

# Essential Oils and Their Principal Constituents as Antimicrobial Agents for Synthetic Packaging Films

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1	Essential Oils and Their Principal Constituents as Antimicrobial Agents for Synthetic
2	Packaging Films
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#### 27 Abstract

28 Spices and herbal plant species have been recognized to possess a broad spectrum of active 29 constituents that exhibit antimicrobial (AM) activity. These active compounds are produced 30 as secondary metabolites associated with the volatile essential oil (EO) fraction of these 31 plants. A wide range of AM agents derived from EOs have the potential to be used in AM 32 packaging systems which is one of the promising forms of active packaging systems aimed at 33 protecting food products from microbial contamination. Many studies have evaluated the AM 34 activity of synthetic AM and/or natural AM agents incorporated into packaging materials and 35 have demonstrated effective AM activity by controlling the growth of microorganisms. This 36 review examines the more common synthetic and natural AM agents incorporated into or 37 coated onto synthetic packaging films for AM packaging applications. The focus is on the 38 widely studied herb varieties including basil, oregano and thyme and their essential oils. 39

40 Keywords: Essential oils, natural AM agents, active packaging, antimicrobial packaging,
41 microbial contamination

#### 43 **1** Introduction

44 Food products can be subjected to microbial contamination that is mainly caused by bacteria, yeasts and fungi. Many of these microorganisms can cause undesirable reactions that 45 46 deteriorate the flavour, odour, colour, sensory, and textual properties of foods (Appendini and Hotchkiss 1997; Vermeiren and others 1999; Weng and others 1999; Appendini and 47 48 Hotchkiss 2002; Vermeiren and others 2002; Devlieghere and others 2004a; Han 2005; 49 Rupika and others 2005; Davidson and Taylor 2007; Gutierrez and others 2008). Microbial 50 growth in food products is a major concern because some microorganisms can potentially 51 cause food-borne illness (Padgett and others 1998; Natrajan and Sheldon 2000; Cha and 52 Chinnan 2004; Davidson and others 2005; de Oliveira and others 2007). In packaged foods, 53 the growth and survival of common spoilage and pathogenic microorganisms such as Listeria 54 monocytogenes, Escherichia coli O157, Salmonella, Staphylococcus aureus, Bacillus cereus, 55 Campylobacter, Clostridium perfringens, Aspergillus niger and Saccharomyce cerevisiae are 56 affected by a variety of intrinsic factors such as pH, water activity, and the presence of 57 oxygen or by extrinsic factors associated with storage conditions including temperature, time 58 and relative humidity (Singh and others 2003; López-Malo and others 2005; Rydlo and others 59 2006). Many food products including various types of cheeses, meats, poultry and baked 60 products are highly susceptible to microbial spoilage (Weng and Hotchkiss 1993; Suppakul 61 2004; Limjaroen and others 2005; Schelz and others 2006; Silveira and others 2007).

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To prevent the growth of spoilage and pathogenic microorganisms on foods, various traditional preservation techniques such as heat treatment, salting, acidification and drying are used in the food industry (Quintavalla and Vicini 2002; Ozdemir and Floros 2004; Davidson and Taylor 2007; Farkas 2007). In recent years, a rise in consumer demand for safe, fresh and minimally-processed foods, has led to the development of new preservation

techniques. Active packaging (AP) technologies, for example, can provide safe food products 68 69 with longer shelf lives (Rooney 1995; Lau and Wong 2000; Vermeiren and others 2002; 70 Fitzgerald and others 2003; Ozdemir and Floros 2004; Gutierrez and others 2008). In the 71 food industry, spoilage of food products, including spoilage that is caused by microorganisms 72 is a major concern. The AP technologies designed primarily to protect food products from 73 deterioration and from the growth of microorganisms can involve the use of synthetic or natural antimicrobial (AM) agents (Juneja and Sofos 2005). To diminish food spoilage by 74 75 microorganisms, different AM agents (primarily synthetic) are commonly incorporated 76 directly into the food. This method has many disadvantages: (i) consumers prefer foods with 77 no or minimal synthetic additives because of concerns about side-effects; (ii) since food 78 spoilage occurs primarily on the surface, incorporation of relatively large quantities of the 79 agents in the bulk of the food is not justified; (iii) some of the synthetic agents possess a 80 distinct flavour that may rendered the food flavour, and (iv) synthetic additives have to be 81 declared on the package. Therefore, packaging materials that incorporate in them the AM 82 agent as an additional protective barrier are emerging as the preferred preservation method. 83 Several authors have reported AP technologies that involve the use of films produced from 84 synthetic polymers (Miltz and others 1995; Rooney 1995; Smith and others 1995). These 85 materials can act as carriers for active agents, including AM compounds, in order to maintain 86 high concentrations of the agent on or near the food surface to control or prevent the growth 87 of spoilage and pathogenic microorganisms (Krochta and De Mulder-Johnston 1997; Joerger 88 2007; Raybaudi-Massilia and others 2009; Rojas-Graü and others 2009; Suppakul and others 89 2011a). Thus a packaging film impregnated or coated with an AM agent could potentially 90 extend the shelf life and improve the microbial safety of food products (Appendini and 91 Hotchkiss 2002; Suppakul and others 2003b; Burt 2004; Kuorwel and others 2011b).

92 Although AM agents such as essential oils (EOs) and/or their principal components may 93 exhibit AM activity against various microorganisms when incorporated into packaging 94 materials, the organoleptic properties of the packaged food products are one of the important 95 factors that must also be taken into consideration. According to Davison and Zivanovic 96 (2003), the concentration of AM agents required to demonstrate AM activity against various 97 microorganisms on food products might be higher than the concentration applied for flavouring purposes. As a result, this might cause food tainting and/or adverse sensorial 98 99 effects to food products (Smith-Palmer and others 2001; Bagamboula and others 2004). The 100 adverse sensorial effects of AM agents to food products can be overcome by masking the 101 odor of AM agents with other approved aroma compound as suggested by Gutiérrez and 102 others (2009). An understanding of the relationship between minimum inhibitory 103 concentration and acceptable organoleptic properties of AM Agents such as EOs and/or their 104 constituents is also important (Lambert and others 2001). In some cases, the replacement of 105 EOs with one or a number of their principal constituents may provide equal AM effectiveness 106 but with milder flavouring attributes (Lambert and others 2001; Smith-Palmer and others 107 2001).

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109 In a recent review, the current authors presented an evaluation of the AM activity of 110 biodegradable polysaccharide and protein based films containing natural agents (Kuorwel and 111 others 2011b). These films showed the potential for wide-range of applications in food 112 packaging where undesirable microbial growth is a concern. Moreover, these films degrade 113 readily in the environment but the acquisition of this attribute may harm the processability 114 and mechanical stability of the film. Thus, in spite of the increasing concern in recent years about the use of synthetic polymers due to their poor biodegradability, these materials have 115 several advantages including low cost, good processability and sound mechanical and 116

117 physical properties. Therefore, development of AM packaging materials manufactured from 118 synthetic polymers such as low-density polyethylene (LDPE), high-density polyethylene 119 (HDPE), polystyrene (PS), polyethylene terephthalate (PET) and polypropylene (PP) is still 120 important in offering commercial benefits for packaging food products. In the current review, 121 a detailed summary of synthetic films utilising common synthetic and natural AM agents is 122 presented with an emphasis on the principal components of basil, oregano, and thyme 123 essential oils (EOs) namely, linalool, carvacrol and thymol respectively. This is followed by a 124 list of other natural AM agents that have the potential for controlling microbial growth on 125 foods.

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#### 127 2 Antimicrobial Packaging Systems

128 Studies have shown that AM packaging systems can increase the shelf life of packaged foods 129 by extending the lag phase and reducing the growth rate of spoilage microorganisms (Han 130 2000; Cooksey 2001; Appendini and Hotchkiss 2002; Rydlo and others 2006; Coma 2007; de 131 Oliveira and others 2007; Gutierrez and others 2008; Rardniyom and others 2008; Rupika 132 and others 2008). In the past, preservatives were directly added into food products to protect 133 them from microbial contamination. This process of direct addition of preservatives into 134 foods may result in levels of additives in excess to those required for an efficient AM effect. 135 New AM packaging systems have attracted much attention in the food industry with the aim 136 of replacing the conventional food preservation systems (Weng and Hotchkiss 1993; An and others 1998; Quintavalla and Vicini 2002; Bagamboula and others 2004; Devlieghere and 137 138 others 2004b; Miltz and others 2006).

