It was hypothesised that strokes hit with higher ball speed or greater landing depth would be impacted on the rise more often, earlier and lower in the ball's post-bounce trajectory, at lower impact heights as well as from deeper in the court. Further, it was hypothesised players would contact shots characterised by high spin rates later in the trajectory. With males impacting a greater proportion of groundstrokes from inside the baseline and generating higher shot speeds (Kovalchik & Reid, 2017; Reid et al., 2016), they were expected to impact a greater proportion of shots on the rise, make contact earlier, at higher impact heights and from further up the court. Impact earlier in the ball's trajectory was also hypothesised to be a characteristic of higher-ranked players, who may dictate play and push lower-ranked opponents deeper in the court with their higher quality shot-making.

# 5.3 Methods

# 5.3.1 Participants

Ball-tracking data were collected for 210 men's and 214 women's main draw singles matches during the 2017 and 2019 Australian Open tournaments. An Institutional Review Board approved this study.

# 5.3.2 Pre-processing and feature engineering

Ball-tracking data were recorded by Hawk-Eye (Hawk-Eye Innovations Ltd, Basingstoke, UK) during Australian Open main draw singles matches. Hawk-Eye is a ball and player tracking technology primarily used during professional matches to assist in adjudication. The system is reported to determine the ball bounce location with a mean error of 2.6 mm (Hawk-Eye Innovations, n.d.). Physical summary characteristics of ball trajectories were derived (Table 5.1), including ball spin rate and direction off the racket (Cant, Kovalchik, Cross, & Reid, 2020). Due to the deterioration in this measure's accuracy at higher spin rates, shots incoming with components of spin (topspin/backspin or sidespin) >4500 revolutions per minute (RPM) were excluded. This resulted in the following exclusions; 19.60% of men's and 2.70% of women's second serves, 2.38% of men's first serves and <0.5% of women's first serves and groundstrokes.

Variable	Description
Shot type	Classification of shot type; serve, serve-return, groundstroke
Stroke type	Classification of stroke type; forehand, backhand
Serve number	Classification of serve number; first, second
Spin direction	Classification of spin direction; topspin, backspin
Ball spin	Ball spin rate (combined topspin/backspin and sidespin) off the racket (RPM)
Ball speed	Ball speed off the racket (km/h)
Landing depth	Horizontal distance the ball lands from the net (m). The service line is 6.40 m and
	baseline 11.89 m from the net
Landing width	Horizontal distance the ball lands from the court midline (m)
Impact height	Vertical height the ball is impacted from the ground (m)
Impact depth	Horizontal distance the ball is impacted from the net (m)
Impact classification	Binary classification of ball impact; on the rise (OTR), on the drop (OTD)
Distance of impact from peak height	Vertical and horizontal distance the ball is impacted from its peak height out of the
	bounce (m). Values are negative if impact occurred before and positive if impact
	occurred after peak height.

 Table 5.1. Variables calculated and extracted from Hawk-Eye ball trajectories and point level files.

Impact was classified in relation to the peak vertical height of the ball postbounce. Contacts before and after peak height were classified as "on the rise" (OTR) and "on the drop" (OTD), respectively. The continuous horizontal and vertical distances between impact and peak height were also calculated. This was achieved via direct inspection of the Hawk-Eye trajectory when contact occurred OTD. However, for shots hit OTR, where peak height wasn't observed, the trajectories' peaks were estimated using trajectory equations (Cross & Lindsey, 2014). The accuracy of this imputation was evaluated in a validation study using serves (n = 1000) and groundstrokes (n = 1000) with known peak heights. Trajectories were cut at 25, 50 and 75% of the horizontal distance between ball bounce and peak height. This served two purposes: (1) to imitate shots hit OTR, (2) to assess if the available trajectory influenced the accuracy of predicted peak height. The accuracy of estimated horizontal and vertical peak height improved with the proportion of trajectory available (Table 5.2). Given it was estimated that ~80% of serves and ~60% of groundstrokes in the dataset had  $\geq$ 75% of their trajectory available, the accuracy of the 75% information condition is a conservative estimate for the majority of OTR shots in the present study.

 Table 5.2. Horizontal and vertical accuracy of predicted peak height (m) for serve and groundstroke trajectories.

		Sei	rves			Ground	lstrokes	trokes	
	Hori	zontal	Ver	Vertical		Horizontal		Vertical	
	Mean error	RMSE	Mean error	RMSE	Mean error	RMSE	Mean error	RMSE	
25% of trajectory available	-0.29	0.55	-0.03	0.05	-0.12	0.20	-0.02	0.03	
50% of trajectory available	-0.17	0.38	-0.01	0.03	-0.08	0.14	-0.01	0.01	
75% of trajectory available	-0.08	0.21	0.00	0.01	-0.04	0.09	0.00	0.01	

RMSE, root mean square error

Strike zones were estimated to help contextualise impact height. In line with coaching convention, strike zones were defined as; below knee height, knee-hip height, hip-shoulder height, and above shoulder height. To develop player-specific zones, segment length norms expressed as a proportion of body height were used to estimate a player's knee, hip and shoulder height (Contini, 1972). Player height was obtained from WTA (https://www.wtatennis.com) and ATP websites (https://www.atptour.com).

# 5.3.3 Statistical analysis

After the exclusion of outliers (defined as any value more than six standard deviations (SD) from the median), the dataset included 156 male and 163 female players, with 37,089 points and 136,603 shots from men's matches and 25,062 points and 94,720 shots from women's matches. Separate models were fit for serve-returns and groundstrokes. Groundstrokes were defined as shots impacted from beyond the service line, after a bounce and at a height less than 2m from the third shot in a rally onwards. In

an effort to remove shots not representative of a typical impact, those originating and ending up the same end of the court and wider than court boundaries were excluded from analysis.

Regression models were fit to a baseline model to identify and exclude the top 1%of influential data points based on Cook's distance (Cook, 1979). Following this, all analyses were performed using Generalised Additive Models (GAMs), fit using the mgcv package in R (R Core Team, 2017). GAMs are a well-established strategy for modelling complex and non-linear associations, such as those arising with spatial effects, thru the use of non-parametric smoothing functions. In models, binary (OTR, OTD) and continuous (distance of impact from peak height, impact depth, impact height) measures of impact were specified as outcome variables. To control for and examine the effect of incoming shot characteristics, standardised ball speed, ball spin, landing depth and landing width were included as thin-plate smooths. Variables were standardised according to gender and the incoming shot (i.e., spin direction or serve number). Ball speed and spin were represented as a bivariate smooth to allow for their interaction to be captured. For contextualisation, serve-return models included a fixed effect for serve number (first, second) and groundstroke models included the incoming shot's spin direction (topspin, backspin). To examine differences in impact dependent on the return, all models included the shot type (topspin forehand, topspin backhand, backspin forehand, backspin backhand) used to return the incoming trajectory. Additionally, to examine impact height when the ball was taken OTR compared to OTD, impact height models included a shot's impact classification (rise, drop). Models were fit with a gender or ranking effect (inside, outside top-10 tournament seeds), to probe the effect of each on

impact. All models included a random effect for impact player to eliminate potential bias associated with an unequal number of data points per player.

In addition to main effects of the explanatory variables, models estimated a number of interaction effects. All categorical variables interacted with each other, making a fully saturated model with respect to categorical variables. To prevent unstable estimates due to sample size, subgroups with <30 data points were excluded from models (Table 5.3). Further, a linear interaction term was included between each subgroup created by the interactions among categorical variables and continuous variables of main interest (ball speed, ball spin, landing depth). Model fit was measured by Akaike information criterion and all models were compared to a baseline model with no interaction terms (Table 5.4). Additionally, impact depth was log-transformed in groundstroke models to improve the normality of the model residuals.

Approximate 95% confidence intervals (CI) were calculated and statistical significance of effects was based on the lack of overlap of the CI. Additionally, effect sizes (Cohen's d) using a skew-adjusted SD were calculated and are presented when interpreting categorical effects.

	Gender comparison	Female seed comparison	Male seed comparison			
Serve-return model outcomes						
Impact classification (OTR, OTD)	second serve * backspin fh	second serve * backspin fh second serve * backspin bh	first serve * backspin fh second serve * backspin fh second serve * backspin bh			
Horizontal distance	no exclusions	no exclusions	no exclusions			
Vertical distance	no exclusions	no exclusions	no exclusions			
Impact depth	no exclusions	no exclusions	no exclusions			
Impact height	second serve * backspin fh	second serve * backspin fh second serve * backspin bh	second serve * backspin fh second serve * backspin bh			
Groundstroke model ou	utcomes					
Impact classification (OTR, OTD)	no exclusions	incoming backspin * backspin fh incoming backspin * backspin bh	incoming backspin * backspin fh			
Horizontal distance	no exclusions	no exclusions	no exclusions			
Vertical distance	no exclusions	no exclusions	no exclusions			
Impact depth	no exclusions	incoming backspin * backspin fh	no exclusions			
Impact height	no exclusions	incoming backspin * backspin fh incoming backspin * backspin bh	incoming backspin * backspin fh			

# Table 5.3. Subgroups excluded from models due to insufficient sample size.

fh, forehand; bh, backhand incoming backspin, trajectory being returned was characterised by backspin

according backspin, unjectory being returned was characterised by backspin
backspin fh and backspin bh, refers to the shot type used to return the incoming trajectory
\* denotes an interaction between subgroups (i.e., second serve \* backspin fh, equates to backspin fh second serve-returns being excluded)

	Gender comparison	Female seed comparison	Male seed comparison
Serve-return model outcomes			
Impact classification (OTR, OTD)	758.25	138.43	243.78
Horizontal distance	2048.50	282.76	865.99
Vertical distance	4477.20	745.61	1947.99
Impact depth	2731.80	191.43	1013.49
Impact height	3637.09	1461.70	1405.10
Groundstroke model outcomes			
Impact classification (OTR, OTD)	4998.60	1287.91	3012.00
Horizontal distance	21020.80	7801.40	12443.50
Vertical distance	11313.20	3379.30	7199.70
Impact depth	42743.00	17272.20	23043.50
Impact height	34397.35	10913.66	19601.40

 Table 5.4. Improvement in Akaike information criterion for interaction compared to baseline models.

When presenting the effects of categorical variables (i.e., gender, ranking, strike zone and player-specific comparisons), the continuous characteristics of the incoming shot were set to their average value. Average serve characteristics (ball speed, ball spin, landing location) were summarised by court side (deuce, ad) and serve direction (wide, body, T) when examining player strike zones as well as the effect of gender and ranking. Remaining analysis summarised serve characteristics by court side only. For smoothing variables (ball speed, ball spin, landing depth), where effects are by definition expected to vary over the range of the smooth variable's distribution, a strategy was developed to summarise the effects over that distribution while controlling for other variables in the model. Specifically, for the smooth variable of main interest, we determined the empirical ventiles (quantiles in 5% steps) that were within  $\pm 1$  SD of the average of other smoothing variables. The impact outcome was predicted for each ventile's median with the other smoothing variables set to their average. In this way, effects represent the expected outcome for an average shot when only the smoothing variable of main interest is changed. As ball speed and spin were a bivariate smooth, the effect of primary interest for the summary was divided into ventiles within each decile of the variable of secondary interest.

#### **5.4 Results**

## 5.4.1 Serve-returns: Effect of incoming shot characteristics

As hypothesised, returns were generally contacted OTR more often and earlier in the post-bounce trajectory as serve speed or landing depth increased (Figure 5.1 and Figure 5.2). Additionally, the fastest and deepest landing serves were impacted OTR furthest below peak height. Players were hypothesised to make contact later in the postbounce trajectory as spin increased, however, there was no significant effect for this to occur. Serve speed and depth tended to be more influential than spin on measures of impact relative to peak height. This can be seen when considering the return of an average deuce court first serve, where serve speed and depth were 7.64-10.02 times more influential than spin on the proportion of topspin forehand returns taken OTR.

Impact depth generally increased with serve speed, although, the magnitude of this change varied between gender, serve and return type, and was not observed for men's second serve forehand returns. Additionally, while players showed a slight tendency to impact deeper landing serves from further back in the court, few significant differences were found. An inverse relationship between landing depth and impact height was observed, with this effect bigger for second serve-returns taken OTR than OTD. Additionally, increases in second serve speed were generally associated with lower



impact heights for returns taken OTR. Impact height increased with serve spin as hypothesised.

**Figure 5.1.** Effect of serve speed and spin on the proportion of deuce court topspin forehand serve-returns impacted on the rise, shading represents the 95% CI. Spin represents the median value for the  $3^{rd}$ ,  $5^{th}$  and  $8^{th}$  deciles of spin.<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> See Figure A.3 in Appendix D for the effect of serve speed and spin on the proportion of ad court topspin backhand serve-returns impacted on the rise.