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140 An AM packaging system can be produced by: directly incorporating AM agents into 141 packaging films; coating of the packaging films with AM agents; developing packaging

142 materials from polymers that have inherent AM properties (Vermeiren and others 2002; 143 Suppakul and others 2003b). Typically, AM packaging systems can be regarded as migrating 144 or non-migrating with the distinction depending on the specific AM agent used and on its 145 interactions with the packaging and food matrix (Cooksey 2000). In a migrating system, the 146 AM agent is released from the packaging film into the package headspace and onto the food 147 surface and such systems are most useful when direct contact between the packaging film and food product is not required for efficient AM activity (Weng and Hotchkiss 1993; Cooksey 148 149 2000). The non-migrating systems involve packaging materials in which the AM agent is 150 immobilised within the material (Brody and others 2001) and these systems can be applied 151 where direct contact between the food and the material can be achieved or is required for 152 effective AM activity (Vermeiren and others 2002; Suppakul and others 2003b). In either AM 153 packaging system, both synthetic and natural AM agents can be incorporated into or coated 154 onto the packaging material. The mode of action of AM agents incorporated in a packaging 155 material is influenced by the controlled and slow release of the agent onto the food surfaces. 156 This is required in order to maintain an adequate concentration of the agent on the food and 157 effectively inhibit microbial growth throughout the product shelf life (Cooksey 2005; Salleh 158 and others 2007; Cran and others 2010; Tunç and Duman 2011). Han (2005) suggested that the mass transfer rate of an AM agent should not be faster than the growth rate of the target 159 160 microorganism, otherwise the AM agent might be diluted on the surface of the packaged food 161 product, thus limiting the AM activity.

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During extrusion or compression moulding of AM films, the temperature and mechanical energy input, such as shearing forces, must be carefully considered (Han 2003). Highprocessing temperatures, for example, may result in considerable losses of volatile AM agents (Han and Floros 1997; Han 2000; Rupika and others 2005; Suppakul and others

167 2011b). Moreover, Cooksey (2005) suggested that an AM agent might partly or completely 168 lose its AM activity if incorporated into a film under harsh processing conditions. Therefore, 169 to minimise the loss of AM agent during processing, temperatures that are as low as possible 170 should be applied (Han and Floros 1998; Suppakul and others 2011b). The storage temperature may also influence the activity of AM agents that are incorporated into 171 172 packaging films (Vojdani and Torres 1989; Han 2005). The concentration of AM agents 173 retained in the film may decrease during long-term storage. However, the amount of AM 174 agent retained in the film after a long storage period may be sufficient to demonstrate AM 175 activity as shown by Suppakul and others (2011b). Du and others (2008) reported AM 176 activity against E. coli (using an agar disc diffusion method) of carvacrol incorporated into 177 films for edible apples that were stored for 7 weeks.

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179 2.1 Synthetic AM Agents

180 In the past few decades, various synthetic AM agents have been investigated and developed 181 into food packaging materials (Weng and Hotchkiss 1992; Weng and Hotchkiss 1993). Many 182 of these agents including various organic acids and salts have been approved by regulatory 183 agencies and have since been used for the preservation of food products (Davidson and 184 Taylor 2007). Synthetic AM agents that have demonstrated inhibitory activity against 185 different microorganisms include sodium benzoates and propionates, potassium sorbates, 186 sulfites, chlorides, nitrites, triclosan, fungicides (e.g. benomyl, imazalil) and various metal 187 ions including silver zeolites, quaternary ammonium salts and copper ions (Chen and others 1996; Devlieghere and others 2000a; Han 2000; Ouattara and others 2000; Hoffman and 188 189 others 2001; Cooksey 2005). Other AM agents such as acetic acid from vinegar and benzoic 190 acid from cranberries are found in nature, but are classified as synthetic AM agents when 191 produced synthetically (Davidson and Taylor 2007).

192 Many synthetic AM compounds have been evaluated in synthetic polymeric materials by 193 various researchers. Table 1 summarises these synthetic AM agents incorporated into or 194 coated onto packaging materials as potential candidates for food packaging. Although Table 195 1 contains a large amount of information on the activity of AM agents successfully 196 incorporated into various synthetic polymers, comparison between the different AM agents 197 and/or AM films is difficult due to variations in strains of microorganisms and different experimental conditions or equipment used by the various researchers. In order to compare 198 199 the results of various experiments involving AM agents, there is a need for a standardisation 200 of the test methods as suggested by Suppakul and others (2003a).

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202 Numerous studies have concentrated on incorporating common food preservatives such as 203 organic acids, their salts and anhydrides into packaging films (see Table 1). Studies on 204 benzoic or sorbic acid incorporated into packaging materials have evaluated their action 205 against various microorganisms in laboratory media such as agar plates and/or in actual food 206 products. The packaging films incorporated with these organic acids or anhydrides have 207 demonstrated inhibitory effects against various spoilage and pathogenic microorganisms. 208 Weng and others (1999) showed that benzoic acid or sorbic acid incorporated into 209 poly(ethylene-co-methacrylic acid) (PEMA) film inhibited the growth of A. niger and Penicillium sp. on solid media. Weng and Chen (1997) investigated the AM activity of 210 211 benzoic acid or benzoyl chloride incorporated into ionomer films. The AM activity of these 212 films was demonstrated by their ability to inhibit the growth of *Penicillium* sp. and *A. niger*. 213 In an earlier study, Weng and Hotchkiss (1993) incorporated benzoic acid or benzoic 214 anhydride into LDPE films which significantly suppressed the growth of *Rhizopus stolonifer*, 215 Penicillium sp. and A. toxacarius on potato dextrose agar and on the surface of Cheddar 216 cheese. Matche and others (2006) examined the AM activity of benzoyl chloride incorporated 217 into modified ethylene acrylic acid films against Penicillum sp. and A. niger sp. on solid 218 media for 15 days with the film demonstrating inhibition against both species. Silveira and 219 others (2007) incorporated sorbic acid into LDPE films with the aim of preserving fresh 220 pastry dough. It was found that 3% (w/w) sorbic acid incorporated into a 70 µm film reduced 221 2 and 1.5 log cycles of mesophilic and psychrotrophic bacteria respectively on the pastry 222 dough after 40 days of storage at 8°C compared to the control film. Limjaroen and others 223 (2005) coated sorbic acid onto polyvinylidene chloride copolymer films to control the growth 224 of L. monocytogenes on beef bologna and Cheddar cheese. It was found that sorbic acid coated on the films inhibited microbial growth on cheese by log 0.6 CFUg<sup>-1</sup> after 28 days of 225 226 storage at 4°C. They further reported that the population of L. monocytogenes on beef bologna was reduced by log 0.6 and 1.4 CFUg<sup>-1</sup> for films containing 1.5% and 3.0% (w/v) 227 respectively compared to the control film. 228

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230 Other researchers have studied the AM activity of salts against several microorganisms as 231 shown in Table 1. Han and Flores (1997) developed LDPE films containing potassium 232 sorbate and found that these films successfully reduced the growth of S. cerevisiae in vitro experiments. Vartiainen and others (2003b) demonstrated that potassium sorbate, sodium 233 234 benzoate and sodium nitrate incorporated into LDPE, poly(maleic acid-co-olefine), PET or 235 PS films inhibited the growth of *B. cereus* on culture media. Limiaroen and others (2003) 236 coated potassium sorbate onto polyvinylidene chloride copolymer films and reported that the 237 films inhibited the growth of L. monocytogenes on solid media.

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In addition to the antibacterial activity, the antifungal activity of synthetic AM agents incorporated in polymeric materials has been investigated (Halek and Anita 1989; López-Malo and others 2007). Vartiainen and others (2003a) examined the inhibitory effects of 242 imazalil incorporated into LDPE against the growth of A. niger by the agar diffusion assay with films containing 0.05-0.25% (w/w) imazalil demonstrating significant inhibitory 243 244 activity. Weng and Hotchkiss (1992) incorporated imazalil into LDPE film and evaluated the 245 antimycotic activity of this agent on the growth of A. toxacarius and Penicillium sp. on potato dextrose agar (PDA) and Cheddar cheese. They reported that 2 g kg<sup>-1</sup> of imazalil suppressed 246 the growth of *A. toxacarius* on PDA whereas a film containing 1 g kg<sup>-1</sup> of imazalil reduced 247 the growth of *Penicillium* sp. The latter film inhibited the growth of both mould species on 248 249 the surface of Cheddar cheese. López-Malo and others (2002; 2005) examined the antifungal 250 activity of potassium sorbate, sodium benzoate and sodium bisulfite against the growth of 251 Aspergillus flavus inoculated on laboratory media with each of the agents imparting an 252 inhibitory effect. López-Malo and others (2007) investigated the antifungal activity of sodium 253 benzoate and cinnamon extract, separately or in combination, against the growth of A. flavus 254 on potato dextrose agar or a checkerboard array respectively. They found that both AM agents demonstrated antifungal activity on A. flavus, with cinnamon extract being more 255 256 effective than sodium benzoate. They claimed that mixtures of cinnamon extract and sodium 257 benzoate showed promising antifungal activity.

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259 Triclosan and hexamethylenetetramine are common synthetic AM agents that have been 260 evaluated in packaging systems with some commercial developments. Vermeiren and others 261 (2002) investigated the AM activity of triclosan incorporated into LDPE film and reported 262 that concentrations of 0.5 and 1.0% (w/w) demonstrated AM activity against L. monocytogenes, Sal. enteritidis, Staph. aureus, E. coli O157:H7 and Brocothrix 263 thermosphacta in an agar diffusion assay. Cutter (1999) reported that triclosan was effective 264 against bacteria on the surface of beef. Recently, Camilloto and others (2010) studied the 265 activity of triclosan incorporated into LDPE against Staph. aureus, E. coli, L. innocua and P. 266

267 aeruginosa in the agar disc diffusion test and found that the AM film inhibited the growth of 268 Staph. aureus and E. coli. Chung and others (2003a) investigated the AM activity of triclosan 269 coated onto styrene-acrylate copolymer against Enterococcus faecalis on solid and in liquid 270 media and showed effective inhibition of the bacteria. Ji and Zhang (2009) reported that 271 triclosan incorporated into PVC film inhibited the growth of Staph. aureus and E. coli using 272 the plate-counting technique. Devlieghere and others (2000b) studied the AM activity of 273 hexamethylenetetramine impregnated into an LDPE film and found it to be effective against 274 spoilage microorganisms on cooked ham.

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#### **5 2.2 Natural Antimicrobial Agents**

277 In recent years, natural AM agents have attracted much attention in the food and packaging 278 industries as a replacement for synthetic ones for food preservation. According to Davidson 279 and Zivanovic (Davidson and Zivanovic 2003), natural AM agents are classified by their 280 sources: AM agents derived from plant EOs (e.g. basil, thyme, oregano, cinnamon, clove and 281 rosemary); animal sources (e.g. lysozyme, lactoferrin); microbial sources (nisin, natamycin); 282 and naturally occurring polymers (chitosan). The EOs extracted from plant sources consist of various mixtures including terpenoids, esters, aldehydes, ketones, acids and alcohols 283 284 (Dorman and Deans 2000). These plant EOs are volatile and generally possess relatively 285 strong odours (Bakkali and others 2008).

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Extracts derived from various herbs and EOs contain a range of natural compounds such as thymol, linalool and carvacrol which have a broad AM spectrum against different pathogenic and spoilage microorganisms including Gram-negative species such as *E. coli, Yersinia enterocolitica, P. aeruginosa* and *Sal. choleraesuis* (López and others 2007a; Suppakul and others 2011b), Gram-positive bacteria such as *L. monocytogenes, Staph. aureus, B.* cereus

292 (Friedman and others 2002; López and others 2007b; Gutiérrez and others 2009), yeasts such as S. cerevisiae, Candida albicans, Debaryomyces hansenii (Rupika and others 2006; 293 294 Suppakul and others 2008; Kuorwel and others 2011a) and moulds such as Alternaria 295 alternate, A. niger, Botrytis cinerae, A. flavus, penicllium roqueforti (López-Malo and others 296 2007; Rodríguez-Lafuente and others 2010). These additives are considered to be safe and 297 have the "Generally Recognised As Safe" (GRAS) status as designated by the American Food and Drug Administration (Zaika 1988; Han 2005; Matan and others 2006). 298 299 Antimicrobial agents derived from plant sources are produced as secondary metabolites and 300 are associated generally with the volatile EO fractions. The mode of action of AM agents 301 and/or AM activity of plant EOs is related to their chemical structure namely, the presence of 302 hydrophilic functional groups such as the hydroxyl groups of phenolic components and/or 303 lipophilicity of the components in the EOs which depends on their concentration (Farag and 304 others 1989; Davidson and Naidu 2000; Dorman and Deans 2000; Friedman and others 2002; 305 Bagamboula and others 2004). Essential oils and their principal constituents inhibit 306 microorganisms via a range of mechanisms such as: disruption of the cyctoplasmic 307 membrane (Knobloch and others 1989; Sikkema and others 1995; Helander and others 1998); 308 leakage of intracellular constituents such as metabolites and ions (Sikkema and others 1995; 309 Lambert and others 2001); coagulation of cell content (Gustafson and others 1998; Pauli 310 2001); inhibition of protein synthesis (Helander and others 1998), enzymes associated with 311 cell wall synthesis (Conner and Beuchat 1984), DNA/RNA synthesis (Ultee and others 1999; 312 Tassou and others 2000), general/metabolite pathways (Ultee and others 2002); and/or the 313 destruction of the osmotic integrity of the cell membrane (Ultee and Smid 2001). The AM 314 activity of different EOs is very difficult to compare given the variation of EOs compositions 315 amongst the plant species, differences in the geographic origin of the plants, harvesting season, extraction methods and the part of plant that is used (Zaika 1988; Elgayyar and others2001).

318 There are a number of test methods used to determine the AM activity of various EOs and 319 their principal constituents. These include diffusion methods (agar diffusion), dilution 320 methods (broth and agar dilution) and microatmosphere methods (Davidson and Zivanovic 321 2003; Guynot and others 2003; Nedorostova and others 2011; Tunç and Duman 2011). These 322 test methods provide preliminary information on the possible effectiveness of the tested 323 active constituents. The agar diffusion method has been widely used in the past, but the 324 results obtained from this technique are qualitative. Although the agar diffusion method can 325 indicate the AM activity of EOs and/or their principal components on solid media, the high 326 hydrophobicity of EOs is always a major problem (Davidson and Zivanovic 2003). As a 327 result, the agar disc diffusion assays do not generally demonstrate a clear zone of inhibition at 328 very low concentrations; however these do exhibit a clear inhibition zone at high 329 concentrations of hydrophobic, lipophilic AM agents (Friedman and others 2002; Sanla-Ead 330 and others 2011). Conversely, microatmosphere methods, which allow the determination of 331 the AM activity of EOs and/or their constituents in the vapour phase, can be used with lipophilic AM films at low concentrations of AM agents (López and others 2007a; Fisher and 332 333 others 2009; Goñi and others 2009; Kloucek and others 2011). Recently, Sanla-Ead and 334 others (2011) investigated the AM activity of cinnamaldehyde and eugenol incorporated into 335 cellulose-based packaging films against Gram-negative bacteria (E. coli, Sal. enteritidis), 336 Gram-positive bacteria (L. monocytogenes, Staph. aureus) and yeasts (C. albicans, C. cerevisiae) using the vapour diffusion assay. The authors reported that cinnamaldehyde and 337 338 eugenol incorporated into cellulose-based packaging films demonstrated positive inhibitory 339 effects against the tested microorganisms.

Table 2 summarises the AM activity of a range of common natural agents that have been incorporated into or coated onto synthetic packaging films. Table 2 also lists other studies that have evaluated the inhibitory effects of natural AM agents *in vitro* or directly on food products without incorporating them into packaging films.

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#### 346 2.2.1 Antimicrobial Activity of Basil Essential Oils

347 Basil EOs contain primarily linalool and methylchavicol as the active volatile components 348 which are responsible for their AM activity (Simon and others 1990; Fyfe and others 1998; 349 Wan and others 1998; Bezic and others 2003; Suppakul 2004). Many studies have evaluated 350 the AM activity of basil EOs against various microorganisms both *in vitro* and on a range of 351 food products as shown in Table 2. Prasad and others (1986) investigated the AM activity of 352 the EOs of O. basilicum against various Gram-positive and Gram-negative bacteria with the 353 oils shown to be more effective against Gram-positive bacteria including Bacillus 354 sacharolyticus, B. stearothermophilus, B. subtilis, B. thurengiensis, Micrococcus glutamicus 355 and Sarcina lutea than the Gram-negative ones. Lachowicz and others (1998) evaluated the 356 AM effects of EOs of sweet basil against acid-tolerant food microflora. They reported greater 357 inhibitory effects of the tested EOs against the Gram-positive bacteria Bacillus sp., Staph. 358 aureus sp., Micrococcus sp., Sarcina sp., Lactobacillus sp. than against the Gram-negative 359 bacteria E. coli, Salmonella sp., Enterobacter sp. and Pseudomonas sp. In contrast to these 360 studies, Koga and others (1999) found that the Gram-positive bacteria were more resistant to 361 basil EOs than the Gram-negative ones.