**Figure 5.2.** Effect of landing depth and incoming shot on the proportion of deuce court topspin forehand serve-returns and topspin forehand groundstrokes impacted on the rise (shading represents the 95% CI).<sup>†</sup>

# 5.4.2 Groundstrokes: Effect of incoming shot characteristics

As groundstrokes landed deeper in the court, a higher proportion were taken OTR (Figure 5.2) and contact occurred earlier in the post-bounce trajectory. Additionally, impact occurred furthest below peak height in response to shorter (closer to the net) or deeper (closer to the baseline) landing shots. Landing depth was generally the most influential characteristic on impact relative to peak height. During topspin rallies for instance, landing depth was 12-35 times more influential than speed and spin on the proportion of typical forehands impacted OTR.

<sup>&</sup>lt;sup>†</sup> See Figure A.4 in Appendix D for the effect of landing depth and incoming shot on the proportion of ad court topspin backhand serve-returns and topspin backhand groundstrokes impacted on the rise.

Topspin rallies were the most common groundstroke exchange. During these, an inverse relationship was found between shot speed and the proportion of backhand replies that males impacted OTR, an effect also observed for men's forehands when responding to higher incoming spin rates (~≥2200 RPM) (Figure 5.3). Additionally, during topspin rallies as the speed of the most heavily spun groundstrokes increased, males were observed to make contact, particularly on the backhand side, later in the trajectory (generally closer to peak height). During women's topspin rallies, increases in the speed of flatter groundstrokes were associated with contact occurring earlier and a higher proportion of forehand replies being met OTR (Figure 5.3). Topspin groundstroke speed had limited significant effects on the vertical distance of impact from peak height. On average, players made contact later (although often still around or before peak height) in the post-bounce trajectory as the spin of fast paced groundstrokes increased during topspin rallies. This effect was greatest for men's forehand replies.

Impact depth increased with groundstroke landing depth as well as with the speed and spin of incoming topspin groundstrokes. When responding to topspin groundstrokes, impact height increased with spin but decreased with speed. Impact height also increased with landing depth when contact occurred OTD.



**Figure 5.3.** Effect of groundstroke speed and spin on the proportion of forehand and backhand replies impacted on the rise during topspin rallies, shading represents the 95% CI. Spin represents the median value for the 3<sup>rd</sup>, 5<sup>th</sup> and 8<sup>th</sup> deciles of spin.

# 5.4.3 Gender comparison

While both genders impacted most serve-returns OTR, the proportion was higher for males as anticipated (Figure 5.4). In response to typical service trajectories, males impacted first serves 0.74 m earlier (d = 0.55-0.67) and 0.03 m lower (d = 0.46-0.62) (relative to peak bounce height) and second serves 1.09 m earlier (d = 0.64-1.05) and 0.06 m lower (d = 0.88-1.40) than females. Additionally, males on average impacted first serve-returns (d = 0.40-0.60) and second serve topspin forehand returns (d = 0.22-0.35) from deeper in the court (Figure 5.4). As expected, impact height was higher for males countering first (d = 0.30-0.53) and second (d = 0.34-0.64 topspin return OTR, d = 0.51-0.75 topspin return OTD) serves. While some shot-specific differences were observed, groundstroke impact relative to peak height was generally comparable between genders (Figure 5.5). Compared to males, females generally made contact from slightly further up the court, although, effect sizes were small (d = 0.18-0.25 responding to backspin, 0.11-0.18 responding to topspin). Additionally, females had a higher contact point than males when responding to slice groundstrokes with a topspin reply (d = 0.17-0.40) and lower contact point when taking the ball OTR during topspin rallies (d = 0.23; Figure 5.5).



**Figure 5.4.** Effect of gender on six impact characteristics of the topspin forehand serve-return (shading represents the 95% CI).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> Note, this plot includes serve-return impacts which may be typical of right and left-handed players. See Figure A.5 in Appendix D for the impact characteristics of the topspin backhand serve-return.



**Figure 5.5.** Effect of gender on six groundstroke impact characteristics (point range represents the 95% CI).

# 5.4.4 Player ranking

Unexpectedly, first serve topspin returns tended to be taken OTR more often by lower-ranked females (12.64-19.95% more) and earlier by lower-ranked males (0.27-0.32 m earlier, d = 0.24-0.28) compared to top-10 seeded players. For instance, when returning wide first serves on the deuce court with a topspin forehand, lower-ranked females took 13.05% more OTR (top-10: 76.69% (95% CI 68.49, 83.28), lower-ranked: 89.74% (95%

CI 86.44, 92.31)) and lower-ranked males made contact 0.27 m earlier (top-10: -1.13 m (95% CI -1.26, -0.99), lower-ranked: -1.40 m (95% CI -1.51, -1.28)).

Lower-ranked females contacted groundstrokes OTR more often than top-10 seeded players, on average hitting 12.19% more forehands OTR (top-10: 43.37% (95% CI 37.88, 49.02), lower-ranked: 55.56% (95% CI 51.17, 59.86)) during topspin rallies. There were shot-specific effects for lower-ranked players of both genders to take the ball earlier when countering topspin groundstrokes (d = 0.10-0.20).

#### 5.4.5 Strike zones

The following examines a player's average contact point relative to stature. A player's average first serve-return contact point generally fell between hip-shoulder height (>98% of players). On second serve-return, depending on impact classification and serve type, players made contact between hip-shoulder or above shoulder height. For instance, when returning deuce court serves, >65% of players contacted topspin returns between hip-shoulder height. This was similar on the ad court (>70% of players between hip-shoulder), although, for the average body serve, 40-53% of players contacted topspin returns OTR above shoulder height.

The majority of players made contact between hip-shoulder height when taking an average incoming topspin groundstroke OTR (>93% of players for topspin replies, >67% of players for slice replies) and OTD (>65% of males, >95% of females for topspin replies), with the exception of slice replies taken OTD which were met between knee-hip height (>90% of males, >57% of females). To highlight the player-specific nature of impact, Figure 5.6 shows the proportion of serve-returns and groundstrokes contacted OTR for a sample of the game's top players. The distinctive serve-return impact behaviour of Nadal is characterised by the markedly lower (59.95% on first and 69.55% on second serve) proportion of topspin forehand returns taken OTR than the average player (Figure 5.6). In comparison, Federer impacted 29.58% more forehands OTR and made contact 0.65 m earlier in the trajectory than the average player during topspin rallies. In the women's game, Kerber (~47%) and Halep (~39%) impacted fewer topspin forehand serve-returns OTR than the average female (Figure 5.6).



**Figure 5.6.** Player estimates (95% CI) for the proportion of first serve-returns, second serve-returns and groundstrokes impacted on the rise.<sup>†</sup>

#### 5.5 Discussion

This study examined the previously unexplored effect of shot and player-specific factors on impact characteristics in Grand Slam tennis. Serve-return and groundstroke

<sup>&</sup>lt;sup>†</sup> Results represent topspin forehand returns in response to a deuce court serve and topspin groundstroke.

impact was influenced by the characteristics of the incoming ball's trajectory, player, gender and ranking.

# 5.5.1 Serve-return impact

In general agreement with our hypothesis, serve-returns were met earlier in the post-bounce trajectory and taken OTR more often as serve landing depth or speed increased. This earlier impact likely explains the deepest and fastest serves being met furthest below peak height. Deeper return positions may neutralise the earlier arrival of deeper or faster serves, whilst also providing additional return time against big servers. However, observed changes in impact depth did not correspond to a consistent impact relative to peak height and may reflect strategic decisions by players to hold court position or the inability to anticipate serve-to-serve variation in speed and depth (Vernon et al., 2018).

Males took a higher proportion of serve-returns OTR and made contact earlier, despite females impacting first serve-returns and second serve topspin forehand returns from further up the court. While these two findings appear contradictory, the higher serve speeds generated by males may outweigh any effect of their deeper court positioning (Kovalchik & Reid, 2017; Reid et al., 2016). Indeed, Reid et al. (2016) found males were under slightly more time pressure on return of serve, having  $\approx 0.3$  s less time to return, than the average female player. It could then be reasoned that females adopt more aggressive return positions in an absolute but not relative sense (Reid et al., 2016). With Krause, Buszard, et al. (2019) recently highlighting opportunities to strengthen the sport's approach to the representative practice of the serve-return, these data can also help practitioners to improve the specificity of return practice.

Returners were not observed to contact heavily spun serves later in the postbounce trajectory, which was an expected adjustment to preserving a comfortable impact height. With impact height increasing with serve spin and some evidence of average serves being contacted above shoulder height, players may have benefited from greater adjustments in return position. The generalisability of this finding is unknown, as players may adjust to serve characteristics differently on other court surfaces to maintain preferred hitting zones (United States Tennis Association, 2004). Additionally, this finding highlights the importance of varying serve trajectories during practice to familiarise players with differing return heights.

#### **5.5.2 Groundstroke impact**

Groundstrokes were impacted earlier and OTR more often as landing depth increased, despite players adopting deeper return positions. This likely indicates players were unable to make sufficient changes in court position to counter the effects of deeper landing groundstrokes, which may in turn place players under increased time pressure. With 75% of a shot's outgoing speed determined by racket velocity (Brody, 2003), imposing time pressure through deeper groundstrokes may limit the racket and consequently ball speed opponents generate.

The effects of incoming shot speed on groundstroke impact characteristics were varied according to its accompanying spin rate, gender, and the reply shot played. As incoming groundstroke speed increased during topspin rallies, males impacted backhands OTD more often. This was likely related to observed increases in impact depth with incoming shot speed and the prospect of opponents attacking this side. This finding appears consistent with the work of Choppin et al. (2007), whom suggested impact occurred later with rising incoming shot speeds, albeit on a different court surface and in

practice settings. In comparison, females in particular were observed to impact flatter groundstrokes earlier in the trajectory as speed increased during topspin rallies. This may be a deliberate effort by players to maintain closer contact with the baseline (hold court position) and not cede spatial advantage (Carvalho et al., 2013).

In partial support of our hypothesis, as the spin of faster paced groundstrokes increased there was evidence that contact occurred later in the post-bounce trajectory during topspin rallies. While this often led to impact occurring closer to peak height, players generally maintained contact points below shoulder height, in line with recommendations for eastern and semi-western forehand grips (Crespo & Higueras, 2001). An average impact point above shoulder height was only observed for the majority of players when responding to an incoming topspin groundstroke characterised by the lowest 20% of observed speeds. While potentially falling outside a player's preferred strike zone, these strokes may be easier to counter or attack given their lower speeds. The observed relationship between ball spin and impact height is in line with previous trajectory modelling work, which found moderate-high speed topspin groundstrokes likely bounce to a height (2m past the baseline) that is comfortable for players to counter (Cross, 2020).

Contrary to expectations, groundstroke impact relative to peak height was generally comparable between genders. Males were anticipated to make contact earlier due to reports they impact a greater proportion of groundstrokes from inside the baseline (Reid et al., 2016), but in our study, if anything, males were observed to make contact from deeper court positions. Interestingly though, male players did have a higher contact point in absolute terms when taking the ball OTR during topspin rallies, likely reflecting a gender differential in player stature.

## 5.5.3 Player ranking

We hypothesised top-10 seeds would make contact earlier as they dictated play and imposed greater temporal and spatial pressure on lower-ranked opponents. This was not the case, with shot-specific effects for players not seeded in the top-10 to make contact earlier and OTR more often. This earlier impact is likely explained by lower-ranked players adopting court positions slightly further up the court (Whiteside et al., 2015), which may reflect a tactical decision to not cede ground against more fancied rivals (Crespo & Miley, 1998). It is worth noting these effects may also reflect idiosyncratic differences in playing style among those comprising the top-10 seeds.

#### 5.5.4 Player-specific impact

Vast differences in player impact relative to peak height were observed even among the sport's top players. For instance, Roger Federer impacted a high proportion of groundstrokes and serve-returns OTR, while this proportion was much lower for Rafael Nadal, especially on serve-return (~63% fewer first and ~74% fewer second serve topspin returns than Federer). These differences in impact reflect stylistic choices (Wei, Lucey, Morgan, Reid, & Sridharan, 2016) and also seem to support their desire to hit as many shots as possible in their preferred (and similar) strike zones. During topspin rallies, Nadal's average impact height when making contact OTD was similar to Federer's, but 10 cm higher when hitting OTR. This may be linked to differences in grip (Crespo & Higueras, 2001) as well as distinct racket and resulting ball trajectories for each player (Elliott et al., 1997). Player contact may also vary in accordance with opponent game style. For instance, players have described the difficulty in staying close to the baseline in handling heavy ball-striking (Fest, 2016; Mutua Madrid Open, 2019). Similar comparisons in impact are evident in the women's game with player strategy, technique and action capabilities all contributing to individual impact points (i.e., during topspin rallies Simona Halep contacted ~37% fewer groundstrokes on the rise than Serena Williams).