362

363 Various researchers have reported also the inhibitory effect of basil EOs against fungi. Rai
364 and others (1999) evaluated the antifungal activity of the EOs of ten plant species (including
365 *O. basilicum*) and reported that the EOs of basil were active against all *Fusarium* species

including *F. acuminatum*, *F. solani*, *F. pallidoroseum* and *F. chlamydosporum*. Conner and Beuchat (1984) reported positive AM activity of basil EOs against *Kloeckera apiculata* on solid media. Basilico and Basilico (1999) investigated the inhibitory effects of some EOs, including that of basil (*O. basilicum*), against the growth of *A. ochraceus* and subsequent ochratoxin A production. They reported that at a level of 1000 ppm, only basil EO decreased the fungal growth and the production of ochratoxin A for up to 7 days after which mould growth occurred.

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#### 74 2.2.2 Antimicrobial Activity of Linalool

375 Linalool has been reported to possess both fungistatic and antibacterial properties against a 376 wide spectrum of microorganisms such as Staph. aureus, L. innocua, E. coli, A. niger and S. 377 cerevisiae (Lachowicz and others 1998; Friedman and others 2002; Suppakul and others 378 2003a). As shown in Table 2, numerous studies have evaluated the AM activity of linalool 379 incorporated into packaging films. For example, Suppakul and others (2006; 2008) reported 380 that linalool incorporated into LDPE film exhibited inhibitory activity against the growth of 381 Staph. aureus, L. innocua, E. coli and S. cerevisiae on culture media and on the surface of 382 Cheddar cheese. Rardniyom (2008) investigated the AM activity of linalool coated onto 383 LDPE and nylon films against the growth of *E. coli* and reported effective inhibitory activity 384 in liquid culture and on Cheddar cheese. Rupika and others (2006) reported that linalool 385 incorporated into LDPE films demonstrated significant inhibitory activity against the growth 386 of L. innocua and E. coli both in vitro and on the surface of Cheddar cheese. Suppakul and 387 others (2011b) reported that linalool and/or methylchavicol incorporated into LDPE films 388 demonstrated inhibitory activity against the growth of E. coli on agar disc media.

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390 The AM activity of linalool against several microorganisms has also been reported in studies 391 conducted in vitro only. Kim and others (1995) investigated the AM activity of some EO 392 components including linalool against five food-borne pathogens (E. coli, E. coli O157:H7, 393 Sal. typhimurium, L. monocytogenes and V. vulnificus) and found a dose-related increase in 394 the zone of inhibition against all tested strains except for L. monocytogenes. Mazzanti and 395 others (1998) reported that linalool completely inhibited the growth of all yeasts (seven 396 strains of C. albicans, C. krusei and C. tropicalis), Staph. aureus and E. coli using the agar 397 disc diffusion method. Dorman and Deans (2000) investigated the antibacterial activity of 21 398 plant volatile oil components including linalool against 25 bacterial strains using the agar 399 well diffusion method. It was reported in this study that linalool was an effective AM agent 400 against a broad spectrum of 23 out of the 25 bacterial strains investigated.

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402 2.2.3 Antimicrobial Activity of Oregano and Thyme Essential Oils

403 Oregano and thyme are popular culinary herbs with their EOs containing terpenoid 404 compounds, mainly the monoterpenoid phenols of thymol (5-methyl-2-[1-methylethyl] 405 phenol) and carvacrol (5-isopropyl-2-methyl phenol). These EOs have been claimed to 406 demonstrate potential health benefits, antioxidant activity and AM properties (Nychas 1995; 407 Baratta and others 1998; Youdim and Deans 2000; Olasupo and others 2004; Tepe and others 408 2004; Davidson and Taylor 2007). The AM activity of thyme and oregano EOs is primarily 409 attributed to their major components thymol and carvacrol respectively (Farag and others 410 1989; Cosentino and others 1999; Davidson and Naidu 2000; Dorman and Deans 2000; 411 Lambert and others 2001; Bagamboula and others 2004; Davidson and Taylor 2007).

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413 The AM activity of oregano and thyme EOs against various microorganisms has been 414 investigated on media and on a range of food products as summarised in Table 2. For

415 example, Lin and others (2004) evaluated the AM activity of phenolic compounds derived 416 from oregano against *L. monocytogenes* on solid media, on beef and on fish products and 417 reported that the extracts exhibited AM activity against *L. monocytogenes* in the agar 418 diffusion assays. Friedman (2004) studied the antibacterial activity of ten different EOs 419 including that of oregano against *E. coli* and *Sal. enterica* in apple juice. They reported that 420 the selected EOs exhibited greater AM activity against *Sal. enterica* than *E. coli*.

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422 The AM activity of oregano and thyme EOs was investigated in liquid culture and solid 423 media against various microorganisms. Becerril and others (2007) investigated the AM 424 activity of oregano EOs incorporated into a patented plastic packaging material against E. 425 coli and Staph. aureus, using a "kill time" assay. The authors claimed that oregano EOs 426 exhibited significant AM activity with a kill time of approximately 90 min for E. coli and 104 427 min for Staph. aureus. Marques and others (2008) studied the AM activity of three natural 428 AM agents: oregano, garlic and chitosan against the growth of Sal. enterica in liquid culture 429 at 10 and 20°C. They reported that all of the natural agents inhibited significantly the 430 microbial growth at both temperatures with oregano demonstrating the highest inhibitory 431 effects followed by garlic then chitosan. Rodriguez-Lafuente and others (2010) investigated 432 the AM activity of oregano and cinnamon EOs incorporated into packaging-paper against 433 Alternaria alternata using an *in vitro* antifungal assay. The authors reported that oregano and 434 cinnamon EOs inhibited the growth of A. alternata on solid media.

435

Nielsen and Rios (2000) reported that oregano EOs exhibit an inhibitory activity against
microorganisms commonly associated with bread spoilage. Tepe and others (2004) examined
the AM activity of *Thymus eigii* EOs and its main constituents carvacrol, thymol and *p*cymene against *B. catarrhalis, C. perfringens, B. cereus, Staph. aureus, S. pneumoniae, M.*

440 smegmatis and P. aeruginosa in vitro and found that these EOs demonstrate AM activity 441 against the tested microorganisms. More recently, Gutierrez and others (2008) evaluated the 442 synergistic effect of the EOs of thyme, oregano, lemon balm, marjoram, rosemary and sage 443 against B. cereus, E. coli, L. monocytogenes and P. aeruginosa using the spot test on agar 444 media. They reported a significant AM activity of oregano in combination with basil, thyme 445 or marjoram against B. cereus, E. coli and P. aeruginosa. Similarly, Gutierrez and others 446 (2009) determined the AM activity of the EOs of thyme, oregano, lemon balm and marjoram 447 against Enterobacter sp., Listeria sp., Lactobacillus sp. and Pseudomonas sp. using foods 448 based on lettuce, meat and milk. Their findings demonstrated that minimum inhibitory 449 concentrations were significantly lower in lettuce and beef media than in tryptic soy broth 450 and that oregano and thyme produced the most active EOs. Lopez and others (2007b) 451 reported AM activity of oregano, cinnamon and clove EOs incorporated into PP or PE/EVOH 452 against various Gram-negative bacteria (E. coli, Y. enterocolitica, P. aeruginosa and Sal. 453 choleraesuis), Gram-positive bacteria (L. monocytogenes, Staph. aureus, B. cereus and E. 454 faecalis), yeasts (C. albicans, D. hansenii, Z. rouxii) and moulds (B. cinerae, A. flavus, E. 455 repens, p. roqueforti, P. islandicum, P. commune, P. nalgiovensis). Similarly, Lopez and 456 others (2007a) reported AM activity of cinnamon, oregano and thyme EOs against various 457 Gram-negative bacteria (E. coli, Y. enterocolitica, P. aeruginosa and Sal. choleraesuis), 458 Gram-positive bacteria (L. monocytogenes, Staph. aureus, B. cereus and E. faecalis), yeasts 459 (C. albicans) and moulds (A. flavus, P. islandicum). The main constituents: cinnamaldehyde, 460 carvacrol and thymol also demonstrated inhibitory effect against L. monocytogenes, Sal. 461 choleraesuis, A. flavus and C. albicans using a modified vapour diffusion test.

462

463 Sagdiç and Özcan (2003) investigated the AM activity of various EOs including oregano and
464 thyme EOs against different microorganisms including *Bacillus amyloliquefaciens*, *B. cereus*,

465 Enterobacter aerogenes, E. coli, Sal. enteritidis, Staph. aureus and Yersinia enterocolitica. 466 They reported that oregano was particularly effective against all bacteria during incubation. 467 Oussalah and others (2006) studied the inhibitory effects of sixty different EOs including 468 oregano and thyme against *Pseudomonas putida*. The results of their study showed that many EOs possess in vitro antibacterial activity against P. putida with oregano and thyme EOs 469 470 demonstrating the highest AM activity. Viuda-Martos and others (2008) evaluated the 471 effectiveness of the EOs of oregano, sage, clove, thyme, rosemary and cumin on the growth 472 of various microorganisms including Lactobacillus curvatus, Lactobacillus sakei, Staph. 473 carnosus and Staph. xylosus, Enterobacter gergoviae and Enterobacter amnigenus. They 474 found that each of the EOs demonstrated inhibitory activity against all bacteria tested with 475 oregano showing the highest AM activity and reported that the effects of thyme, sage and 476 rosemary were concentration dependent. Baydar and others (2004) studied the antibacterial 477 activity of EOs of thyme, oregano and savoury against various pathogenic bacteria including 478 B. cereus, E. coli and L. monocytogenes. They reported positive AM activity against the 479 tested bacteria and suggested that the inhibition may be attributed to the action of the 480 components carvacrol,  $\gamma$ -terpinene and *p*-cymene (a constituent of cumin or thyme EOs). The 481 results of these studies demonstrate that oregano and thyme EOs have the potential to be used 482 as AM agents in the food industry for better preservation of quality, enhancement of safety 483 and extension of shelf life. Nevertheless, additional information is required on the benefits of 484 these EOs before considering them as potential candidates for manufacturing of AM films 485 with commercial applications.

486

#### 487 2.2.4 Antimicrobial Efficacy of Carvacrol and Thymol

488 Carvacrol and thymol are the major components of oregano and thyme EOs. They have489 received substantial attention as useful natural AM agents due to their natural origin and

GRAS status, as well as them exhibiting a broad AM spectrum against different microorganisms, and possessing heat stability when incorporated into packaging materials (Deans and Ritchie 1987; Zaika 1988; Ultee and others 1998; Lorenzo and others 2003; Couladis and others 2004; Azaz and others 2005; Han 2005; Matan and others 2006). Table 2 shows that carvacrol and/or thymol can be applied in food products to control microbial contamination by various microorganisms including bacteria, yeasts and moulds.

496

497 Bagamboula and others (2004) determined the AM effect of carvacrol or thymol against 498 Shigella sp. (S. sonnei and S. flexneri) on lettuce. They observed a decrease in Shigella sp. 499 after washing the lettuce with 0.5% and 1% (v/v) thymol or carvacrol and found that at 1% 500 (v/v) of each agent, the population decreased to an undetectable level. They also reported 501 significant inhibition of Shigella sp. using the agar diffusion method. The AM activity of 502 carvacrol has also been reported by Ultee and others (2000) when studying the preservation 503 of rice against B. cereus. Roller and Seedhar (2002) investigated the effectiveness of 504 carvacrol against the natural flora of freshly cut melons and kiwifruit. They found that 505 carvacrol reduced significantly the viable count of natural flora on kiwifruit dipped in a 506 solution of the agent, but it was less effective on honeydew melons. Kiskó and Roller (2005) 507 explored the AM effectiveness of carvacrol against E. coli inoculated into unpasteurised 508 apple juice. They found that carvacrol reduced the bacteria to an undetectable level within the 509 first two days of storage. Ultee and Smid (Ultee and Smid 2001) found carvacrol to be 510 effective against B. cereus toxin production in soups to an undetectable level. Chiasson and 511 others (2004) reported effective AM activity of carvacrol and thymol against E. coli and Sal. 512 typhimurium in minced meat products. Seaberg and others (2003) reported inhibitory effects of carvacrol against L. monocytogenes in ready-to-eat beef slices. Recently, Rardniyom 513 514 (2008) coated carvacrol onto LDPE and nylon films and reported that the AM film inhibited 515 the growth of *E. coli* on Cheddar cheese by log 2.3 and 1.8 CFU g<sup>-1</sup> on samples stored at 8 516 and 12°C respectively for 15 days.

517 In addition to studies on real foods, several studies have reported the inhibitory effect of 518 carvacrol and thymol both on solid and liquid media as shown in Table 2. On solid media, 519 using the agar diffusion test, López-Malo and others (2005) found that carvacrol and thymol 520 had a significant inhibitory effect against A. flavus. Singh and others (2006) investigated the 521 AM activity of thymol against various microorganisms using the agar well diffusion method 522 and showed that thymol inhibited completely the growth of *B. cereus* and *P. aeruginosa*. 523 Tepe and others (2004), reported the positive AM activity of carvacrol and thymol against B. 524 catarrhalis, C. perfringens, B. cereus, Staph. aureus, S. pneumoniae, M. smegmatis and P. 525 aeruginosa in vitro. Sivropoulou and others (1996) reported significant AM activity of 526 carvacrol and thymol against Staph. aureus. Dorman and Deans (2000) reported effective 527 AM activity of thymol and carvacrol against selected microorganisms including B. cereus, Staph. aureus, L. monocytogenes, E. coli, A. niger and S. cerevisiae using the agar well 528 529 diffusion method. Olasupo and others (2003b) reported that carvacrol and thymol demonstrated the highest AM activity against E. coli and Sal. typhimurium using liquid 530 531 culture compared to other agents including eugenol, nisin, cinnamic acid and diacetyl 532 compounds. Rupika and others (2005) found that carvacrol and/or thymol impregnated into 533 LDPE films had a significant inhibitory activity against E. coli, Staph. aureus, L. innocua, P. 534 aeruginosa, A. niger and S. cerevisiae using the agar disc diffusion assay. Han and others 535 (2005) investigated the effectiveness of carvacrol and thymol coated onto LDPE film against L. innocua and E. coli in solid and liquid media and observed an inhibitory effect using the 536 537 agar diffusion method. In the liquid culture test, carvacrol and thymol incorporated into the 538 film reduced significantly the specific growth rate and the final cell concentration of L. 539 innocua.

541 Falcone and others (2005) reported that thymol inhibited significantly the growth of S. 542 cerevisiae and B. cereus in liquid media. They reported that the growth kinetics of B. cereus 543 in liquid media is a function of thymol concentration. Ultee and others (1998) investigated 544 the AM activity of carvacrol against B. cereus using a liquid culture media and reported that 545 the activity depends on the concentration, exposure time, temperature and pH. Periago and 546 others (2004) studied the AM activity of carvacrol and cymene against the growth of two 547 strains of L. monocytogenes and found that carvacrol and cymene reduced microbial growth 548 during the lag and exponential phases. They found that the combination of carvacrol and 549 cymene resulted in a larger decrease in viable counts of L. monocytogenes compared with the 550 separate application of these agents. Burt and others (2005) conducted a comparative study of 551 the AM activity of oregano and thyme EO components (carvacrol, thymol, p-cymene and  $\gamma$ -552 terpinene) against the growth of E. coli O157:H7 and also found synergistic effects of these 553 components using the checkerboard assay. They reported that carvacrol and thymol 554 demonstrated individual and additive antibacterial activity against E. coli O157:H7, but no 555 observable AM activity by *p*-cymene and  $\gamma$ -terpinene was found. Although the vast majority 556 of studies involving essential oils or their extracts suggest a positive and broad spectrum of 557 AM activity, an important aspect that needs more attention is how to minimise the loss of 558 these volatile agents during processing, particularly at high temperatures. Gutiérrez and 559 others (2009) reported AM activity of carvacrol, thymol and cinnamadehyde incorporated 560 into PP against various Gram-negative (E. coli, Yersinia enterocolitica, P. aeruginosa and Sal. choleraesuis), Gram-positive bacteria (L. monocytogenes, Staph. aureus, B. cereus and 561 562 *Enterococcus* faecalis), yeasts (Candida Albicans. *Debaryomyces* hansenii, Zygosaccharomyces rouxii) and moulds (Botrytis cinerae, A. flavus, Eurotium, repens, 563 penicllium roqueforti, P. islandicum, P. commune, P. nalgiovensis). Recently, Persico and 564

others (2009) claimed that carvacrol incorporated into a LDPE film demonstrated an AM
activity against *B. thermosphacta*, *L. innocua* and *Carnobacterium. sp* on agar medium.