# 5.5.5 Limitations

By estimating a player's knee, hip and shoulder position, we categorised strike zones during match-play for the first time. A limitation of our approach was the use of general population segment length norms to develop player-specific strike zones, as these could not be directly measured. Additionally, while estimating ball spin from balltracking data was central to understanding the effect of ball spin on impact characteristics during match-play, the measure's error at the highest spin rates may have prevented the observation of effects specific to the most heavily spun strokes. This has the greatest effect on interpreting responses to men's second serves where the highest spin rates have been recorded (Kelley, 2011). The use of a combined topspin/backspin and sidespin measure of ball spin off the racket may have also prevented effects specific to each spin component being observed as well as the effect of ball speed and spin post-bounce.

The current study categorised impact as occurring OTR or OTD based on contact being made before or after peak bounce height. However, this approach may not adequately depict what players and coaches practically describe these impacts as being. For example, only impacts made well before peak height may be perceived by players as "on the rise", which, would support the inclusion and investigation of additional impact categories (e.g., top of the bounce). The position of impact in the post-bounce trajectory could also be considered as a relative rather than absolute measure (i.e., ratio of where impact occurs between the bounce and peak height), which may account for changes in the position of peak height. Additionally, despite attempts to meet model assumptions when modelling the vertical distance of impact below peak height, residuals were not normally distributed and had unequal variance, meaning that some caution is required in interpreting results. Future work could also consider other approaches to estimate the post-bounce trajectory and therefore peak height for shots impacted OTR, especially when only a small proportion of the trajectory is observed.

This study estimated effects for shots with average incoming characteristics, however, these do not necessarily combine to reflect the characteristics of an average shot. Further, this analysis could be extended to examine differences in impact by gender, ranking and player in response to varying incoming trajectories. Finally, while the current analysis captured the interaction between a shot's speed and spin, it did not examine how the effect of ball speed and spin varied based on the shot's depth or lateral landing location. This represents a logical extension of the current work.

#### **5.6 Conclusion**

This study provides seminal insight into the impact characteristics of professional tennis players during match-play, unearthing shot, gender, ranking and player effects across measures of serve-return and groundstroke impact. On most serve-returns, impact tended to be made between the hip-shoulder but mixed between knee-hip and hip-shoulder for groundstrokes. While both genders typically contacted serve-returns OTR, the proportion was higher for male players. Top-ranked players did not contact shots OTR more often and earlier, which was unexpected. Across serve-returns and groundstrokes, the landing depth of incoming shots tended to be the most influential factor on how early players made contact, while ball spin tended to have the least effect. In sum, the ability of players to manufacture a consistent impact point in tennis is influenced more by the

opponent's ball's trajectory than modern coaching convention appears to espouse. In other words, players are not necessarily the masters of their own impact destiny.

# CHAPTER 6

# MODELLING THE EFFECT OF INCOMING SHOT TRAJECTORIES AND IMPACT POSITIONS ON THE QUALITY OF A PLAYER'S BALL-STRIKING

# 6.1 Abstract

Science and practice routinely consider shots independently in tennis, giving rise to labels like unforced errors and winners, yet little is known about the effect of one shot on the next. Accordingly, this study explored the effects of incoming shot and impact characteristics on the quality of a player's outgoing reply. Australian Open ball-tracking data was collated. The effects of incoming shot characteristics (speed, spin, landing depth) and the receiving player's impact (impact height, impact relative to peak height) on reply quality (speed, spin, time pressure, error rate) were examined using generalised additive models. Incoming shot and impact characteristics variously affected reply quality. There was a general trend for serve-returns and groundstrokes hit with topspin to be characterised by lower speed and time pressure in response to higher speed but not necessarily spun shots. During topspin rallies, deeper incoming shots reduced reply speed, spin and time pressure. Additionally, impacting balls on the rise or earlier in their trajectory was associated with fewer shots being returned into play and those that were featured lower speed and spin but higher time pressure. Findings highlight the complex interplay between incoming and outgoing shots and emphasise the stylistic differences in tennis with the contact point seemingly tailored to the individual.

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# **6.2 Introduction**

Tennis players generate ball speed and spin when hitting, with both generally thought to aid performance. The advantages of higher ball speeds have been well documented (Kovalchik & Reid, 2018; Mecheri et al., 2016), yet the efficacy of ball spin during match-play is less obvious, although higher topspin rates increase a shot's margin for error (Brody, 2006) and higher spin intensities on serve have been positively linked to a point's outcome (Mecheri et al., 2016). However, exploring the relationship between a shot's characteristics (speed and spin) and whether a point was won or lost, essentially ignores the interplay between successive shots or the contemplation that points are constructed. This oversimplification has been discussed previously (Wei, Lucey, Morgan, Reid, & Sridharan, 2016) and limits the actionable intelligence of much of this work. Indeed, a greater appreciation of the incoming-outgoing shot dyad in tennis could provide players with greater insight into how they can use the speed, spin and shape of their ball-striking to effect the quality of an opponent's reply and therefore assert strategic advantage throughout a point.

In countering incoming shots with high quality replies, the impact point of players is considered important (Giles et al., 2019). While coaches have traditionally simplified impact to how closely it approximates the hip or shoulder height of players, recent work by Cant, Kovalchik and Reid (2020) has highlighted the multi-faceted nature of impact. For instance, impact can be conceived relative to player stature as well as in relation to where players intercept the ball in its post-bounce trajectory. In a practical sense, later impacts may afford players additional time to generate higher racket velocities and consequently ball speed and spin (Genevois et al., 2020; Kwon et al., 2017), while coaching texts have described taking the ball earlier as a method to impose time pressure on opponents (Crespo & Miley, 1998; Hoskins-Burney & Carrington, 2014). Ultimately the intent for players is to obtain impact positions that provide them the best chance of achieving ascendancy in a rally with their ball-striking. There is limited analyses of impact on stroke quality, however, with over 70% of shots in Grand Slam singles matches played post-bounce (Whiteside & Reid, 2017a), being able to optimise stroke quality through impact may have significant implications to performance.

This study aimed to investigate the above presumed interplay between incoming and outgoing shots. In analysing the effect of incoming shot and impact characteristics on the quality of a player's reply (where 'quality' refers to the speed, spin, time pressure and error rate of the return), it was hypothesised that shots (serves and groundstrokes) characterised by deeper landing depths, higher speeds or higher spin rates would reduce the speed, spin and time pressure as well as increase the error rate of shots hit in reply. Where players impacted their shots in the post-bounce trajectory was also expected to be influential, with shots hit on the drop or later thought to feature higher ball speeds and spins as well as lower error rates. Shots hit on the rise and earlier in the post-bounce trajectory were anticipated to increase time pressure on the opponent. Finally, we expected to observe lower impact heights linked to replies characterised by higher speed, spin and time pressure as well as a higher proportion of shots returned into play.

## 6.3 Methods

# 6.3.1 Participants

This study used ball-tracking data from main draw singles matches (210 men's, 214 women's) played during the 2017 and 2019 Australian Open tournaments. An Institutional Review Board approved this study.

### 6.3.2 Pre-processing and feature engineering

Ball-tracking data utilised in this study were recorded by Hawk-Eye (Hawk-Eye Innovations Ltd, Basingstoke, UK), a three-dimensional line-calling system which determines the ball bounce location with a reported mean error of 2.6 mm (Hawk-Eye Innovations, n.d.). Variables describing the physical characteristics of the shot and impact position were derived from this data (Table 6.1), with ball spin (rate, direction) calculated using previously published methods (Cant, Kovalchik, Cross, & Reid, 2020). Shots incoming or returned with a component of spin (topspin/backspin or sidespin) >4500 revolutions per minute (RPM) were excluded due to the deterioration in the methods accuracy at higher spin rates. The exclusion of these shots mainly affected men's second serves (~19.90% excluded), followed by women's second (~2.78% excluded) and men's first (~2.48% excluded) serves. In accordance with methods described by Cant, Kovalchik and Reid (2020), impact relative to peak height was represented through a categorical (on the rise (OTR), on the drop (OTD)) and continuous (horizontal distance of impact from peak height) measure.
Variable	Description
Shot type	Classification of shot type; serve, serve-return, groundstroke
Stroke type	Classification of stroke type; forehand, backhand
Serve number	Classification of serve number; first, second
Spin direction	Classification of spin direction; topspin, backspin
Ball spin	Ball spin rate (combined topspin/backspin and sidespin) off the racket (RPM)
Ball speed	Ball speed off the racket (km/h)
Landing depth	Horizontal distance the ball lands from the net (m). The service line is 6.40 m and
	baseline 11.89 m from the net
Landing width	Horizontal distance the ball lands from the court midline (m)
Impact height	Vertical height the ball is impacted from the ground (m)
Impact classification	Binary classification of ball impact; on the rise (OTR), on the drop (OTD)
Distance of impact from peak height	Horizontal distance the ball is impacted from its peak height out of the bounce (m)
Time pressure	Time from impact to when the ball reaches the net (seconds)
Ball returned into play	Binary classification of the ball being returned into or out of play. Only shots where the returner made contact are included in the dataset (e.g., excluding aces)

**Table 6.1.** Variables calculated and extracted from Hawk-Eye ball trajectories and point level files.

# 6.3.3 Statistical analysis

Following the removal of outliers (values more than six standard deviations (SD) from the median), a shot-level dataset containing ~136,000 shots from 156 male players and ~95,000 shots from 163 female players was available. Models were fit separately for serve-return and groundstroke impacts in this dataset. Groundstrokes were classified as any shot, excluding serves and serve-returns, impacted from beyond the service line, after a bounce and at a height less than 2 m. Shots were excluded from analysis if the shot's end point was wider than the court boundary and at the same end of the court as where it originated. This step was an effort to remove shots which were not representative of typical impact. Further, when examining the speed, spin and time pressure characterising the return, the dataset was limited to shots that were returned into play.

The top 1% of influential data points were excluded (Cook, 1979), after which, the mgcv package in R was used to fit Generalised Additive Models (GAMs) for all analysis (R Core Team, 2017). GAMs were selected due to their ability to handle nonlinear relationships thru non-parametric smoothing functions, which is particularly necessary when dealing with spatial effects. In models, measures of stroke quality were specified as outcome variables and included, ball speed and spin off the racket, time pressure imposed by the return and if the ball was returned into play. To control for and examine the effect of the incoming shot's characteristics on return stroke quality, standardised ball speed, ball spin, landing depth and landing width were included as thinplate smooths, with a bivariate smooth for ball speed and spin. Incoming shot characteristics were standardised by gender and the incoming shot's spin direction (groundstroke models) or serve number (serve-return models). A measure of impact (impact classification, distance of impact from peak height, impact height) was included in each model, with continuous measures also standardised and represented as a smoothed function. Additionally, a shot's impact classification (OTR, OTD) was included in impact height models, to allow the effect of impact height to be examined when the ball was taken OTR compared to OTD. A binary gender effect and categorical variable describing the return shot (topspin forehand, topspin backhand, backspin forehand, backspin backhand) were incorporated in all models. Effects for serve number (first, second) and the incoming shot's spin direction (topspin, backspin) were included for additional context in serve-return and groundstroke models, respectively. Additionally, a random effect for impact player was included in models to eliminate player effects influencing the main effects of the model owing to variation in shot sample by player.

Models accounted for a number of possible interaction effects. These included all possible interactions among categorical variables and a linear interaction term between each subgroup created from categorical interactions and continuous variables of main interest (incoming speed, spin and landing depth as well as continuous impact measures). Subgroups containing <30 data points were excluded from models (Table 6.2). Akaike information criterion was used to assess model fit in contrast to a baseline model with no interaction terms (Table 6.3). Additionally, there was evidence of overfitting of the bivariate smooth for models with the binary outcome of the ball being returned into play. Thus, a simpler smooth was forced using half of the median effective degrees across equivalent models from other outcome variables. To improve the normality of model residuals, a square root and log transformation were applied to model outcomes of ball spin and time pressure, respectively.