567

568

#### 569 2.2.5 Other Natural Antimicrobial Agents

570 Numerous studies have evaluated the inhibitory effects of other natural AM agents including 571 bacteriocins, plant extracts such as grapefruit seed extract (GFSE), enzymes and spices (see 572 Table 2). Bacteriocins such as nisin are ribosomally synthesised peptides produced by lactic 573 acid bacteria and possess bactericidal properties against a range of microorganisms (Siragusa 574 and others 1999). They were widely studied for their AM activity in packaging films. 575 Grower and others (2004) developed an AM film by coating nisin onto an LDPE film and 576 reported that these coatings were effective against *L. monocytogenes* on solid microbiological 577 media and on the surface of individually packed hotdogs. Natrajan and Sheldon (2000) 578 reported significant AM activity of nisin coated on three different packaging films: polyvinyl 579 chloride (PVC), linear low-density polyethylene (LLDPE) and nylon against Sal. 580 typhimurium on broiler drumstick skin stored at 4°C. The AM activity of the nisin film was 581 found to be at higher nisin concentrations and when the film was in direct contact with the 582 tested products for a longer period. Kim and others (2002) coated nisin onto LDPE film in 583 order to control naturally-occurring bacteria on packaged fresh oysters and ground beef 584 stored at 3 and 10°C. They claimed that nisin coated onto the film reduced microbial growth 585 at both temperatures in contrast to a non-coated LDPE film. The inhibitory effects of AMcoated films on the growth of coliform bacteria were more evident at 10°C than at 3°C, while 586 587 the effect on the total aerobic bacteria count was consistently apparent at both temperatures. 588 Siragusa and others (1999) evaluated the AM effectiveness of nisin incorporated into LDPE 589 films against the growth of *B. thermosphacta* inoculated on the surface of a beef carcass.

590 They reported that the films reduced significantly the population of *B. thermosphacta* at the 591 end of a storage period at 4 and 12°C. Scannell and others (2000) investigated the AM 592 activity of nisin immobilised onto polyethylene (PE) and/or nylon films and found that the 593 films reduced the levels of L. innocua and Staph. aureus in sliced cheese and ham. Mauriello 594 and others (2004) investigated the anti-listerial effect of bacteriocin produced by 595 Lactobacillus curvatus 32Y incorporated PE and oriented nylon films. The films were coated with the bacteriocin using three different methods: soaking, spraying and coating and all the 596 597 films inhibited the growth of L. monocytogenes on both solid media and pork steaks. 598 Mauriello and others (2005) coated nisin onto LDPE films in order to control the growth of 599 *Micrococcus luteus* in tryptone soya broth and in raw, pasteurised and UHT milk. The nisin 600 coated onto LDPE films was shown to have an inhibitory effect against the growth of the 601 bacteria in the broth and also reduced microbial counts in the milk products. Cooksey (2001) 602 coated nisin onto LDPE films and evaluated their inhibitory effect against L. monocytogenes on packaged hotdogs and reported that coatings containing 2500 IU mL<sup>-1</sup> or greater of nisin 603 604 applied to the films effectively inhibited microbial growth on the hotdogs stored under 605 refrigeration for 60 days. Cutter and others (2001) investigated the AM activity of nisin 606 incorporated into PE food packaging films and reported a significant AM effect of the films 607 against *B. thermosphacta*.

608

The AM activity of plant extracts was investigated by several researchers and invariably demonstrated an inhibitory effect against various microorganisms. For example, Hong and others (2000) investigated the AM effectiveness of 5% (w/w) propolis extract or clove extract incorporated into LDPE films against *E. coli*, *L. plantarum*, *S. cerevisiae* and *Fusarium oxysporum*. All extracts demonstrated an inhibitory activity on the growth of *L. plantarum* and *F. oxysporum*. Ha and others (2001) investigated the AM activity of GFSE incorporated

into multi-layered PE films against M. flavus, E. coli, Staph. aureus and B. subtilis on ground 615 616 beef. The coated films demonstrated an AM activity against all the microorganisms studied. 617 Lee and others (1998) developed an LDPE packaging film incorporated with GFSE and 618 reported that the film containing narigin, ascorbic acid, hesperidin and various organic acids 619 was shown to possess a wide spectrum of AM activity. However, although the LDPE films 620 containing GFSE had an inhibitory effect on the growth of E. coli and Staph. aureus on solid 621 media, they were unable to inhibit the growth of *Leuconostoc mesenteroides*, S. cerevisiae, 622 Aspergillus oryzaei, A. niger and Penicillium chrysogenum. Rodriguez and others (2008) 623 investigated the AM activity of an active packaging film incorporated with cinnamon EOs 624 against *Rhizopus stolonifer* both *in vitro* and on sliced bread. They reported that cinnamon 625 EOs inhibited the growth of *R. stolonifer* on solid media and on sliced bread.

626

627 The AM films incorporated with EOs and/or their principal constituents have the potential for 628 packaging of many food products such as bakery (Suhr and Nielsen 2003; Rodríguez and 629 others 2008; Rokchoy and others 2009; Mehyar and others 2011), dairy (Suppakul and others 630 2008; Kuorwel and others 2011a), meat, chicken and fish (Suppakul and others 2003a; Kerry 631 and others 2006; Wu and others 2010), and fresh produce (Rodríguez-Lafuente and others 632 2010). There are already some commercial applications for AM packaging systems such as 633 wasabi extract (or Japanese horseradish) used for Japanese rice lunch boxes (Koichiro 1993), 634 Piatech manufactured by Rhone-Poulene (USA) and Daikoku Kasei Co (Japan) (Brody and 635 others 2001).

636

#### 637 **3** Conclusions

In recent years, an increasing interest has emerged in the development of various forms ofactive packaging systems intended to protect food products from microbial contamination.

640 Many synthetic and natural AM agents incorporated into or coated onto synthetic polymer-641 based packaging materials have demonstrated significant AM activity against various microorganisms. Although incorporating synthetic AM agents directly into foods can 642 643 effectively inhibit the growth and survival of various microorganisms, consumers today 644 demand minimally processed, preservative-free food products with a longer shelf life. Thus, 645 natural AM agents such as basil, thyme and oregano EOs with their main components linalool, thymol and carvacrol respectively are well suited to be utilised as preservatives in 646 foods and as potential alternatives for synthetic food additives. Packaging materials 647 648 containing AM agents demonstrate a potential for applications in AM packaging systems that 649 could reduce the risk of food-borne illness associated with microbial contamination in food 650 products. Although the commercial applications of these systems is not yet widespread, it is 651 anticipated that AM packages containing natural AM agents would be one of the new developments in food packaging (cheeses, processed meats, fish, bakery, fruits and 652 653 vegetables and more) in the near future.

## **Table 1: Antimicrobial activity of common synthetic AM agents**

AM Agent	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
	added	Material	Type/Media			
Benzoic acid	0.5 mol/L	PEMA	PDA	A. niger and Penicillium	Inhibited microbial growth	Weng and others
				sp.		(1999)
Benzoic acid	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Failed to inhibit mould	Weng and Hotchkiss
			Cheddar	sp. and A. toxacarius	growth	(1993)
			cheese			
Benzoic	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Demonstrated antimycotic	Weng and Hotchkiss
anhydride			Cheddar	sp. and A. toxacarius	activity on media and	(1993)
			cheese		cheese	
EDTA	5% w/w	LDPE	Agar	B. subtilis, A. niger and E.	Inhibited <i>B. subtilise</i> and	Vartiainen and others
			diffusion	coli	A. Niger but not E. coli	(2003a)
Imazalil	1000-2000	LDPE	Agar media;	A. toxacarius, Penicillium	All concentrations delayed	Weng and Hotchkiss
	mg/kg		Cheddar	sp.	microbial growth on media	(1992)

AM Agent	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
	added	Material	Type/Media			
			cheese		and cheese	
Imazalil	0.05-0.25%	LDPE	Agar	B. subtilis, A. niger and E.	Inhibited <i>B. subtilise</i> and	Vartiainen and others
	w/w		diffusion	coli	A. Niger but not E. coli	(2003a)
Potassium	2-3% w/v	PVC	Agar media	L. monocytogenes	Films inhibited microbial	Limjaroen and others
sorbate					growth	(2003)
Propionic acid	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Failed to inhibit mould	Weng and Hotchkiss
			Cheddar	sp. and A. toxacarius	growth	(1993)
			cheese			
Propionic	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Failed to inhibit mould	Weng and Hotchkiss
anhydride			Cheddar	sp. and A. toxacarius		(1993)
			cheese			
Sodium	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Failed to inhibit mould	Weng and Hotchkiss
propionate			Cheddar	sp. and A. toxacarius		(1993)
			cheese			

AM Agent	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
	added	Material	Type/Media			
Sodium	0.5-3% w/v	PVC	Agar media	L. monocytogenes	No AM activity observed	Limjaroen and others
diacetate						(2003)
Sorbic acid	1.5-3% w/v	PVC	Agar media	L. monocytogenes	Inhibited microbial growth	Limjaroen and others
						(2003)
Sorbic acid	0.5-2% w/w	LDPE	Agar media;	R. stolonifer, Penicillium	Failed to inhibit mould	Weng and Hotchkiss
			Cheddar	sp. and A. toxacarius		(1993)
			cheese			
Sorbic acid	0.5 mol L <sup>-1</sup>	PEMA	PDA	A. niger and Penicillium	Inhibited microbial growth	Weng and others
				sp.		(1999)
Triclosan	500-1000 mg	LDPE	Agar	L. monocytogenes, Sal.	Inhibited <i>L</i> .	Vermeiren and others
	kg <sup>-1</sup>		diffusion;	enteritidis, Staph. aureus,	monocytogenes, Sal.	(2002)
			Chicken	<i>E. coli</i> O157:H7, <i>B</i> .	enteritidis, Staph. aureus,	
			breasts	thermosphacta, B. cereus,	E. coli O157:H7 with	
				L. sake, L. brevis, P.	slight inhibition of <i>B</i> .	

AM Agent	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
	added	Material	Type/Media			
				Roqueforti, A. niger and C.	thermosphacta, but no	
				albicans	activity against, B. cereus,	
					L. sake, L. brevis, P.	
					Roqueforti, A. niger and C.	
					Albicans	
Triclosan	5% w/w	PVC	Plate count	Staph. aureus, E. coli	Staph. aureus, E. coli	Ji and Zhang (2009)

## **Table 2: Antimicrobial activity of natural AM agents**

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Carvacrol	1.33-2.65%	LDPE	Liquid	E. coli	Reduced microbial growth	Rupika and others
	w/w		culture			(2008)
Carvacrol	1-4% w/w	PP	Agar medium	E. coli, Y. enterocolitica,	Carvacrol demonstrated	Gutiérrez and others
				P. aeruginosa, Staph.	AM activity against all the	(2009)
				aureus, B. cereus and E.	tested microorganisms	
				faecalis, C. albicans, D.		
				hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		
				roqueforti, P. commune		
Carvacrol	1-4% w/w	PP,	Agar medium	L. monocytogenes, Sal.	carvacrol inhibited the	Lopez and others
	1-21.8µL/L	PE/EVOH	or vapour	choleraesuis, A. flavus and	growth of all the tested	(2007b; 2007a)
			diffusion	C. albicans	microorganisms	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
			method			
Carvacrol	0.2-2% w/w	LDPE	Agar media	E. coli, Staph. aureus, L.	Inhibited E. coli, Staph.	Rupika and others
				innocua, P. aeruginosa, A.	aureus, A. niger and S.	(2005)
				niger and S. cerevisiae	<i>cerevisiae</i> but not <i>L</i> .	
					innocua or P. aeruginosa	
Carvacrol	10% w/w	LDPE	Agar media	B. thermosphacta, L.	Carvacrol demonstrates B.	Persico and others
				<i>innocua</i> and	thermosphacta, L. innocua	(2009)
				Carnobacterium. sp	and Carnobacterium. sp	
Carvacrol	4% w/w	LDPE and	liquid food	E. coli	Multilayer films inhibited	Rardniyom and others
		nylon film	media;		microbial growth	(2008)
			Cheddar			
			cheese			

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Cinnamaldehyde	1-4% w/w	PP	Agar medium	E. coli, Y. enterocolitica,	cinnamaldehyde	Gutiérrez and others
				P. aeruginosa, Staph.	demonstrated AM activity	(2009)
				aureus, B. cereus and E.	against all the tested	
				faecalis, C. albicans, D.	microorganisms	
				hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		
				roqueforti, P. commune		
Cinnamaldehyde	1-4% w/w	PP,	Agar medium	L. monocytogenes, Sal.	Cinnamaldehyde inhibited	Lopez and others
	0.4-	PE/EVOH	or vapour	choleraesuis, A. flavus and	the growth of all the tested	(2007b; 2007a)
	21.8µL/L		diffusion	C. albicans	microorganisms	
			method			
Clove extract	20% w/w	LDPE	Liquid	E. coli, L. plantarum, S.	Effective against <i>L</i> .	Hong and others
			culture	cerevisiae and F.	plantarum and F.	(2000)
				oxysporum	oxysporum but not against	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
					E. coli and S. cerevisiae	
GFSE	0.1 or 1%	LDPE	Agar media;	E. coli, Staph. aureus, L.	Inhibited <i>E. coli</i> and	Lee and others (1998)
	w/w		Curled	mesenteroides, S.	Staph. aureus but not S.	
			lettuce;	cerevisiae, A. oryzaei, A.	cerevisiae, A. oryzaei, A.	
			Soybean	niger, P. chrysogenum	niger, or P. chrysogenum.	
			sprouts			
GFSE	0.5% or 1%	Multi-	Ground beef,	M. flavus, P. aeruginosa,	AM activity against <i>M</i> .	Ha and others (2001)
	w/v	layered PE	Agar media	E. coli, Staph. aureus and	flavus, E. coli, Staph.	
		(coated)		B. subtilise, S. cerevisiae,	aureus and B. subtilise	
				A. Niger, P. chysogenum,		
				L. mesenteroides		
Lactoferrin	0.5-2.5%	PVC	Agar media	L. monocytogenes	No AM activity	Limjaroen and others
	w/v					(2003)
Lacticin NK24	20 gL <sup>-1</sup>	LDPE	Fresh oysters;	Coliform, total aerobic	Inhibited microbial growth	Kim and others (2002)

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
			ground beef	bacteria		
Linalool	0.037%	LDPE	Agar media	E. coli	Linalool incorporated into	Suppakul and others
	w/w				LDPE film inhibit the	(2011b)
					growth of <i>E. Coli</i> after 1	
					year of storage	
Linalool	0.338%	LDPE	Agar media;	E. coli, L. innocua, S.	Inhibitory activity against	Suppakul (2004;
	w/w		Cheddar	cerevisiae	E. Coli but not L. innocua	2006; 2008)
			cheese		or S. cerevisiae on agar	
					media; reduced E. coli and	
					L. innocua on cheese	
Linalool	4% w/w	LDPE and	Liquid	E. coli	Multilayer films inhibited	Rardniyom and others
		nylon film	culture;		microbial growth	(2008)
			Cheddar			
			cheese			

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Linalool	0.54-1.19%	LDPE	Agar and	E. coli, L. innocua	Inhibited microbial growth	Rupika and others
	w/w		liquid media;			(2006)
			Cheddar			
			cheese			
Methylchavicol	0.028%	LDPE	Agar media	E. coli	Methylchavicol inhibitory	Suppakul and others
	w/w				activity against the growth	(2011b)
					of <i>E. Coli</i> after 1 year of	
					storage	
Methylchavicol	0.345%	LDPE	Agar media;	E. coli, L. innocua, S.	Inhibitory activity against	Suppakul (2004;
	w/w		Cheddar	cerevisiae	E. coli but not against L.	2006; 2008)
			cheese		innocua or S. cerevisiae	
					on agar media	
Nisin	0.05 or	LDPE	Beef carcass	B. thermosphacta	Inhibited microbial growth	Siragusa and others