Serve-return models							
Model outcome	Impact measure in model	Subgroups excluded					
	Impact classification	male * second serve * on the drop * backspin fh					
Ball speed	Distance of impact from peak height	no exclusions					
	Impact height	male * second * on the drop * backspin fh					
	Impact classification	male * second serve * on the drop * backspin fh, female * second * on the drop * backspin fh					
Ball spin	Distance of impact from peak height	no exclusions					
	Impact height	male * second serve * on the drop * backspin fh, females * second serve * on the drop * backspin fh					
	Impact classification	male * second serve * on the drop * backspin fh					
Time pressure	Distance of impact from peak height	no exclusions					
	Impact height	male * second serve * on the drop * backspin fh					
	Impact classification	male * second serve * on the drop * backspin fh, male * second serve * on the drop * backspin fb, female * second serve * on the drop * backspin fh, female * second serve * on the drop * backspin bh					
Ball returned into play	Distance of impact from peak height	no exclusions					
	Impact height	male * second serve * on the drop * backspin fh, male * second serve * on the drop * backspin fh, female * second serve * on the drop * backspin fh female * second serve * on the drop * backspin bh					
	Groundstroke	nodels					
Model outcome	Impact measure in model	Subgroups excluded					
	Impact classification	no exclusions					
Ball speed	Distance of impact from peak height	no exclusions					
	Impact height	no exclusions					
	Impact classification	no exclusions					
Ball spin	Distance of impact from peak height	no exclusions					
	Impact height	no exclusions					
	Impact classification	no exclusions					
Time pressure	Distance of impact from peak height	no exclusions					
	Impact height	no exclusions					
	Impact classification	male * incoming backspin * on the drop * backspin fh, male* incoming backspin * on the rise * backspin fh, female * incoming backspin * on the rise * backspin bh, female * incoming backspin * on the drop * backspin fh, female * incoming backspin * on the rise * backspin fh					
Ball returned into play	Distance of impact from peak height	male * incoming backspin * on the rise * backspin fh, male * incoming backspin * on the drop * backspin fh, female * incoming backspin * on the rise * backspin fh, female * incoming backspin * on the drop * backspin fh					
	Impact height	male * incoming backspin * on the rise * backspin fh, male* incoming backspin * on the drop * backspin fh, female * incoming backspin * on the rise * backspin fh, female * incoming backspin * on the rise * backspin bh, female * incoming backspin * on the drop * backspin fh					

Table 6.2. Subgroups excluded from models due to insufficient sample size. Models were fit separately for each impact measure, thus each row represents one model.

Serve-return subgroups excluded; gender \* serve \* impact classification \* return shot Groundstroke subgroups excluded; gender \* incoming spin direction \* impact classification \* return shot

fh, forehand; bh, backhand

\* denotes an interaction between subgroups (i.e., male \* second serve \* on the drop \* backspin fh, equates to men's backspin fh second serve-returns taken on the drop being excluded)

	Impact classification	Distance of impact from peak height	Impact height
Serve-return model outcomes			
Ball speed	903.18	1090.48	1208.32
Ball spin	1708.37	1900.74	1974.71
Time pressure	921.98	1114.84	1272.78
Ball returned into play	63.00	88.60	83.62
Groundstroke model outcomes			
Ball speed	9820.66	8275.80	10291.74
Ball spin	15211.95	14097.49	15321.18
Time pressure	10177.04	6290.26	14586.08
Ball returned into play	52.03	218.94	327.46

 Table 6.3. Improvement in Akaike information criterion for interaction compared to baseline models.

Approximate 95% confidence intervals (CI) were calculated, with statistical significance based on the lack of overlap of the CI. Effects sizes (Cohen's *d*) were calculated using a skew-adjusted SD based on the interquartile range (<0.2, trivial; 0.2–0.5, small; 0.5–0.8, moderate; >0.8, large (Cohen, 1988)).

To summarise the effects of an incoming shot's speed, spin and landing depth across the variable's distribution, empirical ventiles (quantiles in 5% steps) that were within ±1 SD of the average of other smoothing variables were determined. Measures of stroke quality (model outcomes) were predicted for each ventile's median with other smoothing variables set to their average. Effects therefore represent the expected outcome for an average shot when only the smoothing variable of main interest is changed (i.e., ball speed, ball spin, landing depth). As ball speed and spin were represented as a bivariate smooth, the effect of primary interest (i.e., ball speed) was explored in ventiles within each decile of the variable of secondary interest (i.e., ball spin) and according to impact classification (OTR, OTD). Additionally, the effect of impact characteristics (impact

classification, distance of impact from peak height, impact height) on measures of reply quality are presented with the continuous characteristics of the incoming shot (speed, spin, landing location) set to their average value. In line with the above process, continuous measures of impact were divided into ventiles with the median of each used to summarise effects. When examining the effect of impact classification (OTR, OTD) on serve-return quality, average serve characteristics (ball speed, ball spin, landing location) were summarised by court side (deuce, ad) and serve direction (wide, body, T). For remaining analysis these characteristics were summarised only by court side.

## 6.4 Results

#### 6.4.1 Serve-returns: Effect of incoming shot characteristics

Serve depth had some significant but mostly trivial effects on serve-return speed (d = 0.08-0.15), spin (d = 0.10), time pressure (d = 0.05-0.14) as well as the proportion returned into play. As serve speed increased there was a general trend for lower return speeds and time pressure (Figure 6.1). These effects were more consistently observed across topspin than backspin returns. Among topspin returns, serve speed had the biggest effect on the speed of second serve forehand returns taken OTR (d = 0.20 females, 0.22 males) and tended to have a bigger effect on the time pressure of first (d = 0.16-0.24) compared to second (d = 0.14-0.17) serve-returns (Figure 6.1). Further, the effect of serve speed on the speed of topspin replies tended to be greater when serves were also heavily spun. Generally, increases in serve speed were also met with flatter topspin forehand (Figure 6.1; d = 0.08-0.16 OTR, 0.23-0.29 OTD first serve and 0.09-0.13 OTR, 0.11-0.22 OTD second serve-returns) and backhand (d = 0.06-0.16) returns. Slower first serves also tended to be returned into play more often.

Serve spin had significant but trivial effects on topspin return speed ( $d \le 0.11$ ), time pressure ( $d \le 0.08$ ) and spin ( $d \le 0.13$ ). More often than not, serve spin also had little effect on error rate, although, there was some suggestion that lower spun serves were more easily returned into play.



**Figure 6.1.** Estimated change in measures of serve-return quality with a 0.5 SD increase in serve landing depth (first serve: 0.29 m males, 0.31 m females, second serve: 0.34 m males, 0.36 m females), speed (first serve:  $\sim$ 7.80 km/h males,  $\sim$ 7.02 km/h females, second serve:  $\sim$ 7.32 km/h males,  $\sim$ 5.86 km/h females) or spin (first serve:  $\sim$ 599 RPM males,  $\sim$ 513 RPM females, second serve:  $\sim$ 329 RPM males,  $\sim$ 383 RPM females).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> In all cases, the effect corresponds to the estimated change for a topspin forehand when returning a deuce court serve (see Figure A.6 in Appendix E for a topspin backhand serve-return). The effect of speed was summarised within the third quintile of spin and vice versa. The median of this quintile, independent of impact classification, was used to summarise effects. Note, an increase in time pressure in the figure corresponds to the ball taking longer to reach the net.

#### 6.4.2 Serve-returns: Effect of impact characteristics

As hypothesised, higher time pressure was generally imposed through returns contacted earlier in the post-bounce trajectory (d = 0.17-0.25 first, 0.32-0.37 second serve topspin returns) or OTR (Table 6.4). These effects tended to be more pronounced for second compared to first serve-returns and for men's compared to women's serve-returns. Male players were also noted to increase their topspin return speed when impacting the ball later in its trajectory (d = 0.07-0.09 first, 0.15-0.17 second serve-return) as well as when contacting first serve backhand and second serve-returns OTD (Table 6.4). Impacts OTD were associated with higher spin rates on first (d = 0.17-0.47 men's topspin returns, 0.16-0.21 women's topspin returns, 0.35-0.57 backspin returns) and second (d = 0.26-0.34 women's topspin return) serve forehand returns, women's first serve slice backhand (d = 0.46 - 0.55) and men's second serve topspin backhand (d = 0.30 - 0.35) returns. Further, this effect for players to generate higher spin when provided more time on the ball was especially evident for men's second serve topspin forehand returns taken OTD (d = 0.80-0.97) and intercepted later in the post-bounce trajectory (d = 0.45-0.47). When returning second serves, a significantly higher proportion of men's topspin and women's topspin backhand returns landed in play when contacted OTD (Table 6.4), while a similar trend tended to exist for second serve topspin returns hit later in the trajectory.

There was general but mostly trivial support for men's returns (d = 0.07-0.22) and women's topspin returns (d = 0.04-0.16) to impose greater time pressure through lower contact points when taken OTR. Impact height only significantly affected the spin of second serve topspin returns, which, in support of our hypothesis, was also observed to increase with decreases in the impact height of backhands taken OTD (d = 0.09 females, 0.17-0.18 males) and women's forehand (d = 0.11 OTR, 0.16 OTD) returns. This association was also observed for the speed of men's (OTR and OTD, d = 0.08-0.12) and women's (OTR, d = 0.10) second serve topspin backhand returns. Contrary to expectation, increases in impact height either had no effect or increased the proportion of shots returned into play, for instance, a higher proportion of second serve topspin backhand returns taken OTR.

		Men				Women			
		Effect on the rise (95% CI)	Effect on the drop (95% CI)	Change	d	Effect on the rise (95% CI)	Effect on the drop (95% CI)	Change	d
First Serve-Return									
Ball speed (km/h)	fh	112.59 (111.53, 113.65)	115.21 (113.62, 116.80)	-2.62	0.13	111.17 (110.09, 112.26)	111.68 (110.37, 112.99)	-0.50	0.03
	bh	105.07 (104.00, 106.14)	108.56 (106.89, 110.23)	-3.49	0.18	104.67 (103.56, 105.77)	105.18 (103.81, 106.55)	-0.51	0.03
Ball spin (RPM)	fh	1294.13 (1242.20, 1347.12)	1669.62 (1584.63, 1756.83)	-375.49	0.47	1397.23 (1342.14, 1453.41)	1551.49 (1483.36, 1621.15)	-154.27	0.19
	bh	872.02 (829.56, 915.53)	902.24 (837.42, 969.47)	-30.22	0.04	1011.85 (965.14, 1059.67)	1024.59 (967.40, 1083.43)	-12.74	0.02
Time pressure (seconds)	fh	0.49 (0.48, 0.49)	0.53 (0.52, 0.54)	-0.04	0.32	0.47 (0.46, 0.47)	0.50 (0.49, 0.51)	-0.03	0.27
	bh	0.54 (0.53, 0.54)	0.57 (0.56, 0.58)	-0.04	0.30	0.51 (0.50, 0.51)	0.54 (0.53, 0.55)	-0.04	0.29
Proportion into play (%)	fh	69.23 (66.94, 71.42)	75.63 (71.03, 79.70)	-6.40	-	76.04 (73.78, 78.17)	78.33 (74.94, 81.37)	-2.28	-
	bh	78.38 (76.30, 80.32)	80.51 (75.88, 84.43)	-2.13	-	81.11 (79.00, 83.05)	83.15 (79.77, 86.06)	-2.04	-
Second Serve-Retu	rn								
Ball speed (km/h)	fh	114.99 (113.79, 116.18)	121.86 (120.15, 123.56)	-6.87	0.35	116.31 (115.09, 117.53)	116.26 (114.75, 117.77)	0.05	0.00
	bh	112.78 (111.70, 113.87)	118.80 (117.37, 120.24)	-6.02	0.30	114.99 (113.86, 116.13)	114.72 (113.41, 116.04)	0.27	0.01
Ball spin (RPM)	fh	1440.02 (1380.14, 1501.17)	2123.60 (2022.70, 2226.96)	-683.58	0.85	1464.32 (1403.09, 1526.86)	1670.10 (1590.26, 1751.90)	-205.78	0.26
	bh	1157.60 (1107.55, 1208.76)	1429.32 (1357.79, 1502.70)	-271.72	0.34	1204.97 (1152.45, 1258.65)	1306.45 (1244.31, 1370.10)	-101.48	0.13
Time pressure	fh	0.43 (0.42, 0.44)	0.52 (0.51, 0.53)	-0.09	0.70	0.42 (0.41, 0.43)	0.46 (0.46, 0.47)	-0.04	0.35
(seconds)	bh	0.43 (0.43, 0.44)	0.52 (0.51, 0.53)	-0.08	0.68	0.42 (0.41, 0.43)	0.47 (0.46, 0.47)	-0.05	0.38
Proportion into play (%)	fh	71.82 (68.95, 74.52)	81.23 (76.20, 85.40)	-9.41	-	74.87 (71.93, 77.60)	80.75 (76.45, 84.42)	-5.88	-
	bh	83.33 (81.44, 85.07)	92.04 (89.36, 94.09)	-8.71	-	83.58 (81.40, 85.55)	89.10 (86.50, 91.25)	-5.52	-

Table 6.4. Effect of impact (on the rise, on the drop) on four measures of serve-return quality.<sup>†</sup>

Note, Change: estimated difference between impact on the rise and drop, *d*: Cohen's *d* effect size. fh, forehand; bh, backhand

<sup>&</sup>lt;sup>†</sup> Results correspond to a wide deuce court topspin forehand return and wide ad court topspin backhand return. Serve-returns for wide serves were chosen for indicative purposes, for serve-returns in response to T serves see Table A.1 in Appendix E.

# 6.4.3 Groundstrokes: Effect of incoming shot characteristics

As hypothesised, players countering deeper landing groundstrokes generally imposed less time pressure through their reply, especially when taken OTR (d = 0.51-0.65 OTR, 0.23-0.27 OTD topspin rallies, 0.66-0.78 OTR, 0.37-0.48 OTD countering backspin with a topspin reply; Figure 6.2). In topspin rallies, these replies OTR (d = 0.20-0.31) as well as groundstrokes contacted OTD in response to shorter landing balls (d =0.14 backhand, 0.21 forehand) were hit with lower speed (Figure 6.2). Use of the slice by players changed this dynamic and generally allowed players to maintain or increase ball speeds in response to deeper incoming shots. Landing depth also variously reduced players' ability to impart spin on topspin groundstrokes (d = 0.12-0.15 OTR, 0.18-0.21 OTD topspin rallies, 0.18-0.25 OTR, 0.11-0.18 OTD countering backspin with a topspin reply). Contrary to expectation, landing depth did not appear to negatively affect the error rates of topspin groundstrokes.

An inverse relationship between the speeds of incoming and outgoing topspin groundstrokes was observed (Figure 6.2). This effect was smaller on backhands (d = 0.21-0.23) than forehands (d = 0.26-0.34). Lower topspin groundstroke speeds also tended to be observed as the speed of incoming slice shots increased, especially on forehand replies (d = 0.27-0.39 forehand, 0.10-0.14 backhand). Increased incoming shot speed also resulted in less time pressure generally being imposed (d = 0.27-0.40 topspin rallies, 0.20-0.53 countering backspin with a topspin reply). Shot speed during topspin rallies had significant but trivial effects on reply spin, while the relationship between incoming ball speed and the proportion of shots returned into play was inconsistent. However, there was a trend for this proportion to be lower when countering faster paced topspin groundstrokes, with this effect more consistently observed among the lowest spun shots. Ball spin had little effect on the time pressure of replies during topspin rallies ( $d \le 0.07$ ; Figure 6.2), but unexpectedly the spin of topspin shots tended to share a positive relationship with outgoing shot speed, especially among male players (d = 0.14-0.28 males, 0.07-0.15 females). There was trivial to no effect between incoming and outgoing ball spin during topspin exchanges ( $d \le 0.12$ ), but the spin of topspin groundstrokes increased in response to more heavily sliced incoming shots, especially when taken OTR (d = 0.16-0.26 OTR, 0.09-0.15 OTD). For instance, a 0.5 SD increase in the spin (~530 RPM) of a typical incoming backspin shot was associated with a 148.22 RPM (95% CI 137.30, 159.13, d = 0.26) and 108.30 RPM (95% CI 96.50, 120.10, d = 0.19) increase in the spin of men's and women's topspin backhands taken OTR. In general, incoming spin had little significant or consistent effect on the proportion of groundstrokes returned into play.



**Figure 6.2.** Estimated change in measures of return quality with a 0.5 SD increase in groundstroke landing depth (0.87 m males and females), speed (~7.70 km/h males, ~6.75 km/h females) or spin (~504 RPM males, ~353 RPM females).<sup>†</sup>

# 6.4.4 Groundstrokes: Effect of impact characteristics

Heightened time pressure was observed for topspin replies taken OTR (d = 0.16-0.18 responding to backspin, 0.34-0.39 responding to topspin; Table 6.5). Additionally, these replies imposed less time pressure as impact occurred further after peak height (d =0.50-0.54 responding to backspin, 0.78-0.81 responding to topspin), while the horizontal

<sup>&</sup>lt;sup>†</sup> In all cases, the effect corresponds to the estimated change for a forehand during a topspin rally (see Figure A.7 in Appendix E for a backhand reply). The effect of speed was summarised within the third quintile of spin and vice versa. The median of this quintile, independent of impact classification, was used to summarise effects. Note, an increase in time pressure in the figure corresponds to the ball taking longer to reach the net.

distance of impact before peak height had a comparatively smaller effect (d = 0.00-0.01 responding to backspin, 0.14-0.16 responding to topspin).

During topspin rallies, groundstroke speed appeared greatest among shots taken near peak height, with lower shot speeds produced as impact occurred further horizontally before (d = 0.41-0.48) or after (d = 0.13-0.19) peak height. Males also generated significantly higher ball speeds during these exchanges when hitting balls OTD (Table 6.5).

Players tended to generate higher spin rates when contacting incoming topspin (d = 0.31-0.41 backspin replies, 0.09-0.25 topspin replies) or backspin (d = 0.17-0.22 backspin replies, 0.12-0.20 topspin replies) groundstrokes later in the post-bounce trajectory and when responding to incoming topspin groundstrokes OTD (d = 0.31-0.46 backspin replies, 0.07-0.25 topspin replies; Table 6.5). Across both genders, a higher proportion of groundstrokes were returned into play as impact occurred later during topspin rallies. Male players were also noted to land ~3% more topspin forehands in play when contacting incoming topspin shots OTD than OTR (Table 6.5).

Contrary to expectation, the speed of topspin groundstrokes increased with impact height when responding to topspin (d = 0.24-0.49) and backspin (d = 0.42-0.64) groundstrokes. Similar associations were observed for the time pressure of topspin groundstrokes, especially when taken OTD (responding to topspin: d = 0.07-0.15 OTR, 0.33-0.43 OTD, responding to backspin: d = 0.27-0.37 OTR, 0.50-0.54 OTD). The effect of impact height on the spin rate of topspin replies was less notable ( $d \le 0.15$ ). The proportion of topspin groundstrokes returned into play generally increased with impact height, with few exceptions including topspin forehand groundstrokes taken OTD.

		Men				Women			
		Effect on the rise (95% CI)	Effect on the drop (95% CI)	Change	d	Effect on the rise (95% CI)	Effect on the drop (95% CI)	Change	d
Groundstroke									
Ball speed (km/h)	fh	128.27 (127.51, 129.02)	130.11 (129.36, 130.86)	-1.84	0.11	119.02 (118.26, 119.79)	120.37 (119.61, 121.12)	-1.34	0.08
	bh	119.97 (119.20, 120.75)	122.60 (121.83, 123.38)	-2.63	0.16	116.33 (115.55, 117.11)	117.72 (116.94, 118.50)	-1.40	0.08
Ball spin (RPM)	fh	2466.20 (2398.83, 2534.50)	2750.29 (2679.24, 2822.28)	-284.09	0.25	1744.41 (1688.20, 1801.54)	1924.02 (1865.28, 1983.67)	-179.61	0.16
	bh	1415.88 (1364.35, 1468.37)	1553.47 (1499.51, 1608.40)	-137.59	0.12	1233.60 (1186.02, 1282.12)	1315.61 (1266.51, 1365.65)	-82.01	0.07
Time pressure (seconds)	fh	0.42 (0.41, 0.42)	0.45 (0.45, 0.46)	-0.04	0.39	0.45 (0.44, 0.45)	0.48 (0.48, 0.48)	-0.03	0.34
	bh	0.44 (0.44, 0.44)	0.48 (0.47, 0.48)	-0.04	0.39	0.45 (0.45, 0.45)	0.48 (0.48, 0.49)	-0.03	0.34
Proportion into play (%)	fh	84.13 (82.78, 85.40)	86.83 (85.65, 87.92)	-2.69	-	84.18 (82.73, 85.52)	85.78 (84.47, 87.00)	-1.60	-
	bh	86.10 (84.76, 87.35)	88.05 (86.83, 89.17)	-1.95	-	86.15 (84.75, 87.44)	88.19 (86.93, 89.34)	-2.04	-

Table 6.5. Effect of impact (on the rise, on the drop) on four measure of groundstroke quality.<sup>†</sup>

Note, Change: estimated difference between impact on the rise and drop, *d*: Cohen's *d* effect size. fh, forehand; bh, backhand

<sup>&</sup>lt;sup>†</sup> Results correspond to forehand and backhand replies during topspin rallies.

#### **6.5 Discussion**

This study sought to identify the effect of incoming shot and impact characteristics on the quality of the outgoing shot. The incoming shot's speed, spin and landing depth influenced aspects of a player's serve-return or groundstroke reply, with one of the largest effects being for lower time pressure to characterise topspin replies contacted OTR in response to deeper landing groundstrokes. The height and position of players' impacts in the post-bounce trajectory also affected their ball-striking, with no one impact point optimising all aspects of stroke quality examined. In particular, lower time pressure but higher spin tended to characterise men's second serve topspin returns impacted OTD, while contacting groundstrokes further after peak height led to less time pressure being imposed through topspin replies. Variation was observed in the effect sizes of presented results, with a number of findings considered "small" or below based on standard criteria for assessing effect sizes (Cohen, 1988). However, given the small margins involved in high performance sport, standard criteria, based on the fraction of change in SD units, may underestimate practically meaningful changes for an elite player population. Nevertheless, the following discussion will focus on the more substantive effects.

#### 6.5.1 Serve-returns

In general agreement with our hypothesis, increases in serve speed were often associated with lower return speeds and time pressure. This is likely explained by faster paced serves limiting the returner's ability to generate racket and consequently ball speed (Genevois et al., 2020). The deeper impact positions observed when returning faster paced serves (Cant, Kovalchik, & Reid, 2020) may have also contributed to the lower imposed time pressure. Additionally, while there was some evidence higher first serve speeds led to positive point outcomes, even those returned into play were likely easier to counter given the lower return speed and time pressure observed. These findings provide some indication of how higher serve speeds may increase winning probability (Kovalchik & Reid, 2018; Mecheri et al., 2016) and further support the use of this tactic during match-play. That there were return specific effects for faster serves to be played with less spin offered partial support to our hypothesis. This effect tended to be more pronounced for topspin forehand returns contacted OTD, which may relate to players attempting to generate heavily spun returns needing to make contact later in the post-bounce trajectory (OTD) to afford themselves time to generate spin.

While previous research has suggested that heavily spun and high velocity serves influence the winning probability of points to similar effect (Mecheri et al., 2016), we believe caution is required in interpreting this work owing to the relatively small variation in winning probability across spin categories examined coupled with no measure of significance being reported. Nevertheless, our anticipated relationship of heavily spun serves being returned with lower speed, spin and time pressure was generally not observed. These findings point to higher serve speeds potentially being a more effective shot-level strategy in isolation, yet this discounts the role of higher spin magnitudes in offering greater margin for error (Brody, 2006). Furthermore, the current study used a combined measure of topspin and sidespin and as the magnitudes of these components vary across serve type (Sakurai et al., 2013), future research could examine the effect of different serves (i.e., kick, slice) or components of ball spin on serve-return quality.

Return characteristics were affected by the position of impact in the serve's postbounce trajectory. For instance, the speed of men's second serve topspin returns in particular were higher when impact occurred OTD, yet, on average players generally imposed higher time pressure when returns were met earlier and OTR. Interestingly, where impact was made relative to peak height did not significantly affect the speed of women's serve-returns. Additionally, return specific effects were observed for impact OTD and later in the post-bounce trajectory to increase spin rates and the proportion of serves returned into play. These differences in impact may reflect stylistic preferences of players. For instance, the high spin rates that Rafael Nadal is known to generate (Mutua Madrid Open, 2019) may be facilitated by his high proportion of serve-returns contacted OTD (Cant, Kovalchik, & Reid, 2020). Interestingly, there were some effects for higher speed, spin and time pressure to be imposed as impact height decreased, partially supporting our hypothesis.

# 6.5.2 Groundstrokes

As anticipated, lower speed and time pressure tended to feature in the groundstroke responses to deeper or faster shots in topspin rallies. Significant but smaller effects for these replies to be characterised by lower spin rates were also observed. With players requiring time to generate the horizontal and vertical racket velocities that drive ball speed and spin (Genevois et al., 2020; Kwon et al., 2017), deeper or faster groundstrokes may constrain swing length and speed. Increases in the incoming shot's speed and depth are also linked to deeper impact positions (Cant, Kovalchik, & Reid, 2020), likely further limiting the time pressure that can be applied through a player's ball-striking. Additionally, deeper incoming shot's did not negatively affect the error rate of topspin groundstrokes, which may stem from players hitting less aggressively (lower ball speed) and the larger window of acceptance for these shots (Brody, 2006). The effects of incoming groundstroke depth were often larger than those for serve depth, which could be instructive for coaches.