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
	0.1% w/v					(1999)
Nisin	2-2.5% w/v	PVC	Agar media	L. monocytogenes	Inhibited microbial growth	Limjaroen and others
						(2003)
Nisin	157 mg/mL	LDPE	Agar media	L. monocytogenes	Inhibited microbial growth	Grower and others
						(2004)
Nisin	100 µg/mL	LLDPE,	Broiler skin	Sal. typhimurium	Significantly reduced	Natrajan and Sheldon
		PVC, nylon			microbial population	(2000)
Nisin	20 g/L	LDPE	Fresh oysters;	coliform, total aerobic	Suppressed coliform and	Kim and others (2002)
			ground beef	bacteria	bacterial growth	
Nisin	0.03 or 0.6	PE or	Sliced	L. innocua and Staph.	Reduced microbial growth	Scannell and others
	g/mL	polyamide	cheese; ham	aureus	in cheese	(2000)
Propolis	20% w/w	LDPE	Liquid	E. coli, L. plantarum, S.	Inhibited <i>L. plantarum</i> and	Hong and others
			culture	cerevisiae and F.	F. oxysporum but not E.	(2000)
				oxysporum	coli or S. cerevisiae	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Thymol	0.85-3.15%	LDPE	Liquid	E. coli	Inhibited microbial growth	Rupika and others
	w/w		culture			(2008)
Thymol	1-4% w/w	PP	Agar medium	E. coli, Y. enterocolitica,	Thymol demonstrated AM	(Gutiérrez and others
				P. aeruginosa, Staph.	activity against all the	2009)
				aureus, B. cereus and E.	tested microorganisms	
				faecalis, C. albicans, D.		
				hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		
				roqueforti, P. commune		
Thymol	0.23-1.6%	LDPE	Agar media	E. coli, Staph. aureus, L.	Inhibited E. coli, Staph.	Rupika and others
	w/w			innocua, P. aeruginosa, A.	aureus, A. niger and S.	(2005)
				niger and S. cerevisiae	<i>cerevisiae</i> but not <i>L</i> .	
					<i>innocua</i> , and <i>P</i> .	
					aeruginosa	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Thymol	1-4% w/w	PP,	Agar medium	L. monocytogenes, Sal.	Thymol inhibited the	Lopez and others
	1-21.8µL/L	PE/EVOH	or vapour	choleraesuis, A. flavus and	growth of all the tested	(2007b; 2007a)
			diffusion	C. albicans	microorganisms	
			method			
Basil EOs				E. coli, Staph. aureus,	Inhibited microbial growth	Mazzanti and others
				seven strains of Candida		(1998)
Basil EOs			Solid media	25 strains of bacteria	Inhibited microbial growth	Dorman and Deans
						(2000)
Basil EOs			Solid media	Staph. aureus	Inhibited microbial growth	Baratta and others
						(1998)
Basil EOs			Solid media	Bacillus sp., Staph. aureus	Inhibitory effects against	Lachowicz and others
			Food	sp., micrococcus sp.,	Gram-positives (Bacillus	(1998)
				Sarcina sp., Lactobacillus	sp., Staph. aureus sp.,	
				sp., E. coli, Salmonella,	micrococcus sp., Sarcina	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
				sp., Enterobacter sp.,	sp. and <i>Lactobacillus</i> sp.	
				Pseudomonas sp.	Reduced effects against	
					Gram-negatives (E. coli,	
					Salmonella sp.,	
					Enterobacter sp. and	
					Pseudomonas sp.	
Basil EOs				Fusarium acuminatum, F.	EOs effective against all	Rai and others (1999)
				solani, F. pallidoroseum	Fusarium species	
				and F. chlamydosporum		
Cinnamon EOs	1-4% w/w	PP,	Agar medium	E. coli, Y. enterocolitica,	Cinnamon EOs in PP or	Lopez and others
	13.1-	PE/EVOH	or vapour	P. aeruginosa, Staph.	PE/EVOH demonstrated	(2007b; 2007a)
	131µL/L		diffusion	aureus, B. cereus and E.	AM activity against all the	
			method	faecalis, C. albicans, D.	tested microorganisms	
				hansenii, Z. Rouxii, A.		

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
				flavus, E. repens, p.		
				roqueforti, P. commune		
Cinnamon EOs	3-6% (w/w)	Paraffin-	In vitro,	A. alternata	Cinnamon EOs inhibited	Rodriquez-Lafuente
		based paper	Sliced bread		the growth of A. alternata	and others (2010)
					on solid media	
Cinnamon EOs	1-6% w/w	Paraffin-	In vitro,	R. stolonifer	Cinnamon EOs in paraffin	Rodríguez and others
		paper	Sliced bread		film inhibited the growth	(2008)
					of R. stolonifer	
Clove	1-4% w/w	PP,	Agar medium	E. coli, Y. enterocolitica,	Clove EOs demonstrated	Lopez and others
		PE/EVOH		P. aeruginosa, Staph.	AM activity against all the	(2007b; 2007a)
				aureus, B. cereus and E.	tested microorganisms	
				faecalis, C. albicans, D.		
				hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
				roqueforti, P. commune		
Oregano EOs	0.8% (v/w)	surface	beef meat	L. monocytogenes,	Reduced growth by 2-3	Tsigarida and others
		dipping, O <sub>2</sub> permeable	fillets	autochthonous flora	log <sub>10</sub>	(2000)
		films				
Oregano EOs	3-6% (w/w)	Paraffin-	In vitro,	A. alternata	Oregano EOs inhibited the	Rodriquez-Lafuente
		based paper	Cherry		growth of A. alternata on	and others (2010)
			tomato		solid media	
Oregano EOs		dressing,	fresh fish	Staph. aureus, Sal.	Bacterostatic and	Tassou and others
		MAP	fillets	<i>enteritidis</i> , Residential flora	bactericidal effects	(1996)
Oregano EOs	<u>800 nnm</u>	surface	thin-sliced		Significant inhibition	Seaberg and others
Oregano EOS	800 ppm	spreading	beef	L. monocytogenes		(2003)
Oregano EOs	0.05-1%	PE bags	minced beef	spoilage microbiota	Reduction in microbial	Skandamis and

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
	(v/w)				loads	Nychas (2001)
Oregano EOs		surface	raw fish	Photobacterium	No significant growth	Mejlholm and
		application	fillets	phosphoreum	reduction	Dalgaard (2002)
Oregano EOs	1-4% w/w	PP,	Agar medium	E. coli, Y. enterocolitica,	Oregano EOs in PP or	Lopez and others
	13.1-	PE/EVOH	or Vapour	P. aeruginosa, Staph.	PE/EVOH demonstrated	(2007b; 2007a)
	175µL/L		diffusion	aureus, B. cereus and E.	AM activity against all the	
			method	faecalis, C. albicans, D.	tested microorganisms	
				hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		
				roqueforti, P. commune		
Oregano EOs	50 µL	Suspensions	Apple juices	E. coli, Sal. enterica	Selected oils were	Friedman and others
		of oils in			bactericidal	(2004)
		apple juice				
Oregano EOs	0.1-10%	dissolved in	Liquid	Sal. enterica	OEO showed strongest	Marques and others

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
	(v/v)	brain heart	culture		AM activity	(2008)
		infusion				
		broth				
Oregano EOs			In vitro	B. cereus, E. coli L.	Inhibited microbial growth	Baydar and others
				monocytogenes		(2004)
Thyme EOs	50 µL	vapour	sponge cake	Eurotium sp., Aspergillus	Significant reduction in	Guynot and others
		contact	analogues	sp., <i>Pencillium</i> sp.	microbial growth	(2003)
Thyme EOs	135 or 270	vapour	rye bread	Pencillium sp., E. repens,	Significant reduction in	Suhr and Nielsen
	μL/L	contact		A. flavus	microbial growth	(2003)
Thyme EOs	0.1-1%	cheese-EO-	soft cheese	L. monocytogenes	Significant inhibition in	Smith-Palmer and
	(v/v)	mixture		Sal. enteritidis	low-fat cheese; no	others (2001)
					inhibition in full-fat cheese	
Thyme EOs	1:5 dilution	surface	cooked	A. hydrophila, L.	Inhibited growth of <i>A</i> .	Hao and others (1998)
		application	poultry	monocytogenes	Hydrophila	

Antimicrobial	Amount	Packaging	Test	Target Microorganism(s)	Findings	References
Agent	added	Material	Type/Media			
Thyme EOs	1-4% w/w	PP,	Agar	E. coli, Y. enterocolitica,	thyme EOs demonstrated	Lopez and others
	26.2-	PE/EVOH	medium,	P. aeruginosa, Staph.	AM activity against all the	(2007b; 2007a)
	175µL/L		Vapour	aureus, B. cereus and E.	tested microorganisms	
			diffusion	faecalis, C. albicans, D.		
			method	hansenii, Z. Rouxii, A.		
				flavus, E. repens, p.		
				roqueforti, P. commune		
Thyme EOs		surface	raw fish	Photobacterium	No significant growth	Mejlholm and
		application	fillets	phosphoreum	reduction	Dalgaard (2002)

## 660 List of Abbreviations and Nomenclature

661	AM	Antimicrobial
662	AP	Active Packaging
663	CFU	Colony Forming Units
664	EOs	Essential Oils
665	EVOH	Ethylene Vinyl Alcohol
666	GRAS	Generally Recognized As Safe
667	GFSE	Grapefruit Seed Extract
668	HDPE	High-Density Polyethylene
669	LB	Lactic-acid Bacteria
670	LDPE	Low-Density Polyethylene
671	LLDPE	Linear Low-Density Polyethylene
672	MAP	Modified Atmosphere Packaging
673	OEO	Oregano Essential Oil
674	PDA	Potato Dextrose Agar
675	PE	Polyethylene
676	PEG	Polyethylene Glycol
677	PEMA	Poly(Ethylene-co-Methacrylic Acid)
678	PET	Polyethylene Terephthalate
679	PP	Polypropylene
680	PS	Polystyrene
681	PVC	Polyvinyl Chloride
682	PVDC	Poly(Vinylidene Chloride) or Poly(Vinyl Dichloride)
683	TEO	Thyme Essential Oil

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