The spin of inbound groundstrokes had limited effect on the time pressure of replies during topspin rallies. Additionally, in contrast to our expectations increases in the spin of these groundstrokes did not appear to reduce the subsequent shot's speed and there was no consistent evidence that these heavily spun shots were returned into play less often. The spin of topspin shots did however increase to varying extents in response to heavily spun slice groundstrokes. The presence of this effect when countering slice but not topspin groundstrokes may relate to the additional effort required to reverse the spin of incoming topspin shots (Brody, 2006). Other benefits of playing with topspin such as heightened margin of error (Brody, 2006) are not captured in our analyses yet are worth noting. Additionally, a player's contact point is deeper when replying to heavily spun topspin shots (Cant, Kovalchik, & Reid, 2020), in turn, deeper court positions (>1.5 m from the baseline) have been linked to male players losing points by previous research (Martínez-Gallego et al., 2019).

Impact later in the post-bounce trajectory was variously associated with more heavily spun groundstroke replies as well as a lower error rate during topspin rallies. This may be explained by the additional time on the ball that later impacts afford, while reduced error rates are also presumably linked to the greater margin for error associated with higher topspin rates (Brody, 2006). In comparison, higher time pressure was often imposed when contact occurred before peak height. This is unsurprising as coaching texts describe taking the ball on the rise as a method to impose pressure on opponents (United States Tennis Association, 2004). Interestingly though, there appears a point of diminishing return when it comes to taking the ball early, with time pressure generally varying little based on how early before peak height impact occurred. This contrasts with general coaching advice (Antoun, 2007) and may be partly explained by the decrease in ball speed observed with earlier contact points, where players have less time to generate the racket velocity underlying a ball's outgoing speed (Brody, 2003). The speed and time pressure of topspin replies tended to increase with impact height, although, the appropriateness of a higher contact point may also depend on other factors such as a player's game-style and even grip position (Crespo & Higueras, 2001).

# 6.5.3 Limitations

While the current study examined ball speed, ball spin, time pressure and returning the ball into play as measures of stroke quality, incoming shot characteristics and impact are likely to also affect other aspects of the return (e.g., return placement, return intent compared to outcome). We similarly controlled for and examined the effect of incoming ball speed, ball spin and landing location as well as player contact point on stroke quality, yet there are other factors that are likely influential and beyond this study's purview (e.g., player court position, incoming shot direction, racket speed generated).

In this study, peak height was not observed and therefore estimated for shots taken OTR. The error associated with these estimates was larger for serves and when a small proportion of the trajectory was available. Thus, additional work to improve upon the accuracy of the current method or investigate alternate measures could be useful. Our approach to quantifying ball spin, while recently validated (Cant, Kovalchik, Cross, & Reid, 2020), did not perform as precisely at high spin rates and used a combined measure of ball spin (topspin/backspin and sidespin) off the racket. Further improvements to ball trajectory models are possible and examination of the effects of the components of ball spin as well as post-bounce spin should be pursued.

In an effort to reflect a typical tennis shot and best examine different effects, incoming shot characteristics (ball speed, ball spin, landing location) were variously specified as their average value. However, it is worth noting that these characteristics may not occur (or combine) simultaneously in this way to reflect an average shot. Further, we controlled for but did not examine the effect lateral landing location has on reply quality and did not consider the influence of the lateral component of impact. Average incoming shot characteristics, independent of impact, were specified when examining the effect of impact on the quality of the outgoing reply (i.e., reply quality for the same shot taken on the rise compared to drop). Given player impact is influenced by the incoming shot (Cant, Kovalchik, & Reid, 2020), the effect of impact could also be considered for varying incoming shot characteristics (e.g., a shot typically taken on the rise compared to drop). Lastly, the use of a bivariate smooth to capture the interaction between ball speed and spin was a strength of the current analysis, however, we did not consider interactions between other continuous model variables, which represents a logical extension of this work.

#### 6.6 Conclusion

This study examined the effect of incoming shot and impact characteristics on the quality of a player's groundstroke and serve-return. Effects were observed with both shot types hit with lower speed and time pressure when responding to faster paced incoming balls. Deeper incoming groundstrokes also had a shot-specific effect to reduce the speed and time pressure of groundstroke replies. When players made contact OTR or earlier in the post-bounce trajectory, returns were associated with higher time pressure but lower speed, spin and fewer shots returned into play. Our results add to the popular commentary around whether players control the ball or the ball controls the player and highlight that the quality of a player's ball-striking is influenced by a myriad of factors, many of which are outside of their control.

# CHAPTER 7

# **GENERAL DISCUSSION**

#### 7.1 General discussion

The overarching aim of this thesis was to use data recorded from Hawk-Eye tracking technology to better understand the effect of ball speed and spin on aspects of on-court hitting performance. Specifically, this thesis validated a method to estimate ball spin using multi-camera tracking technology, which was subsequently used to estimate spin from match-play data. This allowed remaining studies to investigate the concept of stroke heaviness and examine factors (i.e., ball speed and spin) proposed to influence player behaviour and point outcomes on-court. The following chapter will summarise key findings as well as identify theoretical, methodological and practical implications arising from this thesis. Additionally, the limitations of this work as well as suggestions for future research will be detailed.

# 7.2 Main findings

Chapter 3 examined the accuracy of methods to estimate ball spin rate and spin direction using multi-camera tracking technology (Hawk-Eye). This involved validating Hawk-Eye's proprietary measure and an alternate method which applied a theoretical ball trajectory model to Hawk-Eye ball trajectories. Compared to Hawk-Eye's proprietary measure, a theoretical ball trajectory model was found to estimate spin rate and spin direction more accurately. Further, this chapter found neither method consistently and accurately estimated higher spin rates (>4500 RPM) and showed how a theoretical ball trajectory model could be applied to quantify three-dimensional spin in tennis. Findings from this chapter were used to quantify spin in subsequent studies in this thesis.

Chapter 4 aimed to develop a measure of stroke heaviness that could be implemented during matches utilising available technology. This study surveyed a sample

of past and present professional tennis players to identify the perceived characteristics and outcomes of a heavy shot, which were subsequently used to guide the development of a data-driven method to quantify heaviness. Professional players identified that heavy strokes were likely to "jump, climb or rear off the court" and challenge a player's impact (depth and height). Using these survey results and ball-tracking data from Australian Open singles matches, models were developed which explained 53-72% of the variance in stroke heaviness. Additionally, it was found that a data-driven interpretation of the conventional characteristics of a heavy shot (greater speed and spin) and the perception of heaviness held by professional players did not perfectly align. This chapter highlights the complexity of stroke heaviness and identifies areas warranting further investigation when attempting to quantify this style of shot-making among professional players.

In part guided by findings from Chapter 4, Chapter 5 aimed to describe groundstroke and serve-return impact during Grand Slam matches. This included examining the effect of gender, player ranking and incoming shot characteristics (speed, spin, landing depth) on measures of impact (impact height, impact depth, impact relative to peak height). During Australian Open singles matches, this study found shot, gender, ranking and player effects across measures of serve-return and groundstroke impact. For instance, across serve-returns and groundstrokes, the landing depth and ball spin of incoming shots were generally the most and least influential on impact relative to peak height, respectively. In turn, findings from this chapter reveal that while players are readily encouraged to maintain a consistent and preferred contact point, a player's impact is largely dictated by the incoming shot's trajectory.

Chapter 6 explored the effects of the incoming shot's characteristics and the receiving player's impact on the quality of subsequent shots. Findings revealed that

incoming shot characteristics had various effects on the quality of a player's reply, varying by gender, return type and impact (on the rise, on the drop). There was a general trend for increases in incoming shot speed, but not necessarily spin, to reduce the speed and time pressure of serve-returns as well as groundstroke replies during topspin rallies. These findings indicate that in general, higher ball speeds may be a more effective shot-level strategy, although there are likely other benefits of ball speed and spin beyond those investigated in Chapter 6. Additionally, deeper incoming shots also tended to reduce the speed, spin and time pressure of replies during topspin rallies, while serve depth tended to have a comparatively smaller effect. In terms of impact characteristics, findings revealed that there were no universally optimal impact conditions, thus explaining why players vary their contact points based on stylistic preferences.

#### 7.3 Theoretical and methodological implications

Chapter 3 of this thesis demonstrated how a theoretical ball trajectory model could be applied to trajectories recorded by the sport's most common multi-camera tracking technology in Hawk-Eye to accurately estimate ball spin. The development of this method was important to subsequent studies in this thesis as it outperformed the accuracy of Hawk-Eye's proprietary measure of ball spin rate and spin direction. Beyond informing the quantification of ball spin in this thesis, this new method can also be utilised by practitioners and scientists interested in obtaining more accurate ball spin estimates as a feature of players' stroke production. It is worth noting however that the accuracy of this measure deteriorated at higher spin rates, which had implications for the insight that could be derived from the analyses in Chapters 4 to 6. Nevertheless, given the extent to which ball-tracking technology is implemented in professional tennis, the validation of a method to better estimate ball spin from current tracking data allows for the novel investigation of the influence of ball spin in-situ.

The estimation of ball spin as revolutions per unit of time (e.g., RPM) also enabled Chapters 4 to 6 to offer more practical and actionable insight than that which has emerged from previous research that has relied on indirect measures of spin (i.e., lift coefficient, angular change) (Kovalchik & Reid, 2018; Mecheri et al., 2016). Specifically, hypotheses relating to a number of previously untested but popular concepts in tennis, including, stroke heaviness (Chapter 4), professional player strikes zones (Chapter 5) and where players contact the ball in its post-bounce trajectory during match-play (Chapter 5), were examined using a large-scale ball trajectory dataset from the Australian Open.

To our knowledge, Chapter 4 represents the first documented attempt to empirically investigate the concept of stroke heaviness. This chapter initially surveyed a sample of professional players to identify the characteristics and outcomes of a heavy shot. This survey was strengthened by the knowledge and playing experience of survey respondents and provided a consensus view on the attributes of heavy shots among professional players. Although, in retrospect, this survey may have benefited from additional questioning to fully understand the discrepancies in the data-driven interpretation and player perception of heaviness. Regardless, the exploration of this new heaviness measure based on empirical insight rather than logic (Elliott, 2003; Elliott et al., 2009a), allowed the study to highlight the complexity of stroke heaviness where multiple factors clearly combine to produce what players perceive on-court.

Methods to describe specific spatial and temporal characteristics of player impact that account for the interplay between the incoming shot and the movement of the receiving player were considered in Chapter 5 and Chapter 6. These methods enabled impact to be described in both a binary (on the rise, on the drop) and continuous (distance between impact and peak height) fashion, which included the approximation of a trajectory's post-bounce peak height regardless of how much trajectory information was available. For shots impacted on the rise, this involved a trajectory's peak height being estimated. Impact has rarely been reported in this way in tennis, and represents a new line of research, allowing this thesis to extend previous understanding. That is, only Choppin et al. (2007) has previously described where players contact shots in the post-bounce trajectory. Their research was however limited by a relatively small sample size and the collection of data in a practice context; both of which were overcome in this thesis. Further, our analysis of this concept involved a more in-depth examination of the influence incoming ball speed, ball spin and landing depth have on where players impact shots in the post-bounce trajectory.

Chapters 4 through 6 used generalised additive models to investigate stroke heaviness as well as examine factors influencing impact characteristics and the quality of a player's next shot. These models allowed for non-linear relationships to be observed, such as that between the incoming shot's landing depth and the proportion of replies taken on the rise (see Figure 5.2). Additionally, the combined effect of ball speed and ball spin was examined through their inclusion in models as a bivariate smooth. This interaction between ball speed and spin was important given that both are simultaneously imparted onto the ball and addressed a gap which has not been a predominant focus of prior research.

More broadly, findings from this thesis build upon previous research investigating the effect of ball speed and spin on aspects of on-court performance. Results revealed that higher ball speeds are an effective shot-level strategy in influencing the quality of an opponent's serve-return and groundstroke reply. This offers some insight into the means by which higher ball speeds ultimately influence winning probability (Kovalchik & Reid, 2018; Mecheri et al., 2016). Inferences from this work are that the production of higher ball speeds rather than ball spins may be strategically more important, which contrasts with previous suggestions (Mecheri et al., 2016).

# 7.4 Practical implications

The method developed and validated to estimate ball spin in this thesis (Chapter 3) may have a number of practical implications. Such a measure provides the opportunity to examine the effect of any number of variables on the ball spin that players generate in a controlled testing, practice or match-play context. As the ball spin measure is estimated through ball-tracking data, other shot characteristics (e.g., speed, landing location) and contextual factors (e.g., shot type, impact player) can be quantified and coupled with spin estimates to provide even greater insight. This data may be used for comparison between a player's ball-striking in practice and match-contexts as well as to profile a player's stroke production over time. Further, the ability to quantify shot characteristics (e.g., speed, spin, landing depth) may be of value in equipment selection as examination of the effect of racket (e.g., swing weight, mass) and string (e.g., composition, tension) have historically relied on modelling techniques or been confined to laboratory and other controlled settings (Allen et al., 2016).

The ability to quantify ball spin in addition to and/or in combination with ball speed, as was done through the exploration of heaviness in Chapter 4, could also be used by practitioners to track the intensity of ball-striking and fatigue across practice sessions and matches, which the work of Reid et al. (2019) has recently emphasised. This may have important implications for load monitoring and injury prevention given that previous

research has highlighted links between shot type (with varied speed and spin) and joint loading (Abrams et al., 2014). While the cost of Hawk-Eye technology may limit accessibility outside of tournaments and in practice settings, the methods developed and validated in Chapter 3 could be applied to alternate optical tracking systems.

Findings from this thesis show how an opponent's contact point and the quality of their ball-striking are influenced by incoming ball trajectories. For instance, Chapters 5 and 6 highlighted the influence of groundstroke landing depth, finding that during topspin rallies, deeper landing groundstrokes were contacted earlier and on the rise more often while also forcing opponents to reply with lower speed and time pressure. The value of depth from a tactical point of view is commonly referenced by coaches (Antoun, 2007; Crespo & Miley, 1998; United States Tennis Association, 2004), but until now, primarily supported by anecdote (ATP Staff, 2020; Miller, 2019; Trollope, 2017). The results of Chapters 5 and 6 might also be used to shape a player's ball-striking, especially in relation to adjustments to his/her court position to intercept post-bounce trajectories at a particular impact point (e.g., maintaining contact below shoulder height) and/or with different effect (e.g., contacting replies on the rise during topspin rallies to maximise imposed time pressure). Models from Chapters 4 to 6 could also be used from a profiling point of view, where the impact characteristics and return stroke quality for individual or aspiring players are compared to what is considered typical of the best players in the world (see Figure 5.6). Such comparisons may in turn guide the technique, movement and/or strategy development of players.

As it is generally accepted that practice should reflect the demands of match-play (Pinder et al., 2011), the gender differences revealed in some of the impact characteristics examined in Chapter 5 highlight the need for gender-specific training in this regard. That is, some of the incoming trajectories and subsequent impact points of the male and female game are unique. This likely poses a challenge to the specificity of certain practice contexts, especially those that are commonly observed in the professional game where male coaches may spar or practice with female players. Needless to say, in those settings, coaches may need to adjust their ball-striking and positioning to better reflect the trajectories typical of the female game. Recent research by Krause, Buszard, et al. (2019) has highlighted opportunities for more representative practice of the serve-return in tennis, given findings from the current thesis were based on match-play data, results may help practitioners to improve the specificity of serve-return as well as groundstroke practice.

### 7.5 Limitations of this thesis

This thesis has a number of limitations. Firstly, the accuracy of the method validated and utilised to estimate ball spin deteriorated at higher spin rates (~>4500 RPM). Consequently, shots with a component of spin (topspin/backspin or sidespin) in excess of 4500 RPM were excluded from the analysis in Chapters 4 to 6, notwithstanding that comparisons to each shot's true spin was difficult to ascertain. This exclusion may have prevented effects specific to the most heavily spun shots being observed, which are most commonly men's second serves (Kelley, 2011). The observed performance of the model at higher spin rates may be associated with the relationship between  $C_L$  and the spin parameter (Sp), where  $C_L$  levelled off at higher magnitudes of Sp (absolute Sp > 0.7; Figure 3.3). There is some suggestion that this effect was a characteristic of prior research (Goff & Carré, 2009; Nathan, 2008). However, as Chapter 3 was limited by the spin rates and therefore high Sp magnitudes able to be produced by the commercially available ball machines, this suggestion warrants further investigation.

In addition to the above, the theoretical ball trajectory model used to estimate ball spin in this thesis required the specification of ball mass, ball diameter and air density. As it is not practical to measure the air density throughout every match played or the specifications of each ball recorded by Hawk-Eye, these parameters were held constant throughout the validation (Chapter 3) and quantification of ball spin (Chapters 4 to 6) in this thesis. Similarly, this method did not account for the strength or direction of winds present during the validation study (which occurred indoors) or when quantifying the spin rate of shots from Grand Slam matches. While not an uncommon set of assumptions in this field of work (Robinson & Robinson, 2015, 2018a), this still represents a limitation of our approach given trajectory simulations have shown the direction and strength of wind to influence the ball's flight (Robinson & Robinson, 2018b, 2020). These influences warrant further investigation in an effort to improve the accuracy of ball spin estimates.

Chapters 4 to 6 utilised a combined estimate of the topspin/backspin and sidespin components of spin. This approach likely provided a more representative estimate given shots in tennis spin in more than one-dimension (Sakurai et al., 2013), yet, may have also prevented effects specific to each spin component being observed. For instance, increases in the sidespin of slice serves have been shown to increase the ball's lateral movement through flight (Robinson & Robinson, 2018a), while the bounce height of serves and groundstrokes increases with the shot's topspin rate (Cross, 2011, 2020). Therefore, examining these components of spin individually may highlight the unique effects of each in match-play. Further, it is worth noting that the ball spin measure used throughout this thesis estimated ball spin off the racket despite differences in pre- and post-bounce ball speed and spin being observed both during matches at the professional-level (Kelley et al., 2008; Lane et al., 2017) and in laboratory based experimental work (Cross, 2019).

Thus, the development and validation of a measure of post-bounce ball spin from balltracking data would prove a valuable future addition to understanding the influence of ball spin in tennis.

This thesis adopted a mixed methods approach to better understand the concept of stroke heaviness in tennis. Professional players in this study indicated that heavy strokes were characterised by the ball "jumping, climbing or rearing off the court". As a measure of this characteristic, we examined the contribution of ball speed and spin to a shot's out-of-bounce angle, however, out-of-bounce angle alone may not adequately capture a player's perception of the ball "jumping" off the court. Future work is needed to ascertain which variable or more likely combination of variables (e.g., out-of-bounce angle, out-of-bounce velocity (resultant, horizontal, vertical)) best represent this feature of heaviness. An even more expansive survey to better understand the nuance of heaviness as perceived by players may assist in this regard. Alternatively, a schedule of controlled on-court testing where players qualitatively rate the heaviness of pre-planned incoming shots that possess varying combinations of ball speed, ball spin and landing position may be worthwhile. These data could then be compared to ball-tracking data to better establish the characteristics of perceived heavy shots.

There were a series of other limitations related to the analysis and treatment of different data throughout the thesis. For example, the analysis in Chapters 4 to 6 considered outcome variables separately. However, a multivariate analysis that simultaneously modelled all outcome variables while gaining information from the correlation that may exist between them may have been preferred. Chapters 5 and 6 also examined measures of impact relative to peak height, including how early or late contact occurred in the post-bounce trajectory. This was done by quantifying the distance

(metres) between impact and peak height. The accuracy of peak height estimates however were reduced when a small portion of the trajectory was observed and for serve compared to groundstroke trajectories. Future research could aim to improve upon the accuracy of this peak height measure.

Chapters 4 through 6 investigated the effect of incoming shot characteristics, continuous measures of impact and/or categorical variables (i.e., gender, ranking, impact) on various outcome measures. Variables other than those being examined were set to their average value. This allowed for effects to be examined in the context of 'typical' features of shot trajectories, but other approaches may have better encapsulated the characteristics of average shots. Similarly, to examine the effect of impact characteristics on measures of return stroke quality (Chapter 6) we estimated effects for a shot of average incoming characteristics (i.e., for the same trajectory taken on the rise versus on the drop, what physical attributes characterise the return). While this approach was adopted to control for the effect of the incoming shot on reply quality, future research should consider a more diverse mix of shots, characterised by various combinations of ball speed, ball spin and landing location. In the same vein, the generalised additive models that featured in Chapters 5 and 6 were fit with a smooth to control for the incoming shot's lateral landing location, however, these chapters did not focus on the influence of this shot characteristic. Further, the lateral component of impact was not considered in this thesis.

# 7.6 Future directions

A number of areas for further investigation could be proposed from this thesis. Firstly, whilst a strength of this thesis was the use of a large-scale spatiotemporal dataset encompassing numerous Grand Slam matches and players, it was limited to only one tournament (Australian Open) and one court surface (hard court). Prior research has observed differences in aspects of match-play (e.g., ball speed, really length) across court surfaces (Brown & O'Donoghue, 2008; Lane et al., 2017; Vaverka et al., 2018), likely explained by variations in player tactics as well as surface coefficients of friction (COF) and restitution (COR) (Cross, 2003). Similar court-specific effects may be present in outcomes examined throughout this thesis (i.e., in relation to player impact) and as COF and COR capture the ball's interaction with the court surface, the need for methods to examine ball speed and spin post-bounce rather than off the racket is further underlined. Notwithstanding the appeal of these between surface comparisons, it is worth noting that comparable datasets from tournaments played on other court surfaces (i.e., clay, grass) were not accessible.

This thesis examined the effect of incoming shot characteristics on an average player's impact and return stroke quality, yet it is possible that responses to features of an incoming ball's trajectory are player-specific. Further, players likely vary their tactical response based on a specific opponent or a particular style of ball-striking; both of which were not considered in Chapters 4 to 6. These avenues of potential enquiry are supported by differences in playing style (i.e., aggressive baseliner/good returner – "plays close to the baseline taking the ball early") described in coaching texts (Crespo & Miley, 1998) as well as the stylistics differences observed among samples of professional players in Chapter 5 (Figure 5.6) and in prior research (Mlakar & Kovalchik, 2020; Wei et al., 2015; Wei, Lucey, Morgan, Reid, & Sridharan, 2016; Wei et al., 2013).

Analysis in Chapters 4 and 5 examined a player's contact point based on the ball's horizontal and vertical coordinates at impact in relation to the incoming shot's characteristics. The movement response by players (i.e., movement between when the ball is struck by the opponent and when the player makes contact) was overlooked but is likely of practical interest. For example, this type of analysis may identify if players adjust their court position and impact based on their opponent (i.e., adopting a deeper return position from the outset against an opponent with fast paced groundstrokes) or if these changes are made in response to a given incoming trajectory (i.e., players move back in the court in response to a faster incoming shot). Furthermore, adjustments to our inclusion criteria in Chapter 6 so that the influence of incoming ball trajectories for shots hit as clean winners could be examined seems a logical next step given the previously reported link between serve speed and aces (Whiteside & Reid, 2017b).

Despite efforts to be as comprehensive as possible in the review of factors that effect the features of ball-striking of interest to this thesis, there remains other influences that were not considered. These include, but are not limited to, grip position, player handedness, backhand style (one compared to two handed), equipment setup (e.g., string tension), court speed, incoming and outgoing shot direction (e.g., cross court, down the line), if the impact player is serving or receiving, player fatigue, the distance and speed of movement required to make contact and the scoreboard (e.g., facing a break point). Such factors may influence how players make contact, the physical attributes of the outgoing shot and/or player tactics in a given rally. Lastly, researchers interested in using tracking data to examine the dynamics of how points are won and lost, should consider the role of shot combinations or variations in shot characteristics across a point. Such analyses may reveal the efficiency and effectiveness of varying combinations of shot speed and spin (both in terms of magnitude and direction). This concept was explored by Wei, Lucey, Morgan, Reid, and Sridharan (2016) who emphasised that it is players' strategies across a point (on tangible display through their ball trajectories) that allow them to achieve strategic advantages and win points, games and matches.

#### 7.7 Concluding remark

This thesis explored the effects of ball speed and spin in tennis using ball-tracking data from Grand Slam matches. The accuracy of methods to estimate ball spin rate and spin direction from multi-camera tracking technology was initially assessed. Based on findings from this first study, ball spin was estimated from ball-tracking data collected during Grand Slam matches. This was then used to investigate how ball speed and spin combine to create the heaviness perceived by players as well as to explore the effect of ball speed and spin on the impact and the quality of a player's return shot while also examining influences such as gender and ranking.

Findings from this thesis revealed that ball spin could be accurately estimated from the outputs of the sport's most common ball-tracking system. This allowed for the concept of stroke heaviness to be explored, which in turn highlighted the complexity of this measure in tennis. Results from this thesis also indicated that a player's impact and ball-striking are influenced by the incoming ball's trajectory, outside of the player's full control and subject to individual stylistic preferences.
CHAPTER 8

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**CHAPTER 9** 

APPENDICES

# **APPENDIX A – INFORMATION TO PARTICIPANTS (CHAPTER 4)**

# INFORMATION TO PARTICIPANTS INVOLVED IN RESEARCH

## You are invited to participate

You are invited to participate in a research project entitled "Characteristics of stroke heaviness in professional tennis".

This project is being conducted by a student researcher, Olivia Cant, as part of a PhD study at Victoria University under the supervision of Dr Damian Farrow from the Institute for Health & Sport.

### **Project explanation**

Professional tennis players rely heavily on tactics to gain an advantage during matches. One common tactic is to manipulate stroke heaviness, the colloquial term used to refer to a shot's combination of ball speed and spin, with a heavy stroke characterised by high speed and/or spin. While stroke heaviness is commonly referred to by coaches, players and commentators the concept has not been empirically examined. Therefore, there is limited insight into the stroke characteristics and outcomes which lead to players perceiving a stroke as 'heavy'. This study aims to identify the key characteristics of stroke heaviness as perceived by tennis experts.

### What will I be asked to do?

You will be asked to complete one online survey which will take approximately 15 - 20 minutes. The survey will ask a series of questions on what you believe the key characteristics and outcomes of 'heavy' strokes to be.

### What will I gain from participating?

You will not be reimbursed for your time spent completing this survey. Information gained from this study will add to the currently limited insight into stroke heaviness in tennis, which may include the outcomes and benefits of 'heavy' ball striking during matches. This information could be used by players and coaches to guide and implement tactics during matchplay.

#### How will the information I give be used?

Survey responses from all participants will be aggregated and used to identify the characteristics and outcomes of 'heavy' 1<sup>st</sup> serves, 2<sup>nd</sup> serves and groundstrokes. This research, including results, may be reported in future publications and conference

presentations. Findings from this research will add to the currently limited insight on stroke heaviness. Additionally, findings may help future research identify 'heavy' strokes and investigate the effects of stroke heaviness on aspects of the game such as on-court performance.

#### What are the potential risks of participating in this project?

We do not anticipate there to be any physical risks associated with this project. There is a risk that you may feel pressure to participate in this research by another player or coach, however, you are reminded that participation in this project is completely voluntary and you should not feel pressured to participate.

You may worry about answers you provide on the survey and how these will be perceived, however, this survey is asking for your perception of stroke heaviness and therefore there are no wrong answers. If you are concerned or uncomfortable with completing this survey, please remember that you may withdraw from this study at any time without any consequences.

### How will this project be conducted?

Once a signed consent form has been received, you will be provided with the survey via email to be completed online.

#### Who is conducting the study?

This is study being conducted by Victoria University and Tennis Australia.

Dr. Damian Farrow Sport Scientist at Victoria University Victoria University – Institute for Health & Sport E. Damian.Farrow@vu.edu.au, Ph: (+61) 408 445 701

Olivia Cant, BEx&SpSc (Hons) PhD Student at the Victoria University - Institute for Health & Sport E: olivia.cant@live.vu.edu.au, Ph: (+61) 422 050 234

Any queries about your participation in this project may be directed to the Chief Investigator listed above.

If you have any queries or complaints about the way you have been treated, you may contact the Ethics Secretary, Victoria University Human Research Ethics Committee, Office for Research, Victoria University, PO Box 14428, Melbourne, VIC, 8001, email researchethics@vu.edu.au or phone (03) 9919 4781 or 4461.

# **APPENDIX B – PARTICIPANT CONSENT FORM (CHAPTER 4)**

# CONSENT FORM FOR PARTICIPANTS INVOLVED IN RESEARCH

## **INFORMATION TO PARTICIPANTS:**

We would like to invite you to be a part of a study titled "Characteristics of stroke heaviness in professional tennis". The project aims to identify the key characteristics of stroke heaviness as perceived by tennis experts. This project will require you to complete one online survey which will take approximately 15 - 20 minutes. In an effort to better understand the concept of stroke heaviness, the survey will involve you answering a series of questions on what you perceive the characteristics and outcome of a 'heavy' stroke to be. The survey will look at the heaviness of groundstrokes, 1<sup>st</sup> serves and 2<sup>nd</sup> serves separately.

## **CERTIFICATION BY SUBJECT:**

I, \_\_\_\_\_ (participant's name)

of \_\_\_\_\_\_ (participant's suburb)

certify that I am at least 18 years old and that I am voluntarily giving my consent to participate in the study: "Characteristics of stroke heaviness in professional tennis" being conducted at Victoria University and Tennis Australia by Damian Farrow.

I certify that the objectives of the study, together with any risks and safeguards associated with the procedures listed hereunder to be carried out in the research, have been fully explained to me by Olivia Cant and that I freely consent to participation involving the below mentioned procedures:

- Complete one online survey which will take approximately 15 20 minutes:
  - Answer a series of questions on the characteristics and outcomes of 'heavy' groundstrokes, 1<sup>st</sup> serves and 2<sup>nd</sup> serves.

I certify that I have had the opportunity to have any questions answered and that I understand that I can withdraw from this study at any time and that this withdrawal will not jeopardise me in any way.

I have been informed that the information I provide will be kept confidential.

Signed:	 Date:
<u> </u>	

Any queries about your participation in this project may be directed to the researcher

Dr. Damian Farrow Sport Scientist at Victoria University Victoria University – Institute for Health & Sport E. Damian.Farrow@vu.edu.au, Ph: (+61) 408 445 701

Dr Machar Reid Sport Scientist at Tennis Australia E: mreid@tennis.com.au, Ph: (+61) 401 077 441

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If you have any queries or complaints about the way you have been treated, you may contact the Ethics Secretary, Victoria University Human Research Ethics Committee, Office for Research, Victoria University, PO Box 14428, Melbourne, VIC, 8001, email Researchethics@vu.edu.au or phone (03) 9919 4781 or 4461.

# **APPENDIX C – ADDITIONAL FIGURES (CHAPTER 4)**

Figures in Appendix C have not been included as supplementary material in the submitted paper but have been retrospectively referenced in Chapter 4 to further support the presented results.



**Figure A.1.** Effect of ball speed and spin on the out-of-bounce angle of a backhand, shaded areas represent the 95% CI. Spin represents the median value for the 1<sup>st</sup>, 3<sup>rd</sup>, 5<sup>th</sup>, 7<sup>th</sup> and 9<sup>th</sup> deciles of spin.



**Figure A.2.** Effect of ball speed and spin on backhand impact (depth, height and total change in impact), shaded areas represent the 95% CI. Spin represents the median value for the  $1^{st}$ ,  $3^{rd}$ ,  $5^{th}$ ,  $7^{th}$  and  $9^{th}$  deciles of spin.

# **APPENDIX D – ADDITIONAL FIGURES (CHAPTER 5)**

Figures in Appendix D have not been included as supplementary material in the submitted paper but have been retrospectively referenced in Chapter 5 to further support the presented results.



**Figure A.3.** Effect of serve speed and spin on the proportion of ad court topspin backhand servereturns impacted on the rise, shading represents the 95% CI. Spin represents the median value for the 3<sup>rd</sup>, 5<sup>th</sup> and 8<sup>th</sup> deciles of spin.



**Figure A.4.** Effect of landing depth and incoming shot on the proportion of ad court topspin backhand serve-returns and topspin backhand groundstrokes impacted on the rise (shading represents the 95% CI).



**Figure A.5.** Effect of gender on six impact characteristics of the topspin backhand serve-return (shading represents the 95% CI).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> Note, this plot includes serve-return impacts which may be typical of right and left-handed players.

# **APPENDIX E – ADDITIONAL FIGURES AND TABLE (CHAPTER 6)**
The figures and table in Appendix E have not been included as supplementary material in the submitted paper but have been retrospectively referenced in Chapter 6 to further support the presented results.



**Figure A.6.** Estimated change in measures of serve-return quality with a 0.5 SD increase in serve landing depth (first serve: 0.29 m males, 0.31 m females, second serve: 0.34 m males, 0.36 m females), speed (first serve: ~7.80 km/h males, ~7.02 km/h females, second serve: ~7.32 km/h males, ~5.86 km/h females) or spin (first serve: ~599 RPM males, ~513 RPM females, second serve: ~329 RPM males, ~383 RPM females).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> In all cases, the effect corresponds to the estimated change for a topspin backhand when returning an ad court serve. The effect of speed was summarised within the third quintile of spin and vice versa. The median of this quintile, independent of impact classification, was used to summarise effects. Note, an increase in time pressure in the figure corresponds to the ball taking longer to reach the net.

		Men				Women			
		Mean on the rise (95% CI)	Mean on the drop (95% CI)	Change	d	Mean on the rise (95% CI)	Mean on the drop (95% CI)	Change	d
First Serve-Return									
Ball speed (km/h)	fh	106.40 (105.36, 107.44)	107.79 (106.14, 109.44)	-1.39	0.07	106.84 (105.77, 107.91)	107.10 (105.73, 108.47)	-0.26	0.01
	bh	99.12 (98.06, 100.19)	102.27 (100.46, 104.08)	-3.15	0.16	99.90 (98.83, 100.98)	100.98 (99.53, 102.43)	-1.08	0.05
Ball spin (RPM)	fh	1069.77 (1023.15, 1117.43)	1283.99 (1207.09, 1363.26)	-214.22	0.27	1188.88 (1139.06, 1239.76)	1324.30 (1258.81, 1391.46)	-135.43	0.17
	bh	718.03 (679.39, 757.73)	734.67 (671.63, 800.53)	-16.64	0.02	862.23 (819.75, 905.78)	873.80 (818.09, 931.34)	-11.57	0.01
Time pressure (seconds)	fh	0.54 (0.53, 0.54)	0.59 (0.57, 0.60)	-0.05	0.40	0.50 (0.49, 0.51)	0.54 (0.53, 0.55)	-0.04	0.31
	bh	0.58 (0.57, 0.58)	0.61 (0.60, 0.63)	-0.04	0.31	0.53 (0.53, 0.54)	0.56 (0.55, 0.57)	-0.03	0.27
Proportion into play (%)	fh	75.44 (73.40, 77.37)	80.73 (76.55, 84.31)	-5.28	-	81.61 (79.77, 83.32)	83.34 (80.31, 85.98)	-1.73	-
	bh	79.04 (77.04, 80.90)	79.76 (74.50, 84.16)	-0.72	-	82.21 (80.33, 83.94)	82.73 (79.11, 85.83)	-0.52	-
Second Serve-Return									
Ball speed (km/h)	fh	113.50 (112.36, 114.65)	120.59 (118.81, 122.38)	-7.09	0.36	117.34 (116.16, 118.51)	116.83 (115.43, 118.23)	0.51	0.03
	bh	111.11 (110.02, 112.20)	117.48 (116.03, 118.93)	-6.37	0.32	113.78 (112.62, 114.94)	113.45 (112.17, 114.72)	0.33	0.02
Ball spin (RPM)	fh	1335.94 (1280.33, 1392.73)	1979.93 (1878.28, 2084.26)	-643.99	0.80	1391.65 (1333.25, 1451.30)	1606.65 (1532.92, 1682.11)	-215.00	0.27
	bh	1097.27 (1048.44, 1147.22)	1355.50 (1284.93, 1427.95)	-258.23	0.32	1171.42 (1118.64, 1225.42)	1274.47 (1214.51, 1335.86)	-103.05	0.13
Time pressure (seconds)	fh	0.45 (0.44, 0.45)	0.53 (0.52, 0.55)	-0.09	0.70	0.42 (0.42, 0.43)	0.47 (0.46, 0.48)	-0.04	0.36
	bh	0.44 (0.43, 0.44)	0.52 (0.51, 0.53)	-0.08	0.65	0.42 (0.42, 0.43)	0.47 (0.46, 0.47)	-0.04	0.36
Proportion into play (%)	fh	80.00 (77.73, 82.09)	87.47 (83.30, 90.72)	-7.48	-	82.95 (80.70, 84.98)	87.17 (84.32, 89.57)	-4.22	-
	bh	84.93 (83.17, 86.54)	92.20 (89.72, 94.12)	-7.27	-	85.31 (83.23, 87.18)	89.95 (87.78, 91.78)	-4.64	-

Table A.1. Effect of impact (on the rise, on the drop) on four measures of serve-return quality.<sup>†</sup>

Note, Change: estimated difference between impact on the rise and drop, *d*: Cohen's *d* effect size. fh, forehand; bh, backhand

<sup>&</sup>lt;sup>†</sup> Results correspond to a topspin forehand return in response to a T serve on the ad court and topspin backhand return in response to a T serve on the deuce court.



**Figure A.7.** Estimated change in measures of return quality with a 0.5 SD increase in groundstroke landing depth (0.87 m males and females), speed ( $\sim$ 7.70 km/h males,  $\sim$ 6.75 km/h females) or spin ( $\sim$ 504 RPM males,  $\sim$ 353 RPM females).<sup>†</sup>

<sup>&</sup>lt;sup>†</sup> In all cases, the effect corresponds to the estimated change for a backhand during a topspin rally. The effect of speed was summarised within the third quintile of spin and vice versa. The median of this quintile, independent of impact classification, was used to summarise effects. Note, an increase in time pressure in the figure corresponds to the ball taking longer to reach the net